DIFFRACTION OF THE PRIMES AND OTHER SETS OF ZERO DENSITY

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Abstract

In this paper, we show that the diffraction of the primes is absolutely continuous, showing no bright spots (Bragg peaks). We introduce the notion of counting diffraction, extending the classical notion of (density) diffraction to sets of density zero. We develop the counting diffraction theory and give many examples of sets of zero density of all possible spectral types.

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1. Introduction

In 2018, much interest was given to a group of papers [26–28] that studied the prime numbers through diffraction experiments. They discovered that the primes in certain large intervals possess a pattern of Bragg-like peaks that is evocative of the diffraction pattern one sees in quasicrystals, aperiodic solids with diffraction that is crystal-like. The main conjecture of these papers was that this discovered pattern showed deep structural results about the prime numbers and that these approximate diffraction pictures suggest that the whole set of primes have a pure point diffraction spectrum.

In this paper, we establish in Theorem 5.13 that the diffraction measure of the prime numbers is the Lebesgue measure; therefore, absolutely continuous. This means that any perceived Bragg-like peak structure observed in a physical diffraction experiment of a finite portion of the primes is an artifact of the experiment's finiteness and disappears when taking the limit. As always, the effectiveness of an approximation

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comes down to what form of convergence is being used and how fast that convergence happens. We argue here that the correct setting is the convergence of measures in the vague topology, which is the foundation of mathematical diffraction theory that was developed with its feet firmly planted in physics [4, 8, 10, 13]. It is of note that the diffractions of the finite approximations converge extremely slowly for many highly ordered structures, and are nearly impossible to pick up convincingly in a physical experiment, notably the circular symmetry of the pinwheel tiling diffraction (see for example [12, Section 4]). As we discuss in Remark 5.8, this also seems to be the case for the diffraction of the primes, which explains why the simulations in [26–28] do not show the real picture for the infinite set of primes.

The diffraction of the mathematical idealization of quasicrystals and other nice structures has been studied so far by using the structure's uniform, positive density [4, 13]. However, the prime numbers have zero density in the positive real line so a new approach is needed. Here, we define the counting diffraction, which is studied through its counting version of the autocorrelation (or two-point correlation) measure. The great advantage to this is that the counting and usual diffraction measures correspond on positive density sets, Theorem 3.10, while on sets of zero density, the former measure gives a sensible extension of the Patterson formula to an infinite set, Theorem 3.12.

Given a finite set $F \subseteq \mathbb{R}^d$, its diffraction is given by the Patterson formula:

$$I_F(\mathbf{y}) := \frac{1}{\operatorname{card}(F)} \left| \sum_{\mathbf{x} \in F} e^{2\pi i \mathbf{x} \cdot \mathbf{y}} \right|^2.$$

A simple computation shows that I_F is the Fourier transform of the finite measure

$$\gamma_F := \frac{1}{\operatorname{card}(F)} \delta_F * \widetilde{\delta_F} = \frac{1}{\operatorname{card}(F)} \sum_{x, y \in F} \delta_{x-y}.$$

The measure γ_F is called the autocorrelation, or 2-point correlation, measure of the finite sample *F*.

Next, consider an infinite uniformly discrete set $\Lambda \subseteq \mathbb{R}^d$ and some nice averaging sequence $(A_n)_n$ in \mathbb{R}^d , such as $A_n = [-n, n]^d$. The diffraction of Λ is defined as the limit in a suitable topology of the diffraction measures I_{F_n} of the finite sets $F_n := \Lambda \cap A_n$. For uniformly discrete sets Λ of positive density, it is more advantageous to define the autocorrelation and diffraction of Λ by dividing by the volume, $vol(A_n)$, of the averaging sequence instead of the cardinality, $card(F_n)$. As introduced by Hof [13] in \mathbb{R}^d , the sequence

$$\gamma_n := \frac{1}{\operatorname{vol}(A_n)} \delta_{F_n} * \widetilde{\delta_{F_n}}$$

of autocorrelation measures of the finite samples F_n has a subsequence converging to some positive definite measure γ . The measure γ is Fourier transformable, and, by [18, Lemma 4.11.10] or [7, Theorem 4.5], its Fourier transform $\hat{\gamma}$ is a positive measure called the (density) diffraction of Λ . The choice of averaging by $vol(A_n)$ has the great advantage that one can often use spectral theory of dynamical systems via the so-called Dworkin argument (see [4, 10, 11], just to name a few). The relationship

$$\gamma_n = \frac{\operatorname{card}(F_n)}{\operatorname{vol}(A_n)} \gamma_{F_n}$$

shows that this change from γ_{F_n} to γ_n simply multiplies the diffraction measure by the positive density

$$d = \lim_{n \to \infty} \frac{\operatorname{card}(F_n)}{\operatorname{vol}(A_n)}$$

of the point set. In particular, for sets of positive density, the two approaches should be equivalent, and they are indeed equivalent (see Theorem 3.10). However, the same relation shows that, for sets of density zero, defining the autocorrelation as the limit of γ_n is wrong, as this would always give a zero diffraction measure.

Over the last few years, there has been growing interest in the field of aperiodic order toward the study of point sets that are not relatively dense, such as for example maximal density weak model sets (see [3, 14, 15]). So far, they have been studied using certain averaging sequences with respect to which they have positive density. It is worth emphasizing that a point set Λ is not relatively dense if and only if there exists a van Hove sequence with respect to which the set has a density of zero. In fact, this is equivalent to the seemingly stronger statement that for each van Hove sequence $(A_n)_n$, there exists a sequence $(t_n)_n$ in G such that Λ has zero density with respect to the van Hove sequence $(t_n + A_n)_n$. These examples, as well as the diffraction of primes we mention above, suggest that it would be good to properly define diffraction for sets of density zero, which we do in this paper.

The paper is organized as follows. In Section 2, we collect some basic definitions and properties needed in the paper, and define the density and counting autocorrelations and diffractions. We study the existence and basic properties of the autocorrelation/diffraction measures, as well as their relationship in Section 3. In particular, we show that for uniformly discrete point sets, both the density and counting autocorrelations exist along subsequences of a given van Hove sequence (Proposition 3.5). Furthermore, for sets of positive density, the counting and density diffraction measures are proportional (Theorem 3.10), while for sets of density zero, the density diffraction is always null while the counting diffraction is nonzero for nontrivial point sets. In Section 4, we show that for sets that are very sparse, such as the Fibonacci numbers or any fast-growing sequence, the diffraction is the Lebesgue measure. In Section 5, we prove in Theorem 5.13 that the diffraction of the primes is the Lebesgue measure. This is one of the main results of the paper and shows that the primes have absolutely continuous diffraction spectrum. We show that this holds when the averaging sequence is any reasonable van Hove sequence of intervals. We also study the diffraction of some related sets, such as the diffraction of prime powers and the diffraction of twin primes. We complete the paper by showing in Section 6 that there exist sets of density zero with 4

diffraction of any spectral type and show in Section 7 that the counting diffraction is preserved when a point set is embedded into higher-dimensional space.

