

A SEMIGROUP WITH AN EPIMORPHICALLY EMBEDDED SUBBAND

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We construct a semigroup S with an epimorphically embedded proper subband U . The band U furnishes an example of a regular semigroup which is not saturated, thus answering a question posed by Hall, *Semigroup Forum* (to appear).

1. Preliminaries and introduction

Let U, S be semigroups with U a subsemigroup of S . Following Howie and Isbell [10] we say that U *dominates* an element $d \in S$ if and only if for every semigroup T and all pairs of morphisms $\alpha, \beta : S \rightarrow T$, $\alpha|_U = \beta|_U$ implies that $d\alpha = d\beta$. The set of all elements of S dominated by U is called the *dominion* of U in S and is denoted by $\text{Dom}(U, S)$. It is easily verified that $\text{Dom}(U, S)$ is a subsemigroup of S containing U .

Let $\alpha : S \rightarrow T$ be a semigroup morphism. Then α is an *epimorphism* if for every pair of morphisms $\beta, \gamma : T \rightarrow V$, $\alpha\beta = \alpha\gamma$ implies $\beta = \gamma$. One can easily show that a morphism $\alpha : S \rightarrow T$ is an epimorphism if and only if the inclusion $i : S\alpha \rightarrow T$ is an epimorphism, which is equivalent to the statement that $\text{Dom}(S\alpha, T) = T$.

We say U is *epimorphically embedded* in S if $\text{Dom}(U, S) = S$ and that U is *saturated* if this only occurs when $U = S$. A class of semigroups is *saturated* if all its members have that property. A class of semigroups closed under the taking of morphisms, such as a variety, is

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saturated if and only if every epimorphism from each member of the class is onto.

The main tool used in the field of semigroup dominions is Isbell's Zigzag Theorem.

RESULT 1 [11, Theorem 2.3 or 9, Chapter 7, Theorem 2.13]. Let U be a subsemigroup of a semigroup S and let $d \in S$. Then $d \in \text{Dom}(U, S)$ if and only if $d \in U$ or there is a series of factorizations of d as follows:

$$d = u_0 y_1 = x_1 u_1 y_1 = x_1 u_2 y_2 = x_2 u_3 y_2 = \dots = x_m u_{2m-1} y_m = x_m u_{2m}$$

where $m \geq 1$, $u_i \in U$, $x_i, y_i \in S$ and

$$u_0 = x_1 u_1, \quad u_{2i-1} y_i = u_{2i} y_{i+1}, \quad x_i u_{2i} = x_{i+1} u_{2i+1} \quad (1 \leq i \leq m-1)$$

and

$$u_{2m-1} y_m = u_{2m}.$$

Such a series of factorizations is called a *zigzag* in S over U with *value* d , *length* m and *spine* u_0, u_1, \dots, u_m . The proof of this theorem is difficult, but in this paper we only require the 'if' part of the statement, which follows by a straightforward manipulation of the zigzag equations.

The notations and conventions of Clifford and Preston [1, 2] and Howie [9], will be used throughout without explicit reference.

It was proved in 1975 by Gardner [3] that any epimorphism from a regular ring is onto, in the category of rings. It is natural therefore to consider the same question for semigroups, and indeed Hall [4] has explicitly posed the question: does there exist a regular semigroup which is not saturated? This is equivalent to asking the question: does there exist an epimorphism from a regular semigroup which is not onto (in the category of semigroups)? Some recent related results in this area are as follows: epimorphisms are onto for finite regular semigroups [5]; and epimorphisms are onto for generalised inverse semigroups [6].

In this paper we give an example which shows that, in general, regular semigroups are not saturated; indeed the example shows the same is true of

orthodox semigroups (regular semigroups whose idempotents form a band), as the example is itself a band.

The example is relevant to other related problems. The problem of finding all saturated varieties of semigroups is open, although all the saturated commutative varieties [7], [12], and all the saturated heterotypical varieties [8] have been determined. A necessary condition for a variety V to be saturated is that it satisfies a homotypical identity of the form $x_1 x_2 \dots x_n = f(x_1, x_2, \dots, x_n)$ with the x_i all distinct, and such that $|x_i|_f > 1$ for some i [8, Theorem 6]. The example shows that this condition is not in general sufficient, and allows us to strengthen this necessary condition, insofar as we may add that V must admit an identity which is not a consequence of the identity $x = x^2$.

