

Glasgow Mathematical Journal

### **RESEARCH ARTICLE**

# Abelian absolute Galois groups In Erinnerung an Wulf-Dieter Gever (1939–2019)

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**Received:** 26 August 2023; **Revised:** 3 September 2023; **Accepted:** 5 January 2024; **First published online:** 2 February 2024 **Keywords:** Absolute Galois Group; Henselian Fields

2020 Mathematics Subject Classification: Primary - 12E30; Secondary - 11Rxx

#### Abstract

Generalizing a result of Wulf-Dieter Geyer in his thesis, we prove that if *K* is a finitely generated extension of transcendence degree *r* of a global field and *A* is a closed abelian subgroup of Gal(*K*), then rank(*A*)  $\leq r + 1$ . Moreover, if char(*K*) = 0, then  $\hat{\mathbb{Z}}^{r+1}$  is isomorphic to a closed subgroup of Gal(*K*).

# 1. Introduction

A consequence of class field theory appearing in [9, p. 302, Thm. 8.8(b)(iii)] says that the cohomological dimension of every number field *K* which is not embeddable in  $\mathbb{R}$  is 2. On the other hand,  $cd(\hat{\mathbb{Z}} \times \hat{\mathbb{Z}}) = 2$  [9, p. 217, Cor. 3.2 and p. 221, Prop. 4.4] and the group  $\hat{\mathbb{Z}}$  occurs as a closed subgroup of Gal( $\mathbb{Q}$ ) in many ways [3, p. 379, Thm. 18.5.6]. One may therefore wonder whether  $\hat{\mathbb{Z}} \times \hat{\mathbb{Z}}$  is isomorphic to a closed subgroup of Gal( $\mathbb{Q}$ ).

A somewhat surprising result of Geyer's thesis says that this is not the case. Indeed, every closed abelian subgroup of  $Gal(\mathbb{Q})$  is procyclic [4, p. 357, Satz 2.3] (see also [9, p. 306, Thm. 9.1]).

We generalize this result for every finitely generated extension *K* of transcendence degree *r* of a global field. We prove that if a profinite group *A* is isomorphic to a closed abelian subgroup of Gal(*K*), then rank(A)  $\leq r + 1$ . In particular,  $\hat{\mathbb{Z}}^{r+2}$  is not a subgroup of Gal(*K*) (Proposition 4.3).

In the rest of this note, we abuse our language and write "A is a closed subgroup of Gal(K)" rather than "A is isomorphic to a closed subgroup of Gal(K)."

It turns out that the latter inequality is sharp. Indeed, if  $\operatorname{char}(K) = 0$ , then  $\hat{\mathbb{Z}}^{r+1}$  is a closed subgroup of  $\operatorname{Gal}(K)$ , while if  $\operatorname{char}(K) = p > 0$ , then  $\hat{\mathbb{Z}}$  is a closed subgroup of  $\operatorname{Gal}(K)$ ,  $\prod_{l \neq p} \mathbb{Z}_l^{r+1}$  is a closed subgroup of  $\operatorname{Gal}(K)$  if  $r \ge 0$  (Theorem 5.7), but  $\hat{\mathbb{Z}}^{r+1}$  is not a closed subgroup of  $\operatorname{Gal}(K)$  if  $r \ge 1$  (Remark 5.8). Here *l* ranges over the prime numbers. The exclusion of the factor  $\mathbb{Z}_p$  in the case when p > 0 and  $r \ge 1$  follows from the rule  $\operatorname{cd}_p(\operatorname{Gal}(F)) \le 1$  for each field *F* of characteristic *p* [9, p. 256, Thm. 3.3].

# 2. Preliminaries

One of the basic tools needed in the proof of the generalization of Geyer's result is a special case of the renowned Pontryagin–van Kampen theorem. Here, and in the rest of this note, *l* stands for a prime number,  $\mathbb{Z}_l$  is the ring of *l*-adic numbers, viewed as a profinite abelian group or as a principal ideal domain. We also write  $\hat{\mathbb{Z}} := \prod_l \mathbb{Z}_l$  for the Prüfer group [3, p. 12]. Thus,  $\mathbb{Z}_l$  is the free pro-*l* cyclic group and  $\hat{\mathbb{Z}}$  is the free procyclic group.

**Proposition 2.1** ([10, p. 129, Thm. 4.3.3]). Let A be a torsion-free abelian profinite group. Then  $A \cong \prod_{l} \mathbb{Z}_{l}^{r_{l}}$ , where  $r_{l}$  is a cardinal number for each l.

https://doi.org/10.1017/S0017089524000028 Published online by Cambridge University Press

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The proof of Proposition 2.1 uses a special case of the Pontryagin–van Kampen duality theorem saying that every locally compact abelian topological group *A* is canonically isomorphic to its double dual group  $A^{**}$ , where  $A^* = \text{Hom}(A, \mathbb{R}/\mathbb{Z})$ . The proof of that special case needed in our proposition, dealing only with abelian profinite groups, appears in [10, Section 2.9]. It is much simpler than the proof of the general theorem [5, p. 376, Thm. 24.2].

We denote the algebraic closure of a field K by  $\tilde{K}$  and its separable algebraic closure by  $K_{sep}$ . We write Gal(K) for the absolute Galois group Gal( $K_{sep}/K$ ) of K. If A is a closed subgroup of Gal(K), then  $K_{sep}(A)$  denotes the fixed field of A in  $K_{sep}$ .

**Lemma 2.2.** Let K be a field and A a nontrivial finite subgroup of Gal(K). Then,  $A \cong \mathbb{Z}/2\mathbb{Z}$ , char(K) = 0, and the fixed field  $\tilde{K}(A)$  of A in  $\tilde{K}$  is real closed. In addition, A is the centralizer of itself in Gal(K).

*Proof.* Let  $R = K_{sep}(A)$ . Then, a theorem of Artin says that char(K) = 0,  $K_{sep} = \tilde{K}$ , and  $\tilde{K} = R(\sqrt{-1})$  [7, p. 299, Cor. 9.3]. Let  $\tau$  be the unique element of order 2 of Gal(R) defined by  $\tau(\sqrt{-1}) = -\sqrt{-1}$ .

