

# ON POINTED HOPF ALGEBRAS OF DIMENSION $p^5$

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**Abstract.** We describe all possible coradically graded pointed Hopf algebras of dimension  $p^5$  (where  $p$  is an odd prime number) over an algebraically closed field of characteristic 0.

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**1. Introduction.** The *lifting procedure* described in [2] is a powerful tool for classifying pointed Hopf algebras. It has been applied successfully to the classification of pointed Hopf algebras of dimension  $p^3$  in [2] and dimension  $p^4$  in [4]. It has been used also in the classification of pointed Hopf algebras of dimension 32 in [10]. We describe here all pointed coradically graded Hopf algebras of dimension  $p^5$  (we assume  $p$  is odd since the case  $p = 2$  is treated in [10]). Some of these algebras are known and can be found in the referred articles as well as in [3], [8]. Classification problems of pointed Hopf algebras have been also treated in [6], [9] and [7].

Our main references for Hopf algebras are [13] and [11]. For Nichols algebras we refer to [12] and [1].

The article is organized as follows: in Section 2 we give the notation and definitions we use and the first results we need. In Section 3 we describe all possible Nichols algebras of dimension  $p^{5-j}$  over groups of order  $p^j$  ( $j = 1, \dots, 4$ ). In Section 4 we prove necessary auxiliary results; some of them have interest on their own, e.g. Theorem 4.3. In Section 5 we prove that any pointed Hopf algebra of dimension  $p^5$  over  $\mathbf{k}$  is generated by group-like and skew-primitive elements. In other words, any coradically graded pointed Hopf algebra of dimension  $p^5$  can be recovered by bosonization (or biproduct) from one of the Nichols algebras appearing in Theorem 3.2. Furthermore, this proves also that any pointed Hopf algebra of dimension  $p^5$  can be recovered by lifting (in the sense of [2]) of one of these bosonizations. Thus the classification of the pointed Hopf algebras of dimension  $p^5$  could be done in principle using the lifting procedure. This article contains the first steps in this direction. In Section 6 we address the remaining steps and consider some illustrating examples.

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**2. Notation and preliminary results.** The letter  $\mathbf{k}$  will stand for an algebraically closed field of characteristic 0. All Hopf algebras are  $\mathbf{k}$ -algebras. For  $\Gamma$  a group and  $g \in \Gamma$  we denote by  $\Gamma_g$  the isotropy subgroup  $\Gamma_g = \{h \in \Gamma \mid hg = gh\}$ . Let  $q \in \mathbf{k}$ . For  $n \geq m \in \mathbb{N}$ , we use the standard notation

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$$(n)_q = \sum_{i=0}^{n-1} q^i, \quad (0)_q = 1; \quad (n)_q! = \prod_{i=1}^n (i)_q; \quad \binom{n}{m}_q = \frac{(n)_q!}{(m)_q!(n-m)_q!}.$$

For  $A$  a Hopf algebra, we say that  $A$  is *pointed* if and only if the simple sub-coalgebras of  $A$  are 1-dimensional (if and only if the irreducible representations of  $A^*$  are 1-dimensional).

Let  $A = \bigoplus_{i \geq 0} A(i)$  be a graded Hopf algebra. We say that  $A$  is *coradically graded* if the graduation corresponds to the coradical filtration of  $A$ ; i.e. if  $A_r = \bigoplus_{i=0}^r A(i) \forall r \geq 0$ , where  $A_0 \subseteq A_1 \subseteq \dots$  stands for the coradical filtration of  $A$ . In particular,  $A$  being coradically graded and pointed implies that  $A(0) \simeq \mathbf{k}\Gamma$ , where  $\Gamma$  is the group of group-likes of  $A$ .

Let  $H$  be a Hopf algebra. We denote by  ${}^H_H\mathcal{YD}$  the category of (left-left) Yetter–Drinfeld modules over  $H$  (see [11]) and by  $c$  its braiding. Let  $A$  be a coradically graded pointed Hopf algebra and  $A(0) = \mathbf{k}\Gamma$ ; then

$$R = A^{\text{co}A(0)} = \{x \in A \mid (\text{id} \otimes \pi)\Delta(x) = x \otimes 1\} = \bigoplus_i R(i), \tag{2.1}$$

(where  $\pi : A \rightarrow A(0)$  is the canonical projection), is a braided Hopf algebra in the category  ${}^{\mathbf{k}\Gamma}_{\mathbf{k}\Gamma}\mathcal{YD}$ . The Hopf algebra  $A$  can be recovered by bosonization:  $A = R\#\mathbf{k}\Gamma$ . Furthermore,  $R$  is coradically graded and  $R(0) = \mathbf{k}1$ . If moreover  $R$  is generated as an algebra by  $R(1)$ , then we say that  $R$  is a *Nichols algebra*.

If  $R$  is a Nichols algebra, then  $R$  is uniquely determined (up to isomorphism) by  $V = R(1)$ , which coincides with the space of primitive elements  $\mathcal{P}(R)$ . We write  $R = \mathfrak{B}(V)$ .

We refer to the survey [1] for details on these constructions (Nichols algebras are called TOBAs in that article).

**PROPOSITION 2.2.** *Let  $\mathbf{f}$  be any field, and let  $H$  be a Hopf algebra over  $\mathbf{f}$ . Let  $V$  be an object in  ${}^H_H\mathcal{YD}$ . Suppose  $V$  has a basis  $\{x_1, \dots, x_\theta\}$  such that  $c(x_i \otimes x_j) = b_{ij}x_j \otimes x_i$  for certain  $b_{ij} \in \mathbf{f}$  (since  $c$  is an automorphism,  $b_{ij} \in \mathbf{f}^\times$ ). We take for each  $i = 1, \dots, \theta$*

$$N_i = \begin{cases} \text{order of } b_{ii} & \text{if } b_{ii} \neq 1 \text{ and is a root of unity,} \\ \infty & \text{if } b_{ii} \text{ is not a root of unity,} \\ \infty & \text{if } b_{ii} = 1 \text{ and } \text{char } \mathbf{f} = 0, \\ \text{char } \mathbf{f} & \text{if } b_{ii} = 1 \text{ and } \text{char } \mathbf{f} > 0. \end{cases}$$

*Then  $\dim \mathfrak{B}(V) \geq \prod_i N_i$ . Moreover, if  $\mathfrak{B}(V)$  is finite dimensional, then the equality holds if and only if  $b_{ij}b_{ji} = 1, \forall i \neq j$ .*

*Proof.* See [2, §3]. □

We recall (see for instance [1]) that if  $\Gamma$  is a finite group, the category  ${}^{\mathbf{k}\Gamma}_{\mathbf{k}\Gamma}\mathcal{YD}$  is semisimple. The simple objects are the modules  $M(g, \rho)$  defined as follows: let  $g \in \Gamma$ ,  $\rho$  an irreducible representation of the isotropy group  $\Gamma_g$ . Let  $W$  be the space affording  $\rho$ , and take

$$M(g, \rho) = \text{Ind}_{\Gamma_g}^\Gamma W = \mathbf{k}\Gamma \otimes_{\mathbf{k}\Gamma_g} W,$$

with the usual module structure and the comodule structure given by

$$\delta(h \otimes w) = hgh^{-1} \otimes (h \otimes w) \in \mathbf{k}\Gamma \otimes M(g, \rho).$$

REMARK 2.3. Since  $g$  is central in  $\Gamma_g$ , if  $\rho$  is an irreducible representation of  $\Gamma_g$  then the Schur lemma says that  $\rho(g) = qid$ , for some  $q \in \mathbf{k}^\times$ .

DEFINITION 2.4. We say that  $V \in {}^H_H\mathcal{YD}$  has a matrix  $(b_{ij})$  if it has a basis  $\{x_1, \dots, x_\theta\}$  such that  $c(x_i \otimes x_j) = b_{ij}x_j \otimes x_i$ .

