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# R-GROUP AND WHITTAKER SPACE OF SOME GENUINE REPRESENTATIONS

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Abstract For a unitary unramified genuine principal series representation of a covering group, we study the associated  $R$ -group. We prove a formula relating the  $R$ -group to the dimension of the Whittaker space for the irreducible constituents of such a principal series representation. Moreover, for certain saturated covers of a semisimple simply connected group, we also propose a simpler conjectural formula for such dimensions. This latter conjectural formula is verified in several cases, including covers of the symplectic groups.

Keywords: covering groups, R-groups, Whittaker functionals, scattering matrix, gamma factor, Plancherel measure

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## **Contents**



#### <span id="page-1-0"></span>**1. Introduction**

Let F be a local field of characteristic 0. Let G be the F-rational points of a split connected reductive group over F. Assume that  $F^{\times}$  contains the full group  $\mu_n$  of nth roots of unity. In this paper we consider a central extension

$$
\mu_n \longrightarrow \overline{G} \longrightarrow G
$$

of G by  $\mu_n$  arising from the Brylinski–Deligne framework [\[11\]](#page-59-0). A representation  $(\pi, V_\pi)$ of  $\overline{G}$  is called genuine if  $\mu_n$  acts on  $V_\pi$  by a fixed embedding  $\mu_n \hookrightarrow \mathbb{C}^\times$ .

In [\[18\]](#page-59-1), we proposed and partially proved a conjectural formula for the dimension of a certain relative Whittaker space of the irreducible constituents of a regular unramified genuine principal series representation  $I(\chi)$  of  $\overline{G}$  over a non-archimedean field F. The formula in [\[18\]](#page-59-1) relates certain Kazhdan–Lusztig representations of the Weyl group to the dimensions of such relative Whittaker spaces.

This paper, as a companion to [\[18\]](#page-59-1), deals with the case where  $I(\chi)$  is a unitary unramified genuine principal series – that is,  $\chi$  is a unitary unramified genuine character of the centre  $Z(\overline{T})$  of the covering torus  $\overline{T} \subset \overline{G}$ . In this case,  $I(\chi)$  is a semisimple  $\overline{G}$ -module, and has a decomposition

$$
I(\chi) = \bigoplus_{\pi \in \Pi(\chi)} m_{\pi} \cdot \pi,
$$

where  $\pi$  are the nonequivalent constituents of  $I(\chi)$ . These representations  $\pi$  thus should constitute an L-packet  $\Pi(\chi)$ . The reducibility of  $I(\chi)$  and this decomposition is controlled by a certain Knapp–Stein R-group  $R_\chi \subset W_\chi$ , where  $W_\chi \subset W$  is the stabiliser subgroup of  $\chi$  inside the Weyl group W. In particular, there is a correspondence

<span id="page-1-1"></span>
$$
Irr(R_{\chi}) \longleftrightarrow \Pi(\chi), \qquad \sigma \leftrightarrow \pi_{\sigma}, \tag{1.1}
$$

between the irreducible representations of  $R_{\chi}$  and elements in  $\Pi(\chi)$  such that  $m_{\pi_{\sigma}} =$  $\dim(\sigma)$ . Since  $\chi$  is unramified, one can show that  $R_{\chi}$  is abelian and therefore  $m_{\pi_{\sigma}} = 1$  for every  $\sigma$ .

Fix a Whittaker datum  $(\overline{B} = \overline{T}U, \psi)$  for  $\overline{G}$ , where U is the unipotent radical of the Borel subgroup B and  $\psi: U \to \mathbb{C}^\times$  is a nondegenerate character. It is well known that genuine representations of covering groups could have high-dimensional  $\psi$ -Whittaker space (i.e., the space of  $\psi$ -Whittaker functionals; see the introductions of [\[18,](#page-59-1) [19\]](#page-59-2) for brief literature reviews). In particular, dim  $Wh_{\psi}(I(\chi))$  increases as the degree of covering increases. In view of the correspondence in formula [\(1.1\)](#page-1-1), it is natural to ask:

• How can dim  $Wh_{\psi}(\pi_{\sigma})$  be determined in terms of  $\sigma \in \text{Irr}(R_{\nu})$ ?

Our goal is first to prove a formula for dim  $Wh_{\psi}(\pi_{\sigma})$  for general  $\overline{G}$ . Second, for certain saturated covers (see Definition [2.1\)](#page-12-1) of a semisimple simply connected  $G$ , we propose a simpler conjectural formula for dim  $Wh_{\psi}(\pi_{\sigma})$  in terms of the character pairing of  $\sigma$  and a certain permutation representation  $\sigma^{\mathscr{X}}$  of  $R_{\gamma}$ . We will also verify several cases of this conjectural formula in this paper.

#### **1.1. Background and motivation**

We briefly recall some relevant works for linear algebraic groups which motivate our consideration in this paper.

For a linear algebraic group G and  $\chi$  a character of T (not necessarily unramified), correspondence [\(1.1\)](#page-1-1) arises from the theory of Harish-Chandra and Knapp and Stein for the commuting algebra  $\text{End}(I(\chi))$  of the principal series representation  $I(\chi)$ , especially from the algebra isomorphism

<span id="page-2-0"></span>
$$
\mathbf{C}[R_{\chi}] \simeq \text{End}(I(\chi)).\tag{1.2}
$$

In fact, for general parabolic induction (i.e., parabolically induced representation) for  $G$ , the theory of R-groups was initiated in the work of Knapp and Stein for real groups [\[29\]](#page-59-3). Based on Harish-Chandra's work [\[46\]](#page-60-0), Silberger worked out the formulation for  $p$ adic groups [\[44,](#page-60-1) [45\]](#page-60-2); in particular, he showed that Harish-Chandra's commuting algebra theorem holds, which then gives an analogue of isomorphism [\(1.2\)](#page-2-0) for general parabolic induction on linear algebraic groups. Here, for simplicity in this introduction, we ignore the subtleties of the two-cocycle twisting in the algebra of the R-group for general parabolic inductions (see [\[3\]](#page-58-0) for details). For minimal parabolic induction of a Chevalley group, the group  $R<sub>x</sub>$  was computed explicitly by Keys [\[25\]](#page-59-4); the study in the unramified case was furthered in [\[26\]](#page-59-5).

In the minimal parabolic case, the connection of the R-group with the Langlands correspondence was elucidated in [\[27,](#page-59-6) [28\]](#page-59-7). For example, let  $\phi_{\chi}$  be the L-parameter associated to the character  $\chi$ ; Keys showed that the component group  $\mathcal{S}_{\phi_{\chi}}$  is isomorphic to  $R_{\chi}$ , and thus elements inside the L-packet  $\Pi(\chi)$  are also naturally parametrised by Irr $(\mathcal{S}_{\phi_{\chi}})$ . Beyond the principal series case, it was conjectured by Arthur [\[2\]](#page-58-1) that the Rgroup associated with the parabolic induction  $I(\sigma)$  from a discrete series  $\sigma$  on the Levi subgroup is also isomorphic to the component group  $S_{\phi_{\sigma}}$ , where  $\phi_{\sigma}$  is the L-parameter associated to  $I(\sigma)$ . We refer to [\[7,](#page-58-2) [23,](#page-59-8) [6\]](#page-58-3) and the references therein for works in this direction.

Such a relation between  $\Pi(\chi)$  and  $\phi_{\chi}$  demonstrates a prototype of the general idea of the local Langlands parametrisation for admissible representations of a local reductive group G. Let  $W'_F = W_F \times SL_2(\mathbf{C})$  be the Weil–Deligne group of F, where  $W_F$  is the local Weil group. Let <sup>L</sup>G be the L-group of G. For each parameter

$$
\phi: W'_F \longrightarrow {}^L G,
$$

the local conjecture of Langlands asserts that there is an L-packet  $\Pi_{\phi}$  consisting of irreducible admissible representations of  $G$  which satisfy certain desiderata (see [\[9\]](#page-58-4)). Members inside the same packet  $\Pi_{\phi}$  are equipped with the same L-function and  $\varepsilon$ -factor. Moreover, as already alluded to, if  $\phi$  is a tempered parameter (i.e., the image of  $\phi|_{W_F}$  in the dual group of G is relatively compact), then the component group  $S_{\phi}$  conjecturally parametrises elements in the L-packet  $\Pi_{\phi}$  (see [\[4\]](#page-58-5)) – that is, there is a bijection

<span id="page-2-1"></span>
$$
Irr(\mathcal{S}_{\phi}) \longleftrightarrow \Pi_{\phi}.
$$
\n(1.3)

This bijection originally manifests in the theory of endoscopic transfer, and in particular in the character identity relations matching orbital integrals arising from the

transfers (cf. [\[31,](#page-59-9) [42,](#page-60-3) [43,](#page-60-4) [1,](#page-58-6) [3\]](#page-58-0)). More generally, in order to deal with non-tempered representation in the global  $L^2$  spectral decomposition, Arthur postulated that one should consider a parameter  $\varphi$  of  $W'_F \times SL_2(\mathbb{C})$  valued in the Langlands L-group <sup>L</sup>G; the component group  $\mathcal{S}_{\varphi}$  should parametrise a certain Arthur-packet, which plays a crucial role in Arthur's work formulating his global multiplicity formula for the discrete spectrum of automorphic representations of a linear reductive group (see  $[2, 5]$  $[2, 5]$  $[2, 5]$ ).

It should be noted that bijection [\(1.3\)](#page-2-1) is not canonical, which depends from the geometric side on the normalisation of the Langlands–Shelstad transfer factors and from the representation-theoretic side on the normalisation of intertwining operators (see [\[28,](#page-59-7) [39,](#page-60-5) [40\]](#page-60-6)). Indeed, one can always twist the bijection by a character of the component group  $S_{\phi}$ . However, if  $\phi$  is a tempered parameter, then it is conjectured in [\[40\]](#page-60-6) that  $\Pi_{\phi}$ always contains a unique generic element with respect to a fixed Whittaker datum of G. In particular, for a fixed Whittaker datum of  $G$ , there is a one-to-one correspondence between generic tempered representations and tempered L-packets. Granted with this tempered-packet conjecture, the correspondence in formula [\(1.3\)](#page-2-1) can then be normalised such that the unique generic element (with respect to the fixed Whittaker datum) is parametrised by  $1 \in \text{Irr}(\mathcal{S}_{\phi})$ . We refer the reader to [\[41\]](#page-60-7) and the references therein for works in this direction.

It is to this last problem on the genericity of representations inside  $\Pi_{\phi}$ , where  $\phi = \phi_{\chi}$  is the parameter for a unitary unramified genuine principal series  $I(\chi)$  of a covering group, that our object in this paper pertains. The Whittaker space  $Wh_{\psi}(I(\chi))$  is understood as a consequence of Rodier's heredity and the fact that  $\overline{T}$  has only trivial unipotent subgroup. However, if  $I(\chi)$  is reducible, then for any constituent  $\pi$  it is a natural but delicate question to determine the dimension dim  $Wh_{\psi}(\pi)$ .

For a unitary unramified genuine  $\chi$ , correspondence [\(1.1\)](#page-1-1) continues to hold. The proof is essentially the same as in the linear algebraic case, and relies on the covering analogue of formula [\(1.2\)](#page-2-0), which follows from recent work of W.-W. Li [\[33,](#page-60-8) [34\]](#page-60-9) and C. Luo [\[35\]](#page-60-10). In fact, we will also show the isomorphism  $R_\chi \simeq \mathcal{S}_{\phi_\chi}$ . However, since it is possible to have  $\dim Wh_{\psi}(\pi) > 0$  for every constituent  $\pi$  of  $I(\chi)$ , there does not seem to be a preferred choice of  $\pi \in \Pi(\chi)$  using the genericity criterion. Thus, we choose the normalisation for correspondence [\(1.1\)](#page-1-1) such that the unique unramified constituent  $\pi_{\chi}^{un} \subset I(\chi)$  corresponds to the trivial representation 1 of  $R_{\chi}$  – that is,

$$
\pi_1 = \pi_\chi^{un}.
$$

For unramified principal series of a linear algebraic group, this is the natural choice, since  $\pi_{\chi}^{un}$  is generic with respect to the fixed Whittaker datum, as a consequence of the Casselman–Shalika formula.

With this normalised correspondence  $\sigma \leftrightarrow \pi_{\sigma}$ , we want to determine dim  $Wh_{\psi}(\pi_{\sigma})$  in terms of  $\sigma$  for every  $\sigma \in \text{Irr}(R_{\chi})$ . We hope that the results in this paper will eventually find applications in the context of global automorphic representations for covering groups.

#### **1.2. Main conjecture**

Let  $\chi$  be a unitary unramified genuine character of  $Z(T)$ . Associated to T is a finite abelian group

$$
\mathscr{X}_{Q,n} := Y/Y_{Q,n},
$$

which is the quotient of the cocharacter lattice Y of G by a certain sublattice  $Y_{Q,n}$ . Here  $\mathscr{X}_{Q,n}$  is the 'moduli space' of  $Wh_{\psi}(I(\chi))$ ; in particular,

$$
\dim \mathrm{Wh}_{\psi}(I(\chi)) = |\mathscr{X}_{Q,n}|.
$$

The group  $\mathscr{X}_{Q,n}$  is endowed with a natural twisted W-action which we denote by  $w[y]$ . From this we have a permutation representation

$$
\sigma^{\mathscr{X}}: W \longrightarrow \operatorname{Perm}(\mathscr{X}_{Q,n})
$$

given by  $\sigma^{\mathscr{X}}(w)(y) = w[y]$ . Let  $\mathcal{O}_{\mathscr{X}}$  be the set of all W-orbits (with respect to this twisted action) in  $\mathscr{X}_{Q,n}$ . Clearly, for each W-orbit  $\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}$  we have the permutation representation

$$
\sigma_{\mathcal{O}_y}^{\mathcal{X}}: W \longrightarrow \mathrm{Perm}(\mathcal{O}_y);
$$

moreover,  $\sigma^{\mathscr{X}}$  decomposes as a sum of all  $\sigma_{\mathcal{O}_y}^{\mathscr{X}}$  – that is,

$$
\sigma^{\mathscr{X}} = \bigoplus_{\mathcal{O}_{y} \in \mathcal{O}_{\mathscr{X}}} \sigma^{\mathscr{X}}_{\mathcal{O}_{y}}.
$$

By restriction,  $\sigma_{\mathcal{O}_y}^{\mathcal{X}}$  could be viewed as a permutation representation of  $R_\chi \subset W_\chi \subset W$ .

For every W-orbit  $\mathcal{O}_y \subset \mathcal{X}_{Q,n}$ , there is also a natural subspace  $Wh_{\psi}(\pi_{\sigma})_{\mathcal{O}_y} \subset Wh_{\psi}(\pi_{\sigma})$ (see definition [\(5.7\)](#page-34-0)) such that

$$
\operatorname{Wh}_{\psi}(\pi_{\sigma}) = \bigoplus_{\mathcal{O}_{y} \in \mathcal{O}_{\mathscr{X}}} \operatorname{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_{y}}.
$$

<span id="page-4-0"></span>**Conjecture 1.1** (Conjecture [5.3\)](#page-35-0). Let  $\overline{G}$  be a saturated n-fold cover (see Definition [2.1\)](#page-12-1) of a semisimple simply connected group G with  $\overline{G}^{\vee} \simeq G^{\vee}$ . In the normalised correspondence  $Irr(R_{\chi}) \longleftrightarrow \Pi(\chi), \sigma \leftrightarrow \pi_{\sigma}$  such that  $\pi_1 = \pi_{\chi}^{un}$ , we have

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_y} = \left\langle \sigma, \sigma_{\mathcal{O}_y}^{\mathcal{X}} \right\rangle_{R_{\chi}}
$$

for every orbit  $\mathcal{O}_y \in \mathcal{O}_{\mathcal{X}}$ , where  $\langle -, - \rangle_{R_y}$  denotes the pairing of two representations of  $R_{\rm x}$ . Consequently,

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\sigma}) = \langle \sigma, \sigma^{\mathscr{X}} \rangle_{R_{\chi}}
$$

for every  $\sigma \in \text{Irr}(R_\chi)$ ; in particular,  $\dim \text{Wh}_{\psi}(\pi_\chi^{un})$  is equal to the number of  $R_\chi$ -orbits in  $\mathscr{X}_{Q,n}$ .

#### **1.3. Main results**

Prior to the formulation of the main conjecture, a substantial part of this paper is devoted to analysing the group  $R_{\chi}$  and proving for covers of general reductive groups an unconditional formula for dim  $Wh_{\psi}(\pi_{\sigma})_{\mathcal{O}_{\psi}}$  in terms of  $\sigma$  and a certain representation  $\sigma_{\mathcal{O}_{\mathcal{Y}_{\mathcal{L}}}}^{\text{Wh}}$  of  $R_{\chi}$ . We briefly outline the content of the paper and highlight some of our results.

After a brief introduction on covering groups in Section [2,](#page-7-0) we study in Section [3](#page-12-0) the normalised intertwining operator between genuine principal series of G. As in the case for linear algebraic groups, the normalisation is given by the Langlands L-functions, and one important property is the cocycle relation of the normalised intertwining operators, which does not depend on the length function of W.

In Section [4,](#page-17-0) we analyse the group  $R_\chi$  based on the work of Keys [\[25,](#page-59-4) [27\]](#page-59-6), W.-W. Li [\[33,](#page-60-8) [34\]](#page-60-9) and C. Luo [\[35\]](#page-60-10). In particular, it follows from [\[35\]](#page-60-10) that for a unitary unramified genuine principal series  $I(\chi)$ , there is an algebra isomorphism  $\mathbf{C}[R_{\chi}] \simeq \text{End}(I(\chi))$ . We show how to compute  $R_\chi$  by relating it to another group  $R_\chi^{sc}$ , which is equal to the R-group of a certain unramified principal series of a simply connected Chevalley group  $H^{sc}$ . The group  $R^{sc}_{\chi}$  is explicitly determined by Keys [\[25,](#page-59-4) [26\]](#page-59-5) for principal series of simply connected Chevalley groups.

Moreover, by reducing to the linear algebraic case, we prove in Theorem [4.9](#page-28-0) the isomorphism  $R_{\chi} \simeq \mathcal{S}_{\phi_{\chi}}$ , where

$$
\phi_{\chi}: W_F \to {}^L\overline{G}
$$

is the L-parameter of  $I(\chi)$  valued in the L-group of  $\overline{G}$  constructed by Weissman [\[53\]](#page-60-11) and  $\mathcal{S}_{\phi_{\chi}}$  is the connected component group of  $\phi_{\chi}$  (see definition [\(4.8\)](#page-28-1)). We remark that the parameter  $\phi_{\chi}$  is associated to  $\chi$  by the local Langlands correspondence for covering tori, and thus it is trivial on  $SL_2(\mathbf{C}) \subset W_F'$ . Therefore, it suffices to consider the Weil group  $W_F$  alone.

Denote by  $\overline{G}^{\vee}$  (resp.,  $L\overline{G}$ ) the dual group (resp., L-group) for the covering group  $\overline{G}$ . The following is an amalgam of Proposition [4.4](#page-23-0) and Theorems [4.6](#page-26-0) and [4.9:](#page-28-0)

**Theorem 1.2.** Let  $\overline{G}$  be an n-fold cover of a linear algebraic group G. Let  $\chi$  be a unitary unramified genuine character of  $Z(T)$ . We have

•  $R_\chi \subseteq R_\chi^{sc}$ , with  $R_\chi^{sc}$  being an abelian group, and if  $\overline{G}$  is semisimple, then

$$
[R_{\chi}^{sc}:R_{\chi}] \leq \left| Z\left(\overline{G}^{\vee}\right) \right|,
$$

where  $Z\left(\overline{G}^{\vee}\right)$  is the centre of  $\overline{G}^{\vee}$ ; and •  $R_{\rm v} \simeq S_{\phi_{\rm v}}$ .

Section [5](#page-30-0) is devoted to stating and investigating several aspects of the main conjecture (Conjecture [5.3,](#page-35-0) which is Conjecture [1.1\)](#page-4-0). First, the space  $Wh_{\psi}(I(\chi))$  affords a natural representation

$$
\sigma^{\mathrm{Wh}}:R_{\chi}\longrightarrow \mathrm{GL}\left(\mathbf{C}^{|\mathscr{X}_{Q,n}|}\right)
$$

(see definition [\(5.10\)](#page-36-0)). Moreover, for  $\mathcal{O}_y \in \mathcal{O}_{\mathcal{X}}$  there is a natural  $\sigma^{\text{Wh}}$ -stable subspace  $Wh_{\psi}(I(\chi))_{\mathcal{O}_y} \subset Wh_{\psi}(I(\chi))$  of dimension  $|\mathcal{O}_y|$  (see equation [\(5.6\)](#page-34-1)); this gives a subrepresentation

$$
\sigma^{\mathrm{Wh}}_{\mathcal{O}_y}: R_\chi \longrightarrow \mathrm{GL}\left(\mathbf{C}^{|\mathcal{O}_y|}\right),
$$

and we have

$$
\sigma^{\mathrm{Wh}} = \bigoplus_{\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}} \sigma^{\mathrm{Wh}}_{\mathcal{O}_y}.
$$

<span id="page-6-0"></span>**Theorem 1.3** (Theorem [5.6\)](#page-37-0). Let  $\overline{G}$  be an n-fold cover of a connected reductive group G. For every orbit  $\mathcal{O}_y \in \mathcal{O}_{\mathcal{X}}$ , we have

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_y} = \left\langle \sigma, \sigma_{\mathcal{O}_y}^{\mathrm{Wh}} \right\rangle_{R_{\chi}}.
$$

Consequently,  $\dim Wh_{\psi}(\pi_{\sigma}) = \langle \sigma, \sigma^{Wh} \rangle_{R_{\chi}}$ .

In fact,  $\sigma_{\mathcal{O}_y}^{\text{Wh}}(\mathbb{w})$  is represented by the matrix  $\gamma(w,\chi) \cdot \mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_y}$ , where  $\gamma(w,\chi)$  is the  $\gamma$ -factor associated to w and  $\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_y}$  is a so-called scattering matrix. As an application of this theorem, we show in Section [5.5](#page-38-0) that a result of Szpruch [\[48\]](#page-60-12) on the double cover of  $GSp_{2r}$  can be recovered from it (see Theorem [5.10\)](#page-40-1). Here Theorem [1.3](#page-6-0) also implies that Conjecture [1.1](#page-4-0) is equivalent to the following (compare Conjecture [5.7\)](#page-38-1):

<span id="page-6-1"></span>**Conjecture 1.4.** Let  $\overline{G}$  be a saturated n-fold cover of a semisimple simply connected group G with  $\overline{G}^{\vee} \simeq G^{\vee}$ . Then for every orbit  $\mathcal{O}_y \subset \mathscr{X}_{Q,n}$ , we have  $\sigma_{\mathcal{O}_y}^{\text{Wh}} = \sigma_{\mathcal{O}_y}^{\mathscr{X}}$ ; or equivalently,

$$
\mathrm{Tr}\left(\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_y}\right) = |(\mathcal{O}_y)^{\mathbb{N}}| \cdot \gamma(w,\chi)^{-1}
$$

for every  $\mathbf{w} \in R_{\mathbf{y}}$ , where the left-hand side denotes the trace of the matrix  $\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_{\alpha}}$ .

Using the formulation in this conjecture, we prove several results in Section [6:](#page-40-0)

- For a general reductive group G, we show that there is an exceptional set  $\mathscr{X}_{Q,n}^{\text{exc}} \subset$  $(\mathscr{X}_{Q,n})^W$ , which might be empty, such that  $\sigma_{\mathcal{O}_y}^{\text{Wh}} = \sigma_{\mathcal{O}_y}^{\mathscr{X}} = \mathbb{1}_{R_\chi}$  for  $y \in \mathscr{X}_{Q,n}^{\text{exc}}$ . It follows that dim  $\mathbf{W}\mathbf{h}_{\psi}\left(\pi_{\chi}^{un}\right) \geq |\mathscr{X}_{Q,n}^{\text{exc}}|$ ; this also implies that Conjecture [1.4](#page-6-1) holds for such  $\mathcal{O}_y$ . This is the content of Theorem [6.1.](#page-41-0)
- In Section [6.2](#page-42-0) we consider the Whittaker space  $\mathrm{Wh}_{\psi}(\pi_{\chi}^{un})$  from the perspective of unramified Whittaker functions. Using an analogue of the Casselman–Shalika formula proved in [\[19\]](#page-59-2), we show in Theorem [6.5](#page-45-1) a result on dim  $\text{Wh}_{\psi}(\pi_{\chi}^{un})$ , which is compatible with Theorem [6.1.](#page-41-0)

In Section [7,](#page-45-0) we verify the following:

**Theorem 1.5** (Theorem [7.1\)](#page-47-0)**.** Conjecture [1.4](#page-6-1) (and thus Conjecture [1.1\)](#page-4-0) holds for the *n*-fold covers  $\overline{Sp}_{2r}^{(n)}$ .

We also prove in Section [7](#page-45-0) that Conjecture [1.1](#page-4-0) holds for the double cover of  $SL<sub>3</sub>$  by explicit computations.

Lastly, in Section [8](#page-54-0) we consider *n*-fold covers of SO<sub>3</sub> and the double cover of Spin<sub>6</sub>  $\simeq$ SL4, and show that the naive analogue of Conjecture [1.4](#page-6-1) fails for such covers. Thus, the constraints on G being simply connected and on  $\overline{G}$  being saturated seem to be indispensable. For general reductive groups, a unified conjectural formula for  $Wh_{\psi}(\pi_{\sigma})_{\mathcal{O}_{y}}$ in terms of  $\sigma_{\mathcal{O}_y}^{\mathcal{X}}$  involves subtleties beyond the considerations of this paper, and its intimate relation with the R-group has yet to be unveiled in full generality.

#### <span id="page-7-0"></span>**2. Covering groups**

Our exposition on covering groups is essentially the same as in [\[18,](#page-59-1) §2]. However, to ensure that this paper is self-contained, we will briefly recall some summarised results on  $\overline{G}$ .

Let F be a finite extension of  $\mathbf{Q}_p$ . Denote by  $O \subset F$  the ring of integers of F and by  $\varpi \in O$  a fixed uniformiser.

#### **2.1. Covering groups**

Let **G** be a split connected linear algebraic group over F with a maximal split torus **T**. Let

$$
\{X, \Delta, \Phi; \ Y, \Delta^{\vee}, \Phi^{\vee}\}
$$

be the based root datum of  $\mathbf{G}$ . Here X (resp., Y) is the character lattice (resp., cocharacter lattice) for (**G**,**T**). Choose a set  $\Delta \subseteq \Phi$  of simple roots from the set of roots  $\Phi$ , and let  $\Delta^{\vee}$ be the corresponding simple coroots from  $\Phi^{\vee}$ . This gives us a choice of positive roots  $\Phi_{+}$ and positive coroots  $\Phi_+^{\vee}$ . Write  $Y^{\text{sc}} \subseteq Y$  for the sublattice generated by  $\Phi^{\vee}$ . Let  $\mathbf{B} = \mathbf{T} \mathbf{U}$ be the Borel subgroup associated with  $\Delta$ . Denote by  $\mathbf{U}^-\subset\mathbf{G}$  the unipotent subgroup opposite **U**.

Fix a Chevalley–Steinberg system of pinnings for (**G**,**T**). That is, we fix a set of compatible isomorphisms

$$
\{e_{\alpha}:\mathbf{G}_{\mathbf{a}}\to\mathbf{U}_{\alpha}\}_{\alpha\in\Phi},
$$

where  $U_{\alpha} \subseteq G$  is the root subgroup associated with  $\alpha$ . In particular, for each  $\alpha \in \Phi$ , there is a unique homomorphism  $\varphi_{\alpha} : SL_2 \to G$  which restricts to  $e_{\pm \alpha}$  on the upper and lower triangular subgroup, respectively, of unipotent matrices of **SL**2.

Denote by W the Weyl group of  $(G, T)$ , which we identify with the Weyl group of the coroot system. In particular, W is generated by simple reflections  $\{\mathbf{w}_{\alpha} : \alpha^{\vee} \in \Delta^{\vee}\}\$  in  $Y \otimes \mathbf{Q}$ . Let  $l : W \to \mathbf{N}$  be the length function. Let  $\mathbf{w}_G$  be the longest element in W.