By [7, p. 452, Prop. 2.4], *R* is real closed. Let < be the ordering of *K* induced by the unique ordering of *R*. If *R'* is a real closed field extension of *K* in  $\tilde{K}$  whose ordering extends <, then by [7, p. 455, Thm. 2.9], there exists a unique *K*-isomorphism  $R \rightarrow R'$ .

Let  $\sigma$  be an element of the centralizer  $C_{\text{Gal}(K)}(A)$  of A in Gal(K). Then,  $\sigma R$  is a real closure of (K, <) and  $\text{Gal}(\sigma R) \cong \mathbb{Z}/2\mathbb{Z}$ . Also,  $\tau(\sigma R) = \tau \sigma R = \sigma \tau R = \sigma R$ . By the preceding paragraph applied to  $\sigma R$  rather than to R, the restriction of  $\tau$  to  $\sigma R$  is the identity map. In other words,  $\tau \in \text{Gal}(\sigma R)$ . Since  $\text{ord}(\tau) = 2$ , the element  $\tau$  generates  $\text{Gal}(\sigma R)$ , so  $R = \sigma R$ . The uniqueness of the K-isomorphism of R into R implies that  $\sigma \in \text{Gal}(R) = A$ , as desired.

**Corollary 2.3.** Let K be a field and A a closed abelian subgroup of Gal(K). Then,  $A \cong \mathbb{Z}/2\mathbb{Z}$  or  $A \cong \prod_{l} \mathbb{Z}_{l}^{r_{l}}$ , where l ranges over all prime numbers and  $r_{l}$  is a cardinal number.

*Proof.* If *A* has a non-unit element  $\alpha$  of a finite order, then by Lemma 2.2,  $\langle \alpha \rangle \cong \mathbb{Z}/2\mathbb{Z}$  and  $\langle \alpha \rangle$  is its own centralizer in Gal(*K*). Since *A* is abelian, *A* is contained in that centralizer. Therefore,  $A = \langle \alpha \rangle$ . Otherwise, *A* is torsion-free. Hence, by Proposition 2.1, *A* has the desired structure.

Given a profinite group *G* and a prime number *l*, we write  $cd_l(G)$  for the *l*th cohomology dimension of **G** [9, p. 196, Def. 1.1]. Also, we write  $\zeta_n$  for a primitive root of unity of order *n*.

**Lemma 2.4.** *The following statements hold for prime numbers p, l, and a finite extension E of*  $\mathbb{Q}_p$ *:* 

- (a) E contains only finitely many roots of unity.
- (b)  $l^{\infty}|[E(\zeta_{j})_{j\geq 1}:E].$
- (c)  $\operatorname{cd}_{l}(\operatorname{Gal}(E(\zeta_{l^{j}})_{j\geq 1})) \leq 1.$

*Proof of (a).* Let *O* be the ring of integers of *E*,  $\overline{E}$  the residue field of *E*,  $\pi$  a prime element of *O*, *U* the group of invertible elements of *O*, and  $U^{(1)} = 1 + \pi O$  the subgroup of 1-units of *O*. Reduction modulo  $\pi O$  yields the following short exact sequence

 $\mathbf{1} \longrightarrow U^{(1)} \longrightarrow U \longrightarrow \bar{E}^{\times} \longrightarrow \mathbf{1},$ 

where **1** is the trivial group. By [11, p. 213, Chap. XIV, Prop. 10],  $U^{(1)}$  is isomorphic to a direct product of a finite abelian group with a free abelian group. Since  $\bar{E}^{\times}$  is also finite, the torsion group of U is finite. That group is the group of roots of unity in E.

*Proof of (b).* By (a), *E* has only finitely many roots of unity of order  $l^j$  with  $j \ge 1$ . Thus, there exists a non-negative integer *j* with  $\zeta_{ij} \in E$  and  $\zeta_{ij+1} \notin E$ . By [7, p. 297, Thm. 9.1],  $[E(\zeta_{ij+1}) : E(\zeta_{ij})] = l$ . Apply the

same argument to the field  $E_1 := E(\zeta_{i^{j+1}})$  to find an integer  $j_2 > j_1 := j$  such that  $\zeta_{i^j_2} \in E_1$  and  $\zeta_{i^{j_2+1}} \notin E_1$ , so  $[E_2 : E_1] = l$  with  $E_2 := E(\zeta_{i^{j_1+1}}, \zeta_{i^{j_2+1}})$ . Continue to find a sequence  $j_1 < j_2 < j_3 < \ldots$  and fields  $E \subset E_1 \subset E_2 \subset E_3 \subset \cdots$  such that  $\zeta_{i^{j_n+1}} \in E_n := E(\zeta_{i^{j_i+1}})_{i=1}^n$  and  $\zeta_{i^{j_n+1+1}} \notin E_n$ , so  $[E_{n+1} : E_n] = l$ , for each  $n \ge 1$ . Hence,  $l^{\infty}|[E(\zeta_{i^j})_{j\ge 1} : E]$ .

*Proof of (c).* The claim follows from (b) and [9, p. 291, Cor. 7.4(i), (ii)].

Note that the citation in the proof of (c) relies on local class field theory.

### 3. Geyer's theorem

We generalize Geyer's theorem which asserts that every closed abelian subgroup of  $Gal(\mathbb{Q})$  is procyclic [4, p. 357, Satz 2.3].

**Lemma 3.1.** Let *F* be a field of positive characteristic *p*. Then, no pro-*p* closed subgroup of Gal(*F*) is isomorphic to  $\mathbb{Z}_p \times \mathbb{Z}_p$ .

