

## IRREDUCIBLE AUTOMORPHISMS OF CERTAIN $p$ -GROUPS

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**Introduction.** The chief purpose of this paper is to find all pairs  $(G, \theta)$  where  $G$  is a finite special  $p$ -group, and  $\theta$  is an automorphism of  $G$  acting trivially on the Frattini subgroup and irreducibly on the Frattini quotient. This problem arises in the context of describing finite groups having an abelian maximal subgroup. In fact, we solve a more general problem for a wider class of  $p$ -groups, which we call *special  $F$ -groups*, where  $F$  is a finite field of characteristic  $p$ . We point out that if  $p$  is odd, then an  $F$ -group has exponent  $p$ . On the other hand, every special 2-group is also a special  $GF(2)$ -group.

As a byproduct of our theory of  $F$ -groups we obtain an interesting result about non-singular subspaces of alternating matrices over finite fields (Theorems 10 and 12). These results can be stated in terms of alternating forms. Analogous results are obtained for quadratic forms over  $GF(2^n)$  (Theorem 13). Theorems of this type are known for matrices over reals, complexes or quaternions [1; 2].

We conclude with an open problem about non-degenerate  $F$ -groups (Section 5).

### Notation.

$Z(G)$  = the centre of a group  $G$ ,

$\Phi(G)$  = the Frattini subgroup of  $G$ ,

$G'$  = the commutator subgroup of  $G$ ,

$N_G(H)$  = the normalizer of  $H$  in  $G$ ,

$C_H(a)$  = the centralizer of an element  $a \in G$  in the subgroup  $H$  of  $G$ .

If  $H$  is a subgroup of  $G$  then

$$\text{core}(H) = \bigcap_{x \in G} xHx^{-1}.$$

$|S|$  = the number of elements of a finite set  $S$ .

$G = N \ltimes H$  is the semi-direct product of  $N$  and  $H$  with  $N \triangleleft G$ . It will always be clear from the context how  $H$  acts on  $N$ .

A group  $H$  of automorphisms of a group  $G$  is *regular* if for every  $\alpha \in H$ ,  $\alpha \neq 1$ , the centralizer

$$C_G(\alpha) = \{x \in G \mid \alpha(x) = x\}$$

of  $\alpha$  in  $G$  is trivial.

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$p$  will always denote a prime number. We say that a finite group is a  $p'$ -group if  $|G|$  is not divisible by  $p$ .

A finite  $p$ -group is called *special* if either it is elementary abelian or nilpotent of class two with  $Z(P) = P' = \Phi(P)$ . If, moreover,  $|\Phi(P)| = p$  then  $P$  is called *extra-special*. See [6, Chapter 5] or [7, Chapter III, § 13] for the basic properties of these groups.

**1. The structure of  $G$ .** In this section  $G$  will denote a finite group having an abelian maximal subgroup  $A$ . In the case where  $A \triangleleft G$  we have  $(G : A) = p$  where  $p$  is a prime. The structure of such groups is known [8]. Hence we will only be interested in the case when  $A$  is not normal in  $G$ .

First we show how one can construct finite groups  $G$  having an abelian subgroup  $A$  which is not normal in  $G$ .

Let  $P$  be a non-trivial special  $p$ -group (of exponent  $p$  if  $p$  is odd) admitting a cyclic  $p'$ -group of automorphisms  $H$  which is trivial on  $\Phi(P)$  and acts irreducibly and non-trivially on  $\bar{P} = P/\Phi(P)$ . Let  $D$  be an abelian  $p$ -group containing a copy of  $\Phi(P)$ . Define  $K = (D \times P)/R$  where  $R$  consists of all  $(x, x^{-1})$  for  $x \in \Phi(P)$ . We extend the action of  $H$  to  $D \times P$  and  $K$  by specifying that it acts trivially on  $D$ . Now let  $B$  be an abelian  $p'$ -group admitting an epimorphism  $f: B \rightarrow H$ . Let  $G = K \rtimes B$  where  $B$  acts on  $K$  via  $f$ . The subgroup  $A = BD$  of  $G$  is abelian and proper. We claim that it is maximal in  $G$ . Indeed, if  $M$  is a subgroup of  $G$  containing  $A$  properly then  $M \cap P$  contains  $\Phi(P)$  properly and the irreducibility of  $\bar{P}$  under the action of  $B$  implies that  $M \cap P = P$ . Hence  $M \supset AP = G$ .

We claim that  $A$  is not normal in  $G$ . Otherwise it follows from  $A = B \times D$  that  $B$  is normal in  $G$ . Hence  $G = B \times K$ , contradicting that  $B$  acts non-trivially on  $K$ .

We prove next that there are no other examples.

**THEOREM 1.** *Let  $G$  be a finite group having an abelian maximal subgroup  $A$  which is not normal in  $G$ . Then*

- (i)  $N_G(A) = A$ ,  $Z(G) = \text{core}(A)$ , and  $A \cap xAx^{-1} = Z(G)$  for all  $x \in G \setminus A$ ;
- (ii)  $(G : A) = p^n$  for some prime  $p$ .

Let  $A = B \times D$  where  $D$  is the  $p$ -Sylow subgroup of  $A$  and  $B$  its unique  $p'$ -complement. Then

- (iii)  $Z(G) = B_0 \times D$  where  $B_0 = B \cap Z(G)$ ,
- (iv) there exists a special  $p$ -subgroup  $P$  of  $G$  such that  $P \triangleleft G$ ,  $P \not\subseteq A$ ,  $G = AP$ ,  $A \cap P = D \cap P = \Phi(P)$ , and  $P$  has exponent  $p$  when  $p$  is odd, 2 or 4 when  $p = 2$ .

(v)  $B$  acts irreducibly and non-trivially on  $\bar{P} = P/\Phi(P)$  and the kernel of this action is  $B_0$ ;

- (vi)  $\bar{B} = B/B_0$  is cyclic;
- (vii)  $DP$  is a  $p$ -group and  $G = (DP) \rtimes B$ .

*Proof.* It is clear from our hypotheses that  $N_G(A) = A$ . We have  $Z(G) \subset N_G(A) = A$ . On the other hand if  $x \in G \setminus A$  then  $xAx^{-1} \neq A$ . Hence  $A$  and  $xAx^{-1}$  centralize  $A \cap xAx^{-1}$  and generate  $G$ , implying that  $\text{core}(A) \subset A \cap xAx^{-1} \subset Z(G)$ . Thus (i) is proved.