Consider the algebro-geometric  $\mathbf{K}_2$ -extension  $\overline{\mathbf{G}}$  of  $\mathbf{G}$  studied by Brylinski and Deligne [\[11\]](#page-59-0), which is categorically equivalent to the pairs  $\{(D,\eta)\}\$  (see [\[14,](#page-59-10) §2.6]). Here  $\eta: Y^{\rm sc} \to Y^{\rm sc}$  $F^{\times}$  is a homomorphism. On the other hand,

$$
D:Y\times Y\to {\bf Z}
$$

is a (not necessarily symmetric) bilinear form on Y such that

$$
Q(y) := D(y, y)
$$

is a Weyl-invariant integer-valued quadratic form on Y. We call  $D$  a bisector. Let  $B_Q$  be the Weyl-invariant bilinear form associated to Q by

$$
B_Q(y_1, y_2) = Q(y_1 + y_2) - Q(y_1) - Q(y_2).
$$

Clearly,  $D(y_1, y_2) + D(y_2, y_1) = B_Q(y_1, y_2)$ . Any  $\overline{G}$  is, up to isomorphism, incarnated by (i.e., categorically associated to) a pair  $(D,\eta)$  for a bisector D and  $\eta$ .

The couple  $(D,\eta)$  plays the following role for the structure of **G**:

• First, the group  $\overline{G}$  splits canonically and uniquely over any unipotent subgroup of **G**. For  $\alpha \in \Phi$  and  $a \in \mathbf{G}_a$ , denote by  $\overline{e}_\alpha(a) \in \overline{\mathbf{G}}$  the canonical lifting of  $e_\alpha(a) \in \mathbf{G}$ . For  $\alpha \in \Phi$  and  $a \in \mathbf{G}_m$ , define

$$
w_{\alpha}(a) := e_{\alpha}(a) \cdot e_{-\alpha}(-a^{-1}) \cdot e_{\alpha}(a)
$$
 and  $\overline{w}_{\alpha}(a) := \overline{e}_{\alpha}(a) \cdot \overline{e}_{-\alpha}(-a^{-1}) \cdot \overline{e}_{\alpha}(a)$ .

This gives natural representatives  $w_{\alpha} := w_{\alpha}(1)$  in **G**, and also  $\overline{w}_{\alpha} := \overline{w}_{\alpha}(1)$  in **G**, of the Weyl element  $\mathbf{w}_{\alpha} \in W$ . Moreover, for  $h_{\alpha}(a) := \alpha^{\vee}(a) \in \mathbf{T}$ , there is a natural lifting

$$
\overline{h}_\alpha(a):=\overline{w}_\alpha(a)\cdot\overline{w}_\alpha(-1)\in\overline{\mathbf{T}},
$$

which depends only on the pinnings and the canonical unipotent splittings.

• Second, there is a section **s** of **T** over **T** such that the group law on **T** includes the relation

<span id="page-8-0"></span>
$$
\mathbf{s}(y_1(a)) \cdot \mathbf{s}(y_2(b)) = \{a, b\}^{D(y_1, y_2)} \cdot \mathbf{s}(y_1(a) \cdot y_2(b))
$$
\n(2.1)

for any  $a, b \in \mathbf{G}_m$ . Moreover, for  $\alpha \in \Delta$  and the natural lifting  $\overline{h}_{\alpha}(a)$  of  $h_{\alpha}(a)$ , we have

$$
\overline{h}_{\alpha}(a) = \{ \eta(\alpha^{\vee}), a \} \cdot \mathbf{s}(h_{\alpha}(a)) \in \overline{\mathbf{T}}.
$$

• Third, let  $w_\alpha \in \mathbf{G}$  be the natural representative of  $w_\alpha \in W$ . For every  $\overline{y(a)} \in \overline{\mathbf{T}}$  with  $y \in Y$  and  $a \in \mathbf{G}_m$ , we have

<span id="page-8-1"></span>
$$
w_{\alpha} \cdot \overline{y(a)} \cdot w_{\alpha}^{-1} = \overline{y(a)} \cdot \overline{h}_{\alpha} \left( a^{-\langle y, \alpha \rangle} \right), \tag{2.2}
$$

where  $\langle -, - \rangle$  is the pairing between Y and X.

If the derived subgroup of **G** is simply connected, then the isomorphism class of  $\overline{G}$ is determined by the Weyl-invariant quadratic form Q. In particular, for such **G**, any extension  $\overline{G}$  is incarnated by  $(D,\eta = 1)$  for some bisector D, up to isomorphism. In this paper, we assume that the composite

$$
\eta_n: Y^{sc} \to F^\times \twoheadrightarrow F^\times/(F^\times)^n
$$

of  $\eta$  with the obvious quotient is trivial. For some consequences of this assumption, see Section [2.2](#page-9-0) and the beginning of Section [3.](#page-12-0)

Set  $n \in \mathbb{N}$ . We assume that F contains the full group of nth roots of unity, denoted by  $\mu_n$ . An *n*-fold cover of **G**, in the sense of [\[53,](#page-60-11) Definition 1.2], is just a pair  $(n,\overline{G})$ . The  $\mathbf{K}_2$ -extension  $\overline{\mathbf{G}}$  gives rise to an *n*-fold covering  $\overline{G}$  as follows. Let

$$
(-,-)_n : F \times F \to \mathfrak{p}_n
$$

be the *n*th Hilbert symbol. The cover  $\overline{G}$  arises from the central extension

$$
\mathbf{K}_2(F) \longrightarrow \overline{\mathbf{G}}(F) \stackrel{\phi}{\longrightarrow} \mathbf{G}(F)
$$

by pushout via the natural map  $\mathbf{K}_2(F) \to \mathbf{\mu}_n$  given by  $\{a,b\} \mapsto (a,b)_n$ . This gives

$$
\mathbb{\mu}_n \longleftrightarrow \overline{G} \stackrel{\phi}{\longrightarrow} G.
$$

We may write  $\overline{G}^{(n)}$  for  $\overline{G}$  to emphasise the degree of covering.

For any subset  $H \subset G$ , denote  $\overline{H} := \phi^{-1}(H)$ . The relations for  $\overline{G}$  already described give rise to the corresponding relations for  $\overline{G}$ . For example, inherited from equation [\(2.1\)](#page-8-0) is the following relation on  $\overline{T}$ :

$$
\mathbf{s}(y_1(a)) \cdot \mathbf{s}(y_2(b)) = (a,b)_n^{D(y_1,y_2)} \cdot \mathbf{s}(y_1(a) \cdot y_2(b)),
$$
\n(2.3)

where  $y_i \in Y$  and  $a, b \in F^\times$ . The commutator  $[\bar{t}_1, \bar{t}_2] := \bar{t}_1 \bar{t}_2 \bar{t}_1^{-1} \bar{t}_2^{-1}$  of  $\overline{T}$ , which descends to a map  $[-,-]: T \times T \to \mu_n$ , is thus given by

$$
[y_1(a), y_2(b)] = (a, b)_n^{B_Q(y_1, y_2)}.
$$

A representation of  $\overline{G}$  is called  $\epsilon$ -genuine (or simply genuine) if  $\mu_n$  acts by a fixed embedding  $\epsilon : \mathfrak{p}_n \hookrightarrow \mathbb{C}^\times$ . We consider only genuine representations of a covering group in this paper.

Let  $W' \subset \overline{G}$  be the group generated by  $\overline{w}_{\alpha}$  for all  $\alpha$ . Then the map  $\overline{w}_{\alpha} \mapsto w_{\alpha}$  gives a surjective homomorphism

$$
W' \twoheadrightarrow W
$$

with kernel a finite group. For any  $w = w_{\alpha_k} \cdots w_{\alpha_2} w_{\alpha_1} \in W$  in a minimal decomposition, we let

$$
\overline{w} := \overline{w}_{\alpha_k} \cdots \overline{w}_{\alpha_2} \overline{w}_{\alpha_1} \in W'
$$

be its representative in  $W'$ , which is independent of the minimal decomposition (see [\[47,](#page-60-13) Lemma 83 (b)]). In particular, we denote by  $\overline{w}_G \in \overline{G}$  this representative of the longest Weyl element  $w_G$ . Note that we also have the natural representative

$$
w := w_{\alpha_k} \cdots w_{\alpha_2} w_{\alpha_1} \in G
$$

of w. In particular, we have the representative  $w_G \in G$  for  $w_G$ , which is the image of  $\overline{w}_G$ in G.

# <span id="page-9-0"></span>**2.2. Dual groups and** L**-groups**

For a cover  $(n,\overline{G})$  associated to  $(D,\eta)$ , with Q and  $B_Q$  arising from D, we define

$$
Y_{Q,n} := Y \cap nY^*,\tag{2.4}
$$

where  $Y^* \subset Y \otimes \mathbf{Q}$  is the dual lattice of Y with respect to  $B_Q$ ; more explicitly,

$$
Y_{Q,n} = \{ y \in Y : B_Q(y, y') \in n\mathbb{Z} \text{ for all } y' \in Y \} \subset Y.
$$

For every  $\alpha^{\vee} \in \Phi^{\vee}$ , denote

$$
n_{\alpha} := \frac{n}{\gcd(n, Q(\alpha^{\vee}))}
$$

and

$$
\alpha_{Q,n}^\vee=n_\alpha\alpha^\vee,\qquad \alpha_{Q,n}=\frac{\alpha}{n_\alpha}.
$$

Let

$$
Y_{Q,n}^{sc}\subset Y_{Q,n}
$$

be the sublattice generated by  $\Phi_{Q,n}^{\vee} = \{\alpha_{Q,n}^{\vee} : \alpha^{\vee} \in \Phi^{\vee}\}\.$  Denote  $X_{Q,n} = \text{Hom}_{\mathbf{Z}}(Y_{Q,n}, \mathbf{Z})$ and  $\Phi_{Q,n} = {\alpha_{Q,n} : \alpha \in \Phi}$ . We also write

$$
\Delta_{Q,n}^{\vee} = \{ \alpha_{Q,n}^{\vee} : \alpha^{\vee} \in \Delta^{\vee} \} \text{ and } \Delta_{Q,n} = \{ \alpha_{Q,n} : \alpha \in \Delta \}.
$$

Then

$$
\left(Y_{Q,n},\Phi_{Q,n}^{\vee},\Delta_{Q,n}^{\vee};X_{Q,n},\Phi_{Q,n}^{\vee},\Delta_{Q,n}\right)
$$

forms a root datum with a choice of simple roots  $\Delta_{Q,n}$ . It gives a unique (up to unique isomorphism) pinned reductive group  $\overline{\mathbf{G}}_{Q,n}^{\vee}$  over **Z**, called the dual group of  $(n,\overline{\mathbf{G}})$ . In particular,  $Y_{Q,n}$  is the character lattice for  $\overline{G}_{Q,n}^{\vee}$  and  $\Delta_{Q,n}^{\vee}$  the set of simple roots. Let

$$
\overline{G}_{Q,\,n}^\vee:=\overline{\mathbf{G}}_{Q,\,n}^\vee(\mathbf{C})
$$

be the associated complex dual group. For simplicity, we may also write  $\overline{G}^{\vee}$  for  $\overline{G}_{Q,n}^{\vee}$ , which is the Langlands dual group  $G^{\vee}$  of G if  $n = 1$ . We have

$$
Z\left(\overline{G}_{Q,n}^{\vee}\right) = \text{Hom}\left(Y/Y_{Q,n},\mathbf{C}\right).
$$

In [\[52,](#page-60-14) [53\]](#page-60-11), Weissman constructed the global  $L$ -group as well as the local  $L$ -group extension

$$
\overline{G}_{Q,n}^{\vee} \longrightarrow {}^L\overline{G} \longrightarrow W_F,
$$

which is compatible with the global  $L$ -group extension. (It may as well be an extension over the Weil–Deligne group. However, the Weil group  $W_F$  suffices in this paper, since we eventually only consider unitary principal series.) His construction of L-groups is functorial, and in particular it behaves well with respect to the restriction of  $\overline{G}$  to parabolic subgroups. More precisely, let  $M \subset G$  be a Levi subgroup. By restriction, we have the n-cover  $\overline{M}$  of M. The L-groups  $L\overline{M}$  and  $L\overline{G}$  are compatible – that is, there are natural homomorphisms of extensions:



This applies in particular to the case when  $M = T$  is a torus.

The extension  ${}^L\overline{G}$  does not split over  $W_F$ . However, if  $\overline{G}_{Q,n}^{\vee}$  is of adjoint type, then we have a canonical isomorphism

$$
{}^L\overline{G} \simeq \overline{G}^{\vee}_{Q,n} \times W_F.
$$

For general  $\overline{G}$ , under the assumption that  $\eta_n = \mathbb{1}$ , there exists a so-called distinguished genuine character  $\chi_{\psi}: Z(T) \to \mathbb{C}^{\times}$  (see [\[14,](#page-59-10) §6.4]), depending on a nontrivial additive character  $\psi$  of F, such that  $\chi_{\psi}$  gives rise to a splitting of  ${}^L\overline{G}$  over  $W_F$ , with respect to which there is an isomorphism

<span id="page-11-0"></span>
$$
{}^{L}\overline{G} \simeq_{\chi_{\psi}} \overline{G}^{\vee}_{Q,n} \times W_{F}. \tag{2.5}
$$

For details on the construction and properties regarding the L-group, we refer the reader to [\[52,](#page-60-14) [53,](#page-60-11) [14\]](#page-59-10).

#### **2.3. Twisted Weyl action**

For a group  $H$  acting on a set  $S$ , we denote by

 $\mathcal{O}_S^H$ 

the set of all *H*-orbits in *S*. For every  $z \in S$ , denote by  $\mathcal{O}_z^H \in \mathcal{O}_S^H$  the *H*-orbit of z.

Denote by  $w(y)$  the natural Weyl group action on Y and  $\tilde{Y} \otimes \mathbf{Q}$  generated by the reflections  $w_{\alpha}$ . The two lattices  $Y_{Q,n}$  and  $Y_{Q,n}^{sc}$  are both W-stable under this usual action. Let

$$
\rho:=\frac{1}{2}\sum_{\alpha^\vee>0}\alpha^\vee
$$

be the half sum of all positive coroots of **G**. We consider the twisted Weyl action

$$
\mathbf{w}[y] := \mathbf{w}(y - \rho) + \rho.
$$

It induces a well-defined twisted action of W on

$$
\mathscr{X}_{Q,n} := Y/Y_{Q,n}
$$

given by  $w[y+Y_{Q,n}] := w[y]+Y_{Q,n}$ , since  $W(Y_{Q,n}) = Y_{Q,n}$  as already mentioned. Thus, we have a permutation representation

$$
\sigma^{\mathscr{X}}: W \longrightarrow \text{Perm}(\mathscr{X}_{Q,n}),
$$

which plays a pivotal role in the conjectural formulas on Whittaker space in both [\[18\]](#page-59-1) and this paper.

We note that the twisted Weyl action on  $Y/Y_{Q,n}^{sc}$  is also well defined. For every  $\alpha \in \Delta$ , let  $W_{\alpha} = \{1, \mathbb{w}_{\alpha}\} \subset W$ . Arising from the surjection

$$
Y/Y_{Q,n}^{sc} \twoheadrightarrow \mathscr{X}_{Q,n},
$$

we have a map of sets

$$
\phi_{\alpha}: (Y/Y_{Q,n}^{sc})^{W_{\alpha}} \twoheadrightarrow (\mathscr{X}_{Q,n})^{W_{\alpha}}.
$$

Recall the following definition from [\[19,](#page-59-2) [18\]](#page-59-1):

<span id="page-12-1"></span>**Definition 2.1.** A covering group  $\overline{G}$  of a connected linear reductive group G is called saturated if  $Y_{Q,n}^{sc} = Y_{Q,n} \cap Y^{sc}$ . It is called of metaplectic type if there exists  $\alpha \in \Delta$  such that  $\phi_{\alpha}$  is not surjective.

If G is semisimple simply connected, then  $\overline{G}$  is saturated if and only if  $\overline{G}^{\vee}$  is of adjoint type. On the other hand, covering groups of metaplectic type are rare. Indeed, it follows from [\[19,](#page-59-2) §4.5] that if G is almost simple, then  $\overline{G}$  is of metaplectic type if and only if  $\mathbf{G} = \mathrm{Sp}_{2r}$  and  $n_{\alpha} \equiv 2 \pmod{4}$  for the unique short simple coroot  $\alpha^{\vee}$  of  $\mathrm{Sp}_{2r}$ . In particular, the classical double cover of  $Sp_{2r}$  is such an example.

Throughout the paper, we denote

$$
y_\rho:=y-\rho\in Y\otimes \mathbf{Q}
$$

for  $y \in Y$ . Clearly,

$$
\mathbf{w}[y] - y = \mathbf{w}(y_{\rho}) - y_{\rho}.
$$

By Weyl action or Weyl orbits in Y or  $Y \otimes \mathbf{Q}$ , we always refer to the ones with respect to the action  $w[y]$ , unless specified otherwise. For simplicity, we will abuse notation and denote by y an element in  $\mathscr{X}_{Q,n}$ . We will also write  $\mathcal{O}_{\mathscr{X}}$  for the set of W-orbits in  $\mathscr{X}_{Q,n}$ , and use the notation  $\mathcal{O}_z := \mathcal{O}_z^W$ , whenever we consider W-orbits with respect to the twisted action.

#### <span id="page-12-0"></span>**3. Unitary unramified principal series**

Henceforth, we assume that  $|n|_F = 1$ . Let  $K \subset G$  be the hyperspecial maximal compact subgroup generated by **T**(O) and  $e_{\alpha}(O)$  for all roots  $\alpha$ . With our assumption that  $\eta_n$ is trivial, the group  $\overline{G}$  splits over K (see [\[14,](#page-59-10) Theorem 4.2]); we fix such a splitting  $s_K$ . If no confusion arises, we will omit  $s_K$  and write  $K \subset \overline{G}$  instead. Call  $\overline{G}$  an unramified covering group in this setting.

A genuine representation  $(\pi, V_\pi)$  is called unramified if  $\dim V_\pi^K \neq 0$ . Since  $\overline{G}$  also splits uniquely over the unipotent subgroup  $e_{\alpha}(O)$ , we see that  $\overline{h}_{\alpha}(u) \in s_K(K) \subset \overline{G}$  for every  $u \in O^\times$ .

#### <span id="page-12-2"></span>**3.1. Principal series representation**

As  $\overline{G}$  splits canonically over the unipotent radical U of the Borel subgroup B, we have  $\overline{B} = \overline{T}U$ . The covering torus  $\overline{T}$  is a Heisenberg group. The centre  $Z(\overline{T})$  of the covering

torus  $\overline{T}$  is equal to  $\phi^{-1}(\text{Im}(i_{Q,n}))$  (see [\[51\]](#page-60-15)), where

$$
i_{Q,n}:Y_{Q,n}\otimes F^{\times}\to T
$$

is the isogeny induced from the embedding  $Y_{Q,n} \subset Y$ .

Let  $\chi \in \text{Hom}_{\epsilon}(Z(T), \mathbb{C}^{\times})$  be a genuine character of  $Z(T)$  and write

$$
i(\chi):=\operatorname{Ind}_{\overline{A}}^{\overline{T}}\,\chi'
$$

for the induced representation of  $\overline{T}$ , where  $\overline{A}$  is a maximal abelian subgroup of  $\overline{T}$  and  $\chi'$ is an extension of  $\chi$  to  $\overline{A}$ . By the Stone–von Neumann theorem (see [\[51,](#page-60-15) Theorem 3.1]), the construction

$$
\chi \mapsto i(\chi)
$$

gives a bijection between the isomorphism classes of genuine representations of  $Z(\overline{T})$  and  $\overline{T}$ . Since we consider unramified covering group  $\overline{G}$  in this paper, we take

$$
\overline{A} := Z(\overline{T}) \cdot (K \cap T).
$$

The left action of w on  $i(\chi)$  is given by  $\psi i(\chi)$   $(\bar{t}) = i(\chi) (w^{-1} \bar{t}w)$ . The group W does not act on  $i(\chi)$ , only on its isomorphism classes. On the other hand, we have a well-defined action of W on  $\chi$ :

$$
({}^w\chi)\left(\overline{t}\right) := \chi\left(w^{-1}\overline{t}w\right).
$$

Viewing  $i(\chi)$  as a genuine representation of  $\overline{B}$  by inflation from the quotient map  $\overline{B} \to \overline{T}$ , we denote by

$$
I(i(\chi)):=\operatorname{Ind}_{\overline{B}}^{\overline{G}}\,i(\chi)
$$

the normalised induced principal series representation of  $\overline{G}$ . For simplicity, we may also write  $I(\chi)$  for  $I(i(\chi))$ . We know that  $I(\chi)$  is unramified (i.e.,  $I(\chi)^K \neq 0$ ) if and only if  $\chi$  is unramified – that is,  $\chi$  is trivial on  $Z(\overline{T}) \cap K$ ; here the 'if' part follows from [\[36,](#page-60-16) Lemma 2] and the 'only if' part from the Satake isomorphism for covers (see [\[53,](#page-60-11) Corollary 7.4]). In fact, the Satake isomorphism for  $\overline{G}$  implies that a genuine representation is unramified if and only if it is a subquotient of an unramified principal series.

Setting  $\overline{Y}_{Q,n} := Z(\overline{T}) / (Z(\overline{T}) \cap K)$ , we have a natural abelian extension

$$
\mu_n \longleftrightarrow \overline{Y}_{Q,n} \xrightarrow{\varphi} Y_{Q,n} \tag{3.1}
$$

such that unramified genuine characters of  $Z(\overline{T})$  correspond to genuine characters of  $\overline{Y}_{Q,n}$ . Since  $\overline{A}/(T\cap K) \simeq \overline{Y}_{Q,n}$  as well, there is a canonical extension (also denoted by  $\chi$ ) of a  $\chi$  to  $\overline{A}$ , by composing it with  $\overline{A} \twoheadrightarrow \overline{Y}_{Q,n}$ . Therefore, we will identify  $i(\chi)$  with  $\text{Ind}_{\overline{A}}^T \chi$ for this canonical extension  $\chi$ .

#### <span id="page-13-0"></span>**3.2.** γ**-function**

Let  $\chi: F^{\times} \to \mathbb{C}^{\times}$  be a linear character. Tate [\[50\]](#page-60-17) defined a  $\gamma$ -factor  $\gamma(s, \chi, \psi), s \in \mathbb{C}$ , which is essentially the ratio of two integrals of a test function and its Fourier transform

(depending on a nontrivial character  $\psi$ ). We have

$$
\gamma(s,\underline{\chi},\psi) = \varepsilon(s,\underline{\chi},\psi) \cdot \frac{L(1-s,\underline{\chi}^{-1})}{L(s,\underline{\chi})},
$$

where  $L(s,\chi)$  is the L-function of  $\chi$ . If  $\chi$  is unramified and the conductor of  $\psi$  is O, then

$$
\varepsilon (s, \underline{\chi}, \psi) = 1
$$
 and  $L(s, \underline{\chi}) = (1 - q^{-s} \underline{\chi}(\varpi))^{-1}$ .

In this case, we write

$$
\gamma(s,\underline{\chi}) := \gamma(s,\underline{\chi},\psi) = \frac{1 - q^{-s}\underline{\chi}(\varpi)}{1 - q^{-1+s}\underline{\chi}(\varpi)^{-1}},
$$

with the  $\psi$  omitted.

Let  $\chi$  be a genuine unramified character of  $Z(T)$ . For every  $\alpha \in \Phi$ ,

<span id="page-14-0"></span>
$$
\underline{\chi}_{\alpha}: F^{\times} \to \mathbf{C}^{\times}, \text{ given by } \underline{\chi}_{\alpha}(a) := \chi\left(\overline{h}_{\alpha}(a^{n_{\alpha}})\right),\tag{3.2}
$$

is an unramified character of  $F^{\times}$ . We also use in this paper the shorthand notation

$$
\chi_{\alpha} := \underline{\chi}_{\alpha}(\varpi) \in \mathbf{C}^{\times}
$$

for every root  $\alpha$ . For  $w = w_\alpha$ , we define the  $\gamma$ -factor  $\gamma(w_\alpha, \chi)$  to be such that

$$
\gamma(w_{\alpha}, \chi)^{-1} = \gamma \left(0, \underline{\chi}_{\alpha}\right)^{-1} = \frac{1 - q^{-1} \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right)^{-1}}{1 - \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right)}.
$$

For general  $w \in W$ , define

$$
\gamma(w,\chi)=\prod_{\alpha\in\Phi_{\mathbf{w}}}\gamma(w_{\alpha},\gamma),
$$

where  $\Phi_{\mathbf{w}} = {\alpha > 0 : \mathbf{w}(\alpha) < 0}.$ 

# **3.3. Intertwining operator**

For  $w \in W$ , let  $A(w, \chi) : I(\chi) \to I({}^w\chi)$  be the intertwining operator defined by

$$
A(w,\chi)(f)(\overline{g}) = \int_{U_w} f(\overline{w}^{-1}u\overline{g}) du
$$

whenever it is absolutely convergent. It can be meromorphically continued for all  $\chi$  (see [\[36,](#page-60-16) §7]). The operator  $A(w,\chi)$  satisfies the cocycle relation as in the linear case. Let  $f_0 \in I(\chi)$  and  $f'_0 \in I({}^w\chi)$  be the normalised unramified vectors. We have

$$
A(w, \chi)(f_0) = c_{\mathsf{g}\mathsf{k}}(w, \chi) f_0',
$$

where

$$
c_{\mathsf{gk}}(w,\chi) = \prod_{\alpha \in \Phi_{\mathsf{w}}} \frac{1 - q^{-1} \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right)}{1 - \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right)}.
$$

The Plancherel measure  $\mu(w,\chi)$  associated with  $A(w,\chi)$  is such that

<span id="page-15-0"></span>
$$
A(w^{-1},^w \chi) \circ A(w, \chi) = \mu(w, \chi)^{-1} \cdot \text{id};
$$
\n(3.3)

more explicitly,

$$
\mu(w,\chi)^{-1} = c_{\mathsf{g}\mathsf{k}}\left(w^{-1}, {^w\chi}\right) \cdot c_{\mathsf{g}\mathsf{k}}(w,\chi).
$$

To recall the interpretation of  $c_{\mathsf{g}\mathsf{k}}(w,\chi)$  in terms of L-functions, we first recall the setup on the dual side. Consider the adjoint representation

$$
Ad_{\overline{\mathfrak{u}}^{\vee}}: {}^L\overline{T} \to \mathrm{GL}\left(\overline{\mathfrak{u}}^{\vee}\right),
$$

where  $\overline{\mathfrak{u}}^{\vee}$  is the Lie algebra of unipotent radical  $\overline{U}^{\vee}$  of the Borel subgroup  $\overline{T}^{\vee} \overline{U}^{\vee} \subset \overline{G}^{\vee}$ . It factors through  $Ad_{\overline{\mathfrak u}}^{\mathbf C}$ :



as  $Z\left(\overline{G}^{\vee}\right)$  acts trivially on  $\overline{\mathfrak{u}}^{\vee}$ .

Therefore, irreducible subspaces of  $\overline{\mathfrak{u}}^{\vee}$  for  $Ad_{\overline{\mathfrak{u}}^{\vee}}$  are in one-to-one correspondence with irreducible subspaces with respect to  $Ad_{\overline{u}}^{\mathbf{C}}$ , which are just the one-dimensional spaces associated to the positive roots of  $\overline{G}^{\vee}$ . More precisely, we have the decomposition of  $Ad_{\overline{u}}\vee$ into irreducible  $L\overline{T}$ -modules:

$$
Ad_{\overline{\mathfrak{u}}^{\vee}} = \bigoplus_{\alpha > 0} Ad_{\alpha},
$$

where  $(Ad_\alpha, V_\alpha) \subseteq \overline{\mathfrak{u}}^{\vee}$  is spanned by a basis vector  $E_{\alpha_{Q,n}^{\vee}}$  in the Lie algebra  $\overline{\mathfrak{u}}_{\alpha}^{\vee}$  associated to the positive root  $\alpha_{Q,n}^{\vee}$  of  $\overline{G}^{\vee}$ .