*Proof.* Let *G* be a closed pro-*p* subgroup of Gal(*F*). By [9, p. 256, Thm. 3.3],  $cd(G) \le 1$ . On the other hand,  $\mathbb{Z}_p$  is a free pro-*p* group of rank 1. Hence, by [9, p. 217, Cor. 3.2],  $cd(\mathbb{Z}_p) = 1$ . It follows from [9, p. 221, Prop. 4.4] that  $cd(\mathbb{Z}_p \times \mathbb{Z}_p) = cd(\mathbb{Z}_p) + cd(\mathbb{Z}_p) = 2$ . Therefore,  $G \not\cong \mathbb{Z}_p \times \mathbb{Z}_p$ , as claimed.  $\Box$ 

**Lemma 3.2.** Let K be a global field,  $l \neq char(K)$  a prime number, and M a separable algebraic extension of K. Suppose that M contains all of the roots of unity of order  $l^i$  for i = 1, 2, 3, ... Then,  $cd_l(Gal(M)) \leq 1$ . In particular,  $Gal(M) \ncong \mathbb{Z}_l \times \mathbb{Z}_l$ .

Proof. We distinguish between two cases:

Case A: *K* is a number field. We assume without loss that  $K = \mathbb{Q}$ . By assumption,  $\zeta_{l^2} \in M \setminus \mathbb{R}$ . Thus, *M* cannot be embedded into  $\mathbb{R}$ , that is *M* is **totally imaginary**. Hence by [9, p. 302, Thm. 8.8(a)],  $cd_l(Gal(M)) \neq \infty$ .

Now we consider a prime number p, a valuation v of M lying over p, and the completion  $\hat{M}_v$  of M at v. Then,  $\zeta_{l^i} \in M \subseteq \hat{M}_v$  for each i. Hence, by Lemma 2.4(b),  $l^{\infty}|[\hat{M}_v : \mathbb{Q}_p]$ . Therefore, by [9, p. 302, Thm. 8.8(b)],  $cd_l(Gal(M)) \leq 1$ .

Finally, by [9, p. 217, Cor. 3.2 and p. 221, Prop. 4.4] and [9, p. 217, Cor. 3.2],

 $\operatorname{cd}_{l}(\mathbb{Z}_{l} \times \mathbb{Z}_{l}) = \operatorname{cd}_{l}(\mathbb{Z}_{l}) + \operatorname{cd}_{l}(\mathbb{Z}_{l}) = 1 + 1 = 2.$ 

Hence,  $Gal(M) \not\cong \mathbb{Z}_l \times \mathbb{Z}_l$ , as claimed.

Case B: *K* is a finite separable extension of  $\mathbb{F}_p(t)$  with *t* transcendental over  $\mathbb{F}_p$ . We assume without loss that  $K = \mathbb{F}_p(t)$ . By assumption, *M* contains the field  $L := \mathbb{F}_p(\zeta_{l^i})_{i \ge 1}$ , so  $L(t) \subseteq M$ . Since there are infinitely many roots of unity  $\zeta_{l^i}$  in  $\mathbb{F}_p$  and only finitely many of them belong to each finite field, *L* is an infinite field. In addition, for each  $i \ge 1$  the extension  $\mathbb{F}_p(\zeta_{l^{i+1}})/\mathbb{F}_p(\zeta_{l^i})$  is cyclic of degree *l* or trivial. Hence,  $\operatorname{Gal}(L/\mathbb{F}_p(\zeta_l)) \cong \mathbb{Z}_l$ . Therefore, *L* is contained in the maximal extension *L'* of  $\mathbb{F}_p(\zeta_l)$  of an *l*'th power degree. Since  $\operatorname{Gal}(L'/\mathbb{F}_p(\zeta_l)) \cong \mathbb{Z}_l$ , the restriction map  $\operatorname{Gal}(L'/\mathbb{F}_p(\zeta_l)) \to \operatorname{Gal}(L/\mathbb{F}_p(\zeta_l))$  is surjective, and  $\mathbb{Z}_l$  is generated by one element, that map is an isomorphism [3, p. 331, Cor. 16.10.8]. It follows that L = L'. Therefore, *l* does not divide the order of  $\operatorname{Gal}(L)$ .

By [9, p. 208, Cor. 2.3],  $cd_l(Gal(L)) = 0$ . Hence, by [9, p. 272, Prop. 5.2],  $cd_l(Gal(L(t))) = 1$ . Since  $Gal(M) \leq Gal(L(t))$ , we have by [9, p. 204, Prop. 2.1(a)], that  $cd_l(Gal(M)) \leq 1$ . As in Case A, this inequality implies that  $Gal(M) \ncong \mathbb{Z}_l \times \mathbb{Z}_l$ , as claimed.

Here is the promised result of Geyer.

**Theorem 3.3.** Let K be a global field and A a closed abelian subgroup of Gal(K). Then, A is procyclic.

*Proof.* We start the proof with the special case where the torsion group  $A_{tor}$  of A is nontrivial. In this case, there exists a non-unit  $\tau \in A$  of finite order. By Lemma 2.2, char(K) = 0 and  $A \cong \mathbb{Z}/2\mathbb{Z}$ . In particular, A is procyclic.

We may therefore assume that *A* is a nontrivial torsion-free abelian profinite group. By Proposition 2.1,  $A \cong \prod_{l} \mathbb{Z}_{l}^{r_{l}}$ , where *l* ranges over all prime numbers and for each *l*,  $r_{l}$  is a cardinal number, so we may assume that  $A \cong \mathbb{Z}_{l}^{r}$  for a prime number *l* and a positive cardinal number *r* and prove that  $A \cong \mathbb{Z}_{l}$ .

Otherwise, *A* contains a closed subgroup which is isomorphic to  $\mathbb{Z}_l \times \mathbb{Z}_l$ . Thus, we may assume that  $A \cong \mathbb{Z}_l \times \mathbb{Z}_l$  and prove that this assumption leads to a contradiction.

To this end, we denote the fixed field of *A* in  $K_{sep}$  by *M* and identify Gal(*M*) with *A*. By Lemma 3.1,  $l \neq char(K)$ .

Claim: *M* contains a root of unity  $\zeta_l$  of order *l*. Indeed, if l = 2, then  $\zeta_l = -1 \in M$ . Otherwise, l > 2 and if  $\zeta_l \notin M$ , then  $[M(\zeta_l) : M]$  is a divisor of l - 1 which is greater than 1. On the other hand,  $[M(\zeta_l) : M]$  divides the (profinite) order of *A* which is  $l^{\infty}$ , a contradiction.