2. Preliminaries

We begin by recalling a number of definitions. It should be noted that everything before the definition of counting autocorrelation and counting diffraction for infinite sets is standard.

Throughout this paper, G is a second countable locally compact abelian group (LCAG), and any such group is metrizable. While in most examples, G is simply \mathbb{R} , we want to set up the theory of counting diffraction in general settings.

DEFINITION 2.1. A set $\Lambda \subseteq G$ is called **uniformly discrete** if for any choice of metric *d* that generates the topology on *G*, there exists a constant r > 0 such that for all $x, y \in \Lambda$, if $x \neq y$, then $d(x, y) \ge r$.

The set $\Lambda \subseteq G$ is called **locally finite** if, for all compact sets $K \subseteq G$, the set $\Lambda \cap K$ is finite.

It is easy to see that $\Lambda \subseteq G$ is uniformly discrete if and only if there exists some nonempty open set U such that any translate t + U of U meets Λ in at most one point. However, Λ is locally finite exactly when Λ is closed and discrete in G.

Finally, a set Λ is locally finite exactly when the Dirac comb

$$\delta_{\Lambda} := \sum_{x \in \Lambda} \delta_x$$

is a locally finite measure on G.

Next, let us recall the definition of FLC.

DEFINITION 2.2. A point set Λ has **finite local complexity** (FLC) if $\Lambda - \Lambda$ is locally finite.

For a finite measure μ on G, define the **autocorrelation measure** of μ as

$$\gamma_{\mu} := \begin{cases} \frac{1}{|\mu|(G)} \mu * \widetilde{\mu} & \text{if } \mu \neq 0, \\ 0 & \text{if } \mu = 0, \end{cases}$$

where * denotes convolution of finite measures and $\tilde{\mu}$ is the reflected conjugate measure

$$\widetilde{\mu}(\varphi) := \overline{\mu(\overline{\varphi})} \quad \text{for all } \varphi \in C_{c}(G).$$

In particular, if $F \subseteq G$ is a finite set, its autocorrelation is simply the autocorrelation γ_F of δ_F :

$$\gamma_F := \begin{cases} \frac{1}{\operatorname{card}(F)} \delta_F * \widetilde{\delta_F} & \text{if } F \neq \emptyset, \\ 0 & \text{if } F = \emptyset. \end{cases}$$

Note that when $F \neq \emptyset$,

$$\gamma_F = \frac{1}{\operatorname{card}(F)} \sum_{x, y \in F} \delta_{x-y}.$$

Recall that the **Fourier transform** of a finite Radon measure μ on an LCAG G is the extended complex function $\widehat{\mu}$ on the dual group \widehat{G} defined by

$$\widehat{\mu}(\chi) = \int_G \overline{\chi(\mu)} \, d\mu(\chi)$$

for all $\chi \in \widehat{G}$.

DEFINITION 2.3. The counting diffraction of a finite measure μ is the Fourier transform

$$\widehat{\gamma_{\mu}}(\chi) = \begin{cases} \frac{1}{|\mu|(G)} \widehat{\mu * \mu}(\chi) = \frac{1}{|\mu|(G)} |\widehat{\mu}(\chi)|^2 & \text{if } \mu \neq 0, \\ 0 & \text{if } \mu = 0. \end{cases}$$

Similarly, the **counting diffraction** of a finite set $F \subseteq G$ is the Fourier transform

$$\widehat{\gamma_F}(\chi) = \begin{cases} \widehat{\frac{1}{\operatorname{card}(F)}} \widehat{\delta_F \ast \widetilde{\delta_F}}(\chi) = \frac{1}{\operatorname{card}(F)} \Big| \sum_{x \in F} \chi(x) \Big|^2 & \text{if } F \neq \emptyset, \\ 0 & \text{if } F = \emptyset. \end{cases}$$

If Λ is not finite, defining the autocorrelation γ_{Λ} , and therefore the diffraction of Λ , is more difficult as the convolution $\delta_{\Lambda} * \widetilde{\delta_{\Lambda}}$ is ill-defined. If Λ is locally finite, then we can avoid this issue by restricting to a sequence $(F_n)_n$ of finite sets $F_n = A_n \cap \Lambda$, where $\mathcal{A} = (A_n)_n$ is an appropriate averaging sequence of compact sets in *G*. As was noted by Schlottmann [24], when dealing with point sets and measures, one has to work with so-called van Hove sequences. Let us recall here the definition.

DEFINITION 2.4. A van Hove sequence $\mathcal{A} = (A_n)_n$ in a second countable LCAG *G* is a sequence of compact sets of positive measure such that for every compact subset $K \subseteq G$,

$$\lim_{n \to \infty} \frac{\operatorname{vol}(\partial^K(A_n))}{\operatorname{vol}(A_n)} = 0,$$

where 'vol' denotes Haar measure and

$$\partial^{K}(A) = ((A + K) \setminus A^{\circ}) \cup ((\overline{G \setminus A} - K) \cap A)$$

is the *K*-boundary of a set *A*.

The *K* boundary $\partial^{K}(A)$ is the set of points that are *K*-translates of points in *A* that land outside A° , or that are points in *A* that are translated outside of A° by a point in *K*. If $K = \{g\}$ is a singleton, the symmetric difference $(g + A) \triangle A$ satisfies

$$(g+A) \bigtriangleup A \subseteq \partial^{\{g,-g\}}(A).$$

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[5]

Therefore, if A_n is a van Hove sequence and g is in G, then

$$\lim_{n \to \infty} \frac{\operatorname{vol}((g + A_n) \bigtriangleup A_n)}{\operatorname{vol}(A_n)} = 0$$

That is, every van Hove sequence is a Følner sequence.

Remark 2.5.

- (a) Every second countable LCAG admits van Hove sequences [24].
- (b) Some authors define van Hove sequences to be precompact. While this seems to allow more general averaging sequences, it typically makes no difference to calculations. Indeed, it is easy to see that a sequence $(A_n)_n$ of precompact sets is a van Hove sequence if and only if $(\overline{A_n})_n$ is a van Hove sequence. Moreover, [24, Lemma 1.1] implies that for a translation bounded measure μ (as defined below),

$$\lim_{n \to \infty} \left| \frac{1}{\operatorname{vol}(A_n)} \mu(A_n) - \frac{1}{\operatorname{vol}(\overline{A_n})} \mu(\overline{A_n}) \right| = 0.$$

Because of this, restricting to compact van Hove sequences is not a restriction.