By the local Langlands correspondence for covering tori (see [\[53,](#page-60-11) §10] or [\[14,](#page-59-10) §8]), associated to  $i(\chi)$  we have a splitting

$$
\phi_{\chi}: W_F \longrightarrow {}^L \overline{T}
$$

of the L-group extension

$$
\overline{T}^{\vee} \longrightarrow {}^{L}\overline{T} \longrightarrow W_{F}, \tag{3.4}
$$

where  $\overline{T}^{\vee} = \text{Hom}(Y_{Q,n}, \mathbb{C}^{\times})$  is the dual group of  $\overline{T}$ . Then  $L(s, i(\chi), Ad_{\alpha})$  is by definition equal to the local Artin L-function  $L(s, Ad_\alpha \circ \phi_\chi)$  associated with  $Ad_\alpha \circ \phi_\chi$  – that is,

$$
L(s, i(\chi), Ad_{\alpha}) := L(s, Ad_{\alpha} \circ \phi_{\chi}) = \det \left(1 - q^{-s} Ad_{\alpha} \circ \phi_{\chi}(\text{Frob})|_{V_{\alpha}^I}\right)^{-1}.
$$

For unramified  $i(\chi)$  (equivalently, unramified  $\chi$ ), the inertia group I acts trivially on  $V_{\alpha}$ . It follows that

$$
L(s,i(\chi),Ad_{\alpha}) = \det(1 - q^{-s} Ad_{\alpha} \circ \phi_{\chi}(\varpi)|_{V_{\alpha}})^{-1}.
$$

Moreover, by [\[16,](#page-59-11) Theorem 7.8], we have

$$
\phi_{\chi} \circ Ad(\varpi) \left( E_{\alpha_{Q,n}^{\vee}} \right) = \chi \left( \overline{h}_{\alpha}(\varpi^{n_{\alpha}}) \right) \cdot E_{\alpha_{Q,n}^{\vee}}
$$

and therefore

$$
L(s, i(\chi), Ad_{\alpha}) = \left(1 - q^{-s} \cdot \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right)\right)^{-1} = L\left(s, \underline{\chi}_{\alpha}\right).
$$

Moreover, if we denote

$$
E_{\mathbb{w}}:=\bigoplus_{\mathbb{w}\in\Phi_{\mathbb{w}}}\mathbf{C}\cdot E_{\alpha_{Q,n}^{\vee}}
$$

and let  $Ad_{\mathbf{w}}$  be the restriction of the adjoint representation Ad to  $E_{\mathbf{w}}$ , then the Artin L-function associated to  $Ad_{\mathbf{w}}$  is

$$
L(s, Ad_{\mathbf{w}} \circ \phi_{\chi}) = \prod_{\alpha \in \Phi_{\mathbf{w}}} L(s, i(\chi), Ad_{\alpha}).
$$

We also denote  $L(s,i(\chi),Ad_{\mathbf{w}}) := L(s,Ad_{\mathbf{w}} \circ \phi_{\chi})$ . Thus,

$$
c_{\mathsf{g}\mathsf{k}}(w,\chi) = \frac{L(0,i(\chi), Ad_{\mathsf{w}})}{L(1,i(\chi),Ad_{\mathsf{w}})}.\tag{3.5}
$$

## **3.4. Normalisation**

For  $w \in W$ , we normalise the intertwining operator by

$$
\mathscr{A}(w,\chi):=c_{\mathsf{g}\mathsf{k}}(w,\chi)^{-1}\cdot A(w,\chi)=\frac{L(1,i(\chi),Ad_{\mathsf{w}})}{L(0,i(\chi),Ad_{\mathsf{w}})}\cdot A(w,\chi).
$$

Note that in our setting,  $\varepsilon(s, \underline{\chi}_{\alpha}, \psi) = 1$  for every  $\alpha$ , and thus this normalisation is the same as the one for linear algebraic groups, first proposed by Langlands [\[30\]](#page-59-12) and investigated, for example, in [\[28,](#page-59-7) [40\]](#page-60-6).

<span id="page-16-1"></span>**Proposition 3.1.** Let  $\chi$  be an unramified genuine character of  $Z(\overline{T})$ . For every  $w_1, w_2 \in$ W (with no requirement on the length),

<span id="page-16-0"></span>
$$
\mathscr{A}(w_2w_1,\chi) = \mathscr{A}(w_2, {}^{w_1}\chi) \circ \mathscr{A}(w_1,\chi).
$$
\n(3.6)

In particular,  $\mathscr{A}(w^{-1}, w_{\chi}) \circ \mathscr{A}(w, \chi) = id$  for every  $w \in W$ . Moreover, if  $\chi$  is also unitary, then  $\mathscr{A}(w,\chi)$  is holomorphic.

**Proof.** The proof is essentially that of Winarsky [\[54,](#page-60-18) Page 951-952], which relies on an inductive argument on the length of  $w_2$  and also the basic step

$$
\mathscr{A}(w_{\alpha}, {}^{w_{\alpha}} \chi) \circ \mathscr{A}(w_{\alpha}, \chi) = id.
$$

However, this last equality follows from equation [\(3.3\)](#page-15-0) and the normalisation of  $\mathscr{A}(w_{\alpha},\chi)$ in equation [\(3.6\)](#page-16-0). See [\[36,](#page-60-16) Page 313-314] for some details of the argument in the context of covering groups.  $\Box$ 

#### <span id="page-17-0"></span>**4.** R**-groups**

From now on, we assume that  $\chi$  is a unitary unramified genuine character of  $Z(\overline{T})$ . Set

$$
W_{\chi} := \{ \mathbf{w} \in W : {}^{w} \chi = \chi \} \subset W.
$$

Proposition [3.1](#page-16-1) shows that

 $w \mapsto \mathscr{A}(w, \chi)$ 

gives rise to a group homomorphism

$$
\tau_{\chi}: W_{\chi} \longrightarrow \text{Isom}_{\overline{G}}(I(\chi)),
$$

where  $\text{Isom}_{\overline{G}}(I(\chi))$  denotes the group of  $\overline{G}$ -isomorphisms of  $I(\chi)$ . Let  $\text{End}_{\overline{G}}(I(\chi))$  be the commuting algebra of  $I(\chi)$ . The group homomorphism  $\tau_{\chi}$  gives an algebra homomorphism which, by abuse of notation, is also denoted by

$$
\tau_{\chi}: \mathbf{C}[W_{\chi}] \longrightarrow \mathrm{End}_{\overline{G}}(I(\chi)).
$$

However,  $\tau_{\chi}$  is not an isomorphism in general.

We would like to define a subgroup  $R_\chi \subset W_\chi$  such that  $\tau_\chi$  induces an algebra isomorphism

$$
\mathbf{C}[R_{\chi}] \simeq \mathrm{End}_{\overline{G}}(I(\chi)).
$$

For this purpose, consider the set

$$
\Phi_\chi=\Big\{\alpha>0:\underline{\chi}_\alpha=\mathbb{1}\Big\}\subset\Phi,
$$

where  $\underline{\chi}_{\alpha}$  is as in formula [\(3.2\)](#page-14-0). Let  $W_{\chi}^0 \subset W$  be the subgroup generated by  $\{\mathbb{w}_{\alpha} : \alpha \in \Phi_{\chi}\}.$ It follows from [\[18,](#page-59-1) Lemma 3.1] that  $w_\alpha \in W_\chi$  if  $\alpha \in \Phi_\chi$ . Therefore,

$$
W^0_{\chi} \subseteq W_{\chi}
$$

and we have a short exact sequence

$$
W_{\chi}^0 \longrightarrow W_{\chi} \longrightarrow R_{\chi},
$$

where  $R_\chi := W_\chi / W_\chi^0$ . The sequence splits with a natural splitting  $s : R_\chi \hookrightarrow W_\chi$ , given as follows. Consider the group

$$
W(\Phi_\chi)=\{\mathbf{w}\in W:\mathbf{w}(\Phi_\chi)=\Phi_\chi\}.
$$

Then we have

$$
R_{\chi} \simeq W_{\chi} \cap W(\Phi_{\chi}),
$$

or more explicitly,

$$
\begin{split} R_\chi &\simeq \{\mathbb{w}\in W_\chi:\mathbb{w}\left(\Phi_\chi\right)=\Phi_\chi\}\\ &= \{\mathbb{w}\in W_\chi:\alpha>0\ \text{and}\ \alpha\in\Phi_\chi\ \text{imply that}\ \mathbb{w}(\alpha)>0\}\\ &= \{\mathbb{w}\in W_\chi:\Phi_\mathbb{w}\cap\Phi_\chi=\emptyset\}\,. \end{split}
$$

This gives  $W_\chi \simeq W_\chi^0 \rtimes R_\chi$ . We always identity  $R_\chi$  with  $W_\chi \cap W(\Phi_\chi)$ .

Before we proceed, we first show that for  $w \in W_\chi$ , the two factors  $c_{g_k}(w,\chi)$  and  $\gamma(w,\chi)^{-1}$ are actually equal.

<span id="page-18-1"></span>**Lemma 4.1.** Let  $\overline{G}$  be an n-fold cover of a connected reductive group G, and  $\chi$  a unitary unramified character of  $Z(T)$ . For every  $w \in W_{\chi}$ , we have

$$
L(s, Ad_{\mathbf{w}} \circ \phi_{\mathbf{x}}) = L(s, Ad_{\mathbf{w}}^{\vee} \circ \phi_{\mathbf{x}}),
$$

where  $Ad^{\vee}_{\mathbf{w}}$  is the contragredient representation of  $Ad_{\mathbf{w}}$ . Therefore, for every  $\mathbf{w} \in W_{\chi}$ ,

$$
c_{\mathsf{g}\mathsf{k}}(w,\chi)^{-1} = \gamma(w,\chi),
$$

which is nonzero if  $w \in R_{\gamma}$ .

**Proof.** The argument is already in [\[28,](#page-59-7) Lemma 4.2]. First, since  $w \in W_{\chi}$ , the two representations  $Ad_{\mathcal{W}} \circ \phi_{\chi}$  and  $Ad_{\mathcal{W}}^{\vee} \circ \phi_{\chi}$  are equivalent. Therefore,  $L(s, Ad_{\mathcal{W}} \circ \phi_{\chi}) =$  $L(s, Ad_{\mathbf{w}}^{\vee} \circ \phi_{\chi})$ . Now for  $\mathbf{w} \in W_{\chi}$ , we have

$$
c_{\mathsf{g}\mathsf{k}}(w,\chi)^{-1} = \frac{L\left(1, Ad_{\mathsf{w}} \circ \phi_{\chi}\right)}{L\left(0, Ad_{\mathsf{w}} \circ \phi_{\chi}\right)} = \frac{L\left(1, Ad_{\mathsf{w}}^{\vee} \circ \phi_{\chi}\right)}{L\left(0, Ad_{\mathsf{w}} \circ \phi_{\chi}\right)} = \gamma(w,\chi).
$$

The proof is completed in view of the fact that  $1 - \underline{\chi}_{\alpha}(\varpi) \neq 0$  for every  $\alpha \in \Phi_{\mathbf{w}}$ , if  $\Box$  $w \in R_{\chi}$ .

The main theorem on R-groups is as follows:

<span id="page-18-0"></span>**Theorem 4.2** ([\[29,](#page-59-3) [44,](#page-60-1) [34,](#page-60-9) [33,](#page-60-8) [35\]](#page-60-10)). For a unitary unramified genuine character  $\chi$  of  $Z(\overline{T}),$  we have

$$
W_{\chi}^{0} = \{ \omega : \mathscr{A}(w, \chi) \text{ is a scalar} \}.
$$

Moreover, the algebra  $End_{\overline{G}}(I(\chi))$  has a basis given by  $\{\mathscr{A}(w,\chi): \omega \in R_{\chi}\}\$ , and by restriction  $\tau_x$  gives a natural algebra isomorphism

$$
\mathbf{C}[R_{\chi}] \simeq End_{\overline{G}}(I(\chi)).
$$

For a general parabolic induction of linear algebraic groups, the analogous result was first shown by Knapp and Stein [\[29\]](#page-59-3) for real groups, and by Silberger [\[44\]](#page-60-1) for p-adic groups. The generalisation to covering groups includes the work of W.-W. Li [\[34,](#page-60-9) [33\]](#page-60-8), which shows that Harish-Chandra's harmonic analysis extends to the covering setting; in particular, the Harish-Chandra c-function and Plancherel measure are discussed in detail. Finally, in the recent work of C. Luo [\[35\]](#page-60-10), the Harish-Chandra commuting algebra theorem is proved for general parabolic induction on covering groups, and in particular, in the minimal parabolic case the isomorphism  $\mathbf{C}[R_{\chi}] \simeq \text{End}_{\overline{G}}(I(\chi))$  is established.

Let

$$
I(\chi) = \bigoplus_{i=1}^{k} m_i \pi_i
$$

be the decomposition of  $I(\chi)$  into irreducible subrepresentations with multiplicities  $m_i$ . Denote

$$
\Pi(\chi) := \{ \pi_i : 1 \le i \le k \},\
$$

which constitutes the L-packet associated to the parameter  $\phi_{\chi}$  corresponding to  $I(\chi)$ . Some immediate consequences of Theorem [4.2](#page-18-0) are:

- (i) dim  $\text{End}_{\overline{G}}(I(\chi)) = |R_{\chi}|$  and  $\mathbf{C}[R_{\chi}] \simeq \bigoplus_{i=1}^{k} M_{m_i}(\mathbf{C}).$
- (ii)  $m_i = 1$  for all i if and only if  $R_\chi$  is abelian.
- (iii) in general, k is equal to the dimension of the centre of  $\mathbf{C}[R_{\chi}],$  which is equal to the the number of conjugacy classes of  $R<sub>x</sub>$ . Thus, there is a bijective correspondence

$$
\operatorname{Irr}(R_{\chi}) \longleftrightarrow \Pi(\chi).
$$

Since we are dealing with unramified  $\chi$ , we will show later (see Theorem [4.6\)](#page-26-0) that  $R_{\chi}$  is actually abelian, and thus  $I(\chi)$  is multiplicity-free.

# <span id="page-19-1"></span>**4.1. Parametrisation of**  $\Pi(\chi)$

Denote the correspondence

$$
Irr(R_{\chi}) \longleftrightarrow \Pi(\chi)
$$

from (iii) in the foregoing by

$$
\sigma \leftrightarrow \pi_{\sigma} \text{ and } \sigma_{\pi} \leftrightarrow \pi.
$$

The correspondence is not canonical, and can be twisted by characters of  $R<sub>x</sub>$ . However, in the unramified setting, we have a natural parametrisation given as follows (see [\[27,](#page-59-6) Page 39]).

Denote by  $\theta_{\sigma}$  the character of  $\sigma \in \text{Irr}(R_{\chi})$ . Consider the operator

<span id="page-19-0"></span>
$$
P_{\sigma} := \frac{\dim \sigma}{|R_{\chi}|} \sum_{w \in R_{\chi}} \overline{\theta_{\sigma}(w)} \cdot \mathscr{A}(w, \chi). \tag{4.1}
$$

Since the characters  $\{\theta_{\sigma} : \sigma \in \text{Irr}(R_{\chi})\}$  are orthogonal, the operators  $P_{\sigma}$  are orthogonal projections of  $I(\chi)$  onto nonzero invariant subspaces. Denote

$$
V(\sigma) := P_{\sigma}(I(\chi)).
$$

Then  $V(\sigma)$  are pairwise disjoint and we have

$$
I(\chi) = \bigoplus_{\sigma \in \operatorname{Irr}(R_{\chi})} V(\sigma).
$$

Since the number of inequivalent constituents of  $I(\chi)$  is equal to  $\text{Irr}(R_{\chi})$ , it follows that  $V(\sigma)$  is an isotypic sum of an irreducible representation. Thus, we write

$$
V(\sigma) = m_{\pi_{\sigma}} \cdot \pi_{\sigma},
$$

and this gives a correspondence  $\sigma \mapsto \pi_{\sigma}$ . We also have

$$
m_{\pi_{\sigma}} = \dim \sigma.
$$

The inverse  $\pi \mapsto \sigma_{\pi}$  can be described explicitly as well (see [\[27,](#page-59-6) Page 39]). The group Hom  $(R_\chi, \mathbf{C}^\times)$  acts on  $\Pi(\chi)$  by transporting the obvious action on Irr  $(R_\chi)$  given by

$$
\xi \otimes \sigma
$$
, where  $\xi \in \text{Hom}(R_\chi, \mathbb{C}^\times)$  and  $\sigma \in \text{Irr}(R_\chi)$ .

This action is free and transitive on the elements  $\pi \in \Pi(\chi)$  which occur with multiplicity 1 in  $I(\chi)$ . Thus the correspondence  $\sigma \leftrightarrow \pi_{\sigma}$  is not canonical. However, the parametrisation given by definition  $(4.1)$  (without any further twisting) is already a natural one in view of the following:

**Lemma 4.3.** With notations as before,  $P_1(I(\chi)) = \pi_{\chi}^{un}$  – that is, the unramified constituent  $\pi_{\chi}^{un}$  of  $I(\chi)$  is parametrised by the trivial representation  $\mathbb{1} \in Irr(R_{\chi})$ .

**Proof.** It suffices to show that  $P_1(f_0) = f_0$ , where  $f_0 \in \pi_\chi^{un} \subset I(\chi)$  is the normalised unramified vector. However, by definition [\(4.1\)](#page-19-0) we have

$$
P_{\mathbb{1}}(f_0) = \frac{1}{|R_{\chi}|} \sum_{w \in R_{\chi}} \mathscr{A}(w, \chi)(f_0).
$$

By the normalisation in  $\mathscr{A}(w,\chi)$ , we have  $\mathscr{A}(w,\chi)(f_0) = f_0$  for every  $w \in R_\chi$  (in fact for every  $w \in W_{\chi}$ ). Thus,  $P_1(f_0) = f_0$ , and this gives the desired conclusion. □

# <span id="page-20-0"></span>**4.2.** Some analysis on  $R_\chi$

From this subsection to Section [4.4,](#page-24-0) we will analyse the group  $R_\chi$  by relating it to the work of Keys on simply connected Chevalley groups [\[26\]](#page-59-5). More precisely, we will show that there are groups  $W^{sc}_{\chi}$  and  $R^{sc}_{\chi}$  containing  $W_{\chi}$  and  $R_{\chi}$ , respectively, such that:

- (i) We have  $R_{\chi}^{sc}/R_{\chi} \simeq W_{\chi}^{sc}/W_{\chi}$ , which can be understood from the dual side in terms of the L-parameter  $\phi_{\chi}$ . In particular, the size of  $R_{\chi}^{sc}/R_{\chi}$  is related to the centre  $Z\left(\overline{G}^{\vee}\right)$  of the dual group  $\overline{G}^{\vee}$ .
- (ii) The group  $R_{\chi}^{sc}$  is equal to the R-group of a unramified principal series on a simply connected Chevalley group, which is determined in [\[26\]](#page-59-5). In particular,  $R_\chi^{sc}$  is abelian, and this forces  $R_{\chi}$  to be abelian. However, since  $R_{\chi}$  is not equal to  $R_{\chi}^{sc}$  in general, this shows that unitary genuine principal series of a covering group tend to be less reducible than the linear algebraic group.

For this purpose, we first define a group  $W^{sc}_\chi$  such that

$$
W_{\chi} \subseteq W_{\chi}^{sc} \subseteq W.
$$

Let  $\mathbf{T}_{Q,n}$  and  $\mathbf{T}_{Q,n}^{sc}$  be the split tori defined over F associated to the two lattices  $Y_{Q,n}$ and  $Y_{Q,n}^{sc}$ , respectively. Denote by  $T_{Q,n}$  and  $T_{Q,n}^{sc}$  their F-rational points. Let  $T_{Q,n}^{\dagger}$  and  $T_{Q,n}^{sc,\dagger}$  be the images of  $T_{Q,n}$  and  $T_{Q,n}^{sc}$  in T with respect to the two maps

$$
i_{Q,n}:T_{Q,n}\to T
$$
 and  $i^{sc}:T_{Q,n}^{sc}\to T$ ,

which are induced from  $Y_{Q,n} \hookrightarrow Y$  and  $Y_{Q,n}^{sc} \hookrightarrow Y$ , respectively. Recalling the projection

$$
\phi: \overline{G} \twoheadrightarrow G,
$$

we have from Section [3.1](#page-12-2) that

$$
Z(\overline{T}) = \phi^{-1}\left(T_{Q,n}^{\dagger}\right).
$$

We denote

$$
Z(\overline{T})^{sc} := \phi^{-1}\left(T_{Q,n}^{sc,\dagger}\right) \subset Z(\overline{T}) .
$$

Let

$$
\chi^{sc} := \chi|_{Z(\overline{T})^{sc}}
$$

be the genuine character of  $Z(\overline{T})^{sc}$  obtained from the restriction of  $\chi$ . Consider

$$
W^{sc}_\chi:=\{\mathbf{w}\in W: {}^w(\chi^{sc})=\chi^{sc}\}\supset W_\chi
$$

and analogously

$$
R^{sc}_{\chi} := W^{sc}_{\chi}/W^{0}_{\chi},
$$

which then contains  $R_{\chi}$ . A splitting  $s^{sc}$  of  $R_{\chi}^{sc}$  into  $W_{\chi}^{sc}$  is given by

$$
R_\chi^{sc} \simeq W_\chi^{sc} \cap W\left(\Phi_\chi\right).
$$

In summary, we have a commutative diagram with exact rows and compatible splittings:



where s and  $s^{sc}$  are the aforementioned natural splittings of  $R_{\chi}$  and  $R_{\chi}^{sc}$ , respectively. It follows immediately that we have an isomorphism of finite groups:

$$
R_\chi^{sc}/R_\chi \simeq W_\chi^{sc}/W_\chi.
$$

<span id="page-21-0"></span>**4.3.** The quotient  $R_\chi^{sc}/R_\chi$ Recall the L-parameter

$$
\phi_\chi: W_F \longrightarrow {}^L\overline{T}
$$

associated to  $i(\chi)$ , which is a splitting of the extension

<span id="page-22-0"></span>
$$
\overline{T}^{\vee} \longrightarrow {}^{L}\overline{T} \longrightarrow W_{F}. \tag{4.2}
$$

In fact,  $\phi_{\chi}$  depends only on  $\chi$ , and this justifies our notation. Recall also that  $\overline{T}^{\vee}$  =  $Hom(Y_{Q,n}, \mathbb{C}^{\times})$  is the dual group of  $\overline{T}$  and

$$
Z\left(\overline{G}^{\vee}\right) \simeq \text{Hom}\left(Y_{Q,n}/Y_{Q,n}^{sc},\mathbf{C}^{\times}\right).
$$

Denote

$$
\overline{T}_{ad}^{\vee} := \overline{T}^{\vee}/Z\left(\overline{G}^{\vee}\right) \simeq \text{Hom}\left(Y_{Q,n}^{sc}, \mathbf{C}^{\times}\right).
$$

Pushing out the exact sequence in formula [\(4.2\)](#page-22-0) via the map  $f: \overline{T}^{\vee} \to \overline{T}^{\vee}/Z(\overline{G}^{\vee})$ , we obtain a short exact sequence

$$
\overline{T}_{ad}^{\vee} \longrightarrow {}^{L}\overline{T}^{sc} := f_{*}\left({}^{L}\overline{T}\right) \longrightarrow {}^{W}F. \tag{4.3}
$$

Denote by  $\text{Spl}(^L\overline{T},W_F)$  the set of splittings of formula [\(4.2\)](#page-22-0), and similarly for  $\text{Spl}\left(\overline{^L\overline{T}^{sc}},W_F\right)$ . Then f induces a map

<span id="page-22-1"></span>
$$
f_*: \mathrm{Spl}(^L\overline{T}, W_F) \longrightarrow \mathrm{Spl}(^L\overline{T}^{sc}, W_F), \tag{4.4}
$$

which arises from the obvious composite. The Weyl group  $W$  acts naturally on the two groups  $L\overline{T}$  and  $L\overline{T}^{sc}$ , and the map  $f_*$  in formula [\(4.4\)](#page-22-1) is W-equivariant. Here  $f_*(\phi_\chi)$  is naturally associated with  $\chi^{sc}$ . Since the local Langlands correspondence for a covering torus is W-equivariant (see [\[14,](#page-59-10)  $\S$ 9.3]), we have

$$
W_{\chi} = \text{Stab}_{W} \left( \phi_{\chi} \right)
$$

and

$$
W_{\chi}^{sc} = \text{Stab}_{W} \left( f_{*} \left( \phi_{\chi} \right) \right).
$$

Here  $\text{Stab}_W(x)$  denotes the subgroup of stabilisers of x in W.

As it might be more convenient to work with parameters valued in the dual group (instead of the L-group), we can have the following reduction. From Section [2.2,](#page-9-0) we have a distinguished genuine character  $\chi_{\psi}$  of  $Z(\overline{T})$  depending on a nontrivial additive character  $\psi$  of F. This gives a splitting  $\phi_{\chi_{\psi}} \in Sp1(L\overline{T}, W_F)$ , which is fixed by W since  $\chi_{\psi}$ is W-invariant (see  $[14, §6.5]$  $[14, §6.5]$ ). From this, we obtain a 'relative' version of map  $(4.4)$ :

$$
f^{\psi}_{*}: \text{Hom}\left(W_{F}, \overline{T}^{\vee}\right) \longrightarrow \text{Hom}\left(W_{F}, \overline{T}^{\vee}_{ad}\right). \tag{4.5}
$$

If we denote

$$
\phi_{\chi}^{\psi} := \phi_{\chi}/\phi_{\chi_{\psi}} \in \text{Hom}\left(W_F, \overline{T}^{\vee}\right),
$$

then  $f^{\psi}_{*}$  sends  $\phi^{\psi}_{\chi}$  to

$$
\frac{f_*\left(\phi_\chi\right)}{f_*\left(\phi_{\chi_{\psi}}\right)} \in \text{Hom}\left(W_F, \overline{T}_{ad}^{\vee}\right).
$$

The kernel of  $f_*^{\psi}$  is given by

$$
\mathrm{Ker}\left(f_*^{\psi}\right) = \mathrm{Hom}\left(W_F, Z\left(\overline{G}^{\vee}\right)\right).
$$

Since  $f_*^{\psi}$  is also W-equivariant, we have

$$
W_{\chi} = \text{Stab}_{W} \left( \phi_{\chi}^{\psi} \right), \qquad W_{\chi}^{sc} = \text{Stab}_{W} \left( f_{*}^{\psi} \left( \phi_{\chi}^{\psi} \right) \right).
$$

Assume henceforth that the conductor of  $\psi$  is O. In this case,  $\chi_{\psi}$  is unramified; thus  $\phi_{\chi}^{\psi}$  is unramified and  $\phi_{\chi}^{\psi}(\varpi) \in \overline{T}^{\vee}$  is the relative Satake parameter for  $\chi$ . Also,  $f_{*}^{\psi}$  is determined by the map

$$
f^\vee:\overline{T}^\vee\to\overline{T}_{ad}^\vee
$$

such that

$$
f^{\vee}(\phi_{\chi}^{\psi}(\varpi)) = (f^{\psi}_{*}(\phi_{\chi}^{\psi}))(\varpi).
$$

For every  $t \in \overline{T}^{\vee}$  such that  $f^{\vee}(t)$  is fixed by  $W^{sc}_{\chi}$ , the action of  $W^{sc}_{\chi}$  on the set  $t \cdot Z(\overline{G}^{\vee})$ is well defined. For such  $t$ , let

$$
\mathcal{O}^{W^{sc}_\chi}\left(t\cdot Z\left(\overline{G}^\vee\right)\right)
$$

be the set of  $W^{sc}_\chi$ -orbits in  $t \cdot Z\left(\overline{G}^\vee\right) \subset \overline{T}^\vee$ .

<span id="page-23-0"></span>**Proposition 4.4.** Assume G is a semisimple group. Let  $\chi$  be a unitary unramified genuine character of  $Z(T)$ . Then

$$
\left[R_{\chi}^{sc}:R_{\chi}\right]=\frac{\left|Z\left(\overline{G}^{\vee}\right)\right|}{\left|\mathcal{O}^{W_{\chi}^{sc}}\left(\phi_{\chi}^{\psi}(\varpi)\cdot Z\left(\overline{G}^{\vee}\right)\right)\right|}
$$

.

**Proof.** For a finite group  $H$  acting on a finite set  $X$ , the orbit counting formula reads

$$
|X/H| = \frac{1}{|H|} \sum_{h \in H} |X^h|,
$$

where  $X^h \subset X$  is the set of h-fixed points. To apply this to the case  $X = \phi_X^{\psi}(\varpi) \cdot Z(\overline{G}^{\vee})$ and  $H = W^{sc}_{\chi}$ , we first note that the action of  $W^{sc}_{\chi}$  on the set  $\phi^{\psi}_{\chi}(\varpi) \cdot Z(\overline{G}^{\vee})$  is well defined, as already mentioned. Since W (and thus in particular  $W_{\chi}^{sc}$ ) acts trivially on  $Z\left(\overline{G}^{\vee}\right)$ , we see

$$
X^{\mathbb{W}} = \begin{cases} X & \text{if } \mathbb{W} \in W_{\chi}, \\ \emptyset & \text{if } \mathbb{W} \notin W_{\chi}. \end{cases}
$$

Thus,

$$
\left|\mathcal{O}^{W^{sc}_\chi}\left(\phi^{\psi}_\chi(\varpi)\cdot Z\left(\overline{G}^\vee\right)\right)\right|=\frac{1}{\left|W^{sc}_\chi\right|}\cdot\left|Z\left(\overline{G}^\vee\right)\right|\cdot\left|W_\chi\right|.
$$

The result follows from the equality  $\left[ R_{\chi}^{sc} : R_{\chi} \right] = \left[ W_{\chi}^{sc} : W_{\chi} \right]$ .