Since Gal(M)  $\cong \mathbb{Z}_l \times \mathbb{Z}_l$ , Lemma 3.2 implies that not all roots of unity of order  $l^i$  with  $i \ge 1$  belong to M. Let n be the smallest positive integer such that M contains a root of unity of order  $l^{n-1}$  but does not contain a root of unity of order  $l^n$ . Choose a root of unity  $\zeta_{l^n}$  and set  $M_1 = M(\zeta_{l^n})$ . Then,  $\zeta_{l^n}^l \in M$  but  $\zeta_{l^n} \notin M$ . Hence,  $[M_1 : M] | l$  and  $[M_1 : M] \neq 1$  (by the Claim and [7, p. 289, Thm. 6.2(ii)]), so  $[M_1 : M] = l$ .

Let *U* be the open subgroup of  $\mathbb{Z}_l$  of index *l*. Then, the index of each of the subgroups  $\mathbb{Z}_l \times U$  and  $U \times \mathbb{Z}_l$  of Gal(*M*) is *l*. We choose one of them which is different from Gal( $M_1$ ) and denote its fixed field in  $K_{sep}$  by  $M_2$ . Then,  $M_2$  is a cyclic extension of *M* of degree *l* and  $M_1 \neq M_2$ .

Since  $\zeta_l \in M$ , [7, p. 289, Thm. 6.2(i)] implies the existence of  $a, x \in K_{sep}$  with  $M_2 = M(x)$  and  $a := x^l \in M$ . Choose  $b \in K_{sep}$  with  $b^{p^{n-1}} = x$ , so  $b^m = a$ . In particular,  $M_2 = M(b^{p^{n-1}}) \subseteq M(b)$  and  $[M(b) : M_2] \leq l^{n-1}$ . It follows from the preceding paragraph that

$$[M(b):M] \le l^n. \tag{3.1}$$

Next choose  $\sigma \in A$  such that  $\sigma|_{M_1} = id$  and  $\sigma|_{M_2} \neq id$ . In particular,  $\sigma x \neq x$ , so  $\zeta := (\sigma b)b^{-1}$  satisfies

$$\zeta^{m} = \sigma b^{m} \cdot b^{-m} = \sigma a \cdot a^{-1} = aa^{-1} = 1 \text{ and } \zeta^{m-1} = \sigma b^{m-1} \cdot b^{-m-1} = \sigma x \cdot x^{-1} \neq 1,$$

thus  $\zeta$  is a primitive root of 1 of order  $l^n$ .

The definition of  $M_1$  implies that  $M_1 = M(\zeta)$ . But M(b) is a Galois extension of M (because Gal(M) is abelian). Hence,  $\zeta = (\sigma b)b^{-1} \in M(b)$ , so  $M_1 \subseteq M(b)$ . Since  $[M_1 : M] = l$ , we have by (3.1) that  $[M(b) : M_1] \leq l^{n-1}$ . Since  $\sigma$  is the identity on  $M_1$ , the latter inequality implies that  $\operatorname{ord}(\sigma|_{M(b)}) \leq l^{n-1}$ .

On the other hand, the relation  $\sigma b = b\zeta$  implies by induction on *i* that  $\sigma^i b = b\zeta^i \neq b$  for each  $1 \leq i \leq l^{n-1}$ . Hence,  $\operatorname{ord}(\sigma|_{M(b)}) > l^{n-1}$ . This contradicts the conclusion of the preceding paragraph, as required.

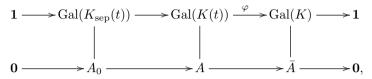
#### 4. Generalization of Geyer's theorem

The central part of the proof of Geyer's theorem says that for each prime number l, the largest positive integer n for which  $\mathbb{Z}_l^n$  is a closed subgroup of  $\text{Gal}(\mathbb{Q})$  or of  $\text{Gal}(\mathbb{F}_p(t))$  is 1. The next lemma will allow us to generalize that statement to each finitely generated extension of a global field.

**Remark 4.1.** Let *A* be a finitely generated torsion-free abelian pro-l group for a prime number l. [3, p. 519, Prop. 22.7.12(a)] allows us to also consider *A* as a finitely generated  $\mathbb{Z}_{l}$ -module. Since  $\mathbb{Z}_{l}$  is a principal ideal domain, [7, p. 147, Thm. 7.3] implies that  $A = \mathbb{Z}_{l}^{n}$  is a finitely generated free  $\mathbb{Z}_{l}$ -module of rank *n* for some non-negative integer *n*. Since  $\mathbb{Z}_{l}$  is generated, as a profinite group, by one element, *n* is also the rank, rank(*A*), of *A* as a profinite group. In other words, rank(*A*) = rank\_{\mathbb{Z}\_{l}}(A).

**Lemma 4.2.** Let *K* be a field, *t* an indeterminate, and *l* a prime number. Suppose that *n* is the largest positive integer for which  $\mathbb{Z}_l^n$  is a closed subgroup of Gal(*K*). Then, the largest positive integer *m* for which  $\mathbb{Z}_l^m$  is a closed subgroup of Gal(*K*(*t*)) does not exceed n + 1.

*Proof.* Suppose that  $A := \mathbb{Z}_l^{n'}$  is a closed subgroup of  $\operatorname{Gal}(K(t))$  for some positive integer n'. Let  $\varphi : \operatorname{Gal}(K(t)) \to \operatorname{Gal}(K)$  be the restriction map. Then,  $\operatorname{Ker}(\varphi) = \operatorname{Gal}(K_{\operatorname{sep}}(t))$ . Setting  $\overline{A} = \varphi(A)$  and  $A_0 = \operatorname{Ker}(\varphi) \cap A$ , we get the following commutative diagram of profinite groups:



where **0** stands for the trivial group of an additive abelian group. Since  $\mathbb{Z}_l$  is a principal ideal domain and *A* is a free  $\mathbb{Z}_l$ -module of rank n',  $A_0$  is a free  $\mathbb{Z}_l$ -module, by [7, p. 146, Thm. 7.1]. Also, by [7, p. 148, Lemma 7.4],  $\overline{A}$  is a free  $\mathbb{Z}_l$ -module and  $n' = \operatorname{rank}(A_0) + \operatorname{rank}(\overline{A})$ .