(c) All the examples below are point sets $\Lambda \subseteq \mathbb{R}$. For those examples, we always use van Hove sequences $([a_n, b_n])_n$ of intervals. It is easy to see that a sequence $([a_n, b_n])_n$ of intervals is a van Hove sequence if and only if $\lim_{n\to\infty} b_n - a_n = \infty$.

We sometimes prefer to work with van Hove sequences that are **nested** in the sense that $A_n \subseteq A_{n+1}$ for every *n*. We also say that $(A_n)_n$ is **exhausting** if $\bigcup_n A_n = G$. The most typical exhausting van Hove sequences on \mathbb{R}^d are the cubes $([-n, n]^d)_n$ or balls $(\{x \in \mathbb{R}^d \mid ||x|| \le n\})_n$.

When proving the existence of the autocorrelation, we need the following measure-theoretic background.

By the Riesz representation theorem [9, 22], a **Radon measure** (or simply measure) on *G* can be seen as a linear functional μ on the space $C_c(G)$ of compactly supported continuous functions on *G*, which is continuous with respect to the so-called 'inductive' topology on $C_c(G)$, obtained by writing

$$C_{\mathsf{c}}(G) = \bigcup_{\substack{K \subseteq G \\ K \text{ compact}}} C(G:K),$$

where

$$C(G:K) := \{ f \in C_{\mathsf{c}}(G) : \operatorname{supp}(f) \subseteq K \}.$$

The **vague topology** for measures is defined as the weak-* topology on the space $\mathcal{M}(G)$ of measures on G viewed as the dual space of $C_{c}(G)$. More precisely, a net μ_{α} of measures converges vaguely to a measure μ precisely when

$$\mu(f) = \lim_{\alpha} \mu_{\alpha}(f) \quad \text{for all } f \in C_{\mathsf{c}}(G).$$

Given a Radon measure μ on G and a precompact Borel set B, we can define

$$||\mu||_B := \sup_{t\in G} |\mu|(t+B),$$

where $|\mu|$ denotes the total variation measure of μ . The definition and properties of the total variation measure can be found in [19, Section 6.5.5].

The set of translation bounded measures is defined as

$$\mathcal{M}^{\infty}(G) = \{ \mu \in \mathcal{M}(G) : \|\mu\|_{K} < \infty \text{ for all compact } K \subseteq G \}.$$

In fact, if U and V are any precompact sets with nonempty interiors, then $\|\cdot\|_U$ and $\|\cdot\|_V$ define norms (not just seminorms) on $\mathcal{M}^{\infty}(G)$, and these norms are equivalent [5].

Let us note here that for any uniformly discrete point set Λ , we have $\delta_{\Lambda} \in \mathcal{M}^{\infty}(G)$ [4], which we use below.

For any constant C > 0 and open precompact set $U \subseteq G$, write

$$\mathcal{M}_{C,U}(G) := \{ \mu \in \mathcal{M}^{\infty}(G) : \|\mu\|_U \le C \}.$$

Since the vague topology is a weak-* topology, the Banach–Alaoglu theorem implies compactness of $\mathcal{M}_{C,U}(G)$.

LEMMA 2.6 [4, Theorem 2]. Let G be an LCAG. Then, the set $\mathcal{M}_{C,U}(G)$ is vaguely compact. If G is second countable, then the vague topology is metrizable on $\mathcal{M}_{C,U}(G)$.

Let us now introduce the following two definitions and discuss their relevance. Note again that the infinite versions of the counting autocorrelation and diffraction are novel definitions, and these have not appeared elsewhere to the best of our knowledge.

DEFINITION 2.7. Let $\mu \in \mathcal{M}^{\infty}(G)$ be a translation bounded measure and let $\mathcal{A} = (A_n)_n$ be a van Hove sequence. Define $\mu_n := \mu|_{A_n}$ for each *n* and set

$$\gamma_{\mu_n} := \begin{cases} \frac{1}{|\mu_n|(G)} \mu_n * \widetilde{\mu_n} & \text{if } \mu_n \neq 0, \\ 0 & \text{if } \mu_n = 0, \end{cases}$$

and

$$\gamma_n := \frac{1}{\operatorname{vol}(A_n)} \mu_n * \widetilde{\mu_n},$$

where vol denotes Haar measure.

We say that the **density autocorrelation** γ_{dens} of μ exists with respect to \mathcal{A} if the limit

$$\gamma_{\text{dens}} = \lim_{n \to \infty} \gamma_n$$

exists in the vague topology. In the same way, we say that the **counting autocorrela**tion γ_{count} of μ exists with respect to \mathcal{A} if the limit

$$\gamma_{\rm count} = \lim_{n \to \infty} \gamma_{\mu_n}$$

exists in the vague topology.

As limits of positive definite measures, γ_{count} , γ_{dens} are positive definite measures [18, Lemma 4.11.10] and hence Fourier transformable. We refer to the positive measures $\widehat{\gamma}_{\text{dens}}$ and $\widehat{\gamma}_{\text{count}}$ as the **density diffraction** and the **counting diffraction** of Λ with respect to \mathcal{A} .

REMARK 2.8. If $\Lambda \subseteq G$ is a (weakly) uniformly discrete point set and $\mathcal{A} = (A_n)_n$ is a van Hove sequence, then

$$\gamma_{\text{dens}} = \lim_{n \to \infty} \gamma_n,$$

$$\gamma_{\text{count}} = \lim_{n \to \infty} \gamma_{F_n},$$

where $F_n := \Lambda \cap A_n$ and

$$\gamma_{F_n} := \begin{cases} \frac{1}{\operatorname{card}(F_n)} \delta_{F_n} * \widetilde{\delta_{F_n}} & \text{if } F_n \neq \emptyset, \\ 0 & \text{if } F_n = \emptyset, \end{cases}$$
$$\gamma_n := \frac{1}{\operatorname{vol}(A_n)} \delta_{F_n} * \widetilde{\delta_{F_n}}.$$

Let us note here the following simple relation that we often use:

$$\gamma_n = \frac{|\mu|(A_n)}{\operatorname{vol}(A_n)} \gamma_{\mu_n}.$$
(2-1)

In particular, for (weakly) uniformly discrete point sets $\Lambda \subseteq G$,

$$\gamma_n = \frac{\operatorname{card}(\Lambda \cap A_n)}{\operatorname{vol}(A_n)} \gamma_{F_n}$$

Using a standard argument, we explain below in Proposition 3.5 that if Λ is a uniformly discrete point set in G, and $\mathcal{A} = (A_n)_n$ is any van Hove sequence, then there is a subsequence of \mathcal{A} with respect to which the counting and density autocorrelations of Λ both exist.