The example is constructed using the same technique as was employed by the author in [7] and [8], namely taking a free semigroup S and factoring by a congruence ρ , generated by relations which ensure that S/ρ is dominated by a subsemigroup U , which is a member of a particular variety of interest.

2. The example

Let F be the free semigroup on $G = X \cup Y \cup A$ where $X = \{x_1, x_2, \dots\}$, $Y = \{y_1, y_2, \dots\}$ and $A = \{a_1, a_2, \dots\}$. The subset $X \cup Y$ of G will be denoted by R . Let ρ_0 be the relation on F consisting of all pairs $\{(a, a^2) \mid a \in \langle A \rangle\}$, together with those defined by the zigzags

$$y_n = a_{6n+1}^y y_{2n+1} = x_{2n+1} a_{6n+1}^y y_{2n+1} \\ = x_{2n+1} a_{6n+2}^y y_{2n+1} = x_{2n+1} a_{6n+3}^y y_{2n+1} = x_{2n+1} a_{6n+3}^y,$$

for $n = 0, 1, 2, \dots$ and

$$x_n = a_{6n-2}^y y_{2n} = x_{2n} a_{6n-2}^y y_{2n} = x_{2n} a_{6n-1}^y y_{2n} = x_{2n} a_{6n}^y y_{2n} = x_{2n}^y y_{6n}$$

for $n = 1, 2, \dots$. These are the pairs $(y_n, a_{6n+1}^y y_{2n+1})$,

$$(a_{6n+1}^y, x_{2n+1} a_{6n+1}^y), (a_{6n+1}^y y_{2n+1}, a_{6n+2}^y y_{2n+1}), (x_{2n+1} a_{6n+2}^y, x_{2n+1} a_{6n+3}^y)$$

and $(a_{6n+3}y_{2n+1}, a_{6n+3})$ for $n = 0, 1, 2, \dots$, together with a similar collection of pairs defined by the zigzags above with value x_n , $n = 1, 2, \dots$. Note that y_0 is a name given for a_1y_1 and is not a member of G . Let ρ be the congruence generated by ρ_0 and let $S = F/\rho$ and $U = \langle A \rangle \rho$. By construction, U is a band and $\text{Dom}(U, S) = S$. We show $U \neq S$ by proving that $y_0\rho \notin U$.

We introduce some definitions to facilitate discussion of the word problem which now arises.

We say an elementary ρ_0 -transition $puq \rightarrow pvq$, where $p, q \in F^1$, has *base* u and *replacement* v . The transitions themselves are classified as follows: those of the form $paq \rightarrow pa^2q$, $a \in \langle A \rangle$, or a reversal of this type are *squaring transitions*; a transition of the form $px_nq \rightarrow pa_{6n-2}y_{2n}q$ or $py_nq \rightarrow pa_{6n+1}y_{2n+1}q$ is known as an *upward transition* while the corresponding reversal is a *downward transition*. In the general zigzag

$$Z_m : z = a_{3m-2}y_m = x_m a_{3m-2}y_m = x_m a_{3m-1}y_m = x_m a_{3m}y_m = x_m a_{3m}$$

with $z \in R$, and $(a_{3m-2}, x_m a_{3m-2})$, $(a_{3m-2}y_m, a_{3m-1}y_m)$, $(x_m a_{3m-1}, x_m a_{3m})$ and $(a_{3m}y_m, a_{3m})$ pairs of ρ_0 , the corresponding transitions based on a_{3m-2} , $a_{3m-2}y_m$, $x_m a_{3m-1}$ and $a_{3m}y_m$ are *forward transitions*, while their reversals are *backward transitions*. Collectively, the upward and forward transitions are known as *positive transitions*, while their reversals are *negative transitions*.