By [9, p. 272, Prop. 5.2], Gal( $K_{sep}(t)$ ) is a projective group, so also  $A_0$  is a projective group. In other words, rank( $A_0$ )  $\leq 1$ . Also, by Corollary 2.3 and the assumption of the lemma,  $\bar{A} = \mathbb{Z}_l^m$  with  $m \leq n$  or l = 2 and  $\bar{A} \cong \mathbb{Z}/2\mathbb{Z}$ . In each case, rank( $\bar{A}$ )  $\leq n$ , hence rank(A) = rank( $\bar{A}$ ) + rank( $A_0$ )  $\leq n + 1$ , as claimed.

**Proposition 4.3.** Let K be a finitely generated extension with transcendence degree r of a global field  $K_0$  and let A be a closed abelian subgroup of Gal(K). Then,  $A \cong \mathbb{Z}/2\mathbb{Z}$  or  $A \cong \prod_l \mathbb{Z}_l^{r_l}$ , where l ranges over all prime numbers and  $r_l \leq r + 1$  for each prime number l.

*Proof.* By Corollary 2.3,  $A \cong \mathbb{Z}/2\mathbb{Z}$  or  $A \cong \prod_{l} \mathbb{Z}_{l}^{r_{l}}$ , with cardinal numbers  $r_{l}$ . Assume the latter case. If *K* is a global field, then r = 0. Hence, by Theorem 3.3,  $r_{l} \le 0 + 1$  for each *l*.

Otherwise,  $r \ge 1$  and K is a finitely generated extension of transcendence degree 1 of a finitely generated extension  $K'_0$  of transcendence degree r - 1 of  $K_0$ . By induction, for each prime number l, r is the largest positive integer such that  $\mathbb{Z}_l^r$  is a closed subgroup of  $\text{Gal}(K'_0)$ . Hence, by Lemma 4.2, r + 1 is the largest positive number for which  $\mathbb{Z}_l^{r+1}$  is a closed subgroup of Gal(K). In particular,  $r_l \le r + 1$ , as claimed.

# **5. Realizing** $\hat{\mathbb{Z}}^{r+1}$ as a closed subgroup of Gal(*K*)

Let *K* be a finitely generated extension of  $\mathbb{Q}$  of transcendence degree *r*. We complete Proposition 4.3 in this section by proving that  $\hat{\mathbb{Z}}^{r+1}$  is a closed subgroup of Gal(*K*). An analogous result holds for a finitely generated extension *K* of transcendence degree *r* of  $\mathbb{F}_p(t)$ , in which case  $\prod_{l\neq p} \mathbb{Z}_l^{r+1}$  replaces  $\hat{\mathbb{Z}}^{r+1}$ .

**Remark 5.1** (Valued fields). We denote the residue field of a valued field (F, v) by  $\overline{F}_v$  and its value group by  $v(F^{\times})$ . In addition, we extend v to a valuation of  $F_{sep}$  that we also denote by v, consider its valuation ring  $O_{v,sep}$ , and let  $D_{v,sep} = \{\sigma \in \text{Gal}(F) \mid \sigma O_{v,sep} = O_{v,sep}\}$  be the corresponding **decomposition group**. Then, we let  $F_v$  be the fixed field of  $D_{v,sep}$  in  $F_{sep}$ . Abusing our notation, we also let v be the restriction of v to  $F_v$ . Then,  $(F_v, v)$  is the **Henselization** of (F, v).

One knows that  $(F_v, v)$  has the same residue field and value group as those of (F, v) [2, p. 138, Prop. 15.3.7]. Moreover, the valued fields  $(F_{sep}, v)$  and  $(F_v, v)$  depend on the extension of v to  $F_{sep}$  up to isomorphism [2, p. 138, Cor. 15.3.6].

If v is a rank-1 valuation, then so is its extension to  $F_v$ . In this case, the completion  $(\hat{F}_v, v)$  of (F, v) is also discrete with the same value group and residue field as those of (F, v). Moreover,  $(\hat{F}_v, v)$  is also the completion of  $(F_v, v)$ . By Hensel's lemma,  $(\hat{F}_v, v)$  is also Henselian [2, p. 167, Cor. 18.3.2]. We embed  $F_{sep}$  into  $\hat{F}_{v,sep}$  and observe that  $F_{sep} \cap \hat{F}_v = F_v$  (since  $(F_{sep} \cap \hat{F}_v, v)$  is an immediate separable algebraic

extension of  $(F_v, v)$  and  $F_{sep}\hat{F}_v = \hat{F}_{v,sep}$  (by the Krasner-Ostrowski lemma [2, p. 172, Cor. 18.5.3]). Thus, restriction gives an isomorphism  $\text{Gal}(\hat{F}_v) \cong \text{Gal}(F_v)$  of the corresponding absolute Galois groups.