REMARK 2.9. Let $\Lambda \subseteq G$ be a uniformly discrete point set. Since the supports of each measure satisfy supp (γ_n) , supp $(\gamma_{F_n}) \subseteq \Lambda - \Lambda$,

$$\operatorname{supp}(\gamma_{\operatorname{dens}}), \operatorname{supp}(\gamma_{\operatorname{count}}) \subseteq \Lambda - \Lambda.$$

The same conclusion holds more generally for all weighted combs supported inside Λ .

In the next section, we show that the density and counting diffractions are related via a relation of the type

$$\widehat{\gamma_{\text{dens}}} = \text{dens}(\Lambda)\widehat{\gamma_{\text{count}}}.$$

In particular, in Theorems 3.10 and 3.12, we show the following results.

- For point sets of positive density, the counting and density diffraction coincide up to multiplication by nonzero constants. In this case, the two approaches to the diffraction spectrum are equivalent, and either one can be used.
- For point sets of zero density, the density diffraction is zero and the counting diffraction is nonzero. In this case, the counting diffraction must be used.

3. Density and autocorrelation

In this section, we study the basic properties of the density and counting autocorrelations.

3.1. Density and counting autocorrelations.

DEFINITION 3.1. Let $\Lambda \subseteq G$ be uniformly discrete and $\mathcal{A} = (A_n)_n$ a van Hove sequence. We define the **lower** and **upper densities of** Λ with respect to \mathcal{A} by

$$\underline{\operatorname{dens}}_{\mathcal{A}}(\Lambda) := \liminf_{n \to \infty} \frac{\operatorname{card}(\Lambda \cap A_n)}{\operatorname{vol}(A_n)} \quad \text{and}$$
$$\overline{\operatorname{dens}}_{\mathcal{A}}(\Lambda) := \limsup_{n \to \infty} \frac{\operatorname{card}(\Lambda \cap A_n)}{\operatorname{vol}(A_n)},$$

respectively. We say that Λ has **positive density** with respect to \mathcal{A} if

$$\underline{\operatorname{dens}}_{\operatorname{F}}(\Lambda) > 0.$$

We say that the **density of** Λ exists with respect to \mathcal{A} if the limit

dens_{$$\mathcal{A}$$} $(\Lambda) := \lim_{n \to \infty} \frac{\operatorname{card}(\Lambda \cap A_n)}{\operatorname{vol}(A_n)}$ exists.

By definition, the density of Λ exists with respect to \mathcal{A} if and only if $\underline{\text{dens}}_{\mathcal{A}}(\Lambda) = \overline{\text{dens}}_{\mathcal{A}}(\Lambda)$, and if this is the case, then

$$\underline{\operatorname{dens}}_{\mathcal{A}}(\Lambda) = \operatorname{dens}_{\mathcal{A}}(\Lambda) = \operatorname{dens}_{\mathcal{A}}(\Lambda).$$

Let us next recall the Besicovitch seminorm for measures.

DEFINITION 3.2. For a measure μ on *G* and a van Hove sequence $\mathcal{A} = (A_n)_n$, we define the **Besicovitch seminorm** $\|\mu\|_{b,\mathcal{A}}$ via

$$||\mu||_{b,\mathcal{A}} := \limsup_{n \to \infty} \frac{1}{\operatorname{vol}(A_n)} |\mu|(A_n).$$

We say that the **mean** of μ exists with respect to \mathcal{A} if the following limit exists:

$$M_{\mathcal{A}}(\mu) := \lim_{n \to \infty} \frac{\mu(A_n)}{\operatorname{vol}(A_n)}$$

It is immediate that for a point set $\Lambda \subseteq G$ and a van Hove sequence \mathcal{A} ,

dens_{$$\mathcal{A}$$}(Λ) = $\|\delta_{\Lambda}\|_{b,\mathcal{A}}$.

Furthermore, the density of Λ exists if and only if the mean of δ_{Λ} exists, and they are equal in this case.

Finally, whenever the mean of μ exists with respect to \mathcal{A} ,

$$|M_{\mathcal{A}}(\mu)| \le ||\mu||_{b,\mathcal{A}}.$$

The following result is a trivial consequence of [24, Lemma 1.1(2)].

LEMMA 3.3. If μ is translation bounded, then the sequence $(|\mu|(A_n)/\operatorname{vol}(A_n))_n$ is bounded. Furthermore,

$$\|\mu\|_{b,\mathcal{A}} < \infty$$

In particular, for an uniformly discrete set $\Lambda \subseteq G$,

 $\overline{\mathrm{dens}}_{\mathcal{A}}(\Lambda) < \infty.$

The following is an immediate consequence.

COROLLARY 3.4. Let A be a van Hove sequence.

- (a) If $\mu \in \mathcal{M}^{\infty}(G)$, then the means of μ and $|\mu|$ exist along a subsequence \mathcal{B} of \mathcal{A} .
- (b) If $\Lambda \subseteq G$ is uniformly discrete, then the density of Λ exists along a subsequence \mathcal{B} of \mathcal{A} .

As promised in Section 2, we can now prove that the counting and density diffractions exist for a translation bounded measure and any van Hove sequence, perhaps upon passing to a subsequence. In particular, they exist for uniformly discrete point sets.

The following lemma is standard, but we include the proof for completeness.

PROPOSITION 3.5. Let $\mu \in \mathcal{M}^{\infty}(G)$ and let $\mathcal{A} = (A_n)_n$ be a van Hove sequence. Then, for each open precompact set U, there exists some C > 0 such that

$$\gamma_n, \gamma_{\mu_n} \in \mathcal{M}_{C,U}(G)$$
 for all n .

In particular, there exists a subsequence \mathcal{B} of \mathcal{A} such that $\gamma_{dens}, \gamma_{count}, M_{\mathcal{B}}(\mu), M_{\mathcal{B}}(|\mu|)$ exist and

$$\gamma_{\rm dens} = M_{\mathcal{B}}(|\mu|)\gamma_{\rm count}.$$

Furthermore, the conclusion holds whenever Λ *is uniformly discrete.*

PROOF. Pick any open precompact set V such that $\overline{U} \subseteq V$. Since $v_n := \widetilde{\mu_n}/|\mu|(A_n)$ is a finite measure such that $|v_n|(G) = 1$, by [25, Lemma 6.1],

$$\|\gamma_{\mu_n}\|_U \le \|\mu_n\|_V |\nu_n|(G) \le \|\mu\|_V =: C_1,$$

where $C_1 < \infty$ since $\mu \in \mathcal{M}^{\infty}(G)$.

Next, since $|\mu|(A_n)/\operatorname{vol}(A_n)$ is bounded above by some constant *D*, from Lemma 3.3, by (2-1),

$$\|\gamma_n\|_U = \left\|\frac{\mu(A_n)}{\operatorname{vol}(A_n)}\gamma_{\mu_n}\right\|_U \le DC_1.$$

The claim follows by picking $C = \max\{C_1, DC_1\}$.