Let  $\bar{A} = A/Z(G)$ ,  $\bar{G} = G/Z(G)$ . It follows from (i) that  $\bar{A}$  is a maximal subgroup of  $\bar{G}$ ,  $N_{\bar{G}}(\bar{A}) = \bar{A}$  and that  $\bar{A}$  has trivial intersection with each of its conjugates. Hence, by [6, Theorem 7.7, p. 39]  $\bar{G}$  is a Frobenius group with complement  $\bar{A}$ . By [6, Theorem 3.1, p. 339] we have  $\bar{G} = \bar{N} \ltimes \bar{A}$  where  $\bar{N}$  is nilpotent and  $|\bar{A}|$  divides  $|\bar{N}| - 1$ . Since  $\bar{N}$  is a direct product of its Sylow subgroups and  $\bar{N}$  is maximal in  $\bar{G}$  it follows that  $\bar{N}$  is a non-trivial  $p$ -group for some prime  $p$ . Since  $(G : A) = (\bar{G} : \bar{A}) = |\bar{N}|$  the assertion (ii) is proved.

Since  $\bar{A}$  divides  $|\bar{N}| - 1 = p^n - 1$  (say) it follows that  $\bar{A}$  is a  $p'$ -group, i.e.,  $Z(G) \supset D$  and (iii) is proved. Let  $N$  be the pre-image of  $\bar{N}$  in  $G$ , and let  $S_p$  be the Sylow  $p$ -subgroup of  $N$ . Then  $S_p \supset D$ ,  $N = Z(G)S_p = B_0 \times S_p$ ,  $S_p \triangleleft G$  and  $G = AS_p$ . By [6, Theorem 3.8, p. 183] there exists a special  $p$ -subgroup  $P$  of  $S_p$  such that  $P$  is  $B$ -invariant and  $B$  acts irreducibly and non-trivially on  $\bar{P} = P/\Phi(P)$ . Thus  $P \not\subset A$ ,  $P \triangleleft G$ ,  $G = AP$ .

We claim that  $\Phi(P) \subset A$ . Otherwise we have  $G = A\Phi(P)$ ,  $\Phi(P) \triangleleft G$  and  $P = \Phi(P)(A \cap P)$ . By a well-known property of the Frattini subgroup this implies that  $P = A \cap P$ , which is a contradiction. Hence  $\Phi(P) \subset A \cap P \subset P$  and since  $B$  acts irreducibly on  $\bar{P}$  we must have  $\Phi(P) = A \cap P$ .

Assume now that  $p$  is odd. (If  $p = 2$ , it is immediate that  $P$  has exponent 2 or 4, by definition.) By [6, Lemma 3.9, p. 183] the elements  $x \in P$  satisfying  $x^p = 1$  form a subgroup  $P_1$  of  $P$ . We have  $\Phi(P) \subset P_1 \subset P$  and so by irreducibility of  $\bar{P}$  we must have either  $P_1 = \Phi(P)$  or  $P_1 = P$ . The first possibility is ruled out because  $P$  is a non-trivial special  $p$ -group and  $p$  is odd. Thus  $P_1 = P$ , i.e.,  $P$  has exponent  $p$ .

Hence we have proved (iv) and the first part of (v). Assume that  $a \in B$  acts trivially on  $\bar{P}$ . Then  $\langle Z(G), a \rangle$  is normalized by  $A$  and  $P$  and hence it lies in  $\text{core}(A) = Z(G)$ . Thus  $a \in Z(G) \cap B = B_0$  and (v) is proved.

Let  $a \in B$  be such that  $\bar{C} = C_{\bar{P}}(a)$  is non-trivial. Let  $C$  be the pre-image of  $\bar{C}$  in  $P$ . Since  $B$  is abelian it is clear that  $B$  normalizes  $C$ . Since  $P \cap A \not\subset C \subset P$  we must have  $C = P$  by the irreducibility of  $\bar{P}$ . Thus  $a$  acts trivially in  $\bar{P}$  and by (v) we have  $a \in B_0$ . This proves that  $\bar{B}$  is a regular  $p'$ -group of automorphisms of  $\bar{P}$ . By [6, Theorem 3.14, p. 187]  $\bar{B}$  is cyclic and (vi) is proved.

It is clear that (vii) holds because  $D \subset Z(G)$ ,  $D$  is a  $p$ -group and consequently  $DP$  is a  $p$ -group normal in  $G$ .

The theorem is proved.

It is clear that the problem of constructing finite groups  $G$  having an abelian maximal subgroup  $A$  is now reduced to the following problem:

Construct all pairs  $(P, \theta)$  where  $P$  is a non-trivial special  $p$ -group (of exponent  $p$  if  $p$  is odd) and  $\theta$  is a  $p'$ -automorphism of  $P$  fixing  $\Phi(P)$  elementwise and acting irreducibly on  $\bar{P} = P/\Phi(P)$ .

We shall solve this problem completely in the remaining part of this paper. In fact, we solve this problem for a somewhat larger class of groups which we call  $F$ -groups ( $F$  being a field).

**2.  $F$ -groups.** Let  $F$  be any field, and let  $V_0$  and  $V_1$  be  $F$ -vector spaces. Let also  $\phi: V_0 \times V_0 \rightarrow V_1$  be an  $F$ -bilinear form. We construct a group  $V = V_0 \times V_1$  having the following multiplication:

$$(x, y)(x', y') = (x + x', y + y' + \phi(x, x')).$$

This is a group because  $\phi$  is a normalized 2-cocycle. The elements  $(0, y)$  in  $V$  form a subgroup of  $V$ , canonically isomorphic with  $V_1$ . Further,  $V_1 \subset Z(V)$  and  $V_0$  is canonically isomorphic with  $V/V_1$ . The group  $V$  will be called in this paper an  $F$ -group. Whenever we refer to an  $F$ -group  $V$  we shall regard  $V_0, V_1$  and  $\phi = \phi_V$  as part of the structure of  $V$ .

In  $V$  we have  $(x, y) = (x, 0)(0, y)$ ,  $(x, y)^{-1} = (-x, -y + \phi(x, x))$  and hence the commutator

$$\begin{aligned} [(x, y), (x', y')] &= [(x, 0), (x', 0)] \\ &= (-x, \phi(x, x))(-x', \phi(x', x'))(x, 0)(x', 0) \\ &= (-x - x', \phi(x, x) + \phi(x', x') \\ &\quad + \phi(x, x'))(x + x', \phi(x, x')) \\ &= (0, \phi(x, x') - \phi(x', x)). \end{aligned}$$

Further, it is easy to check that

$$V' \subset \Phi(V) \subset V_1 \subset Z(V).$$

Given two  $F$ -groups  $V$  and  $W$ , we shall say that a homomorphism  $\theta: V \rightarrow W$  is an  $F$ -homomorphism if  $\theta(V_1) \subset W_1$  and the induced map  $\theta_0: V_0 \rightarrow W_0$  and the restriction  $\theta_1: V_1 \rightarrow W_1$  are  $F$ -linear.

We shall denote by  $\text{Aut}(V; F)$  the group of  $F$ -automorphisms of  $V$ .