We denote the maximal unramified extension of  $F_v$  (resp.  $\hat{F}_v$ ) by  $F_{v,ur}$  (resp.  $\hat{F}_{v,ur}$ ) and the maximal tamely ramified extension by  $F_{v,tr}$  (resp.  $\hat{F}_{v,tr}$ ). These fields are Galois extensions of  $F_v$  (resp.  $\hat{F}_v$ ). As in [2, p. 133, p. 141, and p. 145], we set  $Z(v) = \text{Gal}(F_v)$  for the **decomposition group**,  $T(v) = \text{Gal}(F_{v,ur})$  for the **inertia group**, and  $V(v) = \text{Gal}(F_{v,tr})$  for the **ramification group** of (F, v). The letters Z, T, and V are borrowed from the German translations Zerlegsungruppe, Trägheitsgruppe, and Verzweigungsgruppe of the English expressions decomposition group, inertia group, and ramification group,

$$F - F_v - F_{v, ur} - F_{v, tr} \frac{T(v)}{V(v)} F_{sep}.$$
(5.1)

Each of the fields  $F_{v,ur}$ ,  $F_{v,tr}$ , and  $F_{sep}$  is a Galois extension of  $F_v$ . By [2, p. 199, Thm. 22.1.1] and [6, Thm. 2.2] (resp. [2, p. 203, Thm. 22.2.1]) both restriction maps

$$\operatorname{Gal}(F_{\nu,\mathrm{tr}}/F_{\nu}) \to \operatorname{Gal}(F_{\nu,\mathrm{ur}}/F_{\nu}) \text{ and } \operatorname{Gal}(F_{\nu}) \to \operatorname{Gal}(F_{\nu,\mathrm{tr}}/F_{\nu})$$

split. In particular, each closed subgroup of Gal( $F_{v,ur}/F_v$ ); hence, each closed subgroup of Gal( $\overline{F}_v$ ) is isomorphic to a closed subgroup of Gal( $F_{v,ur}/F_v$ ). Also, each closed subgroup of Gal( $F_{v,ur}/F_v$ ) is isomorphic to a closed subgroup of Gal( $F_v$ ).

Note that E in Theorem 22.1.1 of [2] is  $F_{sep}$ , in our notation, so it satisfies the condition  $E = E^l$  for all prime numbers  $l \neq char(\bar{F}_v)$  needed in that theorem.

**Notation 5.2.** We denote the group of roots of unity in a field F by  $\mu(F)$ . If char(F) = p > 0 and F is separably closed, then  $\mu(F) = \tilde{\mathbb{F}}_p^{\times}$ . If char(F) = 0 and F is algebraically closed, then  $\mu(F) = \mu(\tilde{\mathbb{Q}})$  and  $\mathbb{Q}_{ab} := \mathbb{Q}(\mu(\tilde{\mathbb{Q}}))$  is the maximal abelian extension of  $\mathbb{Q}$  (by the theorem of Kronecker–Weber [8, p. 324, *Thm.* 110]).

**Remark 5.3.** Given a field K, the field of formal power series K((t)) in the variable t with coefficients in K, also called the field of Laurent series over K, is the field of all formal power series  $\sum_{i=m}^{\infty} a_i t^i$  with  $m \in \mathbb{Z}$  and  $a_i \in K$  for all  $i \ge m$ . If l < m, then  $\sum_{i=m}^{\infty} a_i t^i$  is identified with  $\sum_{i=l}^{\infty} a_i t^i$  with  $a_i = 0$  for each  $l \le i < m$ . Summation and multiplication in K((t)) are defined by the following rules:

$$\sum_{i=m}^{\infty} a_i t^i + \sum_{i=m'}^{\infty} a'_i t^i = \sum_{i=\min(m,m')}^{\infty} (a_i + a'_i) t^i,$$
$$\left(\sum_{i=m}^{\infty} a_i t^i\right) \left(\sum_{j=m'}^{\infty} a'_j t^j\right) = \sum_{k=m+m'}^{\infty} \left(\sum_{i+j=k}^{\infty} a_i a'_j\right) t^k.$$

Let v be the unique discrete valuation of K(t) with v(a) = 0 for each  $a \in K$  and v(t) = 1. Then, (K((t)), v) is the completion of (K(t), v), where  $v(\sum_{i=m}^{\infty} a_i t^i) = m$  whenever  $a_m \neq 0$ . By [2, p. 167, Cor. 18.3.2], K((t)) is Henselian with respect to v.

By [1, p. 28, Cor. 2] (or [2, p. 141, Thm. 16.1.1]),

$$\operatorname{Gal}(K((t))_{\mathrm{ur}}/K((t))) \cong \operatorname{Gal}(K).$$

Replacing K by  $K_{sep}$ , we have that  $K_{sep}((t))_{ur} = K_{sep}((t))$ . Since the roots of unity of order n with char(K)  $\nmid$  n are in  $K_{sep}$ , we have that  $K_{sep}((t))$  has a cyclic extension of degree n in  $K_{sep}((t))_{tr}$ . Indeed, that extension is  $K_{sep}((t^{1/n}))$ .

Going to the limit of these extensions, we obtain with  $p := \operatorname{char}(K)$  that  $K_{\operatorname{sep}}((t))_{\operatorname{tr}} = \bigcup_{p \nmid n} K_{\operatorname{sep}}((t^{1/n}))$ and  $\operatorname{Gal}(K_{\operatorname{sep}}((t))_{\operatorname{tr}}/K_{\operatorname{sep}}((t))) \cong \prod_{l \neq p} \mathbb{Z}_l$ . Moreover, if char(K) = 0, then the ramification group Gal( $\tilde{K}((t))_{tr}$ ) of  $\tilde{K}((t))$  is trivial [2, p. 145, Thm. 16.2.3], so  $\tilde{K}((t))_{tr} = \widetilde{K}((t))$ . Thus, by the preceding paragraph, in this case, Gal( $\tilde{K}((t))$ )  $\cong \hat{\mathbb{Z}}$ .

**Lemma 5.4.** Let  $K_0$  be a field of characteristic p, t an indeterminate, and r a positive integer. Suppose that  $\mu(K_{0,sep}) \subseteq K_0$  and  $\prod_{l \neq p} \mathbb{Z}_l^r$  is a closed subgroup of  $\text{Gal}(K_0)$ . Then,  $\prod_{l \neq p} \mathbb{Z}_l^{r+1}$  is a closed subgroup of  $\text{Gal}(K_0(t))$ .

*Proof.* By assumption, the field  $K_0$  has a separable algebraic extension K with  $Gal(K) \cong \prod_{l \neq p} \mathbb{Z}_l^r$ . Let v be the discrete K-valuation of K(t) with v(t) = 1 and choose a Henselization  $M := K(t)_v$  of K(t) with respect to v. Then,

$$\bar{M} := \overline{K(t)}_{v} = K \tag{5.2}$$

is the residue field of both K(t) and M with respect to v.