The existence of the limit along subsequences follows now from Lemma 2.6 and the boundedness of $card(F_n)/vol(A_n)$.

Finally, by definition, Λ is uniformly discrete if and only if there exists a precompact open set W such that

$$\|\delta_A\|_W \leq 1.$$

The claim follows immediately.

REMARK 3.6. Let $\mu \in \mathcal{M}^{\infty}(G)$ and let $\mathcal{A} = (A_n)_n$ be a van Hove sequence. Then, any counting autocorrelation γ_{count} along subsequences of \mathcal{A} is positive definite and translation bounded. Therefore, by [18, Theorems 4.10.10 and 4.10.12], there exist positive definite measures $(\gamma_{\text{count}})_s$ and $(\gamma_{\text{count}})_0$ such that the following Eberlein decomposition holds:

$$\begin{split} \gamma_{\text{count}} &= (\gamma_{\text{count}})_{\text{s}} + (\gamma_{\text{count}})_{0}, \\ \hline (\overline{\gamma_{\text{count}}})_{\text{s}} &= (\overline{\gamma_{\text{count}}})_{\text{pp}}, \\ \hline (\overline{\gamma_{\text{count}}})_{0} &= (\overline{\gamma_{\text{count}}})_{\text{c}}. \end{split}$$

Here, $(\widehat{\gamma_{\text{count}}})_{\text{pp}}$ and $(\widehat{\gamma_{\text{count}}})_{\text{c}}$ denote the pure point and continuous components of the counting diffraction.

Furthermore, if supp(μ) is a subset of a Meyer set, the refined Eberlein decomposition of γ_{count} exists by [25].

Let us now look at an example that shows why translation boundedness is an important assumption, even for sets of density zero. We construct a (nonuniformly discrete) set $\Lambda \subseteq \mathbb{R}$ with zero density such that $\delta_{\Lambda} \notin \mathcal{M}^{\infty}(\mathbb{R})$ and γ_{F_n} has no vaguely convergent subsequence.

EXAMPLE 3.7. Define

$$\Lambda_m := \left\{ 4^m - \frac{j}{2^{m+1}} : 1 \le j \le 2^m \right\}, \quad \Lambda := \bigcup_{m=1}^{\infty} \Lambda_m.$$

Let us note here in passing that we start at $4^m - 1/2^{m+1}$ and not 4^m to avoid having integers in Λ_m , as, in that case, the F_n we introduce below would have an extra point whenever $n = 4^m$. This extra point would not change any conclusion, but would make the proof slightly more technical.

[11]

By construction, we have $card(\Lambda_m) = 2^m$ and $\Lambda_m \subseteq [4^m - \frac{1}{2}, 4^m)$. This immediately implies that

$$F_n = \Lambda \cap [-n, n] = \bigcup_{j=1}^m \Lambda_j,$$

where $m = \lfloor \log_4 n \rfloor$ is the last positive integer satisfying $4^m \le n$. Note here we are using $(A_n)_n = ([-n, n])_n$ as our usual averaging sequence. In particular,

$$\operatorname{card}(F_n) = 2 + \dots + 2^m = 2^{m+1} - 2 = 2(2^{\lfloor \log_4 n \rfloor} - 1).$$

This implies that

$$\operatorname{dens}(\Lambda) = \lim_{n \to \infty} \frac{\operatorname{card}(F_n)}{\operatorname{vol}(A_n)} = \lim_{n \to \infty} \frac{2(2^{\lfloor \log_4 n \rfloor} - 1)}{2n} \le \lim_{n \to \infty} \frac{2^{\log_4 n} - 1}{n} = \lim_{n \to \infty} \frac{\sqrt{n} - 1}{n} = 0$$

as claimed.

Now, fix some nonnegative function $f \in C_c(\mathbb{R})$ so that $f(x) \ge 1$ for all $x \in [-\frac{1}{2}, \frac{1}{2}]$. Let $n \in \mathbb{N}$ be arbitrary and set

$$m = \lfloor \log_4 n \rfloor.$$

Then,

$$\gamma_{F_n}(f) = \frac{1}{2(2^{\lfloor \log_4 n \rfloor} - 1)} \sum_{x, y \in F_n} f(x - y) \ge \frac{1}{2(2^{\log_4 n})} \sum_{x, y \in A_m} f(x - y).$$

Recall that $\Lambda_m \subseteq [4^m - \frac{1}{2}, 4^m)$. Therefore, for all $x, y \in \Lambda_m$, we have $x - y \in [-\frac{1}{2}, \frac{1}{2}]$ and hence $f(x - y) \ge 1$. It follows that

$$\begin{split} \gamma_{F_n}(f) &\geq \frac{1}{2\sqrt{n}} \sum_{x,y \in \Lambda_m} 1 = \frac{1}{2\sqrt{n}} (\operatorname{card}(\Lambda_m))^2 \\ &= \frac{1}{2\sqrt{n}} 2^{2m} = \frac{1}{2\sqrt{n}} 4^{\lfloor \log_4 n \rfloor} \geq \frac{1}{2\sqrt{n}} 4^{\log_4 n - 1} = \frac{1}{8}\sqrt{n} \end{split}$$

Therefore,

 $\gamma_{F_n}(f) \ge \frac{1}{8}\sqrt{n}$ for all n,

which implies that γ_{F_u} has no vaguely convergent subsequence.

Let us next prove the following result. Item (a) below was implicitly proven in [3, Proposition 5].

LEMMA 3.8. Let $\Lambda \subseteq G$ be uniformly discrete.

(a) If γ_{dens} exists with respect to $\mathcal{A} = (A_n)_n$, then $\text{dens}_{\mathcal{A}}(\Lambda)$ exists and

$$\gamma_{\text{dens}}(\{0\}) = \text{dens}_{\mathcal{A}}(\Lambda).$$

- (b) If γ_{count} exists with respect to \mathcal{A} , then the following are equivalent:
 - (i) $\gamma_{\text{count}}(\{0\}) = 1;$
 - (ii) if $F_n = \Lambda \cap A_n$, then $(F_n)_n$ contains a subsequence of nonempty sets;
 - (iii) there exists some N such that for all n > N, we have $F_n \neq \emptyset$;
 - (iv) $\gamma_{\text{count}} \neq 0$.

PROOF. First, let us note that since Λ is uniformly discrete, 0 is an isolated point of $\overline{\Lambda - \Lambda}$. Therefore, there exists some open set $U \subseteq G$ containing 0 such that

$$(\overline{\Lambda - \Lambda}) \cap U = \{0\}.$$

For the entire proof, we fix some $f \in C_{c}(G)$ such that $f(0) = 1, 0 \le f \le 1$, and $supp(f) \subseteq U$.