This happens for instance if  $\Gamma$  is abelian. This happens also under a weaker condition: let  $V = \oplus_i M(g_i, \rho_i)$  and suppose that the subgroup  $\Gamma'$  of  $\Gamma$  generated by the conjugacy classes of all the  $g_i$  is abelian. Then  $V$  comes from the abelian case in the sense of [1, Definition 3.1.8] and consequently has a matrix. In this case  $V$  can be considered as a Yetter–Drinfeld module over  $\Gamma'$  and  $\mathfrak{B}(V)\#\mathbf{k}\Gamma$  can be reconstructed as an extension of  $\Gamma/\Gamma'$  by  $\mathfrak{B}(V)\#\mathbf{k}\Gamma'$ . A sufficient condition for  $\Gamma'$  to be abelian in the case  $V = M(g, \rho)$  is that the isotropy subgroup  $\Gamma_g$  be invariant in  $\Gamma$  (see [1, Lemma 3.1.9]). Since we are working in characteristic 0, if  $V$  has a matrix  $(b_{ij})$  and  $\mathfrak{B}(V)$  is finite dimensional then, by Proposition 2.2,  $b_{ii} \neq 1, \forall i$ .

If  $V$  has a matrix  $(b_{ij})$  with  $b_{ij}b_{ji} = 1, \forall i \neq j$ , then it can be shown that  $\mathfrak{B}(V)$  has a PBW basis of the form

$$\{x_1^{n_1} \cdots x_\theta^{n_\theta} \mid 0 \leq n_i < N_i\},$$

where  $N_i$  is defined as in Proposition 2.2. The relations are given by

$$x_i^{N_i} = 0, \quad x_i x_j = b_{ij} x_j x_i, \quad \forall i > j.$$

Thus  $\mathfrak{B}(V)$  is a quantum linear space as an algebra. We notice that the lines  $\mathbf{k}x_i$  ( $i = 1, \dots, \theta$ ) are not Yetter–Drinfeld submodules in general. In order to agree with the terminology of [2], we shall denote such an algebra by QLS only when the lines  $\mathbf{k}x_i$  are Yetter–Drinfeld modules  $\forall i$ . Thus, a QLS in  ${}^{\mathbf{k}\Gamma}_{\mathbf{k}\Gamma}\mathcal{YD}$  is given by a module  $V = \oplus_{i=1}^\theta M(g_i, \chi_i)$ , where

$$\begin{cases} g_1, \dots, g_\theta \in \Gamma \text{ are central elements, and} \\ \chi_1, \dots, \chi_\theta \in \hat{\Gamma} \text{ are characters such that} \\ \chi_i(g_j)\chi_j(g_i) = 1, \quad \forall i \neq j. \end{cases} \tag{2.5}$$

For  $V \in {}^{\mathbf{k}\Gamma}_{\mathbf{k}\Gamma}\mathcal{YD}$ , we shall say that  $\mathfrak{B}(V)$  is a QLS over  $\Gamma' \subset \Gamma$  if  $V$  is a Yetter–Drinfeld module in  ${}^{\mathbf{k}\Gamma'}_{\mathbf{k}\Gamma'}\mathcal{YD}$  and the conditions 2.5 hold for  $\Gamma'$ . A 1-dimensional QLS will be called also *Quantum Line* (or QL), and a 2-dimensional QLS will be called also *Quantum Plane* (or QP).

According to [3], if  $V$  has a matrix  $(b_{ij})$  we say that  $V$  is of Cartan type if there exists a (generalized) Cartan matrix  $(a_{ij})$  such that

$$b_{ij}b_{ji} = b_{ii}^{a_{ij}}, \quad \forall i, j = 1, \dots, \theta.$$

We transfer to  $V$  the terminology over the Cartan matrix  $(a_{ij})$ .

LEMMA 2.6. Let  $g$  be central in  $\Gamma$  and  $\rho$  an irreducible representation of  $\Gamma$ . Let  $V = M(g, \rho)$ . By 2.3,  $g$  acts by a scalar on  $V$ , say  $q$ . Let  $N$  be the order of  $q$ . Then  $\dim \mathfrak{B}(V) \geq N^{\deg \rho}$ .

*Proof.* Since  $g$  is central,  $V$  comes from the abelian case, and consequently  $c$  has a matrix  $(b_{ij})$ . It is straightforward to see that  $b_{ij} = q, \forall i, j$ . Then Proposition 2.2 applies and the result follows.  $\square$

**LEMMA 2.7.** *Let  $g \in \Gamma$  and  $\rho$  an irreducible representation of  $\Gamma_g$ . Let  $V = M(g, \rho)$ . Suppose that  $\dim V < p$ , where  $p$  is the smallest prime dividing  $|\Gamma|$ . Then  $g$  is central,  $\deg \rho = 1$  and thus  $\mathfrak{B}(V)$  is a QLS over  $\Gamma$  with  $\dim \mathfrak{B}(V) = N$ , where  $N$  is the order of  $\rho(g)$ .*

*Proof.* We have  $\dim V = [\Gamma : \Gamma_g] \deg(\rho) < p$ . Since  $[\Gamma : \Gamma_g]$  and  $\deg(\rho)$  both divide  $|\Gamma|$ , necessarily  $[\Gamma : \Gamma_g] = 1$ , whence  $g$  is central, and  $\deg(\rho) = 1$ . The result follows from Proposition 2.2.  $\square$

**REMARK 2.8.** Since  $\dim \mathfrak{B}(V) \geq 1 + \dim V$ , the hypothesis of the preceding lemma is satisfied if  $\dim \mathfrak{B}(V) \leq p$ .

**REMARK 2.9.** By Lemma 2.7, we have that if  $V = \bigoplus_i M(g_i, \rho_i)$  is such that  $\dim V < p$ , then  $g_i$  is central and  $\rho_i$  is a character  $\forall i$ , and furthermore  $\dim \mathfrak{B}(V) \geq \prod_i N_i$ , where  $N_i$  is the order of  $\rho_i(g_i)$ .

### 3. Main results.

**LEMMA 3.1.** *Let  $A$  be a coradically graded pointed Hopf algebra of dimension  $p^5$ . Let  $\Gamma = G(A)$  be the group of group-likes of  $A$ . Let  $R = A^{\text{cok}\Gamma} \in \mathbf{k}\Gamma\mathcal{YD}$  be the coinvariants (thus  $A = R\#\mathbf{k}\Gamma$ ) and let  $V = R(1)$  be the primitive elements of  $R$ . Assume that  $V$  generates  $R$  as an algebra (i.e.  $R = \mathfrak{B}(V)$ ). Then the following possibilities arise.*

1. If  $|\Gamma| = p^5$ , then  $V = 0$  and  $A = \mathbf{k}\Gamma$ .
2. If  $|\Gamma| = p^4$ , then  $V$  is 1-dimensional and  $R$  is a QLS.
3. If  $|\Gamma| = p^3$ , then  $V$  may be 1 or 2-dimensional and  $R$  is a QLS over some subgroup  $\Gamma'$  of  $\Gamma$ .
4. If  $\Gamma = C_p \times C_p$ , then  $V$  is 2-dimensional (and then  $R$  is a twisting of a Nichols algebra of type  $A_2$ ) or  $V$  is 3-dimensional (and  $R$  is a QLS).
5. If  $\Gamma = C_{p^2}$ , then  $V$  is 2-dimensional (and in this case  $R$  is a QLS or a twisting of a Nichols algebra of type  $A_2$ ) or  $V$  is 3-dimensional (and  $R$  is a QLS).
6. If  $\Gamma = C_p$ , then either  $V$  is 2-dimensional,  $R$  is of type  $B_2$  and necessarily  $p \equiv 1 \pmod 4$ , or  $V$  is 3-dimensional,  $R$  is of type  $A_2 \times A_1$  and  $p = 3$ .