Claim: *M* is linearly disjoint from  $\tilde{K}$  over *K*. Indeed, let  $\tilde{K}_1, \ldots, \tilde{K}_n$  be linearly independent elements of  $\tilde{K}$  over *K*. Assume toward contradiction that there exist  $m_1, \ldots, m_n \in M$  not all zero with  $\sum_{i=1}^n m_i \tilde{K}_i = 0$ . Dividing  $m_1, \ldots, m_n$  by the element with the least *v*-value, we may assume that the *v*-residues  $\bar{m}_1, \ldots, \bar{m}_n$  are elements of *K* and one of them is non-zero. Thus,  $\sum_{i=1}^n \bar{m}_i \tilde{K}_i = 0$ , contradicting the assumption on  $\tilde{K}_1, \ldots, \tilde{K}_n$ . This proves our claim.

By [2, p. 200, Cor. 22.1.2],

$$Z(v)/V(v) \cong \chi(v) \rtimes \operatorname{Gal}(\bar{M}) \stackrel{(5.2)}{=} \chi(v) \rtimes \operatorname{Gal}(K),$$
(5.3)

where Z(v) = Gal(M) and V(v) are respectively the corresponding decomposition and the ramification groups of *M* and

$$\chi(v) = \operatorname{Hom}(v(M_{\text{sep}}^{\times})/v(M^{\times}), \mu(K_{0,\text{sep}})).$$
(5.4)

See [2, last line of page 144] with  $\bar{\mu}$  in that line being  $\mu(K_{0,sep})$ , as introduced in the first paragraph of [2, p. 143, Sec. 16.2].

The action of Gal(*K*) on  $\chi(\nu)$  is given for each  $\tau \in \text{Gal}(K)$ , each homomorphism  $h: \nu(M_{\text{sep}}^{\times})/\nu(M^{\times}) \rightarrow \mu(K_{0,\text{sep}})$ , and every  $\gamma \in \nu(M_{\text{sep}}^{\times})$ , by

$$\tau(h)(\gamma + v(M^{\times})) = \tau(h(\gamma + v(M^{\times}))) = h(\gamma + v(M^{\times})),$$

where the latter equality holds because  $\mu(K_{0,\text{sep}}) \subseteq K_0 \subseteq K$ . In other words, that action is trivial. It follows that

$$\operatorname{Gal}(M_{\operatorname{tr}}/M) \stackrel{(5.1)}{\cong} Z(v)/V(v) \stackrel{(5.3)}{\cong} \chi(v) \times \operatorname{Gal}(K).$$
(5.5)

By [2, p. 147, Cor. 16.2.7], there is a short exact sequence

 $\mathbf{1} \longrightarrow V(v) \longrightarrow T(v) \longrightarrow \chi(v) \longrightarrow \mathbf{1}.$ 

Hence,  $\chi(v) \cong T(v)/V(v)$ .

By our choice of v, the completion of K(t) with respect to v (which is also the completion of the Henselian field M) is the field K((t)) of formal power series in t with coefficients in K [2, p. 83, Example 9.2.2]. The maximal unramified extension of K((t)) is  $K_{sep}((t))$  and by Remark 5.3,  $\chi(v) \cong T(v)/V(v) \cong \text{Gal}(M_{tr}/M_{ur}) \cong \prod_{l \neq p} \mathbb{Z}_l$ .

By the definition of K,  $\operatorname{Gal}(K) \cong \prod_{l \neq p} \mathbb{Z}_l^r$ . Hence, by the preceding paragraph,

$$\operatorname{Gal}(M_{\operatorname{tr}}/M) \stackrel{(5.5)}{\cong} \chi(v) \times \operatorname{Gal}(K) \cong \prod_{l \neq p} \mathbb{Z}_l \times \prod_{l \neq p} \mathbb{Z}_l^r = \prod_{l \neq p} \mathbb{Z}_l^{r+1}.$$

Since by [6, Thm. 2.2], the epimorphism  $\operatorname{Gal}(M) \to \operatorname{Gal}(M_{tr}/M)$  splits,  $\prod_{l \neq p} \mathbb{Z}_l^{r+1}$  is a closed subgroup of  $\operatorname{Gal}(M)$ . Since *M* is a separable algebraic extension of  $K_0(t)$  [2, p. 137, Thm. 15.3.5],  $\prod_{l \neq p} \mathbb{Z}_l^{r+1}$  is also a closed subgroup of  $\operatorname{Gal}(K_0(t))$ , as claimed.

**Remark 5.5.** Note that the references that support both (5.3) and (5.4) hold also in the case where  $char(K_0) = 0$ .

The following result will be needed in Theorem 5.7.

**Lemma 5.6.** Let L be a set of prime numbers and H an open subgroup of  $\prod_{l \in I} \mathbb{Z}_l$ . Then,  $H \cong \prod_{l \in I} \mathbb{Z}_l$ .

*Proof.* We set  $Z := \prod_{l \in L} \mathbb{Z}_l$  and consider all the groups appearing in this proof as additive groups. Since *H* is open in *Z*, its index n := (Z : H) is a positive integer. Since *Z* is abelian, *H* is normal in *Z*, so  $nZ \le H$ 

By [3, p. 13, Lemma 1.4.2(e)],  $n\mathbb{Z}_l \cong \mathbb{Z}_l$  for each  $l \in L$ . Hence,  $nZ = \prod_{l \in L} n\mathbb{Z}_l \cong \prod_{l \in L} \mathbb{Z}_l = Z$ .

Let  $n = \prod_{l \in L'} l^{i(l)}$  be the decomposition of *n* into a product of prime powers. If *l* and *l'* are distinct prime numbers, then *l'* is a unit of the ring  $\mathbb{Z}_l$ , so  $l'\mathbb{Z}_l = \mathbb{Z}_l$ . Hence,  $nZ = \prod_{l \in L \cap L'} l^{i(l)}\mathbb{Z}_l \times \prod_{l \in L \setminus L'} \mathbb{Z}_l$ . Therefore,  $(Z : nZ) = \prod_{l \in L \cap L'} (\mathbb{Z}_l : l^{i(l)}\mathbb{Z}_l) = \prod_{l \in L \cap L'} l^{i(l)} \leq n = (Z : H)$ . Combining this result with the result of the first paragraph of the proof, we have H = nZ. Therefore, by the second paragraph of the proof,  $H \cong Z$ , as claimed.