(a) Then, Remark 2.9 implies that

$$\gamma(f) = f(0)\gamma(\{0\}) = \gamma(\{0\}),$$

$$\gamma_n(f) = f(0)\gamma_n(\{0\}) = \frac{\operatorname{card}(F_n)}{\operatorname{vol}(A_n)}.$$

Therefore,

$$\gamma(\{0\}) = \gamma(f) = \lim_{n \to \infty} \gamma_n(f),$$

and so item (a) follows.

(b) Using Remark 2.9 again,

$$\gamma_{F_n}(f) = \gamma_{F_n}(\{0\}) = \begin{cases} 1 & \text{if } F_n \neq \emptyset, \\ 0 & \text{if } F_n = \emptyset, \end{cases}$$
$$\gamma_{\text{count}}(f) = \gamma_{\text{count}}(\{0\}).$$

Since γ_{F_n} converges vaguely to γ_{count} ,

$$\gamma_{\text{count}}(\{0\}) = \gamma_{\text{count}}(f) = \lim_{n \to \infty} \gamma_{F_n}(f) = \lim_{n \to \infty} \begin{cases} 1 & \text{if } F_n \neq \emptyset, \\ 0 & \text{if } F_n = \emptyset. \end{cases}$$

Now, the implications (iii) \iff (ii) \iff (i) \implies (iv) are immediate. We complete the proof by showing that (iv) \implies (iii). If we assume for contradiction that condition (iii) fails, then we can find a subsequence $(F_{k_n})_n$ such that $F_{k_n} = \emptyset$. However, then

$$\gamma_{\text{count}} = \lim_{n \to \infty} \gamma_{F_n} = \lim_{n \to \infty} \gamma_{F_{k_n}} = \lim_{n \to \infty} \gamma_{\emptyset} = \lim_{n \to \infty} 0 = 0,$$

which is a contradiction.

Lemma 3.8 does not seem to have an equivalent for translation bounded measures. For weighted Dirac combs $\mu = \sum_{x \in G} \mu(\{x\})\delta_x$ with uniformly discrete support, the proof of Lemma 3.8 can be modified to show that

$$\gamma_{\text{dens}}(\{0\}) = M_{\mathcal{A}}(|\mu|^2),$$

$$\gamma_{\text{count}}(\{0\}) = \lim_{n} \frac{|\mu|^2 (A_n)}{|\mu| (A_n)}$$

where

$$|\mu|^2 := \sum_{x \in G} |\mu(\{x\})|^2 \delta_x.$$

In particular, if $|\mu|$ has a positive mean, then

$$\gamma_{\text{count}}(\{0\}) = \lim_{n} \frac{M_{\mathcal{A}}(|\mu|^2)}{M_{\mathcal{A}}(|\mu|)}.$$

Beyond measures with uniformly discrete support, we cannot say too much. For example, when $\mu = \lambda$ is the Lebesgue measure on \mathbb{R} , we have for all van Hove sequences \mathcal{A} that

$$\gamma_{\text{dens}} = \gamma_{\text{count}} = \lambda,$$

$$\gamma_{\text{dens}}(\{0\}) = \gamma_{\text{count}}(\{0\}) = 0,$$

$$M_{\mathcal{A}}(\mu) = 1.$$

We can now prove that for translation bounded measures with positive absolute-value mean, there is no difference between working with density or counting autocorrelation. In particular, this holds for sets of positive density. The same is not true in the case of zero-density sets.

We start by proving the result for translation bounded measures and then look at uniformly discrete point sets.

THEOREM 3.9. Let $\mu \in \mathcal{M}^{\infty}(G)$ and $\mathcal{A} = (A_n)_n$ be a van Hove sequence. Assume that

$$\liminf_{n \to \infty} \frac{|\mu|(A_n)}{\operatorname{vol}(A_n)} > 0.$$

(a) If γ_{count} is any cluster point of γ_{μ_n} , then there exists some $C \in (0, \infty)$ and a cluster point γ_{dens} of γ_n such that

$$\gamma_{\rm dens} = C \gamma_{\rm count}.$$

(b) If γ_{dens} is any cluster point of γ_n , then there exists some $D \in (0, \infty)$ and a cluster point γ_{count} of γ_{μ_n} such that

$$\gamma_{\rm count} = D\gamma_{\rm dens}$$
.

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PROOF.

(a) Let \mathcal{A}' be the subsequence of \mathcal{A} along which γ_{count} is the limit. By Lemma 3.5, there exists a subsequence \mathcal{B} of \mathcal{A}' along which the density autocorrelation of μ and the mean $M_{\mathcal{B}}(|\mu|)$ exist and

$$\gamma_{\rm dens} = C \gamma_{\rm count}$$

for $C = M_{\mathcal{B}}(|\mu|) > 0$.

(b) The proof of item (b) is a symmetrical argument, in which $D = 1/M_{\mathcal{B}}(|\mu|)$.

In particular, for point sets, we obtain the following theorem.

THEOREM 3.10. Let $\Lambda \subseteq G$ be uniformly discrete and $\mathcal{A} = (A_n)_n$ be a van Hove sequence. Suppose Λ has a positive density with respect to \mathcal{A} .

(a) If γ_{count} is any cluster point of γ_{F_n} , then there exists some $C \in (0, \infty)$ and a cluster point γ_{dens} of γ_n such that

$$\gamma_{\rm dens} = C \gamma_{\rm count}.$$

(b) If γ_{dens} is any cluster point of γ_n , then there exists some $D \in (0, \infty)$ and a cluster point γ_{count} of γ_{F_n} such that

$$\gamma_{\rm count} = D\gamma_{\rm dens}.$$

REMARK 3.11. The relationship between the cluster point(s) γ_{dens} and the cluster point(s) γ_{count} is explained by (2-1):

$$\gamma_n = \frac{\operatorname{card}(F_n)}{\operatorname{vol}(A_n)} \gamma_{F_n}.$$

This relationship implies that, as long as $\operatorname{card}(F_n)/\operatorname{vol}(A_n)$ is bounded away from 0, if two of γ_n , $\operatorname{card}(F_n)/\operatorname{vol}(A_n)$, γ_{F_n} exist along \mathcal{A} , then so does the third. Furthermore, by Lemma 3.8, if γ_n is convergent, then so is $\operatorname{card}(F_n)/\operatorname{vol}(A_n)$.

This means that for point sets of positive density, we have the following implications.

- (a) If γ_{dens} exists along \mathcal{A} , then dens_{\mathcal{A}}(Λ) and γ_{count} exist along \mathcal{A} .
- (b) Assume that γ_{count} exists along \mathcal{A} . Then, γ_{dens} exists along \mathcal{A} if and only if $\text{dens}_{\mathcal{A}}(\Lambda)$ exists along \mathcal{A} .

In contrast, for point sets of zero density, the following result shows that γ_{dens} and γ_{count} are not proportional.