*Proof.* We prove that  $\mathfrak{B}(V)$  is of the form claimed.

1. This is immediate.
2. By Remark 2.9 we have  $\dim V = 1, V = (x) = M(g, \chi), g \in Z(\Gamma)$ . Furthermore,  $\chi(g) = q$  is such that  $q^p = 1$  (and  $q \neq 1$  since  $A$  is finite dimensional), whence the structure of  $R$  is given by

$$x^p = 0, \quad \varepsilon(x) = 0,$$

$$\Delta(x^r) = \sum_{i=0}^r \binom{r}{i}_q x^i \otimes x^{r-i},$$

$$\delta(x) = g \otimes x, \quad h \rightharpoonup x = \chi(h)x.$$

Let  $a = x\#1 \in A$ . Then  $A$  is generated by  $\Gamma$  and  $a$ , with the structure given by

$$a^p = 0, \quad \varepsilon(a) = 0, \quad hah^{-1} = \chi(h)a \quad \forall h \in \Gamma,$$

$$\Delta(a^r) = \sum_{i=0}^r \binom{r}{i}_q (a^i g^{r-i}) \otimes a^{r-i}.$$

3. The bound  $\dim V \leq 2$  is a consequence of 4.3 below. If  $V$  is 1-dimensional, then  $V = M(g, \chi)$  and  $A$  is given exactly as in the case  $|\Gamma| = p^4$  with the only exception being that  $q$  has order  $p^2$  and the relation on  $a$  is  $a^{p^2} = 0$ . If  $V$  is 2-dimensional, [1, Proposition 3.1.11] applies and  $V$  comes from the abelian case; i.e.  $V$  has a basis  $\{x_1, x_2\}$  with  $(x_i) = M(g_i, \chi_i)$  ( $g_i$  and  $\chi_i$  are respectively central elements and characters of a certain subgroup  $\Gamma'$  of  $\Gamma$ ). Let  $N_i$  be the order of  $\chi_i(g_i)$ . Then, by 2.2, we have  $p^2 \geq N_1 N_2$ , whence  $N_1 = N_2 = p$ ; ( $\chi_i(g_i) \neq 1$  since  $A$  is finite dimensional). Again by Proposition 2.2 we have that  $\mathfrak{B}(V)$  is a QLS over  $\Gamma'$ . Let  $b_{12} = \chi_2(g_1)$ , and for each  $h \in \Gamma$  let the matrix  $\rho(h)_{ij}$  be defined by  $h \rightharpoonup x_j = \sum_{i=1}^2 \rho(h)_{ij} x_i$ . Then  $A$  is generated by  $\Gamma, a_1, a_2$  with structure and relations given by

$$a_i^p = 0, \quad \varepsilon(a_i) = 0, \quad ha_j h^{-1} = \sum_{i=1}^2 \rho(h)_{ij} a_i, \quad \forall h \in \Gamma,$$

$$\Delta(a_i) = g_i \otimes a_i + 1 \otimes a_i,$$

$$a_1 a_2 = b_{12} a_2 a_1.$$

4. The bounds  $2 \leq \dim V \leq 3$  are immediate consequences of Proposition 2.2. If  $\dim V = 2$ , then by Lemma 4.10 below it is a twisting of an algebra of type  $A_2$ . If  $\dim V = 3$ , then by Proposition 2.2 it is a QLS.

5. As in the case  $\Gamma = C_p \times C_p$ , the bounds  $2 \leq \dim V \leq 3$  are consequences of Proposition 2.2. Suppose that  $\dim V = 2$ ,  $V$  has basis  $\{x_1, x_2\}$  and  $c$  is given in this basis by the matrix  $(b_{ij})$ . If  $b_{11}$  (resp.  $b_{22}$ ) has order  $p^2$ , then by Proposition 2.2  $b_{22}$  (resp.  $b_{11}$ ) has order  $p$  and  $\mathfrak{B}(V)$  is a QLS. If both  $b_{11}$  and  $b_{22}$  have order  $p$  then, by Lemmas 4.9 and 4.10 below,  $\mathfrak{B}(V)$  is a twisting of an algebra of type  $A_2$ . If  $\dim V = 3$ , then by Proposition 2.2 it is a QLS.

6. This is proved in [3, Theorem 1.3]. □

In Section 5 we prove that if  $\Gamma$  is a group of order  $p^j$  and  $R = \bigoplus_i R(i) \in \mathbf{k}\Gamma \mathcal{YD}$  is a coradically graded braided Hopf algebra of dimension  $p^{5-j}$  with  $R(1) \simeq \mathbf{k}$ , then  $R$  is generated by  $R(1)$ . With this and the previous lemma we can prove the following result.

**THEOREM 3.2.** *Let  $A = \bigoplus_i A(i)$  be a coradically graded pointed Hopf algebra of dimension  $p^5$ . Let  $\Gamma = G(A)$  be the group of group-likes of  $A$ . Let  $R = \bigoplus_i R(i) = A^{\text{co}A(0)} \in \mathbf{k}\Gamma \mathcal{YD}$  and let  $V = R(1)$ . Then  $R$  is generated by  $V$  (i.e.  $A = \mathfrak{B}(V)\#\mathbf{k}\Gamma$ ) and  $\mathfrak{B}(V)$  is one in the list below. By  $B(\cdot)$  we denote the group of order  $p^4$  in [5, p. 145].*

$\Gamma$	$\dim \mathfrak{B}(V)$	Type	Conditions
$(C_p)^4$	1	QLS	
$(C_p)^2 \times C_{p^2}$	1	QLS	
$C_{p^2} \times C_{p^2}$	1	QLS	
$C_p \times C_{p^3}$	1	QLS	
$C_{p^4}$	1	QLS	
$B(vi)$	1	QLS	
$B(vii)$	1	QLS	
$B(viii)$	1	QLS	
$B(ix)$	1	QLS	
$B(x)$	1	QLS	
$B(xiv)$	1	QLS	
$(C_p)^3$	2	QLS	
$C_{p^2} \times C_p$	1	QLS	
	2	QLS	
$C_{p^3}$	1	QLS	
	2	QLS	
$(C_p)^2$	2	$A_2$	
	3	QLS	
$C_{p^2}$	2	$A_2$	$p = 3$ or $p \equiv 1 \pmod 3$
$C_p$	2	$B_2$	$p \equiv 1 \pmod 4$
	3	$A_2 \times A_1$	$p = 3$

*Proof.* For the groups of order  $p^4$ , the only condition for the existence of a QLS is the existence of a central element  $g \in \Gamma$  and a character  $\chi \in \hat{\Gamma}$  such that  $\chi(g)$  has order  $p$ . This is possible if and only if  $g \notin [\Gamma, \Gamma]$  where  $[\Gamma, \Gamma]$  is the commutator subgroup of  $\Gamma$ . It follows by inspection of each case that the groups in the table are those  $\Gamma$  such that  $Z(\Gamma) \not\subseteq [\Gamma, \Gamma]$ .