It is clear that the index  $\left[R_\chi^{sc} : R_\chi\right] = \left[W_\chi^{sc} : W_\chi\right]$  is bounded above by  $\left|Z\left(\overline{G}^\vee\right)\right|$  for  $\frac{1}{2}$   $\frac{1}{2}$ covers of semisimple groups. In particular, if the dual group of G is of adjoint type, then  $R_{\chi}^{sc} = R_{\chi}$ . For example, if G is a simply connected Chevalley group and  $n = 1$ , then there is no difference between  $R_{\chi}^{sc}$  and  $R_{\chi}$ . For another nontrivial example, consider the *n*-fold cover  $\overline{\mathrm{SL}}_{n+1}^{(n)}$ , which has dual group  $\mathrm{PGL}_{n+1}$ , or the odd-degree cover of  $\mathrm{Sp}_{2r}$  whose dual group is  $SO_{2r+1}$ .

# <span id="page-24-0"></span>**4.4.** The group  $R_{\chi}^{sc}$

Let  $\overline{G}$  be an *n*-fold cover of a general linear algebraic group. Let **H** be the connected linear reductive group over  $F$  such that its root datum is obtained from inverting that of  $\overline{\mathbf{G}}_{Q,n}^{\vee}$  – that is, the Langlands dual group of **H** is isomorphic to  $\overline{\mathbf{G}}_{Q,n}^{\vee}$ . If  $n = 1$ , then  $H = G$ . Let

$$
\mathbf{H}^{sc} \twoheadrightarrow \mathbf{H}_{der} \hookrightarrow \mathbf{H}
$$

be the simply connected cover of the derived subgroup  $\mathbf{H}_{der} \subset \mathbf{H}$ . Thus,  $Y_{Q,n}^{sc}$  is the cocharacter (and also the coroot) lattice of  $\mathbf{H}^{sc}$ . Denote by  $H, H^{sc}$  the F-rational points of **H** and  $\mathbf{H}^{sc}$ , respectively. Here H is the principal endoscopic group for  $\overline{G}$ .

We see that  $T_{Q,n}^{sc}$  is just the torus of  $H^{sc}$ . The genuine character  $\chi^{sc}$  of  $Z(\overline{T})^{sc}$  gives rise to a linear unramified character

$$
\underline{\chi}^{sc}: T_{Q,n}^{sc} \to \mathbf{C}^{\times} \text{ given by } \underline{\chi}^{sc}(\alpha_{Q,n}^{\vee}(a)) := \chi^{sc}(\overline{h}_{\alpha}(a^{n_{\alpha}}))
$$

for all  $\alpha \in \Delta$ . In fact, the covering

$$
\mathfrak{p}_n \xrightarrow{\sim} Z(\overline{T})^{sc} \longrightarrow T_{Q,n}^{sc,\dagger}
$$

has a splitting  $\rho^{sc}$  given by  $\alpha_{Q,n}^{\vee}(a) \mapsto \overline{h}_{\alpha}(a^{n_{\alpha}})$  for all  $\alpha \in \Delta$ , and we have

$$
\underline{\chi}^{sc}=\chi^{sc}\circ\rho^{sc}\circ i^{sc}.
$$

One could form the unramified principal series  $I(\chi^{sc})$  of  $H^{sc}$  and thus have the R-group  $R_{\chi^{sc}}$  for  $I(\chi^{sc})$ .

<span id="page-24-2"></span>**Proposition 4.5.** With notations as before, we have

$$
R_\chi^{sc} \simeq R_{\underline{\chi}^{sc}}.
$$

**Proof.** It suffices to show that  $W_{\chi^{sc}} = W_{\chi^{sc}}$  and  $\Phi_{\chi^{sc}} = \Phi_{\chi^{sc}}$ . By the definition of  $\chi^{sc}$ , we have

<span id="page-24-1"></span>
$$
\underline{\chi}^{sc}(\alpha_{Q,n}^{\vee}(a)) = \chi^{sc}(\overline{h}_{\alpha}(a^{n_{\alpha}}))
$$
\n(4.6)

 $\Box$ 

for all  $\alpha \in \Delta$ . We claim that the equality holds for all  $\alpha \in \Phi$ . By induction on the length of w such that  $w(\alpha) \in \Delta$ , it suffices to prove that if

$$
\underline{\chi}^{sc}(\beta_{Q,n}^{\vee}(a)) = \chi^{sc}(\overline{h}_{\beta}(a^{n_{\beta}})), \quad \beta \in \Phi,
$$

and  $\gamma^{\vee} = \mathbb{W}_{\alpha}(\beta^{\vee}), \alpha \in \Delta$ , then

$$
\underline{\chi}^{sc}(\gamma_{Q,n}^{\vee}(a)) = \chi^{sc}(\overline{h}_{\gamma}(a^{n_{\gamma}})), \quad \beta \in \Phi.
$$
 (4.7)

As shown in [\[16,](#page-59-11) Page 112], we have

$$
\overline{h}_{\gamma}(a^{n_{\gamma}}) = w_{\alpha}^{-1} \cdot \overline{h}_{\beta}(a^{n_{\beta}}) \cdot w_{\alpha} = w_{\alpha} \cdot \overline{h}_{\beta}(a^{n_{\beta}}) \cdot w_{\alpha}^{-1},
$$

which is also equal to  $\overline{h}_{\beta}(a^{n_{\beta}}) \cdot \overline{h}_{\alpha}(a^{n_{\alpha}})^{-\langle \alpha_{Q,n}, \beta_{Q,n}^{\vee} \rangle}$  by equation [\(2.2\)](#page-8-1). Thus by the induction hypothesis, we have

$$
\underline{\chi}^{sc}(\gamma_{Q,n}^{\vee}(a)) = \underline{\chi}^{sc}(\beta_{Q,n}^{\vee}(a)) \cdot \underline{\chi}^{sc}(\alpha_{Q,n}^{\vee}(a))^{-\langle \alpha_{Q,n}, \beta_{Q,n}^{\vee} \rangle}
$$
  

$$
= \chi^{sc}(\overline{h}_{\beta}(a^{n_{\beta}})) \cdot \chi^{sc}(\overline{h}_{\alpha}(a^{n_{\alpha}}))^{-\langle \alpha_{Q,n}, \beta_{Q,n}^{\vee} \rangle}
$$
  

$$
= \chi^{sc}(\overline{h}_{\gamma}(a^{n_{\gamma}})).
$$

This proves that equation [\(4.6\)](#page-24-1) holds for all  $\alpha \in \Phi$ , and thus  $\Phi_{\chi^{sc}} = \Phi_{\chi^{sc}}$ .

Let  $w = w_l w_{l-1} \cdots w_i$  be a minimal decomposition of w, with  $w_i = w_{\alpha_i}$  for some  $\alpha_i \in$  $\Delta$ . Let  $w = w_1 \cdots w_2 w_1$ , where  $w_i := w_{\alpha_i}$ , be the representative of  $w_{\alpha_i}$ . The foregoing argument also shows inductively that

$$
w\cdot\overline{h}_\alpha(\varpi^{n_\alpha})\cdot w^{-1}=\overline{h}_{\mathbf{w}(\alpha)}(\varpi^{n_{\mathbf{w}(\alpha)}})
$$

for all  $\alpha \in \Phi$ . It follows that

$$
w^{-1} \cdot \overline{h}_{\alpha}(\varpi^{n_{\alpha}}) \cdot w = \overline{h}_{w^{-1}(\alpha)}(\varpi^{n_{w^{-1}(\alpha)}})
$$

for all  $\alpha \in \Phi$ , and thus

$$
^{w}\left( \underline{\chi}^{sc}\right) \left( \alpha_{Q,n}^{\vee}(a)\right) = ^{w}\left( \chi^{sc}\right) \left( \overline{h}_{\alpha}(a^{n_{\alpha}})\right)
$$

for all  $w \in W$  and  $\alpha \in \Delta$ . Therefore,  $W_{\chi^{sc}} = W_{\chi^{sc}}$ . Thus by the definition of R-groups, we have  $R_{\chi^{sc}} \simeq R_{\chi}^{sc}$ .  $\Box$ 

In [\[26,](#page-59-5) §3], all possibilities for the group  $R_{\chi}^{sc} \simeq R_{\chi}^{sc}$  are tabulated as in Tables 1 and 2.

Immediately, we have the following theorem:

TABLE 1. The group  $R_{\chi}^{sc}$  for classical group  $\mathbf{H}^{sc}$ .

$\mathbf{H}^{sc}$		$C_r$ $D_r$ , ver $D_r$ , verd	
		$R_{\chi}^{sc}$ $\mathbf{Z}/d\mathbf{Z}, d (r+1)$ $\mathbf{Z}/2\mathbf{Z}$ $\mathbf{Z}/2\mathbf{Z}$ $\mathbf{Z}/2\mathbf{Z}$ or $(\mathbf{Z}/2\mathbf{Z})^2$ $\mathbf{Z}/2\mathbf{Z}$ or $\mathbf{Z}/4\mathbf{Z}$	

TABLE 2. The group  $R_{\chi}^{sc}$  for exceptional group  $\mathbf{H}^{sc}$ .

$\mathbf{H}^{sc}$			
$_{\text{Dsc}}$	$\mathbf{Z}$	∸	

<span id="page-26-0"></span>**Theorem 4.6.** Let  $\overline{G}$  be an n-fold cover of a connected reductive group G and  $\chi$  be a unitary unramified genuine character of  $Z(T)$ . Then  $R_\chi \subset R_\chi^{sc}$  is abelian, and therefore

$$
I(\chi)=\bigoplus_{\sigma\in\mathrm{Irr}(R_\chi)}\pi_\sigma.
$$

That is, the decomposition of  $I(\chi)$  is multiplicity-free.

<span id="page-26-1"></span>**Corollary 4.7.** For every  $w \in R_{\chi}$ , we have

$$
\mathscr{A}(w,\chi)=\bigoplus_{\sigma\in\operatorname{Irr}(R_{\chi})}\sigma(w)\cdot\operatorname{id}_{\pi_{\sigma}}.
$$

Therefore, for every  $f \in C_c^{\infty}(G)$ ,

Trace 
$$
\mathscr{A}(w,\chi)I(\chi)(f) = \sum_{\sigma \in \text{Irr}(R_{\chi})} \sigma(w) \cdot \text{Trace } \pi_{\sigma}(f).
$$

**Proof.** It suffices to verify the first equality, as the second will follow from it. For  $\sigma \in$ Irr  $(R_\chi)$ , recall the projection  $P_\sigma$  of  $I(\chi)$  on  $\pi_\sigma$ . By Schur's lemma,  $\mathscr{A}(w,\chi)$  acts on  $\pi_\sigma$ as a homothety given by  $c_{\pi_{\sigma}}(\mathbf{w}) \in \mathbb{C}$ . However,

$$
P_{\sigma'} = \frac{1}{|R_{\chi}|} \sum_{\mathbf{w} \in R_{\chi}} \overline{\sigma'(\mathbf{w})} \left( \bigoplus_{\sigma \in \operatorname{Irr}(R_{\chi})} c_{\pi_{\sigma}}(\mathbf{w}) \cdot \operatorname{id}_{\pi_{\sigma}} \right)
$$
  
= 
$$
\bigoplus_{\sigma \in \operatorname{Irr}(R_{\chi})} \left( \frac{1}{|R_{\chi}|} \sum_{\mathbf{w} \in R_{\chi}} \overline{\sigma'(\mathbf{w})} \cdot c_{\pi_{\sigma}}(\mathbf{w}) \right) \cdot \operatorname{id}_{\pi_{\sigma}}.
$$

That is, the pairing of the class function  $c_{\pi_{\sigma}}(-)$  on  $R_{\chi}$  with  $\sigma'(-)$  is equal to the Kronecker delta function  $\delta_{\sigma,\sigma'}$ . Since the characters Irr $(R_{\chi})$  form an orthonormal basis for class functions on  $R_{\chi}$ , this shows that  $c_{\pi_{\sigma}} = \sigma$  for every  $\sigma \in \text{Irr}(R_{\chi})$ .  $\Box$ 

## <span id="page-26-2"></span>**4.5. Covers of SL**<sup>2</sup>

We illustrate the previous discussion on R-groups by considering the n-fold cover  $\overline{G}$  =  $\overline{\mathrm{SL}}_2^{(n)}$  arising from  $Q(\alpha^{\vee})=1$ . We show that our analysis agrees with certain results in [\[49\]](#page-60-19).

If n is even, then the dual group  $\overline{G}^{\vee}$  is SL<sub>2</sub>. Write

$$
s_{\zeta} := \phi_{\chi}^{\psi}(\varpi) = \begin{pmatrix} \zeta \\ & \zeta^{-1} \end{pmatrix} \in \overline{G}^{\vee}
$$

for the relative Satake parameter of  $\chi$  discussed in Section [4.3,](#page-21-0) where  $\zeta \in \mathbb{C}^{\times}$  depends on  $\chi$ . There are three cases:

- $\zeta^4 \neq 1$ . In this case,  $\Phi_{\chi} = \emptyset$  and thus  $W(\Phi_{\chi}) = W$ . Also,  $W_{\chi}^{sc} = W_{\chi} = \{1\}$ . Therefore,  $R_\chi^{sc} = R_\chi = \{1\}$ , and in particular  $\left| \mathcal{O}^{W_\chi^{sc}}\left(s_\zeta \cdot Z\left(\overline{G}^\vee\right)\right) \right| = 2$ .  $\begin{array}{ccccccc} \vert & \vert & \vert & \vert & \vert \end{array}$
- $\zeta^4 = 1$  but  $\zeta^2 \neq 1$ . In this case,  $\Phi_\chi = \emptyset$  and thus  $W(\Phi_\chi) = W$ . However, we have  $W^{sc}_{\chi} = W$ , while  $W_{\chi} = \{1\}$ . Therefore  $R^{sc}_{\chi} = W$  and  $R_{\chi} = \{1\}$ . Indeed, we have  $\left|\mathcal{O}^{W_{\chi}^{sc}}\left(s_{\zeta}\cdot Z\left(\overline{G}^{\vee}\right)\right)\right|$  $= 1$  in this case.
- $\zeta^2 = 1$ . In this case,  $\Phi_{\chi} = {\alpha}$  and thus  $W(\Phi_{\chi}) = {1}$ . Also,  $W_{\chi}^{sc} = W_{\chi} = W$ . Therefore  $R_{\chi}^{sc} = R_{\chi} = \{1\}$ , and also  $\left| \mathcal{O}^{W_{\chi}^{sc}}\left(s_{\zeta} \cdot Z\left(\overline{G}^{\vee}\right)\right) \right|$  $= 2.$

Hence for n even,  $I(\chi)$  is always irreducible for a unitary unramified genuine character  $\chi$ .

Now we consider *n* odd, in which case the dual group of  $\overline{SL}_2^{(n)}$  is  $\overline{G}^{\vee} = \text{PGL}_2$  and thus we always have  $R_{\chi}^{sc} = R_{\chi}$ , by Proposition [4.4.](#page-23-0) Write

$$
s_{\zeta} := \rho_{\chi}^{\psi}(\varpi) = \begin{pmatrix} \zeta \\ 1 \end{pmatrix} \in \overline{G}^{\vee}
$$

for the relative Satake parameter for  $I(\chi)$ . There are three cases:

- $\zeta^2 \neq 1$ . In this case,  $\Phi_{\chi} = \emptyset$  and thus  $W(\Phi_{\chi}) = W$ . Also,  $W_{\chi} = \{1\}$ . Therefore  $R_{\chi} =$ {1}.
- $\zeta = -1$ . Then  $\Phi_{\chi} = \emptyset$  and thus  $W(\Phi_{\chi}) = W$ . But  $W_{\chi} = W$ . Therefore,  $R_{\chi} = W$ .
- $\zeta = 1$ . Then  $\Phi_{\chi} = {\alpha}$  and thus  $W(\Phi_{\chi}) = {1}$ . But  $W_{\chi} = W$ . In this case,  $R_{\chi} = {1}$ .

Combining the even and odd cases, we see that the only reducibility point for  $I(\chi)$  is when n is odd and  $\chi$  is such that  $\chi_{\alpha}$  is a nontrivial quadratic character; in this case,

$$
I(\chi) = \pi_{\chi}^{un} \oplus \pi.
$$

The result agrees with [\[49,](#page-60-19) Proposition 5.1].

# **4.6.** Comparison of  $R_\chi$  and  $\mathcal{S}_{\phi_\chi}$

For linear algebraic groups, it was shown by Keys [\[27\]](#page-59-6) that the R-group  $R_{\chi}$  is naturally identified with the component group of the centraliser of the parameter  $\phi_{\chi}$ . In this subsection, we establish the same identification for covering groups.

Let

$$
\phi: W_F \to {}^L\overline{G}
$$

be an L-parameter. Let  $S_{\phi} \subset \overline{G}^{\vee}$  be the centraliser of  $\phi(W_F)$  in  $\overline{G}^{\vee}$  – that is,

$$
S_{\phi} := \left\{ g \in \overline{G}^{\vee} : g \cdot \phi(a) \cdot g^{-1} = \phi(a) \text{ for every } a \in W_F \right\}.
$$

It is a reductive subgroup of  $\overline{G}^{\vee}$  but not necessarily connected. Let  $S^0_{\phi}$  be the connected component of  $S_{\phi}$ . Define

<span id="page-28-1"></span>
$$
\mathcal{S}_{\phi} := \frac{S_{\phi}}{Z\left(\overline{G}^{\vee}\right) \cdot S_{\phi}^{0}}.
$$
\n(4.8)

There is a dual-group (instead of L-group) relative version which is more closely related to linear algebraic groups. Recall that depending on the choice of a distinguished genuine character  $\chi_{\psi}$ , we have an isomorphism

$$
{}^L\overline{G} \simeq_{\chi_{\psi}} \overline{G}^{\vee} \times W_F
$$

(see formula [\(2.5\)](#page-11-0)). We obtain an unramified parameter

$$
\phi[\chi_{\psi}]: W_F \xrightarrow{\phi} {}^L\overline{G} \longrightarrow \overline{G}^{\vee},
$$

where the second map is the projection depending on  $\chi_{\psi}$ . In fact, by the local Langlands correspondence for covering tori, the distinguished genuine character  $\chi_{\psi}$  gives rise to a splitting of a certain fundamental central extension

$$
Z\left(\overline{G}^{\vee}\right)\longrightarrow E\longrightarrow W_F
$$

(see [\[14,](#page-59-10) Proposition 6.5], where E is represented by  $E_1 + E_2$  using notations there). Since by definition  ${}^L\overline{G}$  equals the pushout of E via the inclusion  $Z(\overline{G}^{\vee}) \hookrightarrow \overline{G}^{\vee}$  (see [\[14,](#page-59-10) §5.2]), we have that  $\chi_{\psi}$  yields an *L*-parameter  $\phi_{\chi_{\psi}}$ , which takes values in  $Z(L\overline{G})$ . We have

$$
\phi[\chi_{\psi}] = \phi \cdot \phi_{\chi_{\psi}}^{-1}.
$$

Analogously, let  $S_{\phi[\chi_{\psi}]} \subset \overline{G}^{\vee}$  be the centraliser of  $\phi[\chi_{\psi}](W_F)$  in  $\overline{G}^{\vee}$ . Define

$$
\mathcal{S}_{\phi[\chi_{\psi}]} := \frac{S_{\phi[\chi_{\psi}]}}{Z\left(\overline{G}^{\vee}\right) \cdot S_{\phi[\chi_{\psi}]}^{0}}.
$$

<span id="page-28-2"></span>**Lemma 4.8.** With notations as before,  $S_{\phi} = S_{\phi[\chi_{\psi}]}$  for every distinguished genuine character  $\chi_{\psi}$ . Hence  $\mathcal{S}_{\phi} = \mathcal{S}_{\phi[\chi_{\psi}]}$  as well.

**Proof.** It follows from the isomorphism  ${}^L\overline{G} \simeq_{\chi_{\psi}} \overline{G}^{\vee} \times W_F$  that

$$
\phi(a) = (\phi[\chi_{\psi}](a), a) \in \overline{G}^{\vee} \times W_F.
$$

Thus,  $g \in \overline{G}^{\vee}$  centralises  $\phi(a)$  if and only if it centralises  $\phi[\chi_{\psi}](a)$ ; this gives the equality  $S_{\phi} = S_{\phi[\chi_{\psi}]}$  and completes the proof. П

<span id="page-28-0"></span>**Theorem 4.9.** Let  $\overline{G}$  be an n-fold cover of a connected reductive group G. Let  $\chi$  be a unitary unramified genuine character of  $Z(\overline{T})$ , and let  $\phi_{\chi}$  be the L-parameter associated to  $\chi$ . We have an isomorphism

$$
R_{\chi} \simeq \mathcal{S}_{\phi_{\chi}}.
$$

**Proof.** The idea is to reduce to the linear algebraic case, where the isomorphism is proved in [\[27,](#page-59-6) Page 42].

As in Section [4.4,](#page-24-0) let **H** be the connected split linear algebraic group whose dual group is  $\overline{\mathbf{G}}_{Q,n}^{\vee}$ . Let  $\mathbf{T}_{Q,n}$  be its split torus whose cocharacter lattice is  $Y_{Q,n}$ . We have  $H,T_{Q,n}$ denoting the F-rational points of  $H, T_{Q,n}$ , respectively.

Let  $\chi$  be a unitary unramified genuine character of  $Z(T)$ . For simplicity, denote by  $\phi$ (instead of  $\phi_{\chi}$ ) the associated L-parameter valued in  $L\overline{T}$ . By choosing a distinguished genuine character  $\chi_{\psi}$ , we have the unramified parameter

$$
\phi[\chi_{\psi}]: W_F \to \overline{T}^{\vee} \hookrightarrow \overline{G}^{\vee},
$$

which is just  $\phi_{\chi}^{\psi}$  in the notation of Section [4.3.](#page-21-0) Note that  $\overline{T}^{\vee} = T_{Q,n}^{\vee}$  and  $\overline{G}^{\vee} = H^{\vee}$ . The parameter  $\phi[\chi_{\psi}]$  is thus associated to an unramified character

$$
\underline{\chi}:T_{Q,n}\to\mathbf{C}^\times
$$

such that

$$
\phi_{\chi} = \phi \left[ \chi_{\psi} \right],
$$

where  $\phi_{\chi}$  is the L-parameter associated to  $\chi$  by the local Langlands correspondence for linear tori. We can explicate  $\underline{\chi}$  as follows. First,  $\chi \cdot \chi_{\psi}^{-1} : T_{Q,n}^{\dagger} \to \mathbf{C}^{\times}$  is a linear character, where  $T_{Q,n}^{\dagger}$  is the image of the isogeny

$$
i_{Q,n}:T_{Q,n}\to T
$$

(see Section [4.2\)](#page-20-0). Then  $\underline{\chi}$  is just the pullback of  $\chi \cdot \chi_{\psi}^{-1}$  via  $i_{Q,n}$ ; that is,  $\underline{\chi} = (\chi \cdot \chi_{\psi}^{-1}) \circ$  $i_{Q,n}$ . In any case, we have

$$
\mathcal{S}_{\phi_\chi} = \mathcal{S}_{\phi[\chi_\psi]}.
$$

Now we consider  $R_{\chi}$  and  $R_{\chi}$ . Since  $\chi_{\psi}$  is Weyl-invariant, we have

<span id="page-29-0"></span>
$$
W_{\chi} = W_{\chi \cdot \chi_{\psi}^{-1}} = W_{\underline{\chi}}.\tag{4.9}
$$

By the construction of a distinguished genuine character, we have

$$
\chi_{\psi}\left(\overline{h}_{\alpha}(a^{n_{\alpha}})\right) = 1
$$

for all  $\alpha \in \Delta$  (see [\[14,](#page-59-10) §6.1]). However, from the proof of Proposition [4.5](#page-24-2) we have w.  $\overline{h}_{\alpha}(\varpi^{n_{\alpha}}) \cdot w^{-1} = \overline{h}_{\mathsf{w}(\alpha)}(\varpi^{n_{\mathsf{w}(\alpha)}})$  for all  $\mathsf{w} \in W$  and  $\alpha \in \Delta$ . It follows that  $\chi_{\psi}(\overline{h}_{\alpha}(a^{n_{\alpha}})) = 1$ for every  $\alpha \in \Phi$ . Thus,

<span id="page-30-1"></span>
$$
\Phi_{\underline{\chi}} = \left\{ \alpha_{Q,n} > 0 : \underline{\chi} \left( a^{\alpha_{Q,n}^{\vee}} \right) = 1 \right\} \n= \left\{ \alpha > 0 : \left( \chi \cdot \chi_{\psi}^{-1} \right) \left( \overline{h}_{\alpha} (a^{n_{\alpha}}) \right) = 1 \right\} \n= \left\{ \alpha > 0 : \chi \left( \overline{h}_{\alpha} (a^{n_{\alpha}}) \right) = 1 \right\} \n= \left\{ \alpha > 0 : \underline{\chi}_{\alpha} = \mathbb{1} \right\} \n= \Phi_{\chi}.
$$
\n(4.10)

We deduce from equations [\(4.9\)](#page-29-0) and [\(4.10\)](#page-30-1) that

$$
R_{\underline{\chi}} \simeq R_{\chi}.
$$

It is proved in [\[27,](#page-59-6) Proposition 2.6] that we have the isomorphism  $R_\chi \simeq \mathcal{S}_{\phi_\chi}$ . From this we see that  $R_\chi \simeq \mathcal{S}_{\phi[\chi_{\psi}]},$  which is also isomorphic to  $\mathcal{S}_{\phi}$  by Lemma [4.8.](#page-28-2) This completes the proof. □

In view of the isomorphism  $R_\chi \simeq \mathcal{S}_{\phi_\chi}$ , the character relation in Corollary [4.7](#page-26-1) can be interpreted in terms of Irr $(\mathcal{S}_{\phi_{\chi}})$  as well.

<span id="page-30-3"></span>**Corollary 4.10.** If the dual group  $\overline{G}^{\vee}$  is semisimple simply connected, then  $R_{\chi} = \{1\}$ for every unitary unramified genuine character  $\chi$  of  $Z(T)$ .

**Proof.** This follows from the isomorphism  $R_\chi \simeq \mathcal{S}_{\phi_\chi}$  and the well-known result of Steinberg that the centraliser of a semisimple element inside a simply connected group (such as  $\overline{G}^{\vee}$  by our assumption) is connected.

Alternatively (and equivalently), from the proof of Theorem [4.9](#page-28-0) we have  $R_{\chi} = R_{\chi}$ , where  $I(\chi)$  is an unramified principal series of H. If  $\overline{G}^{\vee}$  is simply connected, then H is of adjoint type, and it can be argued directly (see [\[32,](#page-59-13) Corollary 1.6] or [\[8\]](#page-58-8)) that  $R_{\underline{\chi}} = \{1\}$ in this case.

<span id="page-30-2"></span>**Example 4.11.** Let  $G = Sp_{2r}$  and let Q be a Weyl-invariant quadratic form on its cocharacter lattice. If  $n_{\alpha} = 0 \pmod{2}$  for the unique short simple coroot  $\alpha^{\vee}$  of  $Sp_{2r}$ , then

$$
\overline{G}^{\vee} = \mathrm{Sp}_{2r};
$$

in this case  $R_{\chi} = \{1\}$  and  $I(\chi)$  is always irreducible. This applies in particular to the case of the metaplectic-type group  $\overline{Sp}_{2r}^{(n)}$  – that is, when  $n_{\alpha} = 2 \mod 4$  (see Definition [2.1\)](#page-12-1). For  $\overline{\mathrm{SL}}_2^{(n)}$ , this is compatible with the discussion in Section [4.5.](#page-26-2)

## <span id="page-30-0"></span>**5. Whittaker space and the main conjecture**

The main goal of this section is to investigate dim  $Wh_{\psi}(\pi)$ , where  $\pi \in \Pi(\chi)$  is any irreducible constituent of  $I(\chi)$ .

# **5.1. The Whittaker space**

Let  $\psi : F \to \mathbb{C}^\times$  be an additive character of conductor O. Let

$$
\psi_U:U\to{\mathbf C}^\times
$$

be the character of U such that its restriction to every  $U_{\alpha}, \alpha \in \Delta$  is given by  $\psi \circ e_{\alpha}^{-1}$ . We may write  $\psi$  instead of  $\psi_U$  for simplicity.

**Definition 5.1.** For a genuine representation  $(\pi, V_\pi)$  of  $\overline{G}$ , a linear functional  $\ell: V_\pi \to \mathbb{C}$ is called a  $\psi$ -Whittaker functional if  $\ell(\pi(u)v) = \psi(u) \cdot v$  for all  $u \in U$  and  $v \in V_{\pi}$ . Write Wh<sub> $\psi(\pi)$ </sub> for the space of  $\psi$ -Whittaker functionals for  $\pi$ .