The number of transitions in a sequence of elementary transitions I , is denoted by $|I|$, and I is said to be *positive* if it consists entirely of positive transitions. Given two sequences I_1, I_2 of elementary transitions in which the last word of I_1 coincides with the first of I_2 , we define their product, $I_1 \cdot I_2$ by concatenation.

We say that a generator $z \in G$ has *index* m if it occurs in the zigzag equations of Z_m and write $\text{ind } z = m$ (note the value of Z_m does

not have index m). We say that $y_m \in Y$ (respectively $x_m \in X$) has the letters a_{3m-2} (respectively a_{3m}) and a_{3m-1} as associates and the letter a_{3m} (respectively a_{3m-2}) as annihilator. The letters a_{3m-2} , a_{3m-1} (respectively a_{3m-1} , a_{3m}) are mutual y -companions (respectively x -companions).

LEMMA 2. If $z \in G$ then there exists a unique shortest sequence $I(z)$ of elementary transitions $I(z) : y_0 \rightarrow \dots \rightarrow w$, such that $z \in C(w)$. Further $I(z)$ is positive.

Proof. We proceed by induction on $m = \text{ind } z$. If $m = 1$ we see by inspection that $I(a_1) = I(y_1)$ is trivial and that the shortest sequences which introduce the other letters of index 1 are respectively, $I(x_1) : a_1 y_1 \rightarrow x_1 a_1 y_1$, $I(a_2) : a_1 y_1 \rightarrow a_2 y_1$, and $I(a_3) : a_1 y_1 \rightarrow x_1 a_1 y_1 \rightarrow x_1 a_2 y_1 \rightarrow x_1 a_3 y_1$.

We take as our inductive hypothesis that $I(v)$ has been constructed for all $v \in G$ such that $1 \leq \text{ind } v \leq m$. Consider the unique $v \in R$ such that there exists an upward transition based on v with replacement $a_{3m-2} y_m$. Let the last word of $I(v)$ be pvq . We assert that

$$I(a_{3m-2}) = I(y_m) = I_v \cdot (pvq \rightarrow pa_{3m-2} y_m q)$$

and further that

$$I(x_m) = I(y_m) \cdot (pa_{3m-2} y_m q \rightarrow px_m a_{3m-2} y_m q) ,$$

$$I(a_{3m-1}) = I(y_m) \cdot (pa_{3m-2} y_m q \rightarrow pa_{3m-1} y_m q)$$

and that

$$I(a_{3m}) = I(x_m) \cdot (px_m a_{3m-2} y_m q \rightarrow px_m a_{3m-1} y_m q \rightarrow px_m a_{3m} y_m) .$$

Since every letter can be introduced by exactly one type of positive transition, in order to prove the assertion for $I(y_m)$ it suffices to show that if $J(y_m)$ is any shortest sequence beginning with $a_1 y_1$ that introduces y_m , then $J(y_m)$ contains no negative transitions. Suppose that $puq \rightarrow pvq$ is the last transition of such a sequence $J(y_m)$. If

$J(y_m)$ contains a negative transition, then it follows from the inductive hypothesis that $puq \rightarrow pvq$ is negative. If this transition were backward, it would be $pa_{3m}q \rightarrow pa_{3m}y_mq$, but since a_{3m} can only be introduced via $x_m a_{3m-1}$, and a_{3m-1} can only be introduced via $a_{3m-2}y_m$, it follows that this is not the case, so we may assume that $puq \rightarrow pvq$ is downward. Consider the letter $y \in Y$ appearing in u . Since y_m does not appear in $J(y_m)$ prior to pvq , it follows that y itself was introduced in $J(y_m)$ by a negative transition, and by the same argument as before, we conclude that y was introduced by a downward transition. Repetition of this argument yields the conclusion that $|J(y_m)|$ is arbitrarily large, and from this contradiction we conclude that $J(y_m)$ is positive and thus $J(y_m) = I(y_m)$ as given above.