We go now to  $|\Gamma| = p^3$ . It is clear that QLS of rank one exist for  $\Gamma = C_{p^2} \times C_p$  and  $\Gamma = C_{p^3}$ , but not for  $\Gamma = (C_p)^3$ . The two non-abelian groups of order  $p^3$  have centers included in their commutator subgroups, whence the 1-dimensional Yetter–Drinfeld modules give rise to infinite dimensional Nichols algebras. We prove now that for the three abelian groups there exist QLS of rank 2: let  $q_1, q_2, q_3$  denote respectively (fixed) roots of unity of orders  $p, p^2, p^3$ . We denote the generators of  $(C_p)^3$  by  $\{g_1, g_2, g_3\}$  and the generators of  $\widehat{(C_p)^3}$  by  $\{\hat{g}_1, \hat{g}_2, \hat{g}_3\}$ , where  $\hat{g}_i(g_j) = q_1^{\delta_{ij}}$ . We denote the generators of  $C_{p^2} \times C_p$  by  $\{g_1, g_2\}$  and the generators of  $\widehat{C_{p^2} \times C_p}$  by  $\{\hat{g}_1, \hat{g}_2\}$ , where  $\hat{g}_i(g_j) = q_{3-i}^{\delta_{ij}}$ . We denote the generator of  $C_{p^3}$  by  $\{g\}$  and the generator of  $\widehat{C_{p^3}}$  by  $\{\hat{g}\}$ , where  $\hat{g}(g) = q_3$ . It is straightforward that the following Yetter–Drinfeld modules give QLS of dimension  $p^2$ :

$$\Gamma = (C_p)^3, \quad V = M(g_1, \hat{g}_1) \oplus M(g_2, \hat{g}_2),$$

$$\Gamma = C_{p^2} \times C_p, \quad V = M(g_1^p, \hat{g}_1) \oplus M(g_1^{-p}, \hat{g}_1),$$

$$\Gamma = C_{p^3}, \quad V = M(g^{p^2}, \hat{g}) \oplus M(g^{-p^2}, \hat{g}).$$

For the two non-abelian groups we should have  $V$  a Yetter–Drinfeld module of dimension 2. There are three possibilities.

1.  $V = M(h_1, \chi_1) \oplus M(h_2, \chi_2)$ , where  $h_i$  are central and  $\chi_i$  are characters; but by the same reason as in the rank one case, this would give infinite dimensional Nichols algebras.
2.  $V = M(g, \chi)$ , where  $\chi$  is a character and  $[\Gamma : \Gamma_g] = 2$ ; but this is impossible since  $p \neq 2$  (this case arises when  $p = 2$ ; see [10]).
3.  $V = M(g, \rho)$ , where  $g$  is central and  $\rho$  is an irreducible representation of  $\Gamma$  with  $\deg \rho = 2$ . Since  $p \neq 2$ , by the Frobenius theorem we find that this is impossible; (this case arises when  $p = 2$ ; see [10]).

Let now  $\Gamma = (C_p)^2$ . It is immediate that there are no QLS of rank 1 nor 2, since otherwise there would be a character with a  $p^2$ -th root of unity in the image. The existence of a QLS of rank 3 is a consequence of [2, Lemma 4.1]. An explicit construction is as follows: let  $\Gamma$  have generators  $\{g_1, g_2\}$  and  $\hat{\Gamma}$  have generators  $\{\hat{g}_1, \hat{g}_2\}$  where  $\hat{g}_i(g_j) = q_1^{\delta_{ij}}$  (as before  $q_1$  is a fixed  $p$ -th root of unity). Let  $V = M(g_1, \hat{g}_1) \oplus M(g_1, \hat{g}_1^{-1}) \oplus M(g_2, \hat{g}_2)$ . It is straightforward to see that  $V$  generates a QLS. For a construction of a Nichols algebra of type  $A_2$ , let  $r = \frac{1}{2} \in \mathbb{Z}/p$  (the construction for  $p = 2$  is slightly different; see [10]). Set  $V = M(g_1, \hat{g}_1 \hat{g}_2^{-r}) \oplus M(g_2, \hat{g}_1^{-r} \hat{g}_2)$ . It is clear then that  $V$  has the matrix

$$(b_{ij}) = \begin{pmatrix} q_1 & q_1^{-r} \\ q_1^{-r} & q_1 \end{pmatrix}, \text{ whence } b_{ij}b_{ji} = b_{ii}^{a_{ij}} \text{ with } (a_{ij}) = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}.$$

Let  $\Gamma = C_{p^2}$ . The non-existence of a  $p^3$ -dimensional QLS is a consequence of Lemma 4.1 below. Let  $g, \hat{g}$  be respectively generators of  $\Gamma, \hat{\Gamma}$ , and let  $q = \hat{g}(g)$ . Suppose that  $V \in {}_{k\Gamma}^{k\Gamma}\mathcal{YD}$  generates an algebra of type  $A_2$ . Let  $V = M(g^{e_1}, \hat{g}^{f_1}) \oplus M(g^{e_2}, \hat{g}^{f_2})$ . Since  $V$  has a matrix  $b_{ij} = q^{e_i f_j}$  and  $b_{11}, b_{22}$  must have order  $p$ , then  $p$  divides  $e_1$  and  $e_2$ , or  $p$  divides  $f_1$  and  $f_2$ . Then the same arguments as in [3, Theorem 1.3] give the condition  $p = 3$  or  $p \equiv 1 \pmod 3$ . Furthermore, let  $b$  be such that  $b^2 + b + 1 \equiv 0 \pmod p$  (the condition on  $p$  is equivalent to the existence of such a  $b$ ) and take  $e_1 = p, f_1 = 1, e_2 = -p(b + 1), f_2 = b$ . It is straightforward to see that this gives a Cartan matrix of type  $A_2$ .

For  $\Gamma = C_p$  it is proved in [3, Theorem 1.3] that there exists an algebra of type  $B_2$  if and only if  $p \equiv 1 \pmod 4$ , and of type  $A_2 \times A_1$  if and only if  $p = 3$ . □

**4. Subsidiary results.** The following lemma may be considered as an addendum to [2, Lemma 4.2].

**LEMMA 4.1.** *Let  $\Gamma = C_{p^n}$  and  $V \in {}_{k\Gamma}^{k\Gamma}\mathcal{YD}$  generate a finite dimensional QLS. Then  $V$  may be 1-dimensional (and hence  $\dim \mathfrak{B}(V) = p^v$  with  $1 \leq v \leq n$ ) or it may be 2-dimensional (and hence  $\dim \mathfrak{B}(V) = p^{2v}$  with  $1 \leq v \leq n$ ).*

*Proof.* The bound  $\dim V \leq 2$  is the content of [2, Lemma 4.2]. Let  $\Gamma$  have a generator  $g$  and  $\hat{\Gamma}$  have a generator  $\hat{g}$ . Let  $q = \hat{g}(g)$ , which is a primitive  $p^n$ -th root of unity. If  $V$  is 1-dimensional, the result is an easy consequence of Proposition 2.2.

Suppose that  $V = M(g^{e_1}, \hat{g}^{f_1}) \oplus M(g^{e_2}, \hat{g}^{f_2})$ . Let  $e'_i = p^r e_i$  such that  $e_1, e_2$  are not both divisible by  $p, f'_i = p^s f_i$  such that  $f_1, f_2$  are not both divisible by  $p$ . Then  $V$  has a

matrix given by  $b_{ij} = q^{d_{ij}f_j} = q^{e_{ij}p^{r+s}}$ . Since  $\mathfrak{B}(V)$  is finite dimensional,  $r + s < n$  (for if not  $b_{11} = b_{22} = 1$ ). Let  $u = n - r - s$ . Suppose that  $p \nmid e_1$  (if  $p \nmid e_2$  it is analogous). Suppose first that  $p \nmid f_2$ ; then  $b_{12}$  has order  $p^u$ . Since  $V$  generates a QLS,  $b_{21}b_{12}^{-1}$  also has order  $p^u$  and thus  $p \nmid e_2, p \nmid f_1$ . This implies the result with  $v = u$ . Suppose next that  $p \mid f_2$ . Then  $p \nmid f_1$ . Let  $f_2 = p^t b, e_2 = p^v a$  with  $p \nmid b, p \nmid a$ . We prove that  $t = v$ : we have  $t < u$  since if not  $b_{22} = 1$ . Now,  $b_{12}$  has order  $p^{u-t}$ , whence  $b_{21}$  has order  $p^{u-t}$ . Since  $p \nmid f_1$  we have  $p^t \mid e_2$ , whence  $v \geq t$ . By similar considerations  $y \leq t$ . This implies the result with  $v = u - t$ . □

We shall make use of the following important tool for Nichols algebras.