If  $F$  has characteristic  $p$ , an odd prime, then every non-trivial  $F$ -group has exponent  $p$ . This follows directly by computation. If  $p = 2$ , then every non-trivial  $F$ -group has exponent 4 or 2.

For each bilinear function  $\phi$  we define

$$\phi'(x, y) = \phi(x, y) - \phi(y, x).$$

We shall say that an  $F$ -group  $V$  is *special* if  $V_1$  is generated (as a vector space or, equivalently, as a group) by the image of  $\phi_V'$ , where  $\phi_V$  is the bilinear function associated with  $V$ .

*Definition 1.* Let  $\phi: V_0 \times V_0 \rightarrow V_1$  be an  $F$ -bilinear map. Then we shall say that  $\phi$  is *non-degenerate* if for every non-zero linear function  $\psi: V_1 \rightarrow F$  the composite bilinear form  $\psi \circ \phi$  is non-degenerate. Similarly, if  $Q: V_0 \rightarrow V_1$  is a quadratic map of  $F$ -vector spaces  $V_0$  and  $V_1$  then we shall say that  $Q$  is

non-degenerate if the bilinear map  $Q(x + y) - Q(x) - Q(y)$  is non-degenerate. We shall say that an  $F$ -group  $V$  is *non-degenerate* if the bilinear map  $\phi_{V'}$  is non-degenerate.

Our definition of non-degenerate quadratic maps is analogous to the definition of non-degenerate quadratic forms given in Bourbaki [3, Definition 2, p. 54]. Some other authors call such quadratic forms *non-defective* if  $\text{char } F = 2$ . See, for instance [5, p. 33].

LEMMA 2. *Every non-degenerate  $F$ -group  $V$  is a special  $F$ -group.*

*Proof.* Assume that  $V$  is not special. Then there exists a non-zero linear form  $\psi: V_1 \rightarrow F$  such that  $V' \subset \text{Ker}(\psi)$ . Hence  $\psi \circ \phi' = 0$ , contradicting that  $V$  is non-degenerate.

LEMMA 3. *If  $V$  is a non-degenerate  $F$ -group, then  $V' = \Phi(V) = V_1 \subset Z(V)$ . If also  $V_1 \neq 0$ , then  $V_1 = Z(V)$ .*

*Proof.* From the previous lemma  $V$  is a special  $F$ -group, and so  $V' = V_1$ . It follows that  $V' = \Phi(V) = V_1$ .

If  $V_1 \neq 0$ , and  $(x, y) \in Z(V)$ , then also  $(x, 0) \in Z(V)$ , and therefore  $\phi'(x, z) = \phi(x, z) - \phi(z, x) = 0$  for all  $z \in V_0$ . Since  $V_1 \neq 0$  we can choose a non-zero linear form  $\psi: V_1 \rightarrow F$ , and since  $\psi \circ \phi'$  is non-degenerate, we must have  $x = 0$ , completing the proof.

LEMMA 4. *Let  $p$  be an odd prime. If  $P$  is a  $p$ -group of exponent  $p$  with a central subgroup  $P_1$  such that  $P/P_1$  is abelian then  $P$  is a  $GF(p)$ -group.*

*Proof.* We may consider  $P_0 = P/P_1$  and  $P_1$  as  $GF(p) = F$ -vector spaces. First, we choose a family of elements  $a_i \in P$  ( $i \in I, I$  totally ordered) such that the  $\bar{a}_i \in P_0$  form a basis of  $P_0$ . Then the elements

$$a_{i_1}^{\alpha_1} \dots a_{i_k}^{\alpha_k}, \quad i_1 < i_2 < \dots < i_k, \alpha_i \in F$$

are coset representatives for  $P_1 \subset P$ . The 2-cocycle  $\phi$  associated with this extension can be computed as follows:

$$(1) \quad a_{i_1}^{\alpha_1} \dots a_{i_k}^{\alpha_k} a_{i_1}^{\beta_1} \dots a_{i_k}^{\beta_k} = a_{i_1}^{\alpha_1 + \beta_1} \dots a_{i_k}^{\alpha_k + \beta_k} \prod_{r > s} [a_{i_r}, a_{i_s}]^{\alpha_r \beta_s}.$$

Using now additive notation we have

$$\phi(\alpha_1 \bar{a}_{i_1} + \dots + \alpha_k \bar{a}_{i_k}, \beta_1 \bar{a}_{i_1} + \dots + \beta_k \bar{a}_{i_k}) = \sum_{r > s} \alpha_r \beta_s [a_{i_r}, a_{i_s}].$$

Hence  $\phi$  is  $F$ -bilinear, and it is now clear that  $P$  is isomorphic to the  $GF(p)$ -group built using  $P_0, P_1$  and  $\phi$ .

LEMMA 5. *Let  $P$  be a 2-group with a central subgroup  $P_1$  of exponent 2 such that  $P/P_1$  is of exponent 2. Then  $P$  is a  $GF(2)$ -group.*

*Proof.* Again, we may consider  $P_0 = P/P_1$  and  $P_1$  as  $GF(2) = F$ -vector spaces, and choose a family of elements  $a_i \in P$  ( $i \in I, I$  totally ordered) such

that the  $\bar{a}_i \in P_0$  form a basis of  $P_0$ . The elements

$$a_{i_1}^{\alpha_1} \dots a_{i_k}^{\alpha_k}, \quad i_1 < i_2 < \dots < i_k, \alpha_i = 0, 1$$

are coset representatives for  $P_1 \subset P$ . In order to compute the 2-cocycle  $\phi$  we can use formula (1) of the previous lemma noting that if for some index  $i$ ,  $\alpha_i = \beta_i = 1$ , then

$$a_{i_1}^{\alpha_1 + \beta_1} \dots a_{i_k}^{\alpha_k + \beta_k}$$

is not in general a coset representative. In this case, however, if we let  $a_{i^2} = b_i$ , then  $b_i \in P_1$  and

$$a_{i_r}^{\alpha_r + \beta_r} = a_{i_r}^{\gamma_r} b_{i_r}^{\alpha_r \beta_r}$$

where  $\gamma_r = 0, 1$  and  $\gamma_r \equiv \alpha_r + \beta_r \pmod 2$ . Hence in this case

$$\begin{aligned} \phi(\alpha_1 \bar{a}_{i_1} + \dots + \alpha_k \bar{a}_{i_k}, \beta_1 \bar{a}_{i_1} + \dots + \beta_k \bar{a}_{i_k}) \\ = \sum_{\tau=1}^k \alpha_\tau \beta_\tau b_{i_\tau} + \sum_{\tau > s} \alpha_\tau \beta_s [a_{i_\tau}, a_{i_s}]. \end{aligned}$$

Thus again  $\phi$  is  $F$ -bilinear, and we are done.