THEOREM 3.12. Let $\Lambda \subseteq G$ be uniformly discrete and $\mathcal{A} = (A_n)_n$ be a van Hove sequence for which $F_n = \Lambda \cap A_n$ are not eventually all empty. Suppose dens_{\mathcal{A}}(Λ) = 0.

(a) The autocorrelation γ_{dens} of Λ exists with respect to \mathcal{A} and

 $\gamma_{\text{dens}} = 0.$

(b) If γ_{count} is any cluster point of γ_F , then

 $\gamma_{\text{count}} \neq 0.$

PROOF.

(a) By Lemma 3.5,

 $\gamma_n \in \mathcal{M}_{C,U}(G)$

for some C > 0 and precompact open U. Since $\mathcal{M}_{C,U}(G)$ is vaguely compact and metrizable, showing that $\gamma_n \to 0$ is equivalent to showing that if η is a cluster point of γ_n , then $\eta = 0$.

Let η be a cluster point of γ_n calculated along some subsequence \mathcal{A}' of \mathcal{A} . By Lemma 3.5, there exists some subsequence \mathcal{B} of \mathcal{A}' such that

$$\eta = \text{dens}_{\mathcal{B}}(\Lambda)\gamma_{\text{count}}.$$

The zero-density property gives dens_{\mathcal{B}}(Λ) = 0 and hence η = 0. This proves item (a).

(b) By Lemma 3.8 and item (a),

$$\gamma_{\text{count}}(\{0\}) = 1 \neq 0 = \gamma_{\text{dens}}(\{0\}).$$

If μ is a translation bounded measure such that $M_{\mathcal{A}}(|\mu|) = 0$, then the same proof as Theorem 3.12 shows that $\gamma_{\text{dens}} = 0$.

The equivalent statement for Theorem 3.12(b) does not hold for arbitrary measures. In fact, it does not hold even for positive weighted Dirac combs with uniformly discrete support.

Below we give an example of an infinite positive measure μ with lattice support for which $\gamma_{\text{count}} = 0$.

EXAMPLE 3.13. Let $(a_n)_n \in \ell^2 \setminus \ell^1$, such as for example, $a_n = 1/n$. Set

$$\mu := \sum_{n \in \mathbb{N}} a_n \delta_n.$$

Let $(A_n)_n = ([-n, n])_n$. Then,

$$|\mu_n|(A_n) = \sum_{k=1}^n |a_k| \to \infty,$$

as $(a_n)_n \notin \ell^1$. In particular, $|\mu|(\mathbb{R}) = \infty$.

Now, a simple computation shows that

$$\gamma_{\mu_n}(\{0\}) = \frac{\sum_{k=1}^n |a_k|^2}{\sum_{k=1}^n |a_k|} \to 0.$$

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Since γ_{μ_n} is positive definite and supported inside \mathbb{Z} ,

$$|\gamma_{\mu_n}(\{k\})| \le \gamma_{\mu_n}(\{0\}).$$

This immediately implies that γ_{μ_n} converges vaguely to 0. Thus,

$$\gamma_{\rm count} = 0.$$

For the rest of the paper, we restrict to the case of point sets.

3.2. Point sets with finite local complexity. If Λ has FLC, then the following lemma shows how to write the autocorrelation as a Dirac comb. For weighted Dirac combs supported inside Meyer sets, the result below was proven in [5].

LEMMA 3.14. Let $\Lambda \subseteq G$ have FLC and let $\mathcal{A} = (A_n)_n$ be a van Hove sequence. Then, γ_{dens} exists if and only if for all $t \in \Lambda - \Lambda$, the limit

$$\eta_{\text{dens}}(t) := \lim_{n \to \infty} \frac{1}{\text{vol}(A_n)} \text{card}(F_n \cap (F_n - t)) = \text{dens}_{\mathcal{A}}(\Lambda \cap (\Lambda - t))$$

exists. Moreover, in this case,

$$\gamma_{\rm dens} = \sum_{t \in \Lambda - \Lambda} \eta_{\rm dens}(t) \delta_t.$$

PROOF. Let $f \in C_c(G)$ and then for any finite *n*, rearranging the sum shows that

$$\begin{split} \gamma_n(f) &= \frac{1}{\operatorname{vol}(A_n)} \sum_{\substack{x, y \in F_n}} f(y - x) \\ &= \frac{1}{\operatorname{vol}(A_n)} \sum_{\substack{t \in A - A}} \sum_{\substack{x \in F_n \\ x + t \in F_n}} f(t) \\ &= \sum_{t \in A} \Big(\frac{\operatorname{card}(F_n \cap (F_n - t))}{\operatorname{vol}(A_n)} \Big) f(t) \\ &= \Big(\sum_{t \in A} \Big(\frac{\operatorname{card}(F_n \cap (F_n - t))}{\operatorname{vol}(A_n)} \Big) \delta_t \Big) (f), \end{split}$$

where this sum contains at most finitely many nonzero terms, since f has compact support and $\Lambda - \Lambda$ is locally finite.

If each limit

$$\eta_{\text{dens}}(t) = \lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (F_n - t))}{\operatorname{vol}(A_n)}$$

exists, then this shows that γ_n vaguely converges to

$$\gamma_{\text{dens}} = \sum_{t \in \Lambda - \Lambda} \eta_{\text{dens}}(t) \delta_t.$$

Conversely, suppose the density diffraction $\gamma_{\text{dens}} = \lim_{n \to \infty} \gamma_n$ exists. Let $t \in \Lambda - \Lambda$. Since $\Lambda - \Lambda$ is locally finite, we can find a function $f \in C_c(G)$ for which f(t) = 1 and f(s) = 0 for all other $s \in \Lambda - \Lambda$. In this case,

$$\frac{\operatorname{card}(F_n \cap (F_n - t))}{\operatorname{vol}(A_n)} = \gamma_n(f).$$

which converges to $\gamma_{dens}(f)$, showing that the limit $\eta_{dens}(t)$ exists.

Finally, it remains to show that $\eta_{dens}(t) = \text{dens}_{\mathcal{A}}(\Lambda \cap (\Lambda - t))$, that is,

$$\lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (F_n - t))}{\operatorname{vol}(A_n)} = \lim_{n \to \infty} \frac{\operatorname{card}(A \cap (A - t) \cap A_n)}{\operatorname{vol}(A_n)},$$

when either limit exists. Here,

$$F_n \cap (F_n - t) \subseteq \Lambda \cap (\Lambda - t) \cap A_n,$$

with set difference

$$(\Lambda \cap (\Lambda - t) \cap A_n) \setminus (F_n \cap (F_n - t)) \subseteq \Lambda \cap (A_n \setminus (A_n - t)).$$

Therefore, we can bound

$$\frac{\operatorname{card}(A \cap (A - t) \cap A_n)}{\operatorname{vol}(A_n)} - \frac{\operatorname{card}(F_n \cap (F_n - t))}{\operatorname{vol}(A_n)} \bigg|$$

$$\leq \frac{\operatorname{card}(A \cap (A_n \setminus (A_n - t)))}{\operatorname{vol}(A_n)}$$

$$= \frac{\delta_A(A_n \setminus (A_n - t))}{\operatorname{vol}(A_n)} \leq \frac{\delta_A(\partial^{\{t, -t\}}(A_n))}{\operatorname{vol}(A_n)} \to 0$$

by [24, Lemma 1.1]. The claim follows.