**DEFINITION 4.2.** Let  $V \in {}^H_H\mathcal{YD}$  and  $c = c_{V,V}$ . For  $i + j = n$ , we denote by  $\Delta_{i,j} : \mathfrak{B}^n(V) \rightarrow \mathfrak{B}^i(V) \otimes \mathfrak{B}^j(V)$  the  $(i, j)$ -component of the comultiplication of  $\mathfrak{B}(V)$ .

It is proved in [14] (or see [1, Definition 3.2.10]) that  $\Delta_{i,j}$  is injective,  $\forall i, j$ . Let  $\{x_1, \dots, x_\theta\}$  be a basis of  $V$  and let  $\{x_1^*, \dots, x_\theta^*\}$  be its dual basis. We denote by  $\partial_{x_i}$  the differential operator on  $\mathfrak{B}(V)$  given by

$$\partial_{x_i}(z) = (\text{id} \otimes x_i^*)\Delta_{n-1,1}(z), \quad \text{if } z \in \mathfrak{B}^n(V), \quad n > 0, \quad \text{and } \partial_{x_i}(1) = 0.$$

By the injectivity of  $\Delta_{i,j}$  it is immediate that for  $z \in \mathfrak{B}^n(V)$  ( $n > 0$ ) we have  $z = 0$  if and only if  $\partial_{x_i}(z) = 0$ , for all  $i = 1, \dots, \theta$ . Suppose now that  $V \in {}^{k\Gamma}_{k\Gamma}\mathcal{YD}$  and  $\partial_{x_i}$  is such that there exists  $g \in \Gamma$  with  $\partial_{x_i}(v) = 0$  if  $\delta(v) = h \otimes v$  and  $h \neq g$ ; (this happens for instance if  $\delta(x_j) = g_j \otimes x_j, j = 1, \dots, \theta$  and  $g = g_i$ ). Then it is easy to see that  $\partial_{x_i}$  satisfies the Leibniz rule

$$\partial_{x_i}(z_1 z_2) = \partial_{x_i}(z_1)(g \rightharpoonup z_2) + z_1 \partial_{x_i}(z_2).$$

The following theorem is proved in [2, Theorem 0.2] in the case in which  $\Gamma$  is an abelian group.

**THEOREM 4.3.** *Let  $\Gamma$  be a finite group. Let  $V \in {}^{k\Gamma}_{k\Gamma}\mathcal{YD}$  be such that  $\dim \mathfrak{B}(V) = p^2$ , where  $p$  is the smallest prime number dividing  $|\Gamma|$ . Then  $\dim V \leq 2$  and  $\mathfrak{B}(V)$  is a QLS over some subgroup  $\Gamma' \subset \Gamma$ . Furthermore, if  $p > 2$  then  $V = M(g, \chi)$  with  $g$  central,  $\chi$  is a character such that  $\chi(g)$  has order  $p^2$  and hence  $\mathfrak{B}(V)$  is a QL over  $\Gamma$ , or  $V = M(g_1, \chi_1) \oplus M(g_2, \chi_2)$  where  $g_i$  is central,  $\chi_i$  is a character ( $i = 1, 2$ ) such that  $\chi_i(g_i)$  has order  $p$  and hence  $\mathfrak{B}(V)$  is a QP over  $\Gamma$ .*

*Proof.* Let  $V = \bigoplus_{i=1}^\theta M(g_i, \rho_i)$ . It can be shown that  $\dim \mathfrak{B}(V) \geq \dim \mathfrak{B}(M(g_i, \rho_i)), \forall i$ . Let  $I = [\Gamma : \Gamma_{g_1}]$  and  $d = \deg(\rho_1)$ . We have  $\dim M(g_1, \rho_1) = dI$ . We have  $d = 1$  or  $d \geq p$ , and  $I = 1$  or  $I \geq p$ . Since  $\dim \mathfrak{B}(V) \geq 1 + \dim V$  we have  $\dim V < p^2$ .

Suppose first that  $d \geq p$ . This implies that  $I = 1$ , whence  $g_1$  is central in  $\Gamma$ . By 2.6 we have  $p^2 = \dim \mathfrak{B}(V) \geq \dim \mathfrak{B}(M(g_1, \rho_1)) \geq N^d$  with  $N$  the order of  $q$ , where  $q \text{id} = \rho_1(g_1)$ . Since  $\mathfrak{B}(V)$  is finite dimensional, we have  $q \neq 1$  and hence  $N \geq p$ . If  $p > 2$  we have a contradiction. If  $p = 2$  we must have  $\theta = 1, d = 2, N = 2$ . The condition  $d = 2$  implies that  $V$  comes from the abelian case, as explained after Definition 2.4. The condition on  $N$  tells us that  $q = -1$ . Furthermore, by Proposition 2.2,  $\mathfrak{B}(V)$  is a QLS, and it is shown in [1] that the matrix of  $c$  is  $\begin{pmatrix} -1 & -1 \\ -1 & -1 \end{pmatrix}$ .



Suppose then that  $I \geq p$ . This implies that  $d = 1$ , whence  $\rho_1$  is a character of  $\Gamma_{g_1}$ . Let  $q = \rho_1(g_1)$  and let  $N$  be the order of  $q$ . Let  $x$  be a generator of the space affording  $\rho_1$ , and let  $\{h_1 = 1, h_2, \dots, h_I\}$  be a set of representatives of the cosets of  $\Gamma/\Gamma_{g_1}$ . Then  $M(g_1, \rho_1)$  has as basis the elements  $\{h_1 \rightarrow x, \dots, h_I \rightarrow x\}$  and we have

$$\begin{aligned} c(h_i \rightarrow x \otimes h_i \rightarrow x) &= h_i g_1 h_i^{-1} h_i \rightarrow x \otimes h_i \rightarrow x \\ &= h_i g_1 \rightarrow x \otimes h_i \rightarrow x = q(h_i \rightarrow x \otimes h_i \rightarrow x). \end{aligned} \tag{4.4}$$

It is straightforward to see using derivations that the elements

$$\{1, (h_i \rightarrow x)^r \mid 1 < r < N, i = 1, \dots, I\}$$

are linearly independent, whence

$$p^2 = \dim \mathfrak{B}(V) \geq 1 + I(N - 1). \tag{4.5}$$

Thus,  $N \leq p$ . On the other hand,  $q \neq 1$  for if not it is easy to see using derivations that the elements  $\{x^r \mid r \geq 0\}$  would be linearly independent and  $\mathfrak{B}(V)$  would be infinite dimensional; (note that we have not proved at present that  $\mathbf{k}x$  is a sub-YD-module nor that  $M(g_1, \rho_1)$  comes from the abelian case, and hence Proposition 2.2 cannot be used.) We have thus proved that  $N = p$ . Suppose for a moment that  $I > p$ . It is clear that if  $p > 2$  then  $I \geq p + 2$ , but then (4.5) tells us that this is a contradiction. If  $p = 2$ , then  $I = 3$  but, by [1, Proposition 3.2.2],  $\dim \mathfrak{B}(M(g_1, \rho_1)) \geq 5$ , also a contradiction. Hence, we have that  $I = p$  and then  $\Gamma_g$ , having index the smallest prime dividing  $|\Gamma|$ , is invariant in  $\Gamma$ . As stated after Definition 2.4, this implies that  $\mathfrak{B}(M(g_1, \rho_1))$  comes from the abelian case, but then Proposition 2.2 applies and (4.4) tells us that  $\dim \mathfrak{B}(M(g_1, \rho_1)) \geq p^p$ . This is a contradiction if  $p > 2$ . If  $p = 2$ , then  $\theta = 1, q = -1$  and it is proved in [1] that the matrix of  $c$  is

$$\begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix} \text{ or } \begin{pmatrix} -1 & -1 \\ -1 & -1 \end{pmatrix}.$$