Next, since the backward transition which introduces a_{3m-2} involves y_m , it follows that for any $J(a_{3m-2})$ (where $J(z)$ now denotes an arbitrary shortest sequence introducing z and beginning at a_1y_1) we have $J(a_{3m-2}) = I(y_m) = I(a_{3m-2})$. By a very similar argument to that used in the $J(y_m)$ case, it follows that any $J(x_m) = I(x_m)$. Since a_{3m} can only be introduced via the base $x_m a_{3m-1}$, it follows that any $J(a_{3m-1}) = I(a_{3m-1})$. By listing all possibilities, it can be seen that the shortest sequence introducing the word $x_m a_{3m-1}$ is

$$I(y_m) \cdot (pa_{3m-2}y_mq \rightarrow px_m a_{3m-2}y_mq \rightarrow px_m a_{3m-1}y_mq)$$

from which it follows that any $J(a_{3m}) = I(a_{3m})$ as given above, and this completes the proof.

Lemma 2 allows us to make the following definitions. For any $z \in G$ define the sets $X(z) \subset X$, $Y(z) \subset Y$ to consist of those members of X and Y respectively which occur as bases of upward transitions of $I(z)$, together with z itself ($z \in X(z)$ or $Y(z)$ according as $z \in X$ or $z \in Y$), and denote $X(z) \cup Y(z)$ by $R(z)$. A letter z is *derivable* from another letter z_1 (we write $z_1 \leq z$) if there exists a sequence

$I : z_1 \rightarrow \dots \rightarrow w$, containing no downward transition, such that $z \in C(w)$.

We consider a letter to be derivable from itself by a trivial sequence of no transitions. Since $z_1 \leq z$ implies $\text{ind } z_1 \leq \text{ind } z$ and no two letters of the same index are mutually derivable from one another, it follows that derivability does indeed define a partial order on the members of G .

Observe that the only letter derivable from any a_{3m-1} is itself, and that each member of R covers its annihilator with respect to the partial order \leq . It follows from the proof of Lemma 2 that for any $z \in G$ the members of $R(z)$ form a chain (with respect to \leq), beginning with x_1 or y_1 and ending with z . In fact for z , $z_1 \in R$, $z_1 \leq z$ if and only if $z_1 \in R(z)$.

We may now state and prove the main lemma.

LEMMA 3. *Let wpy_0 . Then w admits the following factorization*

$$w = w_1 u_1 w_2 u_2 \dots w_k u_k w_{k+1} z w_{k+2} u_{k+1} w_{k+3} u_{k+2} \dots w_{l+1} u_l w_{l+2}$$

where each $w_i \in F^1$, $z \in R$, $l = |R(z)|$, each u_i is an associate of a member of $R(z)$, and precisely one associate of each member of $R(z)$ occurs in the list u_1, u_2, \dots, u_l . If $r \in C(u_i w_{i+1} \dots z)$ or $r \in C(z w_{k+2} \dots u_i)$ for some u_i then $r \geq u$ for some letter u such that $\text{ind } u = \text{ind } u_i$. However, for any $x \in X(z)$ (respectively $y \in Y(z)$), the annihilator of x (respectively y), a_{3m-2} (respectively a_{3m}) is not a member of $C(z w_{k+2} \dots u_x)$ respectively $C(u_y \dots w_{k+1})$ where u_x, u_y are the unique associates of x and y respectively in the list u_1, u_2, \dots, u_l .

REMARK. This lemma says in particular that $y_0 \notin U$ so that $U \neq S$.

Proof. We proceed by induction on $|I|$, where $I : a_1 y_1 \rightarrow \dots \rightarrow w' \rightarrow w$ is a sequence from y_0 to w . If $|I| = 0$ then the statements of the lemma are evidently satisfied. Consider an arbitrary such sequence I , and take as our inductive hypothesis that the lemma

holds for the initial subsequence $J : a_1 y_1 \rightarrow \dots \rightarrow w'$, with

$$w' = w'_1 u'_1 \dots w'_k u'_k w'_{k+1} z' w'_{k+2} u'_{k+1} \dots u'_l w'_{l+2}$$

a factorization of w' satisfying the requirements of the lemma.