This brings us to the main result of the current section.

**Theorem 5.7.** Let *F* be a finitely generated extension of transcendence degree  $r \ge 0$  of a global field  $F_0$  of characteristic *p* and let  $F' = F(\mu(F_{0,sep}))$ . Then,  $\prod_{l \ne p} \mathbb{Z}_l^{r+1}$  is a closed subgroup of Gal(*F*'), hence also of Gal(*F*).

*Proof.* In the case where r = 0, F itself is a global field, hence Hilbertian [3, p. 242, Thm. 13.4.2]. Since F' is an abelian extension of F, a theorem of Kuyk asserts that F' is also Hilbertian [3, p. 333, Thm. 16.11.3]. Since F is countable, so is F'. By [3, p. 379, Thm. 18.5.6], for almost all  $\sigma \in \text{Gal}(F')$  (in the sense of the Haar measure of Gal(F')) the closed subgroup  $\langle \sigma \rangle$  of Gal(F') generated by  $\sigma$  is isomorphic to  $\hat{\mathbb{Z}}$ . Since  $\prod_{l \neq p} \mathbb{Z}_l$  is a closed subgroup of  $\prod_l \mathbb{Z}_l$  and  $\prod_l \mathbb{Z}_l \cong \hat{\mathbb{Z}}$  [3, p. 15, Lemma 1.4.5],  $\prod_{l \neq p} \mathbb{Z}_l$  is a closed subgroup of Gal(F').

Alternatively, by a theorem of Whaples, for each  $l \neq p$  the field F' has a Galois extension  $F'_l$  with  $\operatorname{Gal}(F'_l/F') \cong \mathbb{Z}_l$  [3, p. 314, Cor. 16.6.7]. Then,  $F'' := \prod_{l \neq p} F'_l$  is a Galois extension of F' with  $\operatorname{Gal}(F''/F') \cong \prod_{l \neq p} \mathbb{Z}_l$ . Since  $\prod_{l \neq p} \mathbb{Z}_l$  is projective [3, p. 507, Cor. 22.4.6], the restriction map  $\operatorname{Gal}(F') \rightarrow \operatorname{Gal}(F''/F')$  splits [3, p. 506, Remark 22.4.2]. Hence, again,  $\prod_{l \neq p} \mathbb{Z}_l$  is a closed subgroup of  $\operatorname{Gal}(F')$ .

Next assume by induction that  $r \ge 1$  and the theorem holds for r - 1. Choose a finitely generated extension  $F_{r-1}$  of transcendence degree r - 1 of  $F_0$  in F and let  $F'_{r-1} = F_{r-1}(\mu(F_{0,sep}))$ . Since F is finitely generated over  $F_0$  of transcendence degree r, we may choose t in F which is transcendental over  $F_{r-1}$  and  $[F:F_{r-1}(t)] < \infty$ . Then,  $F' = F'_{r-1}F$  is a finite extension of  $F'_{r-1}(t)$ . Let L be the maximal separable extension of  $F'_{r-1}(t)$  in F', so F'/L is a purely inseparable extension of L. Then, L is a finite separable extension of  $F'_{r-1}(t)$ .

$$F_{r-1}(\mu(F_{0,sep})) = F'_{r-1} - F'_{r-1}(t) - F'_{r-1} - F'_{r-1} - F'_{r-1} - F'_{r-1} - F'_{r-1} - F'_{r-1} - F'_{r-1}(t) - F'_{r-1} - F$$

Hence,

$$Gal(L)$$
 is an open subgroup of  $Gal(F'_{r-1}(t))$ . (5.6)

By the induction hypothesis,  $\prod_{l \neq p} \mathbb{Z}_l^r$  is a closed subgroup of  $\operatorname{Gal}(F'_{r-1})$ . Therefore, by (5.6), Lemma 5.4, and Lemma 5.6,  $\prod_{l \neq p} \mathbb{Z}_l^{r+1}$  is a closed subgroup of  $\operatorname{Gal}(L)$ . Since F'/L is a purely inseparable extension (in particular F' = L if  $\operatorname{char}(F_0) = 0$ ),  $\prod_{l \neq p} \mathbb{Z}_l^{r+1}$  is a closed subgroup of  $\operatorname{Gal}(F')$ , hence also of  $\operatorname{Gal}(F)$ , as claimed.

**Remark 5.8.** Let *F* be a field as in Theorem 5.7. If p = 0, then  $\hat{\mathbb{Z}}^{r+1} = \prod_{l \neq p} \mathbb{Z}_l^{r+1}$ . Hence, by that theorem,  $\hat{\mathbb{Z}}^{r+1}$  is isomorphic to a closed subgroup of Gal(*F*).

If  $p \neq 0$  but r = 0, then  $F = F_0$  is a countable Hilbertian field and again, by [3, p. 379, Thm. 18.5.6], for almost all  $\sigma \in \text{Gal}(F)$  we have  $\langle \sigma \rangle \cong \hat{\mathbb{Z}}$ .

However, by [9, p. 256, Thm. 3.3],  $cd_p(Gal(F)) \leq 1$ . On the other hand, by [9, p. 221, Prop. 4.4],  $cd_p(\mathbb{Z}_p^{r+1}) = r + 1 \geq 2$  if  $r \geq 1$ . Hence,  $\mathbb{Z}_p^{r+1}$  is isomorphic to no closed subgroup of Gal(F). Therefore,  $\hat{\mathbb{Z}}^{r+1}$  is isomorphic to no closed subgroup of Gal(F).

Acknowledgement. The author thanks Ido Efrat for many comments on earlier versions of this note. The author also thanks Aharon Razon for a careful reading of the manuscript.

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