Suppose finally that  $I = d = 1$ . Then  $g_1$  is central and  $\rho_1$  is a character. Let  $q = \rho_1(g_1)$  and let  $N$  be its order. Then  $\dim \mathfrak{B}(V) \geq \dim \mathfrak{B}(M(g_1, \rho_1)) = N$  implies that  $N \leq p^2$ . If  $N = p^2$ , then  $\theta = 1$  and the result follows at once. If  $N < p^2$ , then  $N \geq p$  and  $N$  is prime. Since  $\dim \mathfrak{B}(M(g_1, \rho_1)) = N$ , we have  $\theta > 1$ . Since  $\dim \mathfrak{B}(M(g_2, \rho_2)) \leq p^2 - 1$  (because if  $x$  is a generator of  $M(g_1, \rho_1)$  then  $x$  does not belong to  $\mathfrak{B}(M(g_2, \rho_2))$ ) by the same arguments as above applied to  $M(g_2, \rho_2)$  we have necessarily that  $g_2$  is central and  $\rho_2$  is a character. Let  $N_2$  be the order of  $\rho_2(g_2)$ . Thus  $N_2 < p^2$ , and since  $g_1, g_2$  are both central,  $M(g_1, \rho_1) \oplus M(g_2, \rho_2)$  comes from the abelian case, whence by Proposition 2.2,  $\mathfrak{B}(M(g_1, \rho_1) \oplus M(g_2, \rho_2))$  has dimension at least  $NN_2$ . This implies that  $N = N_2 = p, \theta = 2$  and  $\mathfrak{B}(V)$  is a QLS over  $\Gamma$ .

**REMARK 4.6.** It is proved in [8] in a different way that if  $\dim \mathfrak{B}(V) = p$ , where  $p$  is the smallest prime number dividing  $\Gamma$ , then  $\dim V = 1$  and  $\mathfrak{B}(V)$  is a QLS. It is proved also, with the same ideas as here, in [3, Proposition 7.5].

**REMARK 4.7.** We note that the proof of Theorem 4.3 above says that there are no  $V$  in  $\mathbf{k}_\Gamma^\Gamma \mathcal{YD}$  such that  $\dim \mathfrak{B}(V) = \pi^2$  if  $\pi$  is a prime number smaller than every prime dividing  $|\Gamma|$ .

The previous theorem implies the following result.

**COROLLARY 4.8.** *Let  $A$  be a pointed Hopf algebra of dimension  $m$  whose coradical has dimension  $m/p^2$ , where  $p$  is the smallest prime number dividing  $m$ . Then  $p^3$  divides  $m$  and  $\dim A_1 = (r + 1)m/p^2$ , where  $r = 1$  or  $2$ .*

*Proof.* Consider the coradical filtration of  $A$  and let  $H = \oplus_i H(i)$  be the associated graded algebra. Then  $H$  is pointed and  $H(0) \simeq \mathbf{k}\Gamma$ , where  $\Gamma$  is the group of group-likes of  $A$  that has order  $m/p^2$ . Let  $R = H^{\text{co}H(0)} \in {}^{\mathbf{k}\Gamma}_{\mathbf{k}\Gamma}\mathcal{YD}$  and let  $R' \subset R$  be the algebra generated by  $R(1)$ ; ( $R' = R$  if and only if  $R$  is a Nichols algebra). Thus  $\dim R = p^2$  and by the Nichols–Zoeller theorem,  $\dim R' = p^j$  with  $0 \leq j \leq 2$ . The case  $j = 0$  would imply that  $\dim R(1) = 0$ , which is impossible. The case  $j = 1$  is also impossible, for in that case Remark 4.6 says that  $\dim R(1) = \dim R'(1) = 1$ , and [2, Theorem 3.2] says that  $R$  is a Nichols algebra. Then  $R' = R$ . Remark 4.7 says that  $p$  divides  $|\Gamma|$  (whence  $p^3$  divides  $m$ ) and Theorem 4.3 says that  $r = \dim R(1)$  may be 1 or 2, whence  $\dim H(1) = r|\Gamma|$  and

$$\dim A_1 = \dim H(0) + \dim H(1) = (r + 1)|\Gamma| = (r + 1)m/p^2.$$

□

**LEMMA 4.9.** *Let  $\Gamma$  be a  $p$ -group and  $V$  a 2-dimensional module in  ${}^{\mathbf{k}\Gamma}_{\mathbf{k}\Gamma}\mathcal{YD}$  such that  $\dim \mathfrak{B}(V) = p^3$ . Recall that under this assumption  $c$  has a matrix  $(b_{ij})$  with respect to some basis  $\{x, y\}$ . Let  $q$  be a primitive  $p^2$ -th root of unity, and suppose that  $b_{ij} = q^{c_{ij}}$ . If  $p$  divides  $c_{11}$  and  $c_{22}$ , then  $p$  divides  $c_{12} + c_{21}$ .*

*Proof.* We have  $x^p = y^p = 0$ . Let  $z = \text{Ad}_x(y) = xy - b_{12}yx$  and  $\sigma = 1 - b_{12}b_{21}$ . We have

$$\partial_x(z) = b_{12}y - b_{12}y = 0, \quad \partial_y(z) = x - b_{12}b_{21}x = \sigma x \Rightarrow \partial_x \partial_y(z) = \sigma.$$

Furthermore,

$$\begin{aligned} \partial_x(x^r y^s z^t) &= (r)_{b_{11}} b_{11}^t b_{12}^{s+t} x^{r-1} y^s z^t, \\ \partial_y(y^s z^t) &= (s)_{b_{22}} b_{21}^t b_{22}^s y^{s-1} z^t + \sum_{i=0}^{t-1} \sigma b_{21}^i b_{22}^i y^s z^{t-1-i} x z^i, \\ \partial_x \partial_y(y^s z^t) &= \sigma (t)_{(b_{11} b_{12} b_{21} b_{22})} y^s z^{t-1}. \end{aligned}$$

Thus, if  $p \nmid c_{12} + c_{21}$ , the order of  $(b_{11} b_{12} b_{21} b_{22})$  is  $p^2$ , whence the set  $\{z^t \mid 0 \leq t < p^2\}$  is linearly independent. This implies inductively that the set  $\{y^s z^t \mid 0 \leq s < p, 0 \leq t < p^2\}$  is linearly independent, and then that the set  $\{x^r y^s z^t \mid 0 \leq r, s < p, 0 \leq t < p^2\}$  is linearly independent, so that  $\dim \mathfrak{B}(V) \geq p^4$ . □

The following result is a consequence of [3, Corollary 1.2]. We give a direct proof here.