LEMMA 6. *If  $V$  and  $W$  are  $F$ -groups, and  $\theta: V \rightarrow W$  is an  $F$ -homomorphism then*

$$\theta(x, y) = (\theta_0(x), \theta_1(y) + \theta_{01}(x))$$

where  $\theta_i: V_i \rightarrow W_i, i = 0, 1$ , are  $F$ -linear maps, and  $\theta_{01}: V_0 \rightarrow W_1$  is a quadratic map such that we have

$$(2) \quad \phi_{W'} \circ (\theta_0 \times \theta_0) = \theta_1 \circ \phi_{V'}$$

and

$$(3) \quad \theta_{01}(x + x') - \theta_{01}(x) - \theta_{01}(x') = \phi_W(\theta_0(x), \theta_0(x')) - \theta_1(\phi_V(x, x')).$$

*In particular,  $\phi_W(\theta_0(x), \theta_0(x')) - \theta_1(\phi_V(x, x'))$  is a symmetric bilinear map. If  $\text{char}(F) = 2$ , then this map is alternating. Conversely, if  $\theta_0, \theta_1$  and  $\theta_{01}$  satisfy these conditions, then  $\theta \in \text{Aut}(V; F)$ .*

*Proof.* From  $\theta(x, y) = \theta(x, 0) \cdot \theta(0, y) = \theta(x, 0) \cdot (0, \theta_1(y))$  and the definition of  $\theta_0$  it follows that  $\theta(x, y)$  has the form given in the Lemma. Equation (2) follows from

$$\begin{aligned} \phi_{W'}(\theta_0(x), \theta_0(x')) &= [(\theta_0(x), \theta_{01}(x)), (\theta_0(x'), \theta_{01}(x'))] \\ &= \theta([(x, 0), (x', 0)]) = \theta_1(\phi_{V'}(x, x')). \end{aligned}$$

Equation (3) follows from

$$\begin{aligned} \theta((x, 0)(x', 0)) &= \theta((x + x', \phi_V(x, x'))) \\ &= (\theta_0(x + x'), \theta_1(\phi_V(x, x')) + \theta_{01}(x + x')), \end{aligned}$$

and

$$\begin{aligned} \theta(x, 0) \cdot \theta(x', 0) &= (\theta_0(x), \theta_{01}(x))(\theta_0(x'), \theta_{01}(x')) \\ &= (\theta_0(x) + \theta_0(x'), \theta_{01}(x) + \theta_{01}(x') + \theta_W(\theta_0(x), \theta_0(x'))) \end{aligned}$$

The converse is straightforward.

**3. Irreducible automorphisms of finite  $F$ -groups.** For the rest of this paper the field  $F$  and all the groups will be assumed to be finite.

*Definition 2.* If  $V$  is an  $F$ -group we denote by  $\text{Aut}_0(V; F)$  the subgroup of  $\text{Aut}(V; F)$  consisting of those  $F$ -automorphisms which fix all the elements of  $V_1 \subset V$ .

**PROPOSITION 7.** *If  $V$  is a special  $F$ -group admitting an automorphism  $\theta \in \text{Aut}_0(V; F)$  such that the induced automorphism  $\theta_0 \in \text{Aut}(V_0)$  is irreducible as an  $F$ -linear transformation, then  $V$  is non-degenerate.*

*Proof.* By equation (2) of Lemma 6, it follows that  $\phi'$  is preserved by  $\theta_0$ .

Let  $\psi$  be a non-zero linear form on  $V_1$ . By the irreducibility of  $\theta_0$  it follows that  $\text{Ker}(\psi \circ \phi') = 0$  or  $V_0$  because  $\theta_0$  preserves the form  $\psi \circ \phi'$ . We have  $\text{Ker}(\psi \circ \phi') \neq V_0$  because otherwise  $\text{Im}(\phi') \subset \text{Ker}(\psi)$  contradicting the hypothesis that  $V$  is a special  $F$ -group. Hence  $\text{Ker}(\psi \circ \phi') = 0$  and  $\psi \circ \phi'$  is non-degenerate.

This completes the proof of the proposition.

*Definition 3.* Let  $f(X)$  be a monic irreducible polynomial over a finite field  $F = GF(q)$ ,  $q = p^n$ . An  $F$ -representation of  $f(X)$  is a pair  $(V, \theta)$  where  $V$  is a special  $F$ -group,  $\theta \in \text{Aut}_0(V; F)$ ,  $\theta$  has  $p'$  order, and the induced automorphism  $\theta_0$  has  $f(X)$  as its characteristic polynomial. (This last implies that  $\theta_0$  is irreducible on  $V_0$ ).

*Definition 4.* If  $(V, \theta)$  and  $(W, \omega)$  are  $F$ -representations of  $f(X)$  then a morphism  $(V, \theta) \rightarrow (W, \omega)$  is an  $F$ -homomorphism  $\sigma: V \rightarrow W$  such that  $\omega \circ \sigma = \sigma \circ \theta$ .

Let  $(V, \theta)$  be an  $F$ -representation of  $f(X)$  and let  $N$  be a subgroup of  $V_1$ . Then  $\bar{V} = V/N$  is also a non-degenerate  $F$ -group, the induced automorphism  $\bar{\theta}$  of  $\bar{V}$  is in  $\text{Aut}_0(\bar{V}; F)$  and  $(\bar{V}, \bar{\theta})$  is also an  $F$ -representation of  $f(X)$ . We say that  $(\bar{V}, \bar{\theta})$  is a *quotient* of  $(V, \theta)$ .

**PROPOSITION 8.** *If  $V$  is a non-degenerate  $F$ -group, and if  $V_1 \neq 0$ , then the dimension of  $V_0$  is even.*

*Proof.* Let  $\psi$  be any non-zero linear form from  $V_1$  to  $F$ . By Definition 1,  $\phi_{V'}$  is non-degenerate. Therefore  $\psi \circ \phi_{V'}$  is a non-degenerate alternating form from  $V_0$  to  $F$ , and this implies that the dimension of  $V_0$  is even.

It follows from Proposition 8 that if  $f(X)$  is an irreducible polynomial of odd degree and  $(V, \theta)$  is an  $F$ -representation of  $f$ , then  $V_1 = 0$ , and  $V = V_0$ .





Let an  $F$ -automorphism  $\theta_0$  of  $V_0$  be defined by

$$\begin{aligned} \theta_0(a_i) &= a_{i+1} \quad \text{for } 0 \leq i \leq m - 2 \\ \theta_0(a_{m-1}) &= -\alpha_m a_0 - \alpha_{m-1} a_1 - \dots - \alpha_1 a_{m-1} \quad (= a_m, \text{ say}). \end{aligned}$$

Note that the characteristic polynomial of  $\theta_0$  is  $f(X)$  and hence  $\theta_0$  is irreducible as an  $F$ -linear automorphism of  $V_0$ .