The space  $Wh_{\psi}(I(\chi))$  for an unramified principal series  $I(\chi)$  could be described as follows:

• First, let  $\text{Ftn}(i(\chi))$  be the vector space of functions **c** on  $\overline{T}$  satisfying

$$
\mathbf{c}\left(\overline{t}\cdot\overline{z}\right)=\mathbf{c}\left(\overline{t}\right)\cdot\chi\left(\overline{z}\right)\text{ for }\overline{t}\in\overline{T}\text{ and }\overline{z}\in\overline{A}.
$$

The support of any  $\mathbf{c} \in \text{Ftn}(i(\chi))$  is a disjoint union of cosets in  $\overline{T}/\overline{A}$ . We have

$$
\dim \mathrm{Ftn}(i(\chi)) = |\mathscr{X}_{Q,n}|,
$$

since  $\overline{T}/\overline{A} \simeq Y/Y_{Q,n} = \mathscr{X}_{Q,n}$ .

• Second, for every  $\gamma \in \overline{T}$ , let  $\mathbf{c}_{\gamma} \in \text{Ftn}(i(\chi))$  be the unique element satisfying

$$
\text{supp}(\mathbf{c}_{\gamma}) = \gamma \cdot \overline{A} \text{ and } \mathbf{c}_{\gamma}(\gamma) = 1.
$$

Clearly,  $\mathbf{c}_{\gamma \cdot a} = \chi(a)^{-1} \cdot \mathbf{c}_{\gamma}$  for every  $a \in \overline{A}$ . If  $\{\gamma_i\} \subset \overline{T}$  is a chosen set of representatives of  $\overline{T}/\overline{A}$ , then  ${\bf c}_{\gamma_i}$  forms a basis for  $\text{Ftn}(i(\chi))$ . Let  $i(\chi)^{\vee}$  be the vector space of functionals of  $i(\chi)$ . The set  $\{\gamma_i\}$  gives rise to linear functionals  $l_{\gamma_i} \in i(\chi)^{\vee}$  such that  $l_{\gamma_i}(\phi_{\gamma_j}) = \delta_{ij}$ , where  $\phi_{\gamma_j} \in i(\chi)$  is the unique element such that

$$
\operatorname{supp} (\phi_{\gamma_j}) = \overline{A} \cdot \gamma_j^{-1}
$$
 and  $\phi_{\gamma_j} (\gamma_j^{-1}) = 1$ .

It is easy to see that for any  $\gamma \in \overline{T}$  and  $a \in \overline{A}$ , we have

$$
\phi_{\gamma a} = \chi(a) \cdot \phi_{\gamma}, \qquad l_{\gamma a} = \chi(a)^{-1} \cdot l_{\gamma}.
$$

Moreover, there is a natural isomorphism of vector spaces

$$
Ftn(i(\chi)) \simeq i(\chi)^{\vee}
$$

given by

$$
\mathbf{c} \mapsto l_{\mathbf{c}} := \sum_{\gamma_i \in \overline{T}/\overline{A}} \mathbf{c}(\gamma_i) \cdot l_{\gamma_i}.
$$

It can be checked easily that this isomorphism does not depend on the choice of representatives for  $\overline{T}/\overline{A}$ .

• Third, there is an isomorphism between  $i(\chi)^{\vee}$  and the space  $Wh_{\psi}(I(\chi))$  of  $\psi$ -Whittaker functionals of  $I(\chi)$  (see [\[37,](#page-60-20) §6]), given by  $\lambda \mapsto W_\lambda$  with

$$
W_{\lambda}: I(\chi) \to \mathbf{C}, \qquad f \mapsto \lambda \left( \int_{U} f(\overline{w}_{G}^{-1}u) \psi(u)^{-1} \mu(u) \right),
$$

where  $f \in I(\chi)$  is an  $i(\chi)$ -valued function on  $\overline{G}$ . Here  $\overline{w}_G = \overline{w}_{\alpha_1} \overline{w}_{\alpha_2} \cdots \overline{w}_{\alpha_k} \in K$  is the representative of  $w_G$ , where  $w_G = w_{\alpha_1} w_{\alpha_2} \cdots w_{\alpha_k}$  is a minimum decomposition of  $W_G$ .

Thus, we have a chain of natural isomorphisms of vector spaces all of dimension  $|\mathscr{X}_{Q,n}|$ :

$$
\operatorname{Ftn}(i(\chi)) \simeq i(\chi)^{\vee} \simeq \operatorname{Wh}_{\psi}(I(\chi)).
$$

For every  $\mathbf{c} \in \text{Ftn}(i(\chi))$ , by abuse of notation we will write  $\lambda_{\mathbf{c}}^{\chi} \in \text{Wh}_{\psi}(I(\chi))$  for the resulting  $\psi$ -Whittaker functional of  $I(\chi)$  obtained from this isomorphism.

The operator  $A(w, \chi) : I(\chi) \to I({}^w \chi)$  induces a homomorphism of vector spaces

$$
A(w,\chi)^* : \mathrm{Wh}_{\psi}(I({}^w\chi)) \to \mathrm{Wh}_{\psi}(I(\chi))
$$

given by

$$
\left\langle \lambda_{\mathbf{c}}^w \chi, -\right\rangle \mapsto \left\langle \lambda_{\mathbf{c}}^w \chi, A(w, \chi)(-)\right\rangle,
$$

where  $\mathbf{c} \in \text{Ftn}(i(\omega \chi))$ .

## **5.2.** The scattering matrix  $\mathcal{S}_{\Re}(w, i(\chi))$

Let  $\mathfrak{R}, \mathfrak{R}' \subset \overline{T}$  be two ordered sets of representatives of  $\overline{T}/\overline{A} = \mathscr{X}_{Q,n}$ . Let

$$
\left\{ \lambda_{\gamma}^ { {w}}\raisebox{.4mm}{$\chi$}:\gamma\in\Re\right\}
$$

be the ordered basis for  $Wh_{\psi}(I({^w\chi}))$  and

$$
\Big\{\lambda_{\gamma'}^\chi:\gamma'\in\Re'\Big\}
$$

be the ordered basis for  $Wh_{\psi}(I(\chi))$ . The map  $A(w,\chi)^*$  is then determined by the so-called scattering matrix

$$
\mathcal{S}_{\mathfrak{R},\mathfrak{R}'}(w,i(\chi))=[\tau(w,\chi,\gamma,\gamma')]_{\gamma\in\mathfrak{R},\gamma'\in\mathfrak{R}'}
$$

such that

$$
A(w,\chi)^{*}\left(\lambda_{\gamma}^{w}\chi\right) = \sum_{\gamma' \in \mathfrak{R}'} \tau(w,\chi,\gamma,\gamma') \cdot \lambda_{\gamma'}^{\chi}.
$$
 (5.1)

We briefly describe the matrix  $S_{\Re, \Re'}(w,i(\chi))$ . First we have the following:

• For  $w \in W$  and  $\overline{z}, \overline{z}' \in \overline{A}$ , the identity

<span id="page-32-0"></span>
$$
\tau(w, \chi, \gamma \cdot \overline{z}, \gamma' \cdot \overline{z}') = ({}^{w}\chi)^{-1}(\overline{z}) \cdot \tau(w, \chi, \gamma, \gamma') \cdot \chi(\overline{z}')
$$
(5.2)

holds.

• For  $w_1, w_2 \in W$  such that  $l(w_2w_1) = l(w_2) + l(w_1)$ , we have

<span id="page-33-0"></span>
$$
\tau(w_2w_1,\chi,\gamma,\gamma') = \sum_{\gamma'' \in \overline{T}/\overline{A}} \tau(w_2,^{w_1}\chi,\gamma,\gamma'') \cdot \tau(w_1,\chi,\gamma'',\gamma'),\tag{5.3}
$$

which is referred to as the cocycle relation. By equation  $(5.2)$ , this sum is independent of the choice of representatives  $\gamma''$ .

This cocycle relation implies that in principle it suffices to understand  $\tau(w_{\alpha}, \chi, \gamma, \gamma')$  for  $\gamma, \gamma' \in \overline{T}$  and  $\alpha \in \Delta$ . For this purpose, let du be the self-dual Haar measure of F with respect to  $\psi$  such that  $du(O) = 1$ ; thus,  $du(O^{\times}) = 1 - q^{-1}$ . Consider the Gauss sum

$$
G_{\psi}(a,b) := \int_{O^{\times}} (u,\varpi)_n^a \cdot \psi(\varpi^b u) du \text{ for } a,b \in \mathbf{Z}.
$$

We also write

$$
\mathbf{g}_{\psi}(k) := G_{\psi}(k, -1),
$$

where  $k \in \mathbb{Z}$  is any integer. Denote henceforth

$$
\varepsilon:=(-1,\varpi)_n\in\mathbf{C}^\times.
$$

It is known that

$$
\mathbf{g}_{\psi}(k) = \begin{cases} \varepsilon^{k} \cdot \overline{\mathbf{g}_{\psi}(-k)} & \text{for every } k \in \mathbf{Z}, \\ -q^{-1} & \text{if } n|k, \\ \mathbf{g}_{\psi}(k) & \text{with } |\mathbf{g}_{\psi}(k)| = q^{-1/2} \text{ if } n \nmid k. \end{cases}
$$
(5.4)

Here  $\overline{z}$  denotes the complex conjugation of a complex number z.

It is shown in [\[24,](#page-59-14) [37\]](#page-60-20) (with some refinement from [\[15\]](#page-59-15)) that  $\tau(w_{\alpha}, \chi, \gamma, \gamma')$  is determined as follows:

<span id="page-33-1"></span>**Theorem 5.2.** Suppose that  $\gamma = s_{y_1}$  and  $\gamma' = s_y$ , with  $y_1, y \in Y$ . First, we can write  $\tau(w_\alpha, \chi, \gamma, \gamma') = \tau^1(w_\alpha, \chi, \gamma, \gamma') + \tau^2(w_\alpha, \chi, \gamma, \gamma')$  satisfying the following properties:

• 
$$
\tau^i(w_\alpha, \chi, \gamma \cdot \overline{z}, \gamma' \cdot \overline{z}') = (w_\alpha \chi)^{-1} (\overline{z}) \cdot \tau^i(w_\alpha, \chi, \gamma, \gamma') \cdot \chi(\overline{z}') \text{ for all } \overline{z}, \overline{z}' \in \overline{A};
$$

• 
$$
\tau^1(w_\alpha, \chi, \gamma, \gamma') = 0
$$
 unless  $y_1 \equiv y \mod Y_{Q,n}$ ;

•  $\tau^2(w_\alpha, \chi, \gamma, \gamma') = 0$  unless  $y_1 \equiv \mathcal{W}_\alpha[y] \mod Y_{Q,n}$ .

Second:

• if  $y_1 = y$ , then

$$
\tau^1(w_\alpha, \chi, \gamma, \gamma') = \left(1 - q^{-1}\right) \frac{\chi\left(\overline{h}_\alpha(\varpi^{n_\alpha})\right)^{k_{y,\alpha}}}{1 - \chi(\overline{h}_\alpha(\varpi^{n_\alpha}))}, \text{ where } k_{y,\alpha} = \left\lceil \frac{\langle y, \alpha \rangle}{n_\alpha} \right\rceil;
$$

• if  $y_1 = \mathcal{W}_\alpha[y]$ , then

$$
\tau^2(w_{\alpha}, \chi, \gamma, \gamma') = \varepsilon^{\langle y_{\rho}, \alpha \rangle \cdot D(y, \alpha^{\vee})} \cdot \mathbf{g}_{\psi^{-1}}(\langle y_{\rho}, \alpha \rangle Q(\alpha^{\vee})).
$$

#### **5.3. The main conjecture**

If  $\mathfrak{R} = \mathfrak{R}'$ , then

$$
\mathcal{S}_{\mathfrak{R}}(w,i(\chi)):=\mathcal{S}_{\mathfrak{R},\mathfrak{R}}(w,i(\chi))
$$

is a square matrix with entries indexed by a single ordered set  $\Re$ . Since W acts on  $\mathscr{X}_{Q,n} = Y/Y_{Q,n}$  with respect to w[-], there is a decomposition

$$
\mathscr{X}_{Q,n} = \bigsqcup_{\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}} \mathcal{O}_y
$$

into W-orbits. This gives a natural partition

$$
\mathfrak{R} = \bigsqcup_{\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}} \mathfrak{R}_y,
$$

where  $\mathfrak{R}_y \subset \mathfrak{R}$  is the subset of representatives of  $\mathcal{O}_y$ . For each W-orbit  $\mathcal{O}_y$ , denote

$$
\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_y} := [\tau(w,\chi,\mathbf{s}_z,\mathbf{s}_{z'})]_{z,z'\in\mathfrak{R}_y}.
$$

It follows from the cocycle relation [\(5.3\)](#page-33-0) and Theorem [5.2](#page-33-1) that  $\mathcal{S}_{\Re}(w,i(\chi))$  is a blockdiagonal matrix with blocks  $\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_y}$  for  $\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}$ , which we write as

$$
S_{\Re}(w,i(\chi)) = \bigoplus_{\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}} S_{\Re}(w,i(\chi))_{\mathcal{O}_y}.
$$
 (5.5)

In fact, for  $y \in \mathscr{X}_{Q,n}$ , let

<span id="page-34-1"></span>
$$
\operatorname{Wh}_{\psi}(I(\chi))_{\mathcal{O}_y} = \operatorname{Span}\left\{\lambda_{\mathbf{s}_z}^{\chi} : z \in \mathfrak{R}_y\right\} \subset \operatorname{Wh}_{\psi}(I(\chi))\tag{5.6}
$$

be the ' $\mathcal{O}_y$ -subspace' of the Whittaker space of  $I(\chi)$ . It is well defined and independent of the representatives for  $y \in \mathscr{X}_{Q,n}$ , and moreover,

$$
\dim \mathrm{Wh}_{\psi}(I(\chi))_{\mathcal{O}_y} = |\mathcal{O}_y|.
$$

We have a decomposition

$$
\operatorname{Wh}_{\psi}(I(\chi)) = \bigoplus_{\mathcal{O}_{y} \in \mathcal{O}_{\mathscr{X}}} \operatorname{Wh}_{\psi}(I(\chi))_{\mathcal{O}_{y}}.
$$

For every  $\sigma \in \text{Irr}(R_{\chi})$ , the inclusion  $h_{\sigma} : \pi_{\sigma} \hookrightarrow I(\chi)$  induces a surjection of vector spaces

$$
h_{\sigma}^*: \mathrm{Wh}_{\psi}(I(\chi)) \twoheadrightarrow \mathrm{Wh}_{\psi}(\pi_{\sigma}).
$$

Denote

<span id="page-34-0"></span>
$$
\operatorname{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_y} := h_{\sigma}^* \left( \operatorname{Wh}_{\psi}(I(\chi))_{\mathcal{O}_y} \right). \tag{5.7}
$$

Consider the natural permutation representation

$$
\sigma^{\mathscr{X}}: W \longrightarrow \text{Perm}(\mathscr{X}_{Q,n})
$$

of W given by  $\sigma_{\mathcal{X}}(\mathbf{w})(y) = \mathbf{w}[y]$ . Clearly, there is a decomposition

$$
\sigma^{\mathscr{X}} = \bigoplus_{\mathcal{O}_{y} \in \mathcal{O}_{\mathscr{X}}} \sigma_{\mathcal{O}_{y}}^{\mathscr{X}},
$$

where

$$
\sigma_{\mathcal{O}_y}^{\mathscr{X}}: W \longrightarrow \mathrm{Perm}\left(\mathcal{O}_y\right)
$$

is the permutation representation on the W-orbit  $\mathcal{O}_y$ . As we always identify  $R_\chi$  as a subgroup of  $W_\chi \subset W$ , we can thus view  $\sigma_{\mathcal{O}_y}^{\mathcal{X}}$  as a representation of  $R_\chi$  by restriction.

<span id="page-35-0"></span>**Conjecture 5.3.** Let  $\overline{G}$  be a saturated n-fold cover of a semisimple simply connected group G with  $\overline{G}^{\vee} \simeq G^{\vee}$ . Let  $\chi$  be a unitary unramified genuine character of  $Z(\overline{T})$ . Then for the natural correspondence (as discussed in Section  $\angle$ , 1)

$$
\operatorname{Irr}(R_\chi) \longrightarrow \Pi(\chi), \qquad \sigma \mapsto \pi_\sigma,
$$

we have

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_y} = \left\langle \sigma, \sigma_{\mathcal{O}_y}^{\mathcal{X}} \right\rangle_{R_{\chi}}
$$

for every W-orbit  $\mathcal{O}_y$ , where  $\braket{\sigma_1,\sigma_2}_{R_\chi}$  is the pairing of the two representations  $\sigma_1,\sigma_2$  of  $R_\chi$ . In particular,  $\dim Wh_\psi(\pi_\sigma) = \langle \sigma, \sigma^\mathscr{X} \rangle_{R_\chi}$  for every  $\sigma \in \text{Irr}(R_\chi)$ .

Note that the conjecture is trivially true for arbitrary  $\overline{G}$  if  $R_{\chi} = \{1\}$ . This should apply in particular to all Brylinski–Deligne covers of  $GL_r$ , for which  $R_\chi$  is expected to be trivial. We also recall that if G is simply connected, then by Definition [2.1](#page-12-1) G is saturated if and only if the dual group  $\overline{G}^{\vee}$  is of adjoint type – that is,  $Y_{Q,n} = Y_{Q,n}^{sc}$  in this case. In fact, it follows from  $\overline{G}^{\vee} \simeq G^{\vee}$  that  $\overline{G}$  is necessarily saturated.

**Remark 5.4.** Conjecture [5.3](#page-35-0) is compatible with the decomposition

$$
I(\chi) = \bigoplus_{\sigma \in \operatorname{Irr}(R_{\chi})} \pi_{\sigma}.
$$

Indeed, we have

$$
\sum_{\sigma \in \operatorname{Irr}(R_\chi)} \left\langle \sigma , \sigma_{\mathcal{O}_y}^{\mathscr{X}} \right\rangle_{R_\chi} = \left\langle \mathbf{C}[R_\chi] , \sigma_{\mathcal{O}_y}^{\mathscr{X}} \right\rangle_{R_\chi} = |\mathcal{O}_y|,
$$

which is equal to dim  $\mathrm{Wh}_{\psi}(I(\chi))_{\mathcal{O}_{\psi}}$ .

**Remark 5.5.** Ginzburg proposed in [\[21,](#page-59-16) Conjecture 1] that if  $\pi$  is an irreducible unramified representation of  $\overline{G}$  which is nongeneric, then there exists a nongeneric theta representation  $\Theta$  of a Levi subgroup  $\overline{M} \subset \overline{P} \subset \overline{G}$  such that  $\pi \hookrightarrow \text{Ind}_{\overline{P}}^G(\Theta)$ . On the other hand, Conjecture [5.3](#page-35-0) implies that for simply connected G, we have dim  $\text{Wh}_{\psi}(\pi_{\chi}^{un})$  =  $\left|\mathcal{O}_{\mathscr{X}}^{R_{\chi}}\right|$  (the number of  $R_{\chi}$ -orbits in  $\mathscr{X}_{Q,n}$ ), since  $\pi_{\chi}^{un} = \pi_{1}$ . That is, Ginzburg's conjecture is vacuously true for such an unramified representation  $\pi_{\chi}^{un}$ . For a comparison with the case of regular unramified  $\chi$ , see [\[18,](#page-59-1) Remark 7.4].

#### <span id="page-36-3"></span>**5.4.** A formula for dim  $Wh_{\psi}(\pi_{\sigma})$

In this subsection, let G be a general connected reductive group and let  $\overline{G}$  be an n-fold cover, unless specified otherwise. Consider the decomposition

$$
I(\chi) = \bigoplus_{\sigma \in \operatorname{Irr}(R_{\chi})} \pi_{\sigma}.
$$

Set  $w \in W_{\chi}$ . We have  $^w \chi \simeq \chi$ , and thus an endomorphism

$$
\mathscr{A}(w,\chi)^* : \mathrm{Wh}_{\psi}(I(\chi)) \to \mathrm{Wh}_{\psi}(I(\chi))
$$

induced from  $\mathscr{A}(w,\chi) = \gamma(w,\chi) \cdot A(w,\chi)$  (see Lemma [4.1\)](#page-18-1). In fact, if  $w \in R_{\chi}$ , then the normalising factor is nonzero, and thus  $A(w, \chi)$  is already holomorphic for every  $w \in R_{\chi}$ . In any case, it follows from Corollary [4.7](#page-26-1) that for  $w \in R_{\chi}$ , we have

$$
\mathscr{A}(w,\chi)^* = \bigoplus_{\sigma \in \operatorname{Irr}(R_{\chi})} \sigma(w) \cdot \operatorname{id}_{\operatorname{Wh}_{\psi}(\pi_{\sigma})},
$$

and therefore the characteristic polynomial of  $\mathscr{A}(w,\chi)^*$  is

$$
\det(X \cdot \mathrm{id} - \mathscr{A}(w, \chi)^*) = \det(X \cdot I_{|\mathscr{X}_{Q,n}|} - \mathscr{A}(w, \chi)^*)
$$
  
= 
$$
\prod_{\sigma \in \mathrm{Irr}(R_{\chi})} (X - \sigma(w))^{\dim \mathrm{Wh}_{\psi}(\pi_{\sigma})}.
$$

For every  $w \in W$  and  $\mathcal{O}_y \in \mathcal{O}_\mathcal{X}$ , the restriction of  $\mathscr{A}(w,\chi)^* : \text{Wh}_{\psi}(I({}^w\chi)) \to \text{Wh}_{\psi}(I(\chi))$ to  $Wh_{\psi}(I({}^w \chi))_{\mathcal{O}_y}$  gives a well-defined homomorphism

$$
\mathscr{A}(w,\chi)_{\mathcal{O}_y}^* : \mathrm{Wh}_{\psi}(I({}^w\chi))_{\mathcal{O}_y} \to \mathrm{Wh}_{\psi}(I(\chi))_{\mathcal{O}_y}
$$

represented by  $\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_y}$  (see [\[18,](#page-59-1) Proposition 4.4]). Moreover,

<span id="page-36-1"></span>
$$
\mathscr{A}(w,\chi)^* = \bigoplus_{\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}} \mathscr{A}(w,\chi)^*_{\mathcal{O}_y},\tag{5.8}
$$

where the sum is taken over all W-orbits in  $\mathscr{X}_{Q,n}$ . For  $w \in R_{\chi}$ , this gives

<span id="page-36-2"></span>
$$
\mathscr{A}(w,\chi)^{*}_{\mathcal{O}_y} = \bigoplus_{\sigma \in \text{Irr}(R_{\chi})} \sigma(\mathbb{w}) \cdot \text{id}_{\text{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_y}}.
$$
\n(5.9)

For every W-orbit  $\mathcal{O}_y \subset \mathscr{X}_{Q,n}$ , consider the map

$$
\sigma_{\mathcal{O}_y}^{\text{Wh}}: R_{\chi} \longrightarrow \text{GL}\left(\text{Wh}_{\psi}(I(\chi))_{\mathcal{O}_y}\right)
$$

given by

$$
\sigma^{\mathrm{Wh}}_{\mathcal{O}_y}(\mathbb{w}) := \mathscr{A}(w, \chi)_{\mathcal{O}_y}^*.
$$

Also let

<span id="page-36-0"></span>
$$
\sigma^{\text{Wh}}: R_{\chi} \longrightarrow GL(\text{Wh}_{\psi}(I(\chi))) \tag{5.10}
$$

be the map given by

$$
\sigma^{\mathrm{Wh}}(\mathbf{w}) := \mathscr{A}(w, \chi)^*.
$$

It is then clear from equation [\(5.8\)](#page-36-1) that

$$
\sigma^{\mathrm{Wh}} = \bigoplus_{\mathcal{O}_y \in \mathcal{O}_{\mathscr{X}}} \sigma^{\mathrm{Wh}}_{\mathcal{O}_y}.
$$

<span id="page-37-0"></span>**Theorem 5.6.** Let  $\overline{G}$  be an n-fold cover of a connected reductive group  $G$ . Then for every  $\mathcal{O}_y \in \mathcal{O}_{\mathcal{X}}$ , the map  $\sigma^{\mathrm{Wh}}_{\mathcal{O}_y}$  is a well-defined group homomorphism and

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_y} = \left\langle \sigma, \sigma_{\mathcal{O}_y}^{\mathrm{Wh}} \right\rangle_{R_{\chi}}
$$

for every  $\sigma \in \text{Irr}(R_\chi)$ . Hence,  $\dim \text{Wh}_{\psi}(\pi_\sigma) = \langle \sigma, \sigma^{\text{Wh}} \rangle_{R_\chi}$ .

**Proof.** For  $w, w' \in R_{\chi}$ , we have

$$
\begin{aligned}\n\sigma_{\mathcal{O}_y}^{\text{Wh}}(\mathbb{w}) \circ \sigma_{\mathcal{O}_y}^{\text{Wh}}(\mathbb{w}') &= \mathscr{A}(w, \chi)_{\mathcal{O}_y}^* \circ \mathscr{A}(w', \chi)_{\mathcal{O}_y}^* \\
&= \mathscr{A}(w, \chi)_{\mathcal{O}_y}^* \circ \mathscr{A}(w', {^w}\chi)_{\mathcal{O}_y}^* \\
&= (\mathscr{A}(w', {^w}\chi) \circ \mathscr{A}(w, \chi))_{\mathcal{O}_y}^* \\
&= \mathscr{A}(w'w, \chi)_{\mathcal{O}_y}^* \\
&= \sigma_{\mathcal{O}_y}^{\text{Wh}}(\mathbb{w}'\mathbb{w}) = \sigma_{\mathcal{O}_y}^{\text{Wh}}(\mathbb{w}\mathbb{w}'),\n\end{aligned}
$$

where the last equality follows from the fact that  $R_{\chi}$  is abelian (see Theorem [4.6\)](#page-26-0). This shows that  $\sigma_{\mathcal{O}_y}^{Wh}$  is a representation of  $R_\chi$  on  $Wh_\psi(I(\chi))_{\mathcal{O}_y}$ . Clearly, equation [\(5.9\)](#page-36-2) gives

$$
\sigma_{\mathcal{O}_y}^{\mathrm{Wh}} = \bigoplus_{\sigma \in \mathrm{Irr}(R_\chi)} \dim \mathrm{Wh}_{\psi}(\pi_\sigma)_{\mathcal{O}_y} \cdot \sigma,
$$

and thus it follows that

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_y} = \left\langle \sigma, \sigma_{\mathcal{O}_y}^{\mathrm{Wh}} \right\rangle_{R_{\chi}}.
$$

This completes the proof.

If  $w \in R_{\chi}$ , then  $c_{\mathsf{g}\mathsf{k}}(w,\chi)^{-1} = \gamma(w,\chi)$  – see Lemma [4.1](#page-18-1) – is actually holomorphic and nonzero at  $\chi$ . Denote by  $\theta_{\sigma_{\mathcal{O}_y}^{\text{Wh}}}$  the character of  $\sigma_{\mathcal{O}_y}^{\text{Wh}}$ . For  $w \in R_{\chi}$ , we have

$$
\theta_{\sigma_{\mathcal{O}_y}^{\text{Wh}}}(\mathbf{w}) = \gamma(w, \chi) \cdot \text{Tr}\left(A(w, \chi)_{\mathcal{O}_y}^*\right)
$$

and thus

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\sigma})_{\mathcal{O}_{y}} = \frac{\gamma(w, \chi)}{|R_{\chi}|} \cdot \sum_{w \in R_{\chi}} \overline{\sigma(w)} \cdot \mathrm{Tr}\left(A(w, \chi)_{\mathcal{O}_{y}}^{*}\right).
$$

Recall the permutation representation

$$
\sigma_{\mathcal{O}_y}^{\mathscr{X}}: W \longrightarrow \mathrm{Perm}\left(\mathcal{O}_y\right)
$$

 $\Box$ 

associated to the W-orbit  $\mathcal{O}_y$ . Let  $\theta_{\sigma_{\mathcal{O}_y}^{\mathscr{X}}}$  be the character of  $\sigma_{\mathcal{O}_y}^{\mathscr{X}}$ . Then for every  $w \in W$ , we have

$$
\theta_{\sigma_{\mathcal{O}_{y}}^{\mathcal{X}}}(\mathbb{W}) = |(\mathcal{O}_{y})^{\mathbb{W}}|,
$$

where

$$
\left(\mathcal{O}_y\right)^{\ltimes}=\left\{y\in\mathcal{O}_y:\ltimes [y]=y\right\}.
$$

By restriction, we view both  $\sigma^{\mathscr{X}}$  and  $\sigma^{\mathscr{X}}_{\mathcal{O}_y}$  as representations of  $R_\chi$ . Conjecture [5.3](#page-35-0) can be reformulated as follows:

<span id="page-38-1"></span>**Conjecture 5.7.** Let  $\overline{G}$  be a saturated n-fold cover of a semisimple simply connected group G with  $\overline{G}^{\vee} \simeq G^{\vee}$ . Let  $\chi$  be a unitary unramified genuine character of  $Z(\overline{T})$ . Then for every W-orbit  $\mathcal{O}_y$ , we have

$$
\sigma_{\mathcal{O}_y}^{\text{Wh}} \simeq \sigma_{\mathcal{O}_y}^{\mathscr{X}};
$$

equivalently but more explicitly, for every  $w \in R_{\chi}$ ,

$$
\operatorname{Tr}\left(A(w,\chi)_{\mathcal{O}_y}^*\right) = |(\mathcal{O}_y)^\sim| \cdot \gamma(w,\chi)^{-1}.
$$

The equivalence between Conjectures [5.3](#page-35-0) and [5.7](#page-38-1) follows from Theorem [5.6.](#page-37-0) The computation of  $\text{Tr}\left(A(w,\chi)_{\mathcal{O}_y}^*\right)$  is equivalent to that of  $\text{Tr}\left(\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_y}\right)$  for any representative set  $\mathfrak{R} \subset Y$  of  $\mathscr{X}_{Q,n}$ .