Before proceeding note that it follows from our inductive hypothesis that if a' and b' are associates of two distinct members of $X(z')$ occurring after z' , and $\text{ind } a' > \text{ind } b'$, then the first appearance of a' , or its x -companion, occurring after z' , is before the first appearance of b' , or its x -companion, after z' . Therefore, without loss we may assume that for any $i \geq k+1$, $C(z' \dots w'_{i+1})$ does not contain u'_i , nor the x -companion of u'_i . The preceding two sentences have duals which, of course, also hold.

We now consider the transition $w' \rightarrow w$ which may be

- (i) a squaring transition,
- (ii) an upward transition,
- (iii) a downward transition,
- (iv) a forward transition based on some a_{3m-2} or its reversal,
- (v) a forward transition based on some $a_{3m-2} y_m$ or its reversal,
- (vi) a forward transition based on some $x_m a_{3m-1}$ or its reversal, or
- (vii) a forward transition based on some $a_{3m} y_m$ or its reversal.

We show that in all cases the statements of the lemma continue to hold. for convenience, we will sometimes write the factorization given for w' in the abbreviated form $w' = v'_1 z' v'_2$ where $v'_1 = w'_1 u'_1 \dots u'_k w'_{k+1}$ and $v'_2 = w'_{k+2} u'_{k+1} \dots u'_l w'_{l+2}$.

Case (i). First suppose $w' \rightarrow w$ has the form $paq \rightarrow pa^2q$,

$p, q \in F^1$, $a \in \langle A \rangle$, and suppose v'_1 is a subword of p . There are no difficulties here; we consider the second 'a' to be inserted after the first and take $v_1 = v'_1$ in the required factorization of w . The dual comment applies if v'_2 is a subword of q . Next consider the reverse squaring transition, and suppose v'_1 is a subword of p . As explained above, we may assume without loss that the second 'a' contains no u'_i which occurs in the canonical factorization of w' , and thus no difficulties accompany its deletion. Again the dual comment applies if v'_2 is a subword of q . This concludes case (i).

Case (ii). Suppose $w' \rightarrow w$ has the form $px_nq \rightarrow pa_{6n-2}y_{2n}q$ and suppose v'_1 is a proper subword of p . The only difficulty which might conceivably arise is the introduction of an unwanted annihilator. However, a_{6n-2} annihilates x_{2n} , and if $x_{2n} \in X(z')$ all associates of x_{2n} must occur in p , because $\text{ind } x_n < \text{ind } x_{2n}$. Therefore we may indeed take $v_1 = v'_1$ in the required factorization for w . If $v'_1 = p$, so that $z' = x_n$, then we factorize w as $(v'_1 a_{6n-2}) y_{2n} v'_2$ and take $v_1 = v'_1 a_{6n-2}$, $v_2 = v'_2$. If v'_2 is a proper subword of q there are no difficulties. We may take $v_2 = v'_2$ in the factorization of w . The arguments used when $w' \rightarrow w$ is an upward transition based on some member of Y are the same as those above, thus completing case (ii).

Case (iii). Suppose $w' \rightarrow w$ has the form $pa_{6n-2}y_{2n}q \rightarrow px_nq$ and suppose v'_1 is a subword of p . We may again take $v_1 = v'_1$. The only apparent difficulty is that if $x_{2n} \in X(z')$ and the first associate of x_{2n} after z' is in q , then the derivability hypothesis of the lemma would be violated (as x_n is not derivable from a letter of index $2n$ although a_{6n-2} and y_{2n} are). However, since a_{6n-2} annihilates x_{2n} this situation is excluded by the inductive hypothesis. If $v'_1 = pa_{6n-2}$, then a required factorization of w is $w = v_1 x_n v_2$, where $v_1 = p$,