We claim that  $\phi(\theta_0(x), \theta_0(x')) - \phi(x, x')$  is symmetric. This is equivalent to the statement that  $\phi'(x, x')$  is  $\theta_0$ -invariant. Since  $\phi'$  is alternating, it suffices to check that  $\phi'(\theta_0(a_i), \theta_0(a_j)) = \phi'(a_i, a_j)$  for  $0 \leq i < j \leq m - 1$ . Thus we have to show that  $\phi'(a_{i+1}, a_{j+1}) = \phi'(a_i, a_j)$ ,  $0 \leq i < j \leq m - 1$ . This is clear if  $j \neq m - 1$ . If  $j = m - 1$ , this equation becomes

$$\phi'(a_{i+1}, -\alpha_m a_0 - \alpha_{m-1} a_1 - \dots - \alpha_1 a_{m-1}) = \bar{b}_{m-1-i}$$

or

$$\alpha_m \bar{b}_{i+1} + \alpha_{m-1} \bar{b}_i + \dots + \alpha_{m-i} \bar{b}_1 - \alpha_{m-i-2} \bar{b}_1 - \dots - \alpha_1 \bar{b}_{m-i-2} = \bar{b}_{m-1-i}.$$

This follows from the definition of  $V_1$  as a quotient.

In case  $p = 2$  we must check further that the form  $\phi(\theta_0(x), \theta_0(x')) - \phi(x, x')$  is alternating. It is enough to check that

$$\phi(\theta_0(a_i), \theta_0(a_i)) = \phi(a_i, a_i) \quad \text{for } 0 \leq i \leq m - 1.$$

This is clear unless  $i = m - 1$ . In that case we need to show that  $\phi(a_m, a_m) = \bar{b}_0$ , i.e.,

$$\phi\left(\sum_{i=0}^{m-1} \alpha_{m-i} a_i, \sum_{j=0}^{m-1} \alpha_{m-j} a_j\right) = \bar{b}_0, \quad \text{i.e.,} \quad \sum_{0 \leq i < j \leq m-1} \alpha_{m-i} \alpha_{m-j} \bar{b}_{j-i} = f(1)^2 \bar{b}_0$$

which follows from our definition of  $b_0$ .

Since this bilinear map is symmetric and, if  $p = 2$ , alternating, there exists a quadratic map  $\theta_{01} : V_0 \rightarrow V_1$  such that

$$\theta_{01}(x + x') - \theta_{01}(x) - \theta_{01}(x') = \phi(\theta_0(x), \theta_0(x')) - \phi(x, x');$$

see [3, Proposition 2, p. 55] for the case of quadratic forms. Now we define  $\theta$  by

$$\theta(x, y) = (\theta_0(x), \theta_{01}(x) + y).$$

It is a straightforward check that  $\theta \in \text{Aut}_0(V; F)$ . Since  $f(X)$  is irreducible, the order  $k$  of  $\theta_0$  is a  $p'$ -number. Hence the order of  $\theta$  is of the form  $p^r k$ . Then if  $\theta' = \theta^{p^s}$ , where  $s$  is chosen so that  $s \geq r$  and  $p^s \equiv 1 \pmod k$ ,  $\theta'$  will have order  $k$ . Thus, by replacing  $\theta$  by  $\theta'$ , if necessary, we may assume that  $\theta$  is a  $p'$ -element. Therefore,  $(V, \theta)$  is an  $F$ -representation of  $f(X)$ . Also  $\dim(V_0) = m$ ,  $\dim(V_1) = m - 1 - r(f)$ .

We claim that  $(V, \theta)$  is universal. Let  $(W, \omega)$  be any  $F$ -representation of  $f(X)$ . We can assume that  $W_0 = V_0$ , and  $\omega_0 = \theta_0$ . Define a linear map  $\tau : U \rightarrow W_1$  by

$$\tau(b_i) = \phi_W'(a_0, a_i) \quad \text{for } 1 \leq i \leq m - 1.$$

We claim that  $N \subset \text{Ker}(\tau)$ . For all integers  $k$  we write  $a_k = \theta_0^k(a_0)$ , consistent with the notation for  $a_i$  used above. We compute

$$\begin{aligned} c_i &= \tau(\alpha_{m-i+1}b_1 + \dots + \alpha_m b_i - \alpha_{m-i-1}b_1 - \dots - \alpha_0 b_{m-i}) \\ &= \phi_{W'}(a_0, \alpha_{m-i+1}a_1 + \dots + \alpha_m a_i - \alpha_{m-i-1}a_1 - \dots - \alpha_0 a_{m-i}) \\ &= \phi_{W'}(a_0, \alpha_{m-i+1}a_1 + \dots + \alpha_m a_i) - \phi_{W'}(a_0, \alpha_{m-i-1}a_1 + \dots + \alpha_0 a_{m-i}). \end{aligned}$$

Now by equation (2) of Lemma 6,  $\phi_{W'}$  is  $\theta_0$ -invariant, and so

$$\begin{aligned} &\phi_{W'}(a_0, \alpha_{m-i+1}a_1 + \dots + \alpha_m a_i) \\ &= -\phi_{W'}(a_1, \alpha_{m-i+1}a_0) - \phi_{W'}(a_2, \alpha_{m-i+2}a_0) - \dots - \phi_{W'}(a_i, \alpha_m a_0) \\ &= -\phi_{W'}(a_0, \alpha_{m-i+1}a_{-1} + \alpha_{m-i+2}a_{-2} + \dots + \alpha_m a_{-i}) \\ &= -\phi_{W'}(a_0, \alpha_m a_{-i} + \dots + \alpha_{m-i+1}a_{-1}) \end{aligned}$$

so that

$$\begin{aligned} c_i &= -\phi_{W'}(a_0, \alpha_m a_{-i} + \dots + \alpha_0 a_{m-i}) \\ &= -\phi_{W'}(a_0, \theta_0^{-i}(\alpha_m a_0 + \alpha_{m-1}a_1 + \dots + \alpha_0 a_m)) \\ &= -\phi_{W'}(a_0, \theta_0^{-i}(0)) = 0. \end{aligned}$$

Since this is true for all  $i, 1 \leq i \leq m - 1$ , we conclude that  $N \subset \text{Ker}(\tau)$ . Let  $\sigma_1$  be the  $\tau$ -induced map from  $V_1 = U/N \rightarrow W_1$ .