**LEMMA 4.10.** *Let  $V = (x, y)$  be a 2-dimensional module in  ${}^{\mathbf{k}\Gamma}_{\mathbf{k}\Gamma}\mathcal{YD}$  such that  $\dim \mathfrak{B}(V) = p^3$ . Let  $V$  have a matrix  $(b_{ij})$  and suppose that  $b_{ij}^p = 1$ , for all  $i, j$ . Then  $b_{ij}$  is a Cartan matrix of type  $A_2$ .*

*Proof.* Let  $q = b_{11}$  and  $c_{ij}$  be given by  $b_{ij} = q^{c_{ij}}$ . We may suppose as above that  $b_{12} = b_{21}$ . Let  $b_{12} = q^a$ ,  $b_{22} = q^c$ . Take  $z = \text{Ad}_x(y) = xy - q^a yx$ , and let  $\sigma = 1 - q^{2a}$ ; thus  $\sigma \neq 0$ , since otherwise  $\mathfrak{B}(V)$  would be a QLS and  $\dim \mathfrak{B}(V)$  would be  $p^2$ . As before

$$\partial_x(z) = 0, \quad \partial_y(z) = \sigma x \Rightarrow \partial_x \partial_y(z) = \sigma,$$

whence

$$\begin{aligned} \partial_x(x^r y^s z^t) &= (r)_q q^{t+a(s+t)} x^{r-1} y^s z^t, \\ \partial_y(y^s z^t) &= (s)_{q^c} q^{as+ct} y^{s-1} z^t + \sum_{i=0}^{t-1} \sigma q^{(a+c)i} y^s z^{t-1-i} x z^i, \\ \partial_x \partial_y(y^s z^t) &= \sigma (t)_{q^{1+2a+c}} y^s z^{t-1}. \end{aligned}$$

As before, the set  $\{x^r y^s z^t \mid 0 \leq r, s, t < p\}$  is linearly independent; (as a remark, note that we must have  $1 + 2a + c \not\equiv 0 \pmod p$  since if not  $\mathfrak{B}(V)$  would be infinite dimensional). Now let  $w = \text{Ad}_x(z) = xz - q^{1+a}zx$ . We have

$$\partial_x(w) = 0, \quad \partial_y(w) = \sigma x^2 - \sigma q^{1+a} q^a x^2 = \sigma(1 - q^{2a+1})x^2.$$

The  $(x, y)$ -bidegree of  $w$  is  $(2, 1)$ , whence the set  $\{x^2y, xz, w\}$  must be linearly dependent in order for  $\mathfrak{B}(V)$  to be  $p^3$ -dimensional. This implies  $2a + 1 = 0$ , which means that  $b_{12}b_{21} = b_{11}^{-1}$ .

With the same reasoning, we must have  $b_{12}b_{21} = b_{22}^{-1}$ , and thus  $b_{ij}b_{ji} = b_{ii}^{c_{ij}}$  with

$$c_{ij} \equiv \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix} \pmod p,$$

and  $b_{ij}$  is a Cartan matrix of type  $A_2$ . As a remark, note that  $b_{11} = b_{22}$ . □

**5. The classification is complete.** We have to prove that Theorem 3.2 lists all the coradically graded pointed Hopf algebras of dimension  $p^5$ . This amounts to proving that a coradically graded pointed Hopf algebra is generated by its homogeneous component of degree 1, which in turn is equivalent to proving that if  $A = \bigoplus_i A(i)$  is a coradically graded pointed Hopf algebra and  $R = A^{\text{co}A_0}$  is its algebra of coinvariants then  $R$  is a Nichols algebra. As in [3, §8], let  $S = R^*$  be its dual. Then  $S$  is a graded braided Hopf algebra in  ${}^k_{k^{\Gamma}}\mathcal{YD}$ ,  $S = \bigoplus_i S(i)$  and is generated by  $S(0) \oplus S(1)$ . Furthermore, we have a surjection  $S \twoheadrightarrow S'$ ,  $S' = \mathfrak{B}(S(1))$ . We have to prove that  $S$  is coradically graded; i.e. that  $\mathcal{P}(S) = S(1)$ . This is the same as saying that  $S' = S$ . Now, [2, Theorem 3.2] plus Remark 4.6 solve the problem for the cases in which  $\Gamma$  has order  $p^4$  or  $p^3$ , and [3, Theorem 8.2] and [3, Lemma 8.5] solve the problem for the case in which  $\Gamma$  has order  $p$  or  $\Gamma = C_p \times C_p$ . The following theorem solves the pending case.

**THEOREM 5.1.** *Let  $\Gamma$  be a finite group and  $p$  the smallest prime number dividing  $|\Gamma|$ . Let  $S = \bigoplus_i S(i)$  in  ${}^k_{k^{\Gamma}}\mathcal{YD}$  be a graded braided Hopf algebra of dimension  $p^3$  such that  $S(0) = k$  and  $S$  is generated by  $S(1)$ . Suppose that  $S(1)$  comes from the abelian*

case; i.e. there exists an abelian subgroup  $\Gamma' \subset \Gamma$  such that  $S(1)$  is a YD-module over  $\Gamma'$ . Then  $S$  is a Nichols algebra.

*Proof.* We prove the statement for  $p > 2$ , the case  $p = 2$  being treated in [10]. Let  $S' = \mathfrak{B}(S(1))$ , and consider the canonical projection  $S \twoheadrightarrow S'$ . We must prove that this is an isomorphism. If  $\dim S(1) = 3$  then, by Proposition 2.2, we have  $\dim S' \geq p^3$ ; but this implies that  $S' = S$  and  $S$  is a Nichols algebra. If  $\dim S(1) = 1$ , then [2, Theorem 3.2] shows that  $S$  is a Nichols algebra. Hence we are led to consider the case  $\dim S(1) = 2$ . We have  $\dim S' \leq p^3$ , and we suppose that  $\dim S' < p^3$ . Then by Proposition 2.2 we have  $\dim S' \geq p^2$ , whence  $\dim S' = p^2$ . Now Theorem 4.3 says that  $S'$  is a QLS over  $\Gamma'$ ,  $S'(1)$  has a basis  $\{x, y\}$  and the braiding  $c$  has a matrix  $(b_{ij})$  in this basis, where  $b_{ii}$  are primitive  $p$ -th roots of unity and  $b_{12}b_{21} = 1$ . Furthermore, the linear spans  $\mathbf{k}x$  and  $\mathbf{k}y$  are sub-YD-modules over  $\Gamma'$ . Let  $z = x_1x_2 - b_{12}x_2x_1 \in S$ . If we prove that  $z = 0$  in  $S$ , then  $\dim S = p^2$ , but this would be a contradiction and we would be done.

Suppose that  $z \neq 0$ . Now, it is immediate that  $z$  is primitive in  $S$ . Consider the coradical filtration of  $S$  and let  $T = \bigoplus_i T(i)$  be the associated graded algebra. We have  $x, y, z \in S_1$ . Consider  $\bar{x}, \bar{y}, \bar{z} \in T(1)$ . It is easy to see that these elements are linearly independent. We compute the matrix of  $c$  for  $\{\bar{x}, \bar{y}, \bar{z}\}$ . It is given by

$$(b'_{ij}) = \begin{pmatrix} b_{11} & b_{12} & b_{11}b_{12} \\ b_{21} & b_{22} & b_{21}b_{22} \\ b_{11}b_{21} & b_{12}b_{22} & b_{11}b_{12}b_{21}b_{22} \end{pmatrix} = \begin{pmatrix} b_{11} & b_{12} & b_{11}b_{12} \\ b_{21} & b_{22} & b_{21}b_{22} \\ b_{11}b_{21} & b_{12}b_{22} & b_{11}b_{22} \end{pmatrix}.$$

Consider now the canonical projection  $T \twoheadrightarrow T' = \mathfrak{B}(T(1))$ . Since  $\mathbf{k}x, \mathbf{k}y$  and  $\mathbf{k}z$  are sub-YD-modules of  $S$  over  $\Gamma'$ , then  $\mathbf{k}\bar{x}, \mathbf{k}\bar{y}$  and  $\mathbf{k}\bar{z}$  are sub-YD-modules of  $T$  over  $\Gamma'$ . Thus, if  $W = (\bar{x}, \bar{y}, \bar{z})$  we have  $\dim \mathfrak{B}(W) \leq \dim T' \leq \dim T = p^3$ . Now Proposition 2.2 applies; (notice that  $b'_{ii}$  has order  $p, \forall i$ , since  $b_{11}b_{22} = 1$  would imply that  $\dim \mathfrak{B}(W) = \infty$ ). Hence  $\mathfrak{B}(W)$  is a QLS, but this implies that  $1 = b_{11}^2b_{12}b_{21} = b_{11}^2$ , which is impossible since  $p \neq 2$ . Hence,  $z = 0$  in  $S$  and the theorem is proved.  $\square$

**6. Final remarks.** In order to give a complete classification of the pointed Hopf algebras of dimension  $p^5$ , the following steps should be taken.