**Remark 5.8.** Conjecture [5.7](#page-38-1) answers in a special case for the scattering matrix the analogous question raised in [\[19,](#page-59-2) §3.2] regarding the trace of a local coefficient matrix. We note that if  ${}^w\chi = \chi$ , then both the local coefficient matrix and the scattering matrix associated to the operator  $A(w, \chi)^*$  give invariants of the operator, albeit different. In this paper, it is the latter that is used and plays a crucial role in determining dim  $Wh_{\psi}(\pi_{\sigma})$ . We hope that this phenomenon also helps justify our viewpoint in [\[19\]](#page-59-2) that both the local coefficient matrix and the scattering matrix are important objects and should be studied together.

# <span id="page-38-0"></span>**5.5.** Double cover of  $\text{GSp}_{2r}$

In this subsection, we apply Theorem [5.6](#page-37-0) to the double cover of  $GSp_{2r}$  and show that it recovers [\[48,](#page-60-12) Corollary 6.6]. Meanwhile, we also show that the analogue of Conjecture [5.7](#page-38-1) fails for such covers. This example shows that the conjecture cannot be extended in a naive way to covers of a reductive group whose derived subgroup is simply connected.

Let  $GSp_{2r}$  be the group of similitudes of symplectic type, and let  $(X, \Delta, Y, \Delta^{\vee})$  be its root data, given as follows. The character group  $X \simeq \mathbf{Z}^{r+1}$  has a standard basis

$$
\{e_i^*: 1 \le i \le r\} \cup \{e_0^*\},\
$$

where the simple roots are

$$
\Delta = \left\{ e_i^* - e_{i+1}^* : 1 \le i \le r - 1 \right\} \cup \left\{ 2e_r^* - e_0^* \right\}.
$$

The cocharacter group  $Y \simeq \mathbf{Z}^{r+1}$  is given with a basis

$$
\{e_i: 1 \le i \le r\} \cup \{e_0\}.
$$

The simple coroots are

$$
\Delta^{\vee} = \{e_i - e_{i+1} : 1 \le i \le r-1\} \cup \{e_r\}.
$$

Write  $\alpha_i = e_i^* - e_{i+1}^*$ ,  $\alpha_i^{\vee} = e_i - e_{i+1}$  for  $1 \leq i \leq r-1$ , and also  $\alpha_r = 2e_r^* - e_0^*$ ,  $\alpha_r^{\vee} = e_r$ . Consider the covering  $\overline{\text{GSp}}_{2r}$  incarnated by  $(D,1)$ . We are interested in those  $\overline{\text{GSp}}_{2r}$  whose restriction to  $Sp_{2r}$  is the one with  $Q(\alpha_r^{\vee}) = 1$ . That is, we assume

$$
Q(\alpha_i^{\vee}) = 2
$$
 for  $1 \le i \le r - 1$ , and  $Q(\alpha_r^{\vee}) = 1$ .

Since  $\Delta^{\vee} \cup \{e_0\}$  gives a basis for Y, to determine Q it suffices to specify  $Q(e_0)$ . For  $n = 2$ , we will obtain a double cover  $\overline{\text{GSp}}_{2r}$  which restricts to the classical metaplectic double cover  $\overline{\text{Sp}}_{2r}$ . The number  $Q(e_0) \in \mathbb{Z}/2\mathbb{Z}$  determines whether the similitude factor  $F^{\times}$  corresponding to the cocharacter  $e_0$  splits into  $GSp_{2r}$  or not. To recover the classical double cover of  $GSp_{2r}$  (see [\[48\]](#page-60-12)), we take  $Q(e_0)$  to be an even number in this subsection.

In this case, we have

$$
Y_{Q,2} = \left\{ \sum_{i=1}^r k_i \alpha_i^{\vee} + k e_0 \in Y : k_i \in \mathbf{Z} \text{ for } 1 \le i \le r-1, k_r, k \in 2\mathbf{Z} \right\}.
$$

The sublattice  $Y_{Q,2}^{sc}$  is spanned by  $\{\alpha_{i,Q,2}^{\vee}\}_{1 \leq i \leq r}$  – that is,

$$
\left\{\alpha_1^\vee,\alpha_2^\vee,...,\alpha_{r-1}^\vee,2\alpha_r^\vee\right\}.
$$

Regarding the dual group of the double cover  $\overline{\text{GSp}}_{2r}$ , we have

$$
\overline{\text{GSp}}_{2r}^{\vee} = \begin{cases} \text{GSp}_{2r}(\mathbf{C}) & \text{if } r \text{ is odd,} \\ \text{PGSp}_{2r}(\mathbf{C}) \times \text{GL}_1(\mathbf{C}) & \text{if } r \text{ is even.} \end{cases}
$$

Thus, **H** is  $GSp_{2r}$  (resp.,  $Spin_{2r+1} \times GL_1$ ) if r is odd (resp., even). Note that

$$
\mathcal{X}_{Q,2} = Y/Y_{Q,2} = \{0, e_r, e_0, e_r + e_0\},\,
$$

which is isomorphic to  $\mathbf{Z}/2\mathbf{Z} \times \mathbf{Z}/2\mathbf{Z}$ .

If r is odd, then the torus  $T_{Q,2}$  of **H** acts transitively on all nondegenerate characters of the unipotent subgroup of the Borel subgroup of **H**. Thus,  $R_{\chi} = \{1\}$  for every unramified unitary character  $\chi$  (see [\[32,](#page-59-13) Lemma 2.5]). Therefore, the R-group  $R_{\chi}$  for  $\overline{\text{GSp}}_{2r}$  with r odd is trivial for every unitary unramified genuine character  $\chi$ .

We assume that r is even. For every  $\alpha \in \Phi$ , recall that we have the notation (see Section [3.2\)](#page-13-0)

$$
\chi_{\alpha} := \underline{\chi}_{\alpha}(\varpi) = \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right).
$$

It follows from [\[26,](#page-59-5) Page 399] that the only nontrivial  $R_\chi$  is  $\{1,\omega\} \simeq \mathbb{Z}/2\mathbb{Z}$ , which is generated by

$$
\mathbb{w}:=\mathbb{w}_{\alpha_1}\mathbb{w}_{\alpha_3}\cdots \mathbb{w}_{\alpha_{r-1}},
$$

with the character  $\chi$  satisfying

$$
\chi_{\alpha_i} = -1 \text{ for all } i = 2k - 1, 1 \le k \le r/2.
$$

<span id="page-40-2"></span>**Proposition 5.9.** Assume r is even and  $\chi$  is an unramified character satisfying the foregoing condition. Then as a representation of  $R_{\chi}$ ,

$$
\sigma^{\text{Wh}} \simeq 2 \cdot \mathbb{1} \oplus 2 \cdot \varepsilon,
$$

where  $\varepsilon$  denotes the nontrivial character of  $R_{\chi}$ .

**Proof.** It suffices to compute the trace of  $\sigma^{Wh}$ . It is easy to see that for every  $y \in \mathcal{X}_{Q,2}$ and  $w_{\alpha_i}$ ,  $i = 1,3,...,r-1$ , we have

$$
\mathbb{W}_{\alpha_i}[y] = y \in \mathscr{X}_{Q,2}.
$$

Thus, by [\[19,](#page-59-2) Proposition 4.12], the  $(y, y)$ -entry of  $A(w, y)^*$  is given by

$$
\tau(w,\chi,\mathbf{s}_y,\mathbf{s}_y)=\gamma(w,\chi)^{-1}\cdot\prod_{i=1,3,\ldots,r-1}\chi_{\alpha_i}^{\langle y,\alpha_i\rangle}=\gamma(w,\chi)^{-1}\cdot(-1)^{\langle y,\alpha_i\rangle}.
$$

We see

$$
\gamma(w,\chi) \cdot \tau(w,\chi,\mathbf{s}_y,\mathbf{s}_y) = \begin{cases} 1 & \text{if } y = 0, \\ -1 & \text{if } y = e_r, \\ 1 & \text{if } y = e_0, \\ -1 & \text{if } y = e_r + e_0. \end{cases}
$$

This shows that  $\text{Tr}(\sigma^{\text{Wh}})(w) = 0$ . Thus,  $\sigma^{\text{Wh}} = 2 \cdot \mathbb{1} \oplus 2 \cdot \varepsilon$ , as claimed.

<span id="page-40-1"></span>**Theorem 5.10** ([\[48,](#page-60-12) Corollary 6.6]). If r is odd, then every unitary unramified genuine principal series  $I(\chi)$  for the double cover  $\overline{GSp}_{2r}$  is irreducible. If r is even, then the only reducibility of  $I(\chi)$  occurs when  $R_{\chi} \simeq \mathbf{Z}/2\mathbf{Z}$ ; in this case,  $I(\chi) = \pi_{\chi}^{un} \oplus \pi_{\varepsilon}$ , and

$$
\dim \mathrm{Wh}_{\psi} \left( \pi^{un}_{\chi} \right) = \dim \mathrm{Wh}_{\psi}(\pi_{\varepsilon}) = 2.
$$

**Proof.** We only need to show the last two equalities, which follow from combining Theorem [5.6](#page-37-0) and Proposition [5.9.](#page-40-2) П

**Remark 5.11.** It follows from the proof of Proposition [5.9](#page-40-2) that  $w[y] = y$  for every  $y \in \mathbb{R}$  $\mathscr{X}_{Q,2}$ . Thus,

$$
\sigma^{\mathscr{X}}=4\cdot\mathbb{1};
$$

in particular, it is not isomorphic to  $\sigma^{Wh}$ . We see that the (naive) analogue of Conjecture [5.7](#page-38-1) fails for such  $\overline{\text{GSp}}_{2r}$ .

# <span id="page-40-0"></span>**6.** On the dimension of  $\text{Wh}_{\psi}\left(\pi^{un}_{\chi}\right)$

# **6.1.** Lower bound for  $\dim \mathbf{Wh}_{\psi} \left( \pi^{un}_{\chi} \right)$

In this subsection, we will prove Conjecture [5.7](#page-38-1) for  $\pi_{\chi}^{un}$  in a special case, which gives a lower bound of dim  $\mathrm{Wh}_{\psi}(\pi_{\chi}^{un}).$ 

 $\Box$ 

Let  $\overline{G}$  be an *n*-fold covering group of a connected reductive group G. Assume that  $\overline{G}$  is not of metaplectic type (see Definition [2.1\)](#page-12-1). We call  $z \in Y$  an exceptional point (see [\[19,](#page-59-2) Definition 5.1]) if

$$
\langle z_\rho,\alpha\rangle=-n_\alpha
$$

for every  $\alpha \in \Delta$  – that is,

$$
\mathbb{W}_{\alpha}[z] = z + \alpha_{Q,n}^{\vee} \text{ for every } \alpha \in \Delta.
$$

Note that the definition here is the same as [\[19,](#page-59-2) Definition 5.1], since we have assumed that  $G$  is not of metaplectic type.

For  $\overline{G}$  not of metaplectic type, denote by  $Y_n^{\text{exc}} \subset Y$  the set of exceptional points. Let

$$
f: Y \twoheadrightarrow \mathscr{X}_{Q,n}
$$

be the quotient map, and denote

$$
\mathscr{X}_{Q,n}^{\rm exc}:=f\left(Y_n^{\rm exc}\right).
$$

If  $y \in Y$  is exceptional, then  $y \in f^{-1}(\mathcal{X}_{Q,n}^W)$ ; that is,

$$
\mathscr{X}_{Q,n}^{\mathrm{exc}} \subset (\mathscr{X}_{Q,n})^W.
$$

Denoting

$$
\rho_{Q,n} := \frac{1}{2} \sum_{\alpha > 0} \alpha_{Q,n}^{\vee} \in Y \otimes \mathbf{Q},
$$

we always have

$$
(\{\rho-\rho_{Q,n}\}\cap Y)\subseteq Y_n^{\rm exc}.
$$

If G is a semisimple group and  $\overline{G}$  is not of metaplectic type, then (see [\[19,](#page-59-2) Lemma 5.2])

$$
Y_n^{\text{exc}} = \{ \rho - \rho_{Q,n} \} \cap Y;
$$

that is,  $Y_n^{\text{exc}}$  contains the unique element  $\rho - \rho_{Q,n}$  if it lies in Y. The dependence of  $Y_n^{\text{exc}}$ on  $\overline{G}$  for covers of simply connected groups is also determined explicitly in [\[19,](#page-59-2) §6–§7].

<span id="page-41-0"></span>**Theorem 6.1.** Let  $\overline{G}$  be an n-fold cover of a connected reductive group G. Assume that  $\overline{G}$ is not of metaplectic type. Then for every  $z \in \mathscr{X}_{Q,n}^{\text{exc}}$  and  $\omega \in R_{\chi}$ , we have  $\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_z} =$  $\gamma(w,\chi)^{-1}$ . Therefore,

$$
\sigma_{\mathcal{O}_z}^{\text{Wh}} = \sigma_{\mathcal{O}_z}^{\mathcal{X}} = \mathbb{1}_{R_\chi},
$$

and thus

$$
\dim \mathrm{Wh}_{\psi} \left( \pi_{\chi}^{un} \right) \ge \left| \mathscr{X}_{Q,n}^{\mathrm{exc}} \right|.
$$

In particular, if  $\rho - \rho_{Q,n} \in Y$ , then  $\pi_{\chi}^{un}$  is generic. Moreover, Conjecture [5.7](#page-38-1) holds for such  $\mathcal{O}_z$ .

**Proof.** Since  $\mathcal{O}_z = \{z\}$ , we have

$$
\mathcal{S}_{\Re}(w,i(\chi))_{\mathcal{O}_z} = \tau(w,\chi,\mathbf{s}_z,\mathbf{s}_z).
$$

First, we note that by equation [\(5.2\)](#page-32-0) and the fact that  $\chi$  is fixed by  $\omega \in R_{\chi}$ , the entry  $\tau(w,\chi,\mathbf{s}_z,\mathbf{s}_z)$  is independent of the representative for  $z \in \mathscr{X}_{Q,n}^{\text{exc}}$ . It follows from [\[19,](#page-59-2) Proposition 4.12] (as  $\overline{G}$  is not of metaplectic type) that

$$
\tau(w,\chi,\mathbf{s}_z,\mathbf{s}_z)=\gamma(w,\chi)^{-1}
$$

for every  $z \in \mathscr{X}_{Q,n}^{\text{exc}}$ , and Conjecture [5.7](#page-38-1) holds for such  $\mathcal{O}_z$ . In fact,  $\sigma_{\mathcal{O}_z}^{\text{Wh}} = \sigma_{\mathcal{O}_z}^{\mathcal{X}} = \mathbb{1}_{R_\chi}$ , and thus

$$
\dim \operatorname{Wh}_{\psi}\left(\pi^{\operatorname{un}}_{\chi}\right)_{\mathcal{O}_z}=\left\langle \mathbb{1}, \sigma^{\operatorname{Wh}}_{\mathcal{O}_z} \right\rangle_{R_{\chi}}=1.
$$

Therefore, dim  $\text{Wh}_{\psi}(\pi_{\chi}^{un}) \geq |\mathscr{X}_{Q,n}^{\text{exc}}|$ . This completes the proof.

For covers of a semisimple and simply connected group  $G$ , it follows from [\[19,](#page-59-2) Theorem 6.3] that

$$
0 \leq \left| \mathcal{X}_{Q,n}^{\text{exc}} \right| \leq \left| \left( \mathcal{X}_{Q,n} \right)^W \right| \leq 1.
$$

In fact, in [\[19,](#page-59-2) §7] we determined explicitly the size of the two sets  $\mathscr{X}_{Q,n}^{\text{exc}}$  and  $(\mathscr{X}_{Q,n})^W$ . On the other hand, if  $G$  is semisimple but not simply connected, then it is possible to have

$$
\left|\mathscr{X}_{Q,n}^{\text{exc}}\right| \leq 1 < \left|(\mathscr{X}_{Q,n})^W\right|.
$$

See [\[19\]](#page-59-2) for details.

We note that the equality  $\sigma_{\mathcal{O}_z}^{Wh} = \sigma_{\mathcal{O}_z}^{\mathcal{X}}$  in Theorem [6.1](#page-41-0) might fail for  $z \in (\mathcal{X}_{Q,n})^W - \mathcal{X}_{Q,n}^{\text{exc}}$ for covers of a semisimple group; we will consider such an example from  $n$ -fold covers of  $SO<sub>3</sub>$  in the next section. This example shows that the naive analogue of Conjecture [5.3](#page-35-0) does not hold for general semisimple groups.

**Remark 6.2.** If G is almost simple and  $\overline{G}$  is of metaplectic type, then it follows from the discussion after Definition [2.1](#page-12-1) that  $\overline{G} = \overline{\text{Sp}}_{2r}$  with  $n_{\alpha} \equiv 2 \pmod{4}$ . Moreover, Example [4.11](#page-30-2) shows that  $R_{\chi} = \{1\}$  in this case, and thus the (in-)equalities dim  $\text{Wh}_{\psi}(\pi_{\chi}^{un}) = |\mathscr{X}_{Q,n}| \ge$  $\left|\mathscr{X}_{Q,n}^{\text{exc}}\right|$  hold trivially.

#### <span id="page-42-0"></span>**6.2. Unramified Whittaker function**

We note that Theorem [6.1](#page-41-0) applied to the case  $n=1$  shows that  $\pi_{\chi}^{un}$  is the only generic constituent of  $I(\chi)$  for the linear algebraic group G. This fact also follows from the Casselman–Shalika formula [\[12\]](#page-59-17). Motivated by this, for covering groups we consider in this subsection the relation between  $\dim Wh_{\psi}\left(\pi_{\chi}^{un}\right)$  and the unramified Whittaker function, which is also the approach taken in [\[21\]](#page-59-16), but for a general unramified genuine character.

First, by restriction, we obtain a surjection of vector spaces

$$
h^{un}: \operatorname{Wh}_{\psi}(I(\chi)) \twoheadrightarrow \operatorname{Wh}_{\psi}(\pi_{\chi}^{un}).
$$

 $\Box$ 

Let  $f^0 \in \pi_\chi^{un} \subset I(\chi)$  be the normalised unramified function. For  $\mathbf{c} \in \text{Ftn}(i(\chi))$ , let  $\lambda_c \in Wh_{\psi}(\tilde{I}(\chi))$  be the associated Whittaker functional, also viewed as an element in  $Wh_{\psi}(\pi_{\chi}^{un})$ ; that is, we may still use  $\lambda_{c}$  for  $h^{un}(\lambda_{c})$  if no confusion arises. The unramified Whittaker function on  $\overline{G}$  associated with **c** is given by

$$
\mathcal{W}_{\mathbf{c}}\left(\overline{g}\right) = \lambda_{\mathbf{c}}\left(\pi_{\chi}^{un}\left(\overline{g}\right)f^{0}\right) = \lambda_{\mathbf{c}}\left(I(\chi)\left(\overline{g}\right)f^{0}\right).
$$

We have a decomposition  $\overline{G} = \overline{B}K = U\overline{T}K$  and

$$
\mathcal{W}_{\mathbf{c}}\left(u\overline{t}k\right) = \psi(u) \cdot \mathcal{W}_{\mathbf{c}}\left(\overline{t}\right) \text{ for } u \in U, \overline{t} \in \overline{T}, k \in K.
$$

Thus, the value of  $\mathcal{W}_{c}$  is determined by its restriction to  $\overline{T}$ . If  $\mathbf{c} = \mathbf{c}_{\gamma}$  for  $\gamma \in \overline{T}$ , then we denote

$$
\mathcal{W}_{\gamma} := \mathcal{W}_{\mathbf{c}_{\gamma}}.
$$

Recall that  $\bar{t} \in \overline{T}$  is called dominant if

$$
\overline{t} \cdot (U \cap K) \cdot \overline{t}^{-1} \subset K.
$$

Let

$$
Y^+ = \{ y \in Y : \langle y, \alpha \rangle \ge 0 \text{ for all } \alpha \in \Delta \}.
$$

Then an element  $\mathbf{s}_y \in \overline{T}$  is dominant if and only if  $y \in Y^+$ . The following result regarding  $W_{\gamma}(\bar{t})$  is shown in [\[24,](#page-59-14) [38,](#page-60-21) [13\]](#page-59-18) for coverings of  $GL_{r}$ . For a general covering group, the idea is the same; it is implicit in [\[37\]](#page-60-20) and explicated in [\[17\]](#page-59-19).

**Proposition 6.3.** We have  $W_\gamma(\bar{t}) = 0$  unless  $\bar{t} \in \overline{T}$  is dominant. Moreover, for dominant  $\overline{t}$ .

$$
\mathcal{W}_{\gamma}(\bar{t}) = \delta_B^{1/2}(\bar{t}) \cdot \sum_{w \in W} c_{\mathsf{g}k} (w_G w^{-1}, \chi) \cdot \tau \left(w, {}^{w^{-1}} \chi, \gamma, w_G \cdot \bar{t} \cdot w_G^{-1}\right),
$$

where  $\delta_B$  is the modular character of B.

It follows that for  $z \in Y$  and  $y \in Y$ ,

$$
\mathcal{W}_{\mathbf{s}_z}(\mathbf{s}_y) = \begin{cases} \delta_B^{1/2}(\mathbf{s}_y) \cdot \sum_{w \in W} c_{\mathbf{g}\mathbf{k}} \left( w_G w^{-1} \cdot \chi \right) \cdot \tau \left( w,^{w^{-1}} \chi, \mathbf{s}_z, w_G \cdot \mathbf{s}_y \cdot w_G^{-1} \right) & \text{if } y \in Y^+, \\ 0 & \text{otherwise.} \end{cases}
$$

For every  $\gamma, \overline{t} \in \overline{T}$ , we define

$$
\mathcal{W}_{\gamma}^*\left(\overline{t}\right):=\delta_B^{1/2}\left(\overline{t}\right)^{-1}\cdot\sum_{w\in W}c_{\mathsf{g}\mathsf{k}}\left(w_Gw^{-1},\chi\right)\cdot\tau\left(w,^{w^{-1}}\chi,\gamma,\overline{t}\right).
$$

We emphasise that here  $\bar{t}$  is not required to be dominant. In particular,

<span id="page-43-0"></span>
$$
\mathcal{W}_{\mathbf{s}_z}^*(\mathbf{s}_y) = \delta_B^{1/2}(\mathbf{s}_y)^{-1} \cdot \sum_{w \in W} c_{\mathbf{g}\mathbf{k}} \left( w_G w^{-1}, \chi \right) \cdot \tau \left( w,^{w^{-1}} \chi, \mathbf{s}_z, \mathbf{s}_y \right) \tag{6.1}
$$

for every  $z, y \in Y$ . We can extend by linearity and define  $\mathcal{W}_{\mathbf{c}}^{\ast}(\bar{t})$  for every  $\mathbf{c} \in \text{Ftn}(i(\chi))$ . If  $w_G^{-1} \overline{t} w_G$  is dominant, then

$$
\mathcal{W}_{\mathbf{c}}^*\left(\overline{t}\right) = \mathcal{W}_{\mathbf{c}}\left(w_G^{-1}\overline{t}w_G\right).
$$

We denote

$$
\mathcal{W}^* := \{ \mathcal{W}_\mathbf{c}^* : \mathbf{c} \in \text{Ftn}(i(\chi)) \}.
$$

If  $\mathcal{W}_{\mathbf{c}}^* = 0$  as a function of  $\overline{T}$ , then  $\mathcal{W}_{\mathbf{c}} = 0$ , and it follows that  $\lambda_{\mathbf{c}}(v) = 0$  for every  $v \in \pi_{\chi}^{un}$ as the unramified vector  $f^0$  generates  $\pi_{\chi}^{un}$ . Thus, we have an injection of vector spaces

<span id="page-44-0"></span>
$$
\operatorname{Wh}_{\psi} \left( \pi_{\chi}^{un} \right) \longrightarrow \mathcal{W}^* \tag{6.2}
$$

given by

 $\lambda_{\mathbf{c}} \mapsto \mathcal{W}_{\mathbf{c}}^*$ .

For  $z \in \mathscr{X}_{Q,n}$ , let  $\mathcal{W}^*_{\mathcal{O}_z} \subset \mathcal{W}^*$  be the subspace spanned by  $\left\{ \mathcal{W}^*_{\mathbf{s}_{z'}} : z' \in \mathfrak{R}_z \right\}$ , where  $\mathfrak{R}_z \subset Y$  is a set of representatives of  $\mathcal{O}_z$ . Note that  $\mathcal{W}_{s_{z'}}^*$  depends on the representative z'; however, the space  $\mathcal{W}_{\mathcal{O}_z}^*$  depends only on  $\mathcal{O}_z$ . From the restriction of formula [\(6.2\)](#page-44-0), we obtain

<span id="page-44-1"></span>
$$
\operatorname{Wh}_{\psi}\left(\pi_{\chi}^{un}\right)_{\mathcal{O}_{z}} \xrightarrow{\qquad} \mathcal{W}_{\mathcal{O}_{z}}^{*}.\tag{6.3}
$$

Let  $\mathbf{C}^{|\mathcal{O}_z|}$  be the  $|\mathcal{O}_z|$ -dimensional complex vector space. Endow it with the coordinates indexed by  $\mathfrak{R}_z$ . Thus we write  $(c_y)_{y \in \mathfrak{R}_z}$  for a general vector in  $\mathbf{C}^{|\mathcal{O}_z|}$ . Depending on  $\mathfrak{R}_z$ , there is an evaluation map

$$
\nu_{\mathfrak{R}_z}:\mathcal{W}^*_{\mathcal{O}_z}\longrightarrow \mathbf{C}^{|\mathcal{O}_z|},
$$

with the *y*th coordinate of  $\nu_{\Re_z}(\mathcal{W}_{\mathbf{s}_{z'}}), y, z' \in \Re_z$  given by

$$
\nu_{\mathfrak{R}_z}\left(\mathcal{W}^*_{\mathbf{s}_{z'}}\right)_y=\mathcal{W}^*_{\mathbf{s}_{z'}}\left(\mathbf{s}_y\right);
$$

that is,

$$
\nu_{\mathfrak{R}_z} \left( \mathcal{W}_{\mathbf{s}_{z'}}^* \right) = \left( \mathcal{W}_{\mathbf{s}_{z'}}^* \left( \mathbf{s}_y \right) \right)_{y \in \mathfrak{R}_z} \in \mathbf{C}^{|\mathcal{O}_z|}.
$$

If  $\mathfrak{R} \subset Y$  is a set of representatives for  $\mathscr{X}_{Q,n}$ , then we have a unique subset  $\mathfrak{R}_z \subset \mathfrak{R}$ representing  $\mathcal{O}_z$ . By combining all the  $\nu_{\Re z}$ , we obtain an evaluation map

$$
\nu: \mathcal{W}^* \longrightarrow {\mathbf{C}}^{|\mathscr{X}_{Q,\,n}|},
$$

which depends on the chosen  $\mathfrak{R}$ . In particular, for a general  $\mathbf{c} \in \text{Ftn}(i(\gamma))$ , we have

$$
\nu \left( \mathcal{W}_{\mathbf{c}}^* \right)_y = \mathcal{W}_{\mathbf{c}}^* \left( \mathbf{s}_y \right).
$$

For every  $\mathcal{O}_z \in \mathcal{O}_{\mathcal{X}}$ , composing formula [\(6.3\)](#page-44-1) with  $\nu_{\mathfrak{R}_z}$  gives a vector space homomorphism

$$
\nu^{\chi}_{\mathfrak{R}_z}: \mathrm{Wh}_{\psi}\left(\pi^{un}_{\chi}\right)_{\mathcal{O}_z} \longrightarrow \mathbf{C}^{|\mathcal{O}_z|}.
$$

Similarly, we have

$$
\nu^{\chi} : \operatorname{Wh}_{\psi}\left( \pi^{un}_{\chi} \right) \longrightarrow {\mathbf{C}}^{|\mathscr{X}_{Q,n}|}
$$

with

$$
\nu^{\chi} = \bigoplus_{\mathcal{O}_z \in \mathcal{O}_{\mathscr{X}}} \nu_{\mathfrak{R}_z}^{\chi}.
$$

<span id="page-45-2"></span>**Conjecture 6.4.** For every W-orbit  $\mathcal{O}_z$  and every choice of  $\mathfrak{R}_z$ , the homomorphism  $\nu_{\mathfrak{R}_z}^{\chi} : \text{Wh}_{\psi}(\pi_{\chi}^{un})_{\mathcal{O}_z} \to \mathbf{C}^{|\mathcal{O}_z|}$  is injective. Thus,  $\dim \text{Wh}_{\psi}(\pi_{\chi}^{un})_{\mathcal{O}_z} = \text{rank}(\nu_{\mathfrak{R}_z}^{\chi})$  and

$$
\dim \mathrm{Wh}_{\psi} \left( \pi^{un}_{\chi} \right) = \sum_{\mathcal{O}_z \in \mathcal{O}_{\mathscr{X}}} \mathrm{rank} \left( \nu^{\chi}_{\mathfrak{R}_z} \right).
$$

For application purposes – that is, to determine  $\dim Wh_{\psi}\left(\pi_{\chi}^{un}\right)_{\mathcal{O}_{\chi}}$  – it is sufficient to consider one  $\mathfrak{R}_z$ . More precisely, for low-rank groups, or low-degree covering groups, we can verify the injectivity in Conjecture [6.4](#page-45-2) for a particular  $\mathfrak{R}_z$  and compute rank  $(\nu_{\mathfrak{R}_z}^{\chi})$ explicitly.