The bilinear map  $\sigma_1(\phi_V(x, x')) - \phi_W(x, x')$  is symmetric because  $\sigma_1 \circ \phi_V' = \phi_{W'}$  by definition of  $\tau$  and  $\sigma_1$ . If  $p = 2$  we claim that this map is alternating. Thus we have to verify that

$$\sigma_1(\phi_V(a_i, a_i)) = \phi_W(a_i, a_i) \quad \text{for } 0 \leq i \leq m - 1.$$

But  $\sigma_1(\phi_V(a_i, a_i)) = \sigma_1(\bar{b}_0) = \tau(b_0)$  and since

$$\begin{aligned} (a_i, 0)^2 &= (0, \phi_W(a_i, a_i)), \\ (\omega(a_i, 0))^2 &= ((\theta_0(a_i), \omega_{01}(a_i))^2 = (0, \phi_W(a_{i+1}, a_{i+1})) \end{aligned}$$

it follows that  $\phi_W(a_i, a_i)$  is independent of  $i$ . Thus we just have to justify the single equality  $\tau(b_0) = \phi_W(a_0, a_0)$ . Since

$$\sum_{i=0}^m \alpha_{m-i}a_i = 0$$

we have

$$\begin{aligned} 0 &= \phi_W\left(\sum_{i=0}^m \alpha_{m-i}a_i, \sum_{j=0}^m \alpha_{m-j}a_j\right) \\ &= \sum_{i,j=0}^m \alpha_{m-i}\alpha_{m-j}\phi_W(a_i, a_j) \\ &= \sum_{0 \leq i < j \leq m} \alpha_{m-i}\alpha_{m-j}\phi_{W'}(a_i, a_j) + f(1)^2 \phi_W(a_0, a_0). \end{aligned}$$

Using the identity

$$\sum_{0 \leq i \leq m-1} \alpha_{m-i} \alpha_0 \phi_{W'}(a_i, a_m) = \phi_{W'}\left(\sum_{i=0}^m \alpha_{m-i} a_i, a_m\right) = 0$$

the preceding equality gives

$$f(1)^{-2} \sum_{0 \leq i < j \leq m-1} \alpha_{m-i} \alpha_{m-j} \phi_{W'}(a_i, a_j) = \phi_{W'}(a_0, a_0).$$

The left hand side of this equality is  $\tau(b_0)$ , since

$$b_0 = f(1)^{-2} \sum_{0 \leq i < j \leq m-1} \alpha_{m-i} \alpha_{m-j} b_{j-i}.$$

Hence we have  $\tau(b_0) = \phi_{W'}(a_0, a_0)$  and the map  $\sigma_1(\phi_V(x, x')) - \phi_W(x, x')$  is shown to be alternating when  $p = 2$ .

It follows that there exists a quadratic map  $\sigma_{01}: V_0 \rightarrow W_1$  such that

$$\sigma_{01}(x + x') - \sigma_{01}(x) - \sigma_{01}(x') = \sigma_1(\phi_V(x, x')) - \phi_W(x, x').$$

Now we define  $\sigma: V \rightarrow W$  by  $\sigma(x, y) = (x, \sigma_1(y) + \sigma_{01}(x))$ . It is easy to check that  $\sigma$  is an  $F$ -homomorphism and consequently  $\sigma: (V, \theta) \rightarrow (W, \omega)$  is a morphism. Since  $\sigma$  is onto and  $\text{Ker}(\sigma) \subset V_1$  the  $F$ -representation  $(W, \omega)$  is a quotient of  $(V, \theta)$ . Thus  $(V, \theta)$  is a universal representation.

By a dimension argument it is clear that the universal  $F$ -representation is unique up to isomorphism.

The theorem is proved.

**4. Universal representations.** In this section we compute  $r(f)$ . In the course of this we prove a theorem about maximal non-singular subspaces of the space of alternating matrices over a finite field.

As before,  $q = p^n$ .  $S_p(2m, q)$  is the symplectic group, consisting of  $2m \times 2m$  matrices  $X$  with elements from the field  $F = GF(q)$  satisfying  ${}^tXJX = J$  where

$$J = \begin{pmatrix} 0 & I_m \\ -I_m & 0 \end{pmatrix}.$$

We shall consider these matrices  $X$  as operators on the space of column vectors  $F^{2m} = V_0$ . We shall use  $M_s(F) = M_s(q)$  to denote the set of all  $s \times s$  matrices over  $F$ , and by  $K_s(F) = K_s(q)$  the set of all alternating matrices over  $F$ .

**THEOREM 10.** *If  $A \in M_{2m}(q)$  has irreducible characteristic polynomial  $f(X)$  then the space  $K(A; q) = \{X \in K_{2m}(q); {}^tAXA = X\}$  has dimension  $m$  if  $f = \tilde{f}$  and 0 if  $f \neq \tilde{f}$ . As well, if  $0 \neq X \in K(A, q)$  then  $X$  is non-singular.*

*Proof.* Let  $X \in K(A; q)$ ,  $X \neq 0$ . Note that  $\text{Ker}(X)$  is  $A$ -invariant because  $v \in V_0$  and  $Xv = 0$  imply that  $0 = Xv = ({}^tAXA)v = {}^tAX(Av)$  and  $X(Av) = 0$ . By the irreducibility of  $A$  and  $X \neq 0$  we must have  $\text{Ker}(X) = 0$ , i.e.,  $X$  is non-singular. Now  ${}^tAXA = X$  and so  ${}^tA = XA^{-1}X^{-1}$ ,  ${}^tA$  and  $A^{-1}$  are

similar, and the characteristic polynomial  $f$  of  $A$  satisfies  $f = \tilde{f}$ . Therefore if  $f \neq \tilde{f}$  we have  $K(A; q) = 0$ .

Assume then that  $f = \tilde{f}$ . Let  $\alpha = \alpha_1, \alpha_2, \dots, \alpha_{2m}$  be the distinct roots of  $f(X)$ . They are all in  $F(\alpha) = GF(q^{2m})$ . Then  $A$  is similar in  $M_{2m}(q^{2m})$  to the diagonal matrix  $D$  with diagonal entries  $\alpha_1, \alpha_2, \dots, \alpha_{2m}$ . The dimension of  $K(D; q^{2m})$  over  $F(\alpha)$  is the same as the dimension of  $K(A; q)$  over  $F$  [4, (29, 5), p. 200]. A matrix  $X \in K_{2m}(q^{2m})$  belongs to  $K(D; q^{2m})$  if and only if  $DXD = X$ , i.e.,  $\alpha_i \alpha_j \xi_{ij} = \xi_{ij}$  where  $X = (\xi_{ij})$ . We may assume, since  $f = \tilde{f}$ , that  $\alpha_{m+i} = \alpha_i^{-1}$  for  $1 \leq i \leq m$ . The above equations imply that  $\xi_{ij} = 0$  unless  $j - i = \pm m$ . Now it is clear that

$$\dim_{F(\alpha)} K(D; q^{2m}) = \dim_F(K(A, q)) = m.$$

LEMMA 11. For each finite field  $F = GF(q)$ , and each positive integer  $2m$  there exists an irreducible polynomial  $f(X)$  in  $F[X]$  of degree  $2m$  such that  $f = \tilde{f}$ .