1. For each Nichols algebra  $R$  in Theorem 3.2, give all the modules  $M$  in  ${}_{\mathbf{k}\Gamma}^{\mathbf{k}\Gamma}\mathcal{YD}$  such that  $\mathfrak{B}(M) \simeq R$ .
2. Classify the isomorphism classes of the bosonizations of the Nichols algebras in the previous step; (note that there exist non isomorphic Nichols algebras which give isomorphic algebras after bosonization).
3. For each coradically graded  $p^5$ -dimensional Hopf algebra in the previous step, classify all the liftings.

These steps are highly non trivial. For instance let  $\Gamma = C_{p^n}$ , where  $n > 0$  and  $p \neq 2$ , and let  $0 \leq s \leq n$ . The number of QLS of rank 1 over  $\Gamma$  with dimension  $p^s$  is given by

$$\sum_{\substack{i+j=n-s \\ i,j \leq n}} \phi(i, j),$$

while the number of isomorphisms classes of these QLS after bosonization is given by

$$\sum_{\substack{i+j-n=s \\ i,j \leq n}} \frac{\phi(i, j)}{I(i, j)},$$

where

$$\begin{aligned} \phi(i) &= p^{i-1}(p - 1), \\ \phi(i, j) &= \phi(i)\phi(j), \\ I(k_1, \dots, k_r) &= \phi(\max\{k_1, \dots, k_r\}). \end{aligned}$$

Furthermore, the number of QLS of rank 2 over  $\Gamma$  with dimension  $p^s$ , (where  $s$  is even, by Lemma 4.1), is given by

$$\frac{1}{2} \sum_{i_1, j_1, i_2, j_2} \frac{\phi(i_1, j_1)\phi(i_2, j_2)}{L_n(i_1, j_2)},$$

while the number of isomorphism classes of these QLS after bosonization is given by

$$\frac{1}{2} \sum_{i_1, j_1, i_2, j_2} \frac{\phi(i_1, j_1)\phi(i_2, j_2)}{L_n(i_1, j_2)I(i_1, i_2, j_1, j_2)},$$

where

$$L_n(i, j) = \phi(i + j - n),$$

and the sum is over the tuples such that

$$\begin{aligned} i_1 + j_1 - n = s_1 \geq 1, \quad i_2 + j_2 - n = s_2 \geq 1, \\ s_1 + s_2 = s, \quad i_1, j_1, i_2, j_2 \leq n, \quad i_1 + j_2 = i_2 + j_1. \end{aligned}$$

As a result of this, the number of coradically graded non isomorphic Hopf algebras of dimension  $p^5$  with coradical  $C_{p^4}$ ,  $C_{p^3}$  is, respectively,  $2(p^2 - 1)$  and  $p(p - 1)[2 + \frac{p(p-1)(p+2)}{2}]$ .

See also the discussion in [3, §9] for the first step, [3, §6] for the second. In particular, a necessary and sufficient condition for two YD-modules to give isomorphic algebras after bosonization is given in [3, Proposition 6.3].

As an example of the last step, let  $A$  be a pointed Hopf algebra of dimension  $p^5$  with coradical  $\Gamma$  of order  $p^4$ . Let  $H$  be the associated graded Hopf algebra and  $R$  its invariants as in (2.1). Then  $H = R\#\mathbf{k}\Gamma$ , where  $R = \mathfrak{B}(V)$ . We have then  $V = M(h, \chi)$  where  $h$  is central and  $\chi$  is a character such that  $\chi(h)$  has order  $p$ . Let  $x$  be a generator of  $V$ . Then, by [10, Proposition 2.0.17],  $x$  can be lifted to  $a \in A$  such that  $\Delta(a) = h \otimes a + a \otimes 1$  and  $gag^{-1} = \chi(g)a \forall g \in \Gamma$ . Since the elements  $\{x^i\#g \mid 0 \leq i < p, g \in \Gamma\}$  are a basis of  $H$ , the elements  $\{a^i g \mid 0 \leq i < p, g \in \Gamma\}$  are a basis of  $A$ . The lifting  $A$  is then determined by the element  $a^p$ , the case  $a^p = 0$  being the bosonization

$A = R\#\mathbf{k}\Gamma$ . It is easy to see that  $a^p$  is a skew-primitive and  $\Delta(a^p) = h^p \otimes a^p + a^p \otimes 1$ . Looking at the space of skew-primitives, this implies that

$$a^p = \lambda(h^p - 1), \quad \lambda \in \mathbf{k}.$$

Taking a suitable scalar multiple of  $a$  we may suppose that  $\lambda \in \{0, 1\}$ . Hence there are no more than 2 liftings. In some of the cases we must have  $a^p = 0$ . These cases are given by the diamond lemma

$$\begin{aligned} ga^p &= g\lambda(h^p - 1) = \lambda(h^p - 1)g, \\ ga^p &= \chi(g)ag a^{p-1} = \dots = \chi^p(g)a^p g = \chi^p(g)\lambda(h^p - 1)g, \end{aligned}$$

whence  $\lambda(\chi^p - 1) = 0$  for  $A$  to be  $p^5$ -dimensional. This tells us that over the group  $B(vi)$  any pointed Hopf algebra of dimension  $p^5$  is coradically graded.

On the other hand, it is clear that if  $h^p = 1$  then  $a^p = 0$ . This tells us that over the groups  $B(viii)$ ,  $B(ix)$ ,  $B(x)$  and  $B(xiv)$  any pointed Hopf algebra of dimension  $p^5$  is coradically graded.

As a corollary we note that a pointed Hopf algebra of dimension  $p^5$  and non abelian coradical is coradically graded, unless its coradical is isomorphic to  $\mathbf{k}B(vii)$ . We classify all the liftings in this case:  $B(vii)$  can be presented with generators  $X, Y, Z$  and relations

$$X^{p^2} = Y^p = Z^p = 1, \quad [Z, Y] = X^p, \quad [X, Y] = [X, Z] = 1.$$

Hence  $Z(B(vii)) = \langle X \rangle$  while  $[B(vii), B(vii)] = \langle X^p \rangle$ . Let  $q$  be a (fixed)  $p$ -th root of unity. The Yetter–Drinfeld modules generating Nichols algebras of dimension  $p$  are then

$$V = M(X^i, \chi) \text{ such that } p \nmid i, \quad \chi(X) = q^a (p \nmid a), \quad \chi(Y) = q^b, \quad \chi(Z) = q^c.$$

However, it can be shown that most of them give isomorphic algebras after bosonization. We are led to consider two modules:

$$\begin{aligned} V_i &= M(X, \chi_i) \quad (i = 1, 2), \quad \chi_1(X) = q, \quad \chi_1(Y) = \chi_1(Z) = 1, \\ &\quad \chi_2(X) = \chi_2(Y) = q, \quad \chi_2(Z) = 1. \end{aligned}$$

Hence we have two pointed Hopf algebras of dimension  $p^5$  with non abelian coradical that are not coradically graded:

- $A^{(1)}$  generated by  $a, X, Y, Z$  and the relations of  $B(vii)$  together with  $Xa = qaX, Ya = aY, Za = aZ, a^p = X^p - 1, \Delta(a) = X \otimes a + a \otimes 1,$
- $A^{(2)}$  generated by  $a, X, Y, Z$  and the relations of  $B(vii)$  together with  $Xa = qaX, Ya = qaY, Za = aZ, a^p = X^p - 1, \Delta(a) = X \otimes a + a \otimes 1.$

A description of the liftings of QLS (respectively of the algebras of type  $A_2$  over groups of exponent  $p$ ) is made in [2] (respectively [4]).

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