<span id="page-45-1"></span>**Theorem 6.5.** Let  $\overline{G}$  be an n-fold cover of a linear algebraic group  $G$ , which is not of metaplectic type (see Definition [2.1\)](#page-12-1). For every  $z \in Y_n^{\text{exc}},$  taking  $\Re_z = \{z\}$ , we have

$$
\mathrm{rank}\left(\nu_{\mathfrak{R}_z}^{\chi}\right)=1.
$$

Hence, dim  $\text{Wh}_{\psi}(\pi_{\chi}^{un}) \geq |\mathscr{X}_{Q,n}^{\text{exc}}|$ .

**Proof.** If  $z \in Y_n^{\text{exc}}$ , then  $-z$  is dominant and it follows from [\[19,](#page-59-2) Theorem 5.6] that we have a Casselman–Shalika formula for  $\overline{G}$  which reads

$$
\mathcal{W}_{\mathbf{s}_z}^*(\mathbf{s}_z) = \delta_B^{1/2}(\mathbf{s}_z)^{-1} \cdot \prod_{\alpha > 0} \left(1 - q^{-1} \chi_\alpha\right).
$$

Since  $\chi$  is unitary, we see that

$$
\nu^{\chi}_{\mathfrak{R}_z}(\lambda_{\mathbf{s}_z})=\mathcal{W}^*_{\mathbf{s}_z}(\mathbf{s}_z)\neq 0.
$$

This shows that  $\nu_{\Re_z}^{\chi}$  is an isomorphism between the 1-dimensional vector spaces. The result follows.

Theorem [6.5](#page-45-1) is compatible with Theorem [6.1,](#page-41-0) though the approach in the former highlights the role of unramified Whittaker functions.

# <span id="page-45-0"></span>**7. Covers of symplectic groups**

The goal of this section is to show that Conjecture [5.7](#page-38-1) (equivalently Conjecture [5.3\)](#page-35-0) holds for  $\overline{\text{Sp}}_{2r}^{(n)}, r \ge 1$ , and  $\overline{\text{SL}}_3^{(2)}$ . Recall that for every  $\alpha \in \Phi$ , we denote

$$
\chi_{\alpha} := \underline{\chi}_{\alpha}(\varpi) = \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right).
$$

# 7.1. Covers of  $\text{Sp}_{2r}, r \geq 1$

Consider the Dynkin diagram for the simple coroots of  $Sp_{2r}$ :

❡❡❡❡❡ ♣♣♣♣♣♣♣♣♣ <sup>&</sup>gt; <sup>α</sup><sup>∨</sup> <sup>1</sup> α<sup>∨</sup> <sup>2</sup> α<sup>∨</sup> <sup>r</sup>−<sup>2</sup> α<sup>∨</sup> <sup>r</sup>−<sup>1</sup> α<sup>∨</sup> r .

Let  $Y = Y^{\text{sc}} = \langle \alpha_1^{\vee}, \alpha_2^{\vee}, \dots, \alpha_{r-1}^{\vee}, \alpha_r^{\vee} \rangle$  be the cocharacter lattice of  $\text{Sp}_{2r}$ , where  $\alpha_r^{\vee}$  is the short coroot as shown in the diagram. For simplicity, let Q be the Weyl-invariant quadratic form on Y such that  $Q(\alpha_r^{\vee}) = 1$ . The bilinear form  $B_Q$  is given by

$$
B_Q(\alpha_i^{\vee}, \alpha_j^{\vee}) = \begin{cases} 2 & \text{if } i = j = r, \\ 4 & \text{if } 1 \leq i = j \leq r - 1, \\ -2 & \text{if } j = i + 1, \\ 0 & \text{if } \alpha_i^{\vee}, \alpha_j^{\vee} \text{ are not adjacent.} \end{cases}
$$

Let  $\overline{G} := \overline{\text{Sp}}_{2r}^{(n)}$  be the *n*-fold cover of  $\text{Sp}_{2r}$ . We have

$$
\overline{G}^{\vee} = \begin{cases} \text{Sp}_{2r} & \text{if } n \text{ is even,} \\ \text{SO}_{2r+1} & \text{if } n \text{ is odd.} \end{cases}
$$

By Corollary [4.10,](#page-30-3) we have  $R_{\chi} = \{1\}$  if n is even. For odd n, it is clear that  $n_{\alpha_i} = n$  for all  $\alpha_i \in \Delta$  and

$$
Y_{Q,n} = Y_{Q,n}^{sc} = nY.
$$

Following notations in [\[10,](#page-59-20) Page 267], we consider the map

$$
\bigoplus_{i=1}^r \mathbf{Z} \alpha_i^\vee \to \bigoplus_{i=1}^r \mathbf{Z} e_i
$$

given by

$$
(x_1, x_2, x_3, \ldots, x_r) \mapsto (x_1, x_2 - x_1, x_3 - x_2, \ldots, x_{r-1} - x_{r-2}, x_r - x_{r-1}),
$$

which is an isomorphism. The Weyl group is  $W = S_r \rtimes (\mathbf{Z}/2\mathbf{Z})^r$ , where  $S_r$  is the permutation group on  $\bigoplus_i \mathbf{Z}e_i$  and each  $(\mathbf{Z}/2\mathbf{Z})_i$  acts by  $e_i \mapsto \pm e_i$ . In particular,  $w_{\alpha_i}, 1 \leq$  $i \leq r-1$ , acts on  $(y_1, y_2, ..., y_r) \in \bigoplus_i \mathbb{Z}e_i$  by exchanging  $y_i$  and  $y_{i+1}$ , while  $w_{\alpha_r}$  acts by  $(-1)$  on  $\mathbf{Z}e_r$ .

For odd n, it follows from Propositions [4.4](#page-23-0) and [4.5](#page-24-2) and [\[26,](#page-59-5)  $\S3$ ] that the only possible nontrivial R-group (up to isomorphism) for  $\overline{G}$  is

$$
R_{\chi} = \{1, \mathbb{W}_{\alpha_r}\},
$$

where  $\chi$  is the unramified genuine character of  $Z(T) \subset \overline{G}$  such that

- $\underline{\chi}_{\alpha_i}$  is any unitary unramified linear character for all  $1 \leq i \leq r-1$ , and
- furthermore,

$$
\underline{\chi}^2_{\alpha_r} = \mathbb{1} \text{ and } \underline{\chi}_{\alpha_r} \neq \mathbb{1}.
$$

In particular,  $\chi_{\alpha_r} = -1$ . Denote by  $\varepsilon$  the nontrivial character of  $R_\chi$ . We have a decomposition

$$
I(\chi) = \pi_{\chi}^{un} \oplus \pi_{\varepsilon},
$$

where  $\pi_{\varepsilon}$  is nonisomorphic to  $\pi_{\chi}^{un} = \pi_{\mathbb{1}}$ .

<span id="page-47-0"></span>**Theorem 7.1.** For odd n and  $\chi$  as before, Conjecture [5.7](#page-38-1) holds for  $\overline{Sp}_{2r}^{(n)}$ ; in this case,

$$
\dim \mathrm{Wh}_{\psi} \left( \pi_{\chi}^{un} \right) = \frac{n^r + n^{r-1}}{2}, \qquad \dim \mathrm{Wh}_{\psi}(\pi_{\varepsilon}) = \frac{n^r - n^{r-1}}{2}.
$$

**Proof.** For *n* odd,

$$
\mathscr{X}_{Q,n} = Y/Y_{Q,n} \simeq (\mathbf{Z}/n\mathbf{Z})^r.
$$

For every W-orbit  $\mathcal{O}_y \subset \mathcal{X}_{Q,n}$ , we will compute and check explicitly that

<span id="page-47-1"></span>
$$
\operatorname{Tr}\left(A(w_{\alpha_r}, \chi)_{\mathcal{O}_y}^*\right) = |(\mathcal{O}_y)^{\mathcal{N}_{\alpha_r}}| \cdot \gamma(w_{\alpha_r}, \chi)^{-1}.
$$
\n(7.1)

There is a decomposition

$$
\mathcal{O}_y = \bigsqcup_{i \in I} \mathcal{O}_{z_i}^{R_\chi}
$$

of  $\mathcal{O}_y$  into  $R_\chi$ -orbits, where

$$
\mathcal{O}_z^{R_\chi} = \{z\} \text{ or } \mathcal{O}_z^{R_\chi} = \{z, \mathbb{W}_{\alpha_r}[z]\}.
$$

To show equation [\(7.1\)](#page-47-1), it suffices to prove that for every  $R_{\chi}$ -orbit  $\mathcal{O}_z^{R_{\chi}} \subset \mathscr{X}_{Q,n}$ , we have

<span id="page-47-2"></span>
$$
\sum_{z' \in \mathcal{O}_z^{R_\chi}} \tau(w_{\alpha_r}, \chi, \mathbf{s}_{z'}, \mathbf{s}_{z'}) = \left| \left( \mathcal{O}_z^{R_\chi} \right)^{\omega_{\alpha_r}} \right| \cdot \gamma(w_{\alpha_r}, \chi)^{-1} \,. \tag{7.2}
$$

First, if  $\mathcal{O}_z^{R_\chi} = \{z\}$ , then  $\mathbb{W}_{\alpha_r}[z] = z \in \mathscr{X}_{Q,n}$ . Write  $z = \sum_{i=1}^r z_i e_i \in \mathscr{X}_{Q,n}$ , with  $0 \leq$  $z_i \leq n-1$ . The equality  $w_{\alpha_r}[z] = z$  is equivalent to  $z_r = (n+1)/2$ . It follows from [\[19,](#page-59-2) Proposition 4.12] that

$$
\tau(w_{\alpha_r}, \chi, \mathbf{s}_z, \mathbf{s}_z) = \chi_{\alpha_r}^2 \cdot \gamma(w_{\alpha_r}, \chi)^{-1} = 1 \cdot \gamma(w_{\alpha_r}, \chi)^{-1}.
$$

That is, equation [\(7.2\)](#page-47-2) holds for such  $\mathcal{O}_z^{R_\chi}$ . In fact, we also see that the character  $\theta_{\sigma}x$  of the representation  $\sigma^{\mathscr{X}} : R_{\chi} \to \text{Perm}(\mathscr{X}_{Q,n})$  is given by

 $\theta_{\sigma} \alpha(1) = n^r, \qquad \theta_{\sigma} \alpha(\omega_{\alpha_r}) = n^{r-1}.$ 

Second, assume  $\mathcal{O}_z^{R_\chi} = \{z, \mathbb{W}_{\alpha_r}[z]\};$  then  $n \nmid \langle z_\rho, \alpha_r \rangle$ . It follows from the proof of [\[15,](#page-59-15) Lemma 3.9] that

$$
k_{z,\alpha_r} + k_{\mathbf{w}_{\alpha_r}[z],\alpha_r} = 1.
$$

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We obtain

$$
\tau(w_{\alpha_r}, \chi, \mathbf{s}_z, \mathbf{s}_z) + \tau(w_{\alpha_r}, \chi, \mathbf{s}_{\mathbf{w}_{\alpha_r}[z]}, \mathbf{s}_{\mathbf{w}_{\alpha_r}[z]})
$$
\n
$$
= \frac{1 - q^{-1}}{1 - \chi_{\alpha_r}} (\chi_{\alpha_r})^{k_{z, \alpha_r}} + \frac{1 - q^{-1}}{1 - \chi_{\alpha_r}} (\chi_{\alpha_r})^{k_{\mathbf{w}_{\alpha_r}[z], \alpha_r}}
$$
\n
$$
= \frac{1 - q^{-1}}{2} (-1)^{k_{z, \alpha_r}} + \frac{1 - q^{-1}}{2} (-1)^{1 - k_{z, \alpha_r}}
$$
\n
$$
= 0.
$$

On the other hand,  $\vert$  $\left(\mathcal{O}_z^{R_\chi}\right)^{\mathbb{W}_{\alpha_r}}$  = 0; this shows that equation [\(7.2\)](#page-47-2) holds in this case. Therefore, Conjecture [5.7](#page-38-1) holds for  $\overline{Sp}_{2r}^{(n)}$ . The desired dimension formula for the

Whittaker spaces of  $\pi_\chi^{un}$  and  $\pi_\varepsilon$  follows from Theorem [5.6](#page-37-0) and the character  $\theta_{\sigma}$  already П computed. This completes the proof.

<span id="page-48-0"></span>**Remark 7.2.** Equation [\(7.2\)](#page-47-2) might fail for covers of a general simply connected group. More precisely, for a general  $\overline{G}$ , we have the decomposition of a W-orbit  $\mathcal{O}_y$  into  $R_\chi$ -orbits

$$
\mathcal{O}_y = \bigsqcup_{i \in I} \mathcal{O}^{R_\chi}_{z_i}.
$$

Conjecture [5.7](#page-38-1) predicts that for every  $w \in R_{\chi}$ , we have

$$
\sum_{i \in I} \sum_{z' \in \mathcal{O}_{z_i}^{R_\chi}} \tau(w, \chi, \mathbf{s}_{z'}, \mathbf{s}_{z'}) = \sum_{i \in I} \left| \left( \mathcal{O}_{z_i}^{R_\chi} \right)^w \right| \cdot \gamma(w, \chi)^{-1}.
$$
\n(7.3)

However, the inner summands indexed by  $I$  on the two sides may not be equal. A counterexample arises from considering  $\overline{SL}_4^{(3)}$  with  $y = \sum_{\alpha \in \Delta} \alpha^{\vee}$ , in which case  $|\mathcal{O}_y| = 6$ .

This subtlety is the main difficulty with verifying Conjecture [5.7](#page-38-1) by direct computation. Indeed, it follows from Tables 1 and 2 (or, more precisely,  $[26, 83]$  $[26, 83]$ ) that the nontrivial unramified group  $R_\chi$  for covers of simply connected groups of type  $B_r, D_r, E_6$  and  $E_7$  is small; thus the orbits  $\mathcal{O}^{R_{\chi}}_{z_i}$  are all small. However, as just noted, one needs to consider the whole W-orbit  $\mathcal{O}_y$ , whose size could be large, depending on W and n. This hinders direct computation in the general situation.

Theorem [7.1](#page-47-0) could also be obtained from the consideration in Subject [6.2,](#page-42-0) especially Conjecture [6.4.](#page-45-2) We illustrate this by considering the case of  $\overline{SL}_2^{(n)}$ . Write  $n = 2d+1$  and  $\Delta = {\alpha}$ . The twisted Weyl action on  $\mathscr{X}_{Q,n} = \mathbb{Z}/n\mathbb{Z}$  is given by

$$
\mathbb{W}_{\alpha}[k\alpha^{\vee}] = (1 - k)\alpha^{\vee} \in \mathscr{X}_{Q,n}.
$$

In total there are  $(d+1)$ -many W-orbits. Every orbit except that of  $-d\alpha^{\vee}$  is free. We choose a set of representatives of  $\mathscr{X}_{Q,n}$  as

$$
\mathfrak{R} = \{ i \cdot \alpha^{\vee} : -d \le i \le d \}.
$$

The W-orbits in  $\mathscr{X}_{Q,n}$  are

$$
\mathcal{O}_{\mathscr{X}} = \{ \mathcal{O}_{i\alpha^{\vee}} : 1 \leq i \leq d \} \cup \{ \mathcal{O}_{-d\alpha^{\vee}} \},
$$

with

$$
\mathfrak{R}_{i\alpha\vee} = \{i\alpha^{\vee}, (1-i)\alpha^{\vee}\}\
$$
for  $1 \leq i \leq d$  and  $\mathfrak{R}_{-d\alpha\vee} = \{-d\alpha^{\vee}\}.$ 

<span id="page-49-3"></span>**Proposition 7.3.** Conjecture [6.4](#page-45-2) holds for  $\mathfrak{R}_{i\alpha}$ , and moreover,

$$
\text{rank}\left(\nu_{\mathfrak{R}_{i\alpha}\vee}^{\chi}\right)=1
$$

for every  $1 \leq i \leq d$  and  $i = -d$ .

**Proof.** Since  $-d\alpha^{\vee} \in Y$  is an exceptional point, in view of Theorem [6.5](#page-45-1) it suffices to deal with the case  $1 \le i \le d$ . We denote  $z := i\alpha^{\vee}$ . Let  $\lambda_{\mathbf{c}} \in \text{Wh}_{\psi}(I(\chi))_{\mathcal{O}_z}$ , viewed as an element in  $\operatorname{Wh}_{\psi}\left(\pi^{un}_{\chi}\right)_{\mathcal{O}_z}$  by restriction. Then

$$
\mathrm{supp}(\mathbf{c}) \subset \mathbf{s}_z \cdot \overline{A} \cup \mathbf{s}_{\mathbf{w}[z]} \cdot \overline{A}.
$$

We have

$$
\mathcal{W}_{\mathbf{c}}^* = \mathbf{c}(\mathbf{s}_z) \cdot \mathcal{W}_{\mathbf{s}_z}^* + \mathbf{c} (\mathbf{s}_{\mathbf{w}[z]}) \cdot \mathcal{W}_{\mathbf{s}_{\mathbf{w}[z]}}^*.
$$

Recall the projection map  $h^{un}: \text{Wh}_{\psi}(I(\chi))_{\mathcal{O}_z} \to \text{Wh}_{\psi}(\pi_{\chi}^{un})$  from Section [6.2.](#page-42-0) Assume **c** is such that

<span id="page-49-0"></span>
$$
\nu_{\mathfrak{R}_z}^{\chi}(\lambda_{\mathbf{c}}) = (\mathcal{W}_{\mathbf{c}}^*(\mathbf{s}_z), \mathcal{W}_{\mathbf{c}}^*(\mathbf{s}_{\mathbf{w}[z]})) = (0,0). \tag{7.4}
$$

We want to show that  $h^{un}(\lambda_c) = 0$ . For each  $z = i\alpha^{\vee}$ , we denote

$$
\mathcal{M}_{\mathfrak{R}_z} := \begin{pmatrix} \mathcal{W}^*_{\mathbf{s}_z}(\mathbf{s}_z) & \mathcal{W}^*_{\mathbf{s}_z}\left(\mathbf{s}_{\mathsf{w}[z]}\right) \\ \mathcal{W}^*_{\mathbf{s}_{\mathsf{w}[z]}}(\mathbf{s}_z) & \mathcal{W}^*_{\mathbf{s}_{\mathsf{w}[z]}}\left(\mathbf{s}_{\mathsf{w}[z]}\right) \end{pmatrix}.
$$

It is easy to see that

<span id="page-49-1"></span>
$$
\nu_{\mathfrak{R}_z}^{\chi}(\lambda_{\mathbf{c}}) = \left( \mathbf{c}(\mathbf{s}_z), \mathbf{c} \left( \mathbf{s}_{\mathbf{w}[z]} \right) \right) \mathcal{M}_{\mathfrak{R}_z}.
$$
 (7.5)

Now by equation  $(6.1)$  we have

$$
\mathcal{W}_{\mathbf{s}_z}^*(\mathbf{s}_y) \cdot \delta_B^{1/2}(\mathbf{s}_y) = c_{\mathbf{g}\mathbf{k}}(w_{\alpha}, \chi) \cdot \tau(\mathrm{id}, \chi, \mathbf{s}_z, \mathbf{s}_y) + \tau(w_{\alpha}, {^{w_{\alpha}}\chi}, \mathbf{s}_z, \mathbf{s}_y).
$$

Since we are in the case where  $\underline{\chi}^2_{\alpha} = \mathbb{1}$  but  $\underline{\chi}^2_{\alpha} \neq \mathbb{1}$ , we have

$$
\underline{\chi}_{\alpha}(\varpi) = \chi\left(\overline{h}_{\alpha}(\varpi^n)\right) = -1.
$$

We also note that  $w_{\alpha} \chi = \chi$ . Thus a straightforward computation gives

$$
\mathcal{M}_{\mathfrak{R}_{i\alpha\vee}} = \begin{pmatrix} \mathcal{W}_{\mathbf{s}_{i\alpha\vee}}^* (\mathbf{s}_{i\alpha\vee}) & \mathcal{W}_{\mathbf{s}_{i\alpha\vee}}^* (\mathbf{s}_{(1-i)\alpha\vee}) \\ \mathcal{W}_{\mathbf{s}_{(1-i)\alpha\vee}}^* (\mathbf{s}_{i\alpha\vee}) & \mathcal{W}_{\mathbf{s}_{(1-i)\alpha\vee}}^* (\mathbf{s}_{(1-i)\alpha\vee}) \end{pmatrix} = \begin{pmatrix} q^{i-1} & q^{-i-1}\mathbf{g}_{\psi^{-1}}(1-2i) \\ q^i\mathbf{g}_{\psi^{-1}}(2i-1) & q^{-i-1} \end{pmatrix}.
$$

Combining equations  $(7.4)$  and  $(7.5)$ , we get

<span id="page-49-2"></span>
$$
q^{-1} \cdot \mathbf{c}(\mathbf{s}_{i\alpha} \vee) + \mathbf{g}_{\psi^{-1}}(2i - 1) \cdot \mathbf{c}(\mathbf{s}_{(1-i)\alpha} \vee) = 0. \tag{7.6}
$$

Consider the map

$$
P_{\mathbb{1}}^* : \mathrm{Wh}_{\psi}(I(\chi)) \longrightarrow \mathrm{Wh}_{\psi}(I(\chi))
$$

induced from the projection  $P_1: I(\chi) \to I(\chi)$ . Showing that  $h^{un}(\lambda_c) = 0$  is equivalent to proving  $P_1^*(\lambda_{\mathbf{c}}) = 0$ . Now

$$
P_{\mathbb{1}}^{*}(\lambda_{\mathbf{c}})
$$
  
=\frac{1}{2} \cdot (\lambda\_{\mathbf{c}} + \mathscr{A}(w,\chi)^{\*}(\lambda\_{\mathbf{c}}))  
=\frac{1}{2} (\mathbf{c}(\mathbf{s}\_{z}) + \mathbf{c}(\mathbf{s}\_{z})\gamma(w,\chi)\tau(w,\chi,z,z) + \mathbf{c} (\mathbf{s}\_{\mathbf{w}[z]})\gamma(w,\chi)\tau(w,\chi,\mathbf{w}[z],z)) \cdot \lambda\_{\mathbf{s}\_{z}}  
+ \frac{1}{2} (\mathbf{c} (\mathbf{s}\_{\mathbf{w}[z]}) + \mathbf{c} (\mathbf{s}\_{z})\gamma(w,\chi)\tau(w,\chi,z,\mathbf{w}[z]) + \mathbf{c} (\mathbf{s}\_{\mathbf{w}[z]})\gamma(w,\chi)\tau(w,\chi,\mathbf{w}[z],\mathbf{w}[z])) \cdot \lambda\_{\mathbf{s}\_{\mathbf{w}[z]}}.

A simplification gives that the coefficient in front of  $\lambda_{\mathbf{s}z}$  is

$$
\frac{1}{1+q^{-1}}\cdot\left(\mathbf{c}(\mathbf{s}_{i\alpha^{\vee}})q^{-1}+\mathbf{c}\left(\mathbf{s}_{(1-i)\alpha^{\vee}}\right)\mathbf{g}_{\psi^{-1}}(2i-1)\right),\,
$$

which is equal to 0 by equation  $(7.6)$ . Similarly, it can be checked easily that the coefficient in front of  $\lambda_{\mathbf{s}_{w}[z]}$  is also 0. This shows that Conjecture [6.4](#page-45-2) holds.

It is clear that for every  $1 \leq i \leq d$ , we have

$$
\operatorname{rank}\left(\nu_{\mathfrak{R}_{i\alpha\vee}}^{\chi}\right)=\operatorname{rank}\left(\mathcal{M}_{\mathfrak{R}_{i\alpha\vee}}\right)=1.
$$

The proof is now completed.

It follows from Proposition [7.3](#page-49-3) that

$$
\dim \mathrm{Wh}_{\psi} \left( \pi_{\chi}^{un} \right) = \left\langle \mathbb{1}, \sigma^{\mathscr{X}} \right\rangle_{R_{\chi}} = |\mathcal{O}_{\mathscr{X}}| = d + 1 = \frac{n+1}{2}.
$$

Consequently,

$$
\dim \mathrm{Wh}_{\psi}(\pi_{\varepsilon}) = \left\langle \varepsilon, \sigma^{\mathscr{X}} \right\rangle_{R_{\chi}} = d = \frac{n-1}{2},
$$

the number of free  $R_{\chi}$ -orbits in  $\mathscr{X}_{Q,n}$ .