Proof. Let  $L = GF(q^{2m})$ . The multiplicative group of  $L$  has order  $q^{2m} - 1$ . Let  $\alpha$  be an element of  $L$  of order  $q^m + 1$ . Let  $f(X)$  be the minimal polynomial of  $\alpha$  over  $F$ . Then  $L = F(\alpha)$ , since if  $F(\alpha)$  has order  $q^r$  then we must have  $q^m + 1 | q^r - 1$  and since  $r | 2m$  it follows that  $r = 2m$ . Also  $\alpha^{-1} = \alpha^{q^m}$  is also a root of  $f(X)$ . Therefore  $f = \tilde{f}$  and the lemma is proven.

Definition 5. A subspace  $L$  of  $M_s(F)$  is called non-singular if every non-zero matrix in  $L$  is non-singular.

THEOREM 12. In  $K_{2m}(q)$  the dimension of a non-singular subspace is  $\leq m$ . This upper bound is always achieved.

Proof. Let  $S$  be a non-singular subspace of  $K_{2m}(q)$ . By choosing a basis  $X_1, \dots, X_k$  we can express any  $X$  in  $S$  as

$$X = \alpha_1 X_1 + \dots + \alpha_k X_k.$$

Then  $\det(X) = (\text{Pf}(X))^2$  where  $\text{Pf}(X)$  is the pfaffian of  $X$ .  $\text{Pf}(X)$  is a homogeneous polynomial in the  $\alpha_i$  of degree  $m$ . If  $k > m$  then by Chevalley's theorem [9, p. 13] the polynomial  $\text{Pf}(X)$  has a non-trivial zero, contradicting the hypothesis that  $S$  is non-singular. Therefore  $\dim(S) \leq m$ .

By the previous lemma, a polynomial  $f(X)$  of the desired kind can always be found. Let  $A \in M_{2m}(q)$  have characteristic polynomial  $f(X)$ . Then  $\dim K(A, q) = m$ ,  $K(A, q)$  is non-singular, and the upper bound is achieved, as claimed.

We remark that the problem of finding maximum dimension for real non-singular subspaces of symmetric, skew-symmetric, etc. matrices has been considered for real, complex and quaternionic matrices [1; 2].

The set of all upper triangular matrices in  $M_s(F) = M_s(q)$  will be denoted by  $T_s(F) = T_s(q)$ .

**THEOREM 13.** *If  $A \in M_{2m}(q)$ ,  $q = 2^n$ , has irreducible characteristic polynomial  $f(X)$ , then the space  $T(A; q) = \{X \in T_{2m}(q), {}^tAXA \equiv X \pmod{K_{2m}(q)}\}$  has dimension  $m$  if  $f = \tilde{f}$ ,  $0$  if  $f \neq \tilde{f}$ . As well, if  $X \in T(A; q)$  and  $X \neq 0$  then  $X + {}^tX$  is non-singular.*

*Proof.* Suppose  $0 \neq X \in T(A; q)$ . Then  ${}^tAXA = X + B$  with  $B$  alternating and so  ${}^tA{}^tXA = {}^tX + B$ . Adding,

$${}^tA(X + {}^tX)A = X + {}^tX$$

and so, as in the proof of Theorem 10,  $X + {}^tX$  is either  $0$  or non-singular.

It remains to show that  $X + {}^tX \neq 0$  or, equivalently, that  $X$  is not diagonal. We can assume that  $A$  has the form

$$\begin{bmatrix} 0 & & & & & & & & & \alpha_{2m} \\ 1 & 0 & & & & & & & & \\ & & 1 & 0 & & & & & & \cdot \\ & & & & 1 & & & & & \cdot \\ & & & & & \cdot & & & & \cdot \\ & & & & & & \cdot & & & \cdot \\ & & & & & & & \cdot & & \cdot \\ & & & & & & & & \cdot & \cdot \\ 0 & & & & & & & & & 1 \quad \alpha_1 \end{bmatrix}$$

and that the diagonal matrix  $X$  has diagonal entries  $\xi_1, \xi_2, \dots, \xi_{2m}$ . By equating the diagonal entries of  ${}^tAXA$  and  $X$  we get that

$$\xi_1 = \xi_2 = \dots = \xi_{2m}$$

and

$$\xi_{2m} = \alpha_{2m}^2 \xi_1 + \alpha_{2m-1}^2 \xi_2 + \dots + \alpha_1^2 \xi_{2m}$$

so that  $f(1)^2 \xi_1 = 0$ , and the  $\xi_i$  are  $0$ . This is a contradiction, and so  $X + {}^tX$  is non-singular.

Let  $\alpha$  be a root of  $f(X)$ . Then  $F(\alpha) = GF(q^{2m})$  and  $A$  is similar (in  $M_{2m}(q^{2m})$ ) to a diagonal matrix  $D$  with diagonal entries  $\alpha = \alpha_1, \alpha_2, \dots, \alpha_{2m}$ . If  $f = \tilde{f}$  then these may be taken as  $\alpha_1, \dots, \alpha_m, \alpha_1^{-1}, \dots, \alpha_m^{-1}$  while if  $f \neq \tilde{f}$  then  $\alpha_i \neq \alpha_j^{-1}$  for all  $i$  and  $j$ . The dimension of  $T(D, q^{2m})$  over  $F(\alpha)$  is the same as the dimension of  $T(A, q)$  over  $F$ . Matrices  $X \in T(D, q^{2m})$  satisfy

$$DXD \equiv X \pmod{K_{2m}(q^{2m})}.$$

If  $X = (\xi_{ij})$ , then  $\alpha_i \alpha_j \xi_{ij} = \xi_{ij}$  where  $\alpha_k = \alpha_{k-m}^{-1}$  if  $k > m$  and  $f = \tilde{f}$ , and  $\alpha_i \alpha_j \neq 1$  for all  $i, j$  if  $f \neq \tilde{f}$ . If  $f = \tilde{f}$ ,  $\xi_{ij} = 0$  unless  $j - i = m$ , and these may be chosen arbitrarily, while if  $f \neq \tilde{f}$ ,  $\xi_{ij} = 0$  for all  $i, j$ . Hence

$$\dim_{F(\alpha)} T(D; q^{2m}) = \dim_F T(A; q) = \begin{cases} m & \text{if } f = \tilde{f} \\ 0 & \text{if } f \neq \tilde{f}. \end{cases}$$

**THEOREM 14.** *Let  $(V, \theta)$  be a universal  $F$ -representation for a monic irreducible polynomial  $f(X)$  of degree  $k$  over the field  $F = GF(q)$ . If  $f \neq \tilde{f}$  then  $\dim(V_1) = 0$  while if  $f = \tilde{f}$  then  $k$  is even,  $k = 2m$ , and  $\dim(V_1) = m$ .*

*Proof.* If  $k$  is odd then  $f \neq \tilde{f}$  and  $\dim(V_1) = 0$  by Propositions 7 and 8. Assume, then, that  $k$  is even. If  $V_1 \neq 0$ , then let  $\psi: V_1 \rightarrow F$  be a non-zero linear form. Then  $\psi \circ \phi_{V'}$  is an alternating bilinear form which is invariant under  $\theta_0$ . Theorem 10 implies  $f = \tilde{f}$ , and  $\dim(V_1) \leq m$ .