$v_2 = q$. If v'_2 is a proper subword of q then we may take $v_2 = v'_2$, except in case the a_{6n-2} appearing in $pa_{6n-2}y_{2n}q$ is one of the u'_i appearing in the factorization of w' . We show that we can then factorize w' as $w' = v''_1 y_n v''_2$ with $v''_1 = pa_{6n-2}$, $v''_2 = q$ and still satisfy the conditions demanded by the inductive hypothesis. We have

$$w' = w'_1 u'_1 \dots w'_i u'_i w'_{i+1} \dots u'_k w'_{i+1} z' w'_{k+2} \dots w'_{j+1} u'_j w'_{j+2} \dots u'_l w'_{l+2},$$

and $p = w'_1 u'_1 \dots w'_i$, $u'_i = a_{6n-2}$, $w'_{i+1} = y_{2n} \bar{w}_{i+1}$ for some $\bar{w}_{i+1} \in F^1$, u'_j is the first of the u 's after z of index less than $2n$. If no such u'_j occurs the following argument requires slight modification. We

now factorize w' , taking $w'_1 u'_1 \dots w'_i u'_i$ as before, followed by $w''_{i+1} = 1$, $z'' = y_{2n}$, $w''_{i+2} = \bar{w}_{i+1} u'_{i+1} \dots w'_{j+1}$, followed by $u'_j w'_{j+2} \dots u'_l w'_{l+2}$ as before (although we rename this last product as $u''_{i+1} w''_{i+3} \dots u''_{l'} w'_{l'+2}$ where $l' = l - j + i + 1$). Observe that the inductive hypotheses still hold with this new factorization of w' , the only one causing some complication is the statement that the annihilator of u''_x is not a member of $C(z'' \dots z' \dots u''_x)$ for all $x \in X(z'')$. However, by the inductive hypothesis, the annihilator of u''_x is not a member of $C(z' \dots u''_x)$ while all members of $C(z'' \dots z')$ have $\text{index} > \text{ind } u''_x$, so are certainly not annihilators of u''_x . Hence we have reduced this case to the case where $v'_1 = pa_{6n-2}$. The arguments above also deal with the case where $w' \rightarrow w$ is a downward transition with replacement a member of Y , this completing the proof for case (iii).

Case (iv). Suppose $w' \rightarrow w$ has the form $pa_{3m-2}^q \rightarrow px_m^a_{3m-2}^q$. Since $a_{3m-2} < x_m$ there are no difficulties. For the reverse transition, the only case which would cause a problem is that in which $v'_1 = p$; but this contradicts the inductive hypothesis as it says that $z' = x_m$ is immediately followed by its annihilator. This concludes case (iv) and the dual argument to this disposes of case (vii).

Case (v). Suppose $w' \rightarrow w$ has the form $pa_{3m-2}y_mq \rightarrow pa_{3m-1}y_mq$ and that v'_1 is a subword of p . Again a difficulty could only arise if $x_m \in X(z')$ and the first associate of x_m after z' were in q ; but this is impossible as a_{3m-2} annihilates x_m . If $v'_1 = pa_{3m-2}$ so that $pa_{3m-2} = pu'_i$, the role of u'_i is filled by taking $pa_{3m-1} = pu_i$ in w . Similarly there is no problem if v'_2 is a proper subword of q ; if necessary the role previously played by a_{3m-2} is now played by a_{3m-1} . Consider now that the reverse transition $w' \rightarrow w$ has the form $pa_{3m-1}y_mq \rightarrow pa_{3m-2}y_mq$. The only difficult case arises when $x'_m \in X(z')$ and $pa_{3m-1} = pu'_i$ where u'_i is an associate of x'_m . We assert that we may then factorize w' as $w' = v''_1 y_m v''_2$ with $v''_1 = pa_{3m-1}$ and obtain a factorization satisfying the inductive hypotheses. The argument involved is similar to that employed in case (iii) and is omitted. We may now take $v_1 = pa_{3m-2}$ in w , and thus dispose of case (v).

Case (vi), in which $w' \rightarrow w$ is a forward transition based on some $x_m a_{3m-1}$ or its reversal, is dealt with using arguments dual to those of case (v), thus completing the proof of the lemma.

COROLLARY 4. *There exists a band which is not saturated.*

Further progress towards solving the problem of the determination of all the saturated varieties of semigroups would be made if we knew whether or not the variety defined by the identity $xy = xyx$ was saturated. If this variety is not saturated it follows that the same is true of the corresponding variety of bands. Since every non-normal band variety contains either this variety or its dual, it would follow that the saturated band varieties are exactly the normal varieties. Furthermore, a negative answer would allow a determination of all saturated varieties of monoids.

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