**Remark 7.4.** Let n be odd and  $\chi$  be the nontrivial quadratic genuine character of  $Z(\overline{T}) \subset \overline{\text{Sp}}_{2r}$ . The Whittaker dimension for the constituents in  $\pi_{\chi}^{un} \oplus \pi_{\chi}' = \text{Ind}_{\overline{B}}^{\text{Sp}_{2r}}(i(\chi))$ can be deduced from that of  $\overline{SL}_2^{(n)}$  as follows. Here we write  $\pi'_\chi$  for  $\pi_\varepsilon$ . Each of the rank 1 lattice  $(\mathbf{Z}e_j) \subset Y$  gives rise to an *n*-fold covering  $\overline{GL}_{1,e_j}$  of the torus  $GL_1 \simeq F^\times$ , by restriction from  $\overline{T}$ . We have an isomorphism (see [\[20,](#page-59-21) §5.1.3])

$$
\prod_{1 \le j \le r} \overline{\mathrm{GL}}_{1,e_j}/H \simeq \overline{T},\tag{7.7}
$$

where  $H = \left\{ (\zeta_j) \in (\mu_n)^r : \prod_j \zeta_j = 1 \right\}$ ; that is, block-commutativity holds for coverings of Levi subgroups of  $\overline{\text{Sp}}_{2r}$ . Thus, we can write

$$
i(\chi) = \prod_{j=1}^r i(\chi_j),
$$

 $\Box$ 

where  $i(\chi_j) \in \text{Irr}(\text{GL}_{1,e_j})$  is of dimension n. Note also that  $T_0 := \text{GL}_{1,e_r}$  is just the covering torus of  $SL_2$  associated to  $\alpha_r$ . Let  $M = \prod_{1 \leq j \leq r-1} GL_{1,e_j} \times SL_2$  be the Levi subgroup of the parabolic subgroup  $\overline{P} \subset \overline{\text{Sp}}_{2r}^{(n)}$  associated to  $\alpha_r$ . The character  $\chi_r$  is a nontrivial quadratic character of  $Z(T_0)$  and thus we have

$$
\mathrm{Ind}_{\overline{T}_0}^{\overline{\mathrm{SL}}_2}(i(\chi_r)) = \pi_{\chi_r}^{un} \oplus \pi_{\chi_r}'.
$$

By induction in stages, we have

$$
\pi_{\chi}^{un} = \text{Ind}_{\overline{P}}^{\overline{\text{Sp}}_{2r}} (\boxtimes_{1 \leq j \leq r-1} i(\chi_j)) \boxtimes \pi_{\chi_r}^{un}
$$

and similarly,

$$
\pi'_{\chi} = \operatorname{Ind}_{\overline{P}}^{\overline{\text{Sp}}_{2r}} (\boxtimes_{1 \leq j \leq r-1} i(\chi_j)) \boxtimes \pi'_{\chi_r}.
$$

Now it follows from the equalities

$$
\dim i(\chi_j) = n, \qquad \dim \mathrm{Wh}_{\psi} \left( \pi_{\chi_r}^{un} \right) = \frac{n+1}{2}, \qquad \dim \mathrm{Wh}_{\psi} \left( \pi_{\chi_r}' \right) = \frac{n-1}{2}
$$

and Rodier's heredity that

$$
\dim \mathrm{Wh}_{\psi} \left( \pi_{\chi}^{un} \right) = n^{r-1} \cdot \frac{n+1}{2}, \qquad \dim \mathrm{Wh}_{\psi} \left( \pi_{\chi}' \right) = n^{r-1} \cdot \frac{n-1}{2},
$$

which agrees with Theorem [7.1.](#page-47-0)

## **7.2. Double cover of SL**<sup>3</sup>

Before we proceed, we recall some observations from Section [5.4.](#page-36-3) By Tables 1 and 2 and Theorem [4.6,](#page-26-0) the group  $R_{\chi}$  is always cyclic for all semisimple type except the  $D_r$  case when  $r$  is even. Recall that

$$
\mathscr{A}(w,\chi)^* = \gamma(w,\chi) \cdot A(w,\chi)^*.
$$

Assume  $R_\chi$  is cyclic and let w be a generator; then  $\sigma(w), \sigma \in \text{Irr}(R_\chi)$  are distinct and  $\dim Wh_{\psi}(\pi_{\sigma}), \sigma \in \text{Irr}(R_{\chi})$  are just the multiplicities of the distinct eigenvalues  $\sigma(\mathbf{w})$ .  $\gamma(w,\chi)^{-1}$  of the polynomial

$$
\det(X \cdot id - A(w, \chi)^*) = \det(X \cdot I_{|\mathcal{X}_{Q,n}|} - S_{\Re}(w, i(\chi))).
$$

This will be the observation we apply to the double cover  $\overline{\mathrm{SL}}_3^{(2)}$  in this subsection. Let  $\alpha_1^{\vee}, \alpha_2^{\vee}$  be the two simple coroots of SL<sub>3</sub>:



For convenience, we write  $w_i = w_{\alpha_i}$  for  $i = 1,2$ . Let  $\alpha_3 = \alpha_1^{\vee} + \alpha_2^{\vee} \in \Phi^+$ . Let  $Q: Y \to \mathbf{Z}$  be the unique Weyl-invariant quadratic form such that  $Q(\alpha_1^{\vee}) = 1$ . Taking  $n = 2$ , we get

$$
Y_{Q,2} = Y_{Q,2}^{sc} = 2Y
$$

and thus  $\overline{\mathrm{SL}}_3^\vee = \mathrm{PGL}_3$  and also

$$
\mathscr{X}_{Q,2} \simeq (\mathbf{Z}/2\mathbf{Z}) \oplus (\mathbf{Z}/2\mathbf{Z}).
$$

The ordered set

$$
\mathfrak{R} = \{0, \alpha_1^\vee, \alpha_2^\vee, \alpha_3^\vee\} \subset Y
$$

is a set of representatives of  $\mathscr{X}_{Q,2}$ . There are two W-orbits

$$
\mathcal{O}_0 = \{0, \alpha_1^{\vee}, \alpha_2^{\vee}\}, \qquad \mathcal{O}_{\alpha_3^{\vee}} = \{\alpha_3^{\vee}\}.
$$

Let  $\chi$  be a unitary unramified genuine character of  $Z(\overline{T})$ . Since the dual group of  $\overline{SL}_3^{(2)}$ is PGL<sub>3</sub>, we see that  $R_{\chi}^{sc} = R_{\chi}$  by Proposition [4.4;](#page-23-0) moreover,  $R_{\chi}$  is either trivial or **Z**/3**Z**.

Assuming  $R_\chi = \mathbf{Z}/3\mathbf{Z}$ , we see that  $\Phi_\chi = \emptyset$  and  $R_\chi = W_\chi = \langle w_1 w_2 \rangle = \langle w_2 w_1 \rangle \subset W$  – that is,

$$
{}^{\mathbf{w}_2\mathbf{w}_1}\chi = \chi.
$$

This implies that

$$
\chi_{\alpha_1} = \zeta = \chi_{\alpha_2} \text{ and } \chi_{\alpha_3} = \zeta^2,\tag{7.8}
$$

where  $\zeta \in \mathbb{C}^\times$  is a primitive third root of unity. For such  $\chi$ , we have the decomposition

$$
I(\chi) = \pi_{\chi}^{un} \oplus \pi_1 \oplus \pi_2
$$

of  $I(\chi)$  into nonisomorphic irreducible components. We have dim  $Wh_{\psi}(I(\chi)) = 4$ , and the permutation representation

$$
\sigma^{\mathscr{X}}: R_{\chi} \longrightarrow \mathrm{Perm}\left(\mathscr{X}_{Q,2}\right)
$$

is such that in  $\mathscr{X}_{Q,2}$ :

$$
w_2w_1[\alpha_3^{\vee}] = \alpha_3^{\vee}, \qquad w_2w_1[0] = \alpha_1^{\vee}, \qquad w_2w_1[\alpha_1^{\vee}] = \alpha_2^{\vee}, \qquad w_2w_1[\alpha_2^{\vee}] = \alpha_0^{\vee}.
$$

We have  $\text{Irr}(R_\chi) = \{ \mathbb{1}, \sigma, \sigma^2 \}$ , where  $\sigma$  is the generator given by

$$
\sigma(w_2w_1)=\zeta.
$$

It then follows easily that

$$
\sigma^{\mathscr{X}} = (2 \cdot \mathbb{1}) \oplus \sigma \oplus \sigma^2.
$$

Thus, we could label constituents of  $I(\chi)$  as

$$
\pi^{un}_\chi = \pi_{\mathbb{1}} = \pi_{\sigma^0}, \qquad \pi_1 = \pi_{\sigma}, \qquad \pi_2 = \pi_{\sigma^2}.
$$

Since  $R_\chi$  is cyclic and  $w_2w_1$  is a generator of Irr $(R_\chi)$ , to determine dim  $Wh_\psi(\pi_{\sigma^i})$  it suffices to compute the characteristic polynomial of  $A(w_2w_1,\chi)^*$  which takes the form

$$
\det(X \cdot id - A(w_2 w_1, \chi)^*) = \prod_{0 \le i \le 2} (X - \zeta^i \cdot \gamma(w_2 w_1, \chi)^{-1})^{\dim \mathrm{Wh}_{\psi}(\pi_{\sigma^i})},
$$

where

$$
\gamma(w_2w_1,\chi)^{-1} = \frac{\left(1 - q^{-1}\chi_{\alpha_1}^{-1}\right)\left(1 - q^{-1}\chi_{\alpha_3}\right)^{-1}}{\left(1 - \chi_{\alpha_1}\right)\left(1 - \chi_{\alpha_3}\right)} = \frac{1 + q^{-1} + q^{-2}}{3}.
$$

Let  $\mathcal{S}_{\Re}(w_2w_1,i(\chi))$  be the scattering matrix associated to the ordered set  $\Re$ . For simplicity of computation, we assume  $\mu_4 \subset F^{\times}$ , and hence  $\varepsilon = 1$ . Using equations [\(5.2\)](#page-32-0) and [\(5.3\)](#page-33-0) and Theorem [5.2,](#page-33-1) we obtain in this case an explicit form (again, using the shorthand notation  $\chi_{\alpha_1}, \chi_{\alpha_2}, \chi_{\alpha_3}$ ):

$$
\begin{array}{lcl} \mathcal{S}_{\Re}\big(w_2w_1,i(\chi)\big) \\[10pt] \displaystyle \qquad = \begin{pmatrix} \frac{\left(1-q^{-1}\right)^2}{\left(1-\chi_{\alpha_1}\right)\left(1-\chi_{\alpha_3}\right)} & \mathbf{g}_{\psi^{-1}}(-1)\gamma\left(\underline{\chi}_{\alpha_3}\right)^{-1} & \mathbf{g}_{\psi^{-1}}(-1)\frac{1-q^{-1}}{1-\chi_{\alpha_1}} & 0 \\[10pt] \mathbf{g}_{\psi^{-1}}(1)\frac{1-q^{-1}}{1-\chi_{\alpha_3}} & \chi_{\alpha_1}\frac{1-q^{-1}}{1-\chi_{\alpha_1}}\gamma\left(\underline{\chi}_{\alpha_3}\right)^{-1} & q^{-1} & 0 \\[10pt] \mathbf{g}_{\psi^{-1}}(1)\gamma\left(\underline{\chi}_{\alpha_1}\right)^{-1} & 0 & \chi_{\alpha_3}\frac{1-q^{-1}}{1-\chi_{\alpha_3}}\gamma\left(\underline{\chi}_{\alpha_1}\right)^{-1} & 0 \\[10pt] 0 & 0 & \chi_{\alpha_1}\chi_{\alpha_3}\gamma\left(\underline{\chi}_{\alpha_1}\right)^{-1}\gamma\left(\underline{\chi}_{\alpha_3}\right)^{-1} \end{pmatrix} . \end{array}
$$

A straightforward computation gives

$$
\det(X \cdot I_4 - S_{\Re}(w_2 w_1, i(\chi)))
$$
  
=  $\left(X - \frac{1 + q^{-1} + q^{-2}}{3}\right) \cdot \left(X^3 - \left(\frac{1 + q^{-1} + q^{-2}}{3}\right)^3\right)$ ,

and thus

$$
\det(X \cdot I_4 - \mathscr{A}(w_2 w_1, \chi)^*) = (X - 1)^2 \cdot (X - \zeta) \cdot (X - \zeta^2).
$$

Therefore,

 $\dim \text{Wh}_{\psi}(\pi_{\mathbb{1}}) = 2$  and  $\dim \text{Wh}_{\psi}(\pi_{\sigma^i}) = 1$  for  $i = 1, 2$ .

Clearly,

$$
\sigma^{\mathrm{Wh}} = (2 \cdot 1) \oplus \sigma \oplus \sigma^2.
$$

**Proposition 7.5.** For  $\overline{SL}_3^{(2)}$ , we have

$$
\sigma^{\mathrm{Wh}} = \sigma^{\mathscr{X}} = (2 \cdot \mathbb{1}) \oplus \sigma \oplus \sigma^2.
$$

Moreover, Conjecture [5.7](#page-38-1) holds.

**Proof.** The equalities are clear. It suffices to prove that Conjecture [5.7](#page-38-1) holds for the two orbits  $\mathcal{O}_0$  and  $\mathcal{O}_{\alpha_3^{\vee}}$ , which is a priori stronger than the equalities. This follows from a direct computation, or we can argue alternatively by using the fact that  $\mathcal{O}_{\mathcal{X}} = \{ \mathcal{O}_0, \mathcal{O}_{\alpha_3} \},$ with  $\alpha_3^{\vee} \in \mathscr{X}_{Q,2}^{\text{exc}}$ . Indeed, by Theorem [6.1,](#page-41-0)  $\sigma_{\mathcal{O}_{\alpha_3^{\vee}}}^{\text{Wh}} = \sigma_{\mathcal{O}_{\alpha_3^{\vee}}}^{\text{Wh}} = \mathbb{1}$ . However, since

$$
\sigma^{\mathrm{Wh}} = \sigma^{\mathrm{Wh}}_{\mathcal{O}_{\alpha_3^\vee}} \oplus \sigma^{\mathrm{Wh}}_{\mathcal{O}_0} = \sigma^{\mathscr{X}}_{\mathcal{O}_{\alpha_3^\vee}} \oplus \sigma^{\mathscr{X}}_{\mathcal{O}_0} = \sigma^{\mathscr{X}},
$$

it enforces

$$
\sigma_{\mathcal{O}_0}^{\text{Wh}} = \sigma_{\mathcal{O}_0}^{\text{Wh}} = \mathbb{1} \oplus \sigma \oplus \sigma^2.
$$

The proof is completed.

 $\Box$ 

#### <span id="page-54-0"></span>**8. Two remarks**

In this section, we consider two examples to justify the necessary constraints imposed on G and  $\overline{G}$  in Conjecture [5.7.](#page-38-1) First, we consider  $\overline{SO}_3^{(n)}$  and show that a naive analogous formula does not hold for general covers of semisimple groups which are not simply connected. Second, we consider the double cover of the simply connected  $Spin_6 \simeq SL_4$ , whose dual group is  $SL_4/\mu_2$ , and show that analogous Conjecture [5.7](#page-38-1) does not hold. This shows that it is necessary to require the cover  $\overline{G}$  to be saturated.

#### **8.1. Covers of SO**<sup>3</sup>

Let  $Y = \mathbf{Z} \cdot e$  be the cocharacter lattice of SO<sub>3</sub> with  $\alpha^{\vee} = 2e$  generating the coroot lattice  $Y^{sc}$ . Let  $Q: Y \to \mathbb{Z}$  be the Weyl-invariant quadratic form such that  $Q(e) = 1$ . Thus,  $Q(\alpha^{\vee}) = 4$ . We get

$$
\alpha_{Q,n}^{\vee} = \frac{n}{\gcd(4,n)} \cdot \alpha^{\vee}.
$$

On the other hand,

$$
Y_{Q,n} = \mathbf{Z} \cdot \frac{n}{\gcd(2,n)} e.
$$

The equality  ${}^{w_\alpha}\chi = \chi$  is equivalent to

$$
\chi\left(\overline{h}_\alpha\left(a^{n/\gcd(2,n)}\right)\right) = 1
$$

for all  $a \in F^{\times}$ .

**Lemma 8.1.** Let  $\chi$  be a unitary unramified genuine character of  $Z(T)$ . Then  $R_{\chi} = W$ if and only if  $4|n$  and  $\chi_{\alpha}$  is a nontrivial quadratic character.

**Proof.** Clearly,  $R_{\chi} = W$  if and only if  $\Phi_{\chi} = \emptyset$  and  $W_{\chi} = W$ . We discuss case by case. First, if  $4 \nmid n$ , then  $gcd(4, n) = gcd(2, n)$ , and in this case  $R_{\chi} = \{1\}$ . Second, if  $n = 4m$ , then  $\alpha_{Q,n}^{\vee} = m\alpha^{\vee}$  and  $n/\text{gcd}(2,n) = 2m$ . In this case, if  $\underline{\chi}_{\alpha}$  is a nontrivial quadratic character, we have  $R_{\chi} = W$ .

**Remark 8.2.** For G of adjoint type, if  $\chi$  is a unitary unramified character of T, then  $I(\chi)$  is always irreducible (see Corollary [4.10\)](#page-30-3). The result shows that this may fail for covers of groups of adjoint type.

Now we assume that  $n = 4m$  and  $\chi_{\alpha}$  is a nontrivial quadratic character – that is,

$$
\chi_{\alpha} = \chi\left(\overline{h}_{\alpha}(\varpi^{n_{\alpha}})\right) = -1.
$$

In this case,  $R_{\chi} = W$  and

$$
I(\chi) = \pi_{\chi}^{un} \oplus \pi.
$$

We have

$$
Y_{Q,n} = Y_{Q,n}^{sc} = \mathbf{Z} \cdot \alpha_{Q,n}^{\vee} = \mathbf{Z} \cdot (m\alpha^{\vee}) = \mathbf{Z} \cdot (2me).
$$

Therefore,  $\overline{G}^{\vee} \simeq SO_3$  is of adjoint type. It is clear that

$$
\mathscr{X}_{Q,n} \simeq \mathbf{Z}/(2m)\mathbf{Z},
$$

with the twisted Weyl action given by

$$
\mathbb{W}_{\alpha}[ie] = (-i)e + 2e = (2 - i)e.
$$

We have  $|\mathcal{O}_{\mathcal{X}}| = m+1$  – that is, there are  $m+1$  many W-orbits in  $\mathcal{X}_{Q,n}$ . Let  $\Re \subset Y$  be the following set of representatives of  $\mathscr{X}_{Q,n}$ :

$$
\mathfrak{R} = \{ie: -m+1 \le i \le m\}.
$$

The two trivial W-orbits are

$$
\mathcal{O}_e = \{e\}, \qquad \mathcal{O}_{(-m+1)e} = \{(-m+1)e\};
$$

while for all other  $ie \in \Re$  with  $2 \leq i \leq m$ , the orbit

$$
\mathcal{O}_{ie} = \{ie, (2-i)e\} \subset \mathcal{X}_{Q,n}
$$

is W-free. We thus have  $\Re_e, \Re_{(-m+1)e}$  and  $\Re_i \subset \Re$  to represent the three families of orbits.

<span id="page-55-0"></span>**Proposition 8.3.** Assume  $n = 4m$  and  $\underline{\chi}_{\alpha}$  is a nontrivial quadratic character. Then

$$
\sigma^{\text{Wh}} = m \cdot \mathbb{1}_W \oplus m \cdot \varepsilon_W, \text{ and } \sigma^{\mathscr{X}} = (m+1) \cdot \mathbb{1}_W \oplus (m-1) \cdot \varepsilon_W.
$$

Hence,

$$
\dim \mathrm{Wh}_{\psi} \left( \pi^{un}_{\chi} \right) = m = \dim \mathrm{Wh}_{\psi}(\pi).
$$

**Proof.** Choosing R as before, the scattering matrix  $\mathcal{S}_{\Re}(w_{\alpha},i(\chi))$  is the block-diagonal matrix with blocks  $\mathcal{S}_{\Re}(w_{\alpha},i(\chi))_{\mathcal{O}_{ie}}$  for  $i = -m+1$  and  $1 \leq i \leq m$ . Here,

$$
\mathcal{S}_{\Re}(w_{\alpha}, i(\chi))_{\mathcal{O}_{(-m+1)e}} = \gamma(w_{\alpha}, \chi)^{-1} = \frac{1 - q^{-1}\chi_{\alpha}}{1 - \chi_{\alpha}} = \frac{1 + q^{-1}}{2}
$$

and

$$
\mathcal{S}_{\Re}(w_{\alpha},i(\chi))_{\mathcal{O}_{e}} = \chi_{\alpha} \cdot \gamma(w_{\alpha},\chi)^{-1} = -\frac{1+q^{-1}}{2};
$$

also, for  $2 \leq i \leq m$ ,

$$
\mathcal{S}_{\Re}(w_{\alpha},i(\chi))_{\mathcal{O}_{ie}} = \begin{pmatrix} \frac{1-q^{-1}}{1-\chi_{\alpha}}\chi_{\alpha} & \mathbf{g}_{\psi^{-1}}((i-1)4) \\ \mathbf{g}_{\psi^{-1}}((1-i)4) & \frac{1-q^{-1}}{1-\chi_{\alpha}} \end{pmatrix}.
$$

It follows that for  $2 \leq i \leq m$ ,

$$
\sigma^{\mathrm{Wh}}_{\mathcal{O}_{ie}} = \sigma^{\mathscr{X}}_{\mathcal{O}_{ie}} = \mathbb{1} \oplus \varepsilon
$$

and

$$
\sigma^{\mathrm{Wh}}_{\mathcal{O}_{(-m+1)e}} = \sigma^{\mathcal{X}}_{\mathcal{O}_{(-m+1)e}} = \mathbb{1};
$$

however,

$$
\sigma_{\mathcal{O}_e}^{\text{Wh}} = \varepsilon_W, \qquad \sigma_{\mathcal{O}_e}^{\mathcal{X}} = \mathbb{1}.
$$

The last result on the Whittaker dimension follows from Theorem [5.6.](#page-37-0)

Proposition [8.3](#page-55-0) can also be proved by using the method described in Section [5.4.](#page-36-3) That is, we can compute  $\dim Wh_{\psi}\left(\pi_{\chi}^{un}\right)$  by showing that

- (i) Conjecture [6.4](#page-45-2) holds for  $\overline{SO}_3$  and
- (ii) we have

$$
rank(\nu_{\mathfrak{R}_{ie}}^{\chi}) = \begin{cases} 1 & \text{if } 2 \leq i \leq m \text{ or } i = -m+1, \\ 0 & \text{if } i = 1. \end{cases}
$$

Here (i) can be verified exactly in the same way as Proposition [7.3,](#page-49-3) and thus we omit the details. We discuss (ii) for the three cases  $i = -m+1$ ,  $i = 1$  and  $2 \le i \le m$  separately.

- First, since  $(-m+1)e = \rho \rho_{Q,n}$ , it is the unique element in  $Y_n^{\text{exc}}$ . In this case, the equality  $\operatorname{rank} \left( \nu^\chi_{\mathfrak{R}_{(1-m)e}} \right)$  $= 1$  follows from Theorem [6.5.](#page-45-1)
- Second, for  $\mathfrak{R}_e = \{e\}$ , we have rank $(\nu_{\mathfrak{R}_e}^{\chi}) = 1$  if and only if  $\mathcal{W}_{\mathbf{s}_e}^*(\mathbf{s}_e) \neq 0$ . A straightforward computation from equation [\(6.1\)](#page-43-0) gives

$$
\mathcal{W}_{\mathbf{s}_e}^*(\mathbf{s}_e) = 0.
$$

Thus, rank  $(\nu_{\mathcal{O}_e}^{\chi})=0$ .

• Third, we deal with free W-orbits  $\mathcal{O}_{ie,2} \leq i \leq m$ . Similar to the case of  $\overline{\mathrm{SL}}_2^{(n)}$ , we have rank  $(\nu_{\mathfrak{R}_{ie}}^{\chi}) = \text{rank}(\mathcal{M}_{\mathfrak{R}_{ie}})$ , where

$$
\mathcal{M}_{\mathfrak{R}_{ie}} := \begin{pmatrix} \mathcal{W}_{\mathbf{s}_{ie}}^*(\mathbf{s}_{ie}) & \mathcal{W}_{\mathbf{s}_{ie}}^*(\mathbf{s}_{(2-i)e}) \\ \mathcal{W}_{\mathbf{s}_{(2-i)e}}^*(\mathbf{s}_{ie}) & \mathcal{W}_{\mathbf{s}_{(2-i)e}}^*(\mathbf{s}_{(2-i)e}) \end{pmatrix}.
$$

Again, since  ${}^{w_\alpha}\chi = \chi$  and  $\chi_\alpha = -1$ , it follows from equation [\(6.1\)](#page-43-0) that

$$
\mathcal{M}_{\Re_{ie}} = \begin{pmatrix} q^{(i-2)/2} & q^{-(2+i)/2} \mathbf{g}_{\psi^{-1}}((1-i)4) \\ q^{i/2} \mathbf{g}_{\psi^{-1}}((i-1)4) & q^{-(2+i)/2} \end{pmatrix}.
$$

Note that we have  $1 \leq i-1 \leq m-1$  and thus  $\det(\mathcal{M}_{\Re_{ie}}) = 0$ . Clearly, this implies that rank  $(\mathcal{M}_{\Re_{i\epsilon}})=1$ .

Combining the foregoing gives dim  $\text{Wh}_{\psi}(\pi_{\chi}^{un}) = m$ . It follows from this example of  $\overline{SO}_3^{(n)}$  that a naive analogue of Conjecture [5.7](#page-38-1) does not hold for coverings of a general semisimple group. Here the difference between  $\mathscr{X}_{Q,n}^{\text{exc}}$  and  $(\mathscr{X}_{Q,n})^W$  plays a sensitive role and accounts for the failure. Indeed, in the case of  $\overline{SO}_3^{(n)}$ , we have

$$
\left| \mathcal{X}_{Q,n}^{\text{exc}} \right| = 1 \text{ and } \left| \left( \mathcal{X}_{Q,n} \right)^W \right| = 2.
$$

 $\Box$ 

## **8.2.** Double cover of  $\text{Spin}_6$

We consider in this subsection only the double cover of  $Spin_6 \simeq SL_4$ , though the phenomenon appears for general  $2m$ -fold covers of  $Spin_{2k}$  with m and k being both odd. For this reason, we would like to consider the situation from the perspective of spin groups.

Consider the Dynkin diagram of simple coroots for the simply connected  $G = \mathrm{Spin}_6$ :



Let  $Q$  be the Weyl-invariant quadratic form  $Q$  of Y such that  $Q(\alpha_i^{\vee}) = 1$  for all  $1 \leq i \leq 3$ . Let  $\overline{G}$  be the double cover of G arising from Q. We have  $Y_{Q,2}^{sc} = 2 \cdot Y$  and

$$
Y_{Q,2} = \left\{ \sum_{i=1}^{3} y_i \alpha_i^{\vee} : 2|y_i \text{ for all } i \text{ and } 2(y_1 + y_2 + y_3) \right\}.
$$

Thus we have

$$
\overline{G}^{\vee} = SO_6,
$$

and the principal endoscopic group H for  $\overline{G}$  is SO<sub>6</sub>. We have

$$
\mathscr{X}_{Q,2} = \{0,\alpha_1^\vee,\alpha_2^\vee,\alpha_1^\vee + \alpha_2^\vee\}\,.
$$

Note that  $\alpha_2^{\vee} = \alpha_3^{\vee} \in \mathscr{X}_{Q,n}$ . There are two W-orbits of  $\mathscr{X}_{Q,2}$  represented by the following graph:



We have  $\mathscr{X}_{Q,n} = \mathcal{O}_0 \cup \mathcal{O}_{\alpha_1^\vee + \alpha_2^\vee}$ .

It then follows from [\[22,](#page-59-22) Theorme 6.8] that the only nontrivial unramified  $R_{\chi}$  is  $\{1, \mathbb{w} = \mathbb{w}_{\alpha_{r-1}} \mathbb{w}_{\alpha_r}\}\,$ , with

$$
\chi_{\alpha_2} = \chi_{\alpha_3} = -1.
$$

A direct computation using equations [\(5.2\)](#page-32-0) and [\(5.3\)](#page-33-0) and Theorem [5.2](#page-33-1) gives

$$
\tau(w, \chi, \mathbf{s}_0, \mathbf{s}_0) + \tau(w, \chi, \mathbf{s}_{\alpha_2^{\vee}}, \mathbf{s}_{\alpha_2^{\vee}}) = \gamma(w, \chi) - \gamma(w, \chi) = 0
$$

$$
\tau(w, \chi, \mathbf{s}_{\alpha_1^{\vee}}, \mathbf{s}_{\alpha_1^{\vee}}) = \gamma(w, \chi)
$$

$$
\tau(w, \chi, \mathbf{s}_{\alpha_1 + \alpha_2^{\vee}}, \mathbf{s}_{\alpha_1 + \alpha_2^{\vee}}) = -\gamma(w, \chi).
$$

Denoting Irr  $(R_\chi) = \{1,\varepsilon\}$ , it then follows that

$$
\sigma^{\mathrm{Wh}}_{\mathcal{O}_0} = (2 \cdot \mathbb{1}) \oplus \varepsilon, \qquad \sigma^{\mathrm{Wh}}_{\mathcal{O}_{\alpha_1^\vee + \alpha_2^\vee}} = \varepsilon.
$$

In particular, writing  $I(\chi) = \pi_{\chi}^{un} \oplus \pi$ , we have

$$
\dim \mathrm{Wh}_{\psi} \left( \pi^{un}_{\chi} \right) = 2 \text{ and } \dim \mathrm{Wh}_{\psi}(\pi) = 2.
$$

On the other hand, it is clear from the diagram that

$$
\sigma_{\mathcal{O}_0}^{\mathscr{X}}=3\cdot\mathbb{1},\qquad \sigma_{\mathcal{O}_{\alpha_1^\vee+\alpha_2^\vee}}^{\mathscr{X}}=\mathbb{1}.
$$

We see that the analogous Conjecture [5.7](#page-38-1) does not hold in this case. The constraint that  $\overline{G}^{\vee}$  be of adjoint type seems to be necessary.

For a low-rank group and 'small'  $R_\chi$ , Conjecture [5.7](#page-38-1) should be computable and explicitly verifiable. However, for general  $n$ -fold covers of a simply connected group G, in view of the difficulty highlighted in Remark [7.2,](#page-48-0) it is desirable to approach the problem from a more uniform and conceptual perspective. In any case, we will leave the investigation of this to a future work, as a continuation of the present paper.

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