Take  $V_0 = F^{2m}$ , considered as column vectors. We choose a matrix  $A \in M_{2m}(q)$ ,  $A = \theta_0$ , having characteristic polynomial  $f(X)$ . We take  $V_1 = K(A, q)^*$  if  $q$  is odd, and  $V_1 = T(A, q)^*$  if  $q = 2^n$ , where  $*$  denotes the dual. We define a bilinear map  $\phi: V_0 \times V_0 \rightarrow V_1$  as follows:  $\phi(x, y)$  is the linear function on  $V_1$  defined by

$$\phi(x, y)(X) = {}^t x X y \in F.$$

Using this  $\phi$  we define the  $F$ -group  $V = V_0 \times V_1$ .

If  $q$  is odd we define  $\theta(x, g) = (Ax, g)$ . It is easy to check that  $\theta \in \text{Aut}_0(V; F)$ .

If  $q = 2^n$  we must check that the form  $\phi(A(x), A(y)) - \phi(x, y)$  is symmetric. This is equivalent to the claim that  $\phi'$  is  $A$ -invariant. This follows from

$$\begin{aligned} \phi'(Ax, Ay)(X) &= {}^t x' A X A y - {}^t y' A X A x = {}^t x' A (X + {}^t X) A y \\ &= {}^t x (X + {}^t X) y = \phi'(x, y)(X). \end{aligned}$$

We also claim that the form  $\phi(A(x), A(y)) - \phi(x, y)$  is alternating. This follows from

$$(\phi(Ax, Ax) - \phi(x, x))(X) = {}^t x' A X A x - x' X x = 0$$

because  ${}^t A X A - X$  is alternating.

Thus there is a quadratic map  $\theta_{01}: V_0 \rightarrow V_1$  satisfying  $\theta_{01}(x + y) - \theta_{01}(x) - \theta_{01}(y) = \phi(A(x), A(y)) - \phi(x, y)$  and we define  $\theta: V \rightarrow V$  by

$$\theta(x, g) = (Ax, g + \theta_{01}(x)).$$

By Lemma 6,  $\theta \in \text{Aut}_0(V; F)$ .

We claim that  $V$  is a special  $F$ -group. It is enough to show that

$$\phi'(x, y)(X) = 0 \text{ for all } x, y \in V_0 \Rightarrow X = 0.$$

But

$$\phi'(x, y)(X) = {}^t x' A X A y - {}^t y' A X A x = {}^t x' A (X - {}^t X) A y = 0$$

for all  $x, y$  implies  $X = {}^t X$ . But if  $q$  is odd,  $X$  is skew-symmetric and we have  $X = 0$ . If  $q = 2^n$ , and  $X = {}^t X$  then, since  $X$  is triangular,  $X$  must be diagonal. By Theorem 13, this cannot happen unless  $X = 0$ .

We require as well that  $\theta$  have  $p'$  order. If it does not, then we replace  $\theta$  with an appropriate power of  $\theta$ , as in the proof of Theorem 9.

Clearly  $(V, \theta)$  is the universal  $F$ -group for  $f(X)$  which we require, since  $\dim(V_1) = m$  and, by Theorem 12,  $\dim(V_1) \leq m$ .

**THEOREM 15.** *If  $f(X)$  and  $g(X)$  are irreducible polynomials of degree  $2m$  over  $F$ , satisfying  $f = \tilde{f}$  and  $g = \tilde{g}$ , and if  $(V, \theta)$  and  $(W, \omega)$  are the universal  $F$ -representations of  $f$  and  $g$  respectively, then  $V$  and  $W$  are  $F$ -isomorphic.*

*Proof.* Choose  $A \in M_{2m}(q)$  having characteristic polynomial  $f(X)$ . Since  $A$  is irreducible, its centralizer in  $M_{2m}(q)$  is  $F[A]$ . It is clear that  $F[A]$  is a finite field with  $q^{2m}$  elements. We may assume without loss that  $f(X)$  is such that  $A$  has order  $q^m + 1$ . By the proof of Lemma 11, this can be done. There is an element  $B$  in  $F[A]$  whose minimal polynomial over  $F$  is  $g(X)$ . It follows that  $B$  is irreducible.

Suppose now that  $q$  is odd. We claim that

$$K(A; q) \subset K(B; q).$$

By [7, Satz 9.23, p. 228] and the fact that the characteristic polynomial of  $B$  satisfies  $g = \tilde{g}$  so that  $B$  is conjugate to an element of  $\text{Sp}(2m, q)$ ,  $B^{q^m+1} = 1$  and so  $B = A^s$  for some  $s$ . It is now clear that

$$K(A; q) \subset K(B; q).$$

Similarly, if  $q = 2^n$ .

$$T(A; q) \subset T(B; q).$$

By Theorems 10 and 13 these spaces have the same dimension and so  $K(A; q) = K(B; q)$  if  $q$  is odd, and  $T(A; q) = T(B; q)$  if  $q = 2^n$ .

It is clear from the construction of the universal  $F$ -representation in Theorem 14 that  $V$  and  $W$  are  $F$ -isomorphic.

**5. An open question.** Let  $V = V(2m, q)$  be the non-degenerate  $F = GF(q)$ -group with  $\dim V_0 = 2m$ ,  $\dim V_1 = m$  such that there exists  $\theta \in \text{Aut}_0(V; F)$  which induces an  $F$ -irreducible linear automorphism  $\theta_0$  in  $V_0$ . (We have seen in the previous section that such a group is unique up to  $F$ -isomorphism.) Recall that  $V(2m, q)$  is a non-degenerate  $F$ -group.

*Question 1.* If  $W$  is a non-degenerate  $GF(q)$ -group,  $q$  odd,  $\dim W_0 = 2m$  is it true that  $W$  is an  $F$ -quotient of  $V(2m, q)$ ?

This question is equivalent to the following question about matrices:

*Question 2.* Let  $L$  be a subspace of  $K_{2m}(q)$ ,  $q$  odd, such that if  $X \neq 0$ ,  $X \in L$  then  $X$  is non-singular. Is it true that there exists  $A \in M_{2m}(q)$  having irreducible characteristic polynomial and satisfying  ${}^tAXA = X$  for all  $X \in L$ ?

We conjecture that the answers are affirmative.

It is interesting to remark that if  $V = V(2m, 2)$  then the quadratic forms  $\psi \circ Q_V$ , where  $Q_V(x) = \phi_V(x, x)$  and  $\psi: V_1 \rightarrow GF(2)$  is any non-zero linear form, are all of  $(-1)$ -type (see [7, p. 248]).

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