The next result is proven in exactly the same way and so we skip the proof.

LEMMA 3.15. Let $\Lambda \subseteq G$ have FLC and let $\mathcal{A} = (A_n)_n$ be a van Hove sequence such that $F_n = A_n \cap \Lambda$ is eventually always nonempty. Then, γ_{count} exists if and only if for all $t \in \Lambda - \Lambda$, the limit

$$\eta_{\text{count}}(t) := \lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (-t + F_n))}{\operatorname{card}(F_n)}$$

exists. Moreover, in this case,

$$\gamma_{\text{count}} = \sum_{t \in \Lambda - \Lambda} \eta_{\text{count}}(t) \delta_t.$$

Comparing with Lemma 3.14, we may not be able to say that the limit $\eta_{\text{count}}(t)$ is the same as the limit

$$\lim_{n \to \infty} \frac{\operatorname{card}(\Lambda \cap (-t + \Lambda) \cap A_n)}{\operatorname{card}(F_n)}$$

for general Λ and \mathcal{A} . However, for van Hove sequences of intervals in \mathbb{R} and nontrivial uniformly discrete sets $\Lambda \subseteq \mathbb{R}$, we can do so. The result below can be seen as a similar statement to [24, Lemma 1.2], but in the setting of counting autocorrelation instead of diffraction autocorrelation.

LEMMA 3.16. Let Λ be a uniformly discrete point set in \mathbb{R} and let $\mathcal{A} = (A_n)_n$ be a van Hove sequence of intervals for which $\operatorname{card}(F_n) = \operatorname{card}(\Lambda \cap A_n) \to \infty$ as $n \to \infty$. Then, for all $t \in \Lambda - \Lambda$,

$$\lim_{n \to \infty} \frac{\operatorname{card}(\Lambda \cap (-t + \Lambda) \cap A_n) - \operatorname{card}(F_n \cap (-t + F_n))}{\operatorname{card}(F_n)} = 0.$$

In particular, if Λ has FLC, then η_{count} exists if and only if for all $t \in \mathbb{R}$, the following limit exists:

$$\eta_{\text{count}}(t) := \lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (-t + \Lambda))}{\operatorname{card}(F_n)}.$$

Moreover, in this case,

$$\gamma_{\text{count}} = \sum_{t \in \Lambda - \Lambda} \eta_{\text{count}}(t) \delta_t.$$

PROOF. Let $t \in \mathbb{R}$. Then, the sets

$$-t + (\Lambda \cap A_n)$$
 and $(-t + \Lambda) \cap A_n$

have symmetric difference contained in

$$(-t+A_n) \bigtriangleup A_n$$

Because A_n is an interval, this symmetric difference is no larger than two intervals of width |t|. Since Λ is uniformly discrete, there is some minimum distance r > 0 between points of Λ , and so $-t + (\Lambda \cap A_n)$ and $(-t + \Lambda) \cap A_n$ can differ by at most 2|t|/r points. Intersecting with $F_n = \Lambda \cap A_n$ shows that

$$F_n \cap (-t + F_n)$$
 and $F_n \cap (-t + \Lambda) = \Lambda \cap (-t + \Lambda) \cap A_n$

differ still by at most 2|t|/r elements.

By assumption, $\operatorname{card}(F_n) \to \infty$ and so $\lim_{n\to\infty} 2|t|/r/\operatorname{card}(F_n) = 0$, and therefore,

$$\lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (-t + F_n)) - \operatorname{card}(\Lambda \cap (-t + \Lambda) \cap A_n)}{\operatorname{card}(F_n)} = 0.$$

The remaining claims follow from Lemma 3.15.

REMARK 3.17. In \mathbb{R}^d , the same proof fails, since the number of points in the set $\Lambda \cap ((-t + A_n) \triangle A_n)$ can be unbounded as $n \to \infty$. To draw a similar conclusion for

uniformly discrete point sets in \mathbb{R}^d using $(A_n)_n = ([-n, n]^d)_n$, we would need the stronger assumption

$$\lim_{n\to\infty}\frac{\operatorname{card}(F_n)}{n^{d-1}}=\infty.$$

Let us now cover a simple consequence of this, which we use later in the paper.

PROPOSITION 3.18. Let $\mathcal{A} = (A_n)_n$ be a van Hove sequence consisting of intervals in \mathbb{R} . If $\Lambda \subseteq \mathbb{R}$ is a uniformly discrete point set with counting autocorrelation δ_0 with respect to \mathcal{A} , and for which $\lim_{n\to\infty} \operatorname{card}(\Lambda \cap A_n) = \infty$, then for any nonzero integer k, the set $\Lambda \cup (k + \Lambda)$ has counting autocorrelation and diffraction

$$\gamma_{\text{count}} = \delta_0 + \frac{\delta_k + \delta_{-k}}{2},$$

$$\widehat{\gamma_{\text{count}}} = (1 + \cos(2\pi kx))\lambda,$$

with respect to \mathcal{A} , where λ is the Lebesgue measure.

PROOF. Write $\Gamma = \Lambda \cup (k + \Lambda)$. By Lemma 3.16, we have that $\gamma_{\text{count}} = \sum_t \eta_{\text{count}}(t)\delta_t$, where for $t \in \mathbb{Z}$,

$$\eta_{\text{count}}(t) = \lim_{n \to \infty} \frac{\operatorname{card}(\Gamma \cap (-t + \Gamma) \cap A_n)}{\operatorname{card}(\Gamma \cap A_n)}.$$

Let $\Lambda_n = \Lambda \cap A_n$ and similarly $\Gamma_n = \Gamma \cap A_n$, and so forth.

The assumption that Λ has counting autocorrelation δ_0 means that whenever $s \in \mathbb{R}$ is nonzero, $\operatorname{card}(\Lambda \cap (-s + \Lambda) \cap A_n)$ is $o(\operatorname{card}(\Lambda_n))$ as $n \to \infty$. As in the proof of Lemma 3.16, because Λ is uniformly discrete and $\operatorname{card}(\Lambda_n) \to \infty$, we have that $\operatorname{card}((-s + \Lambda)_n) \sim \operatorname{card}(-s + \Lambda_n)$ as $n \to \infty$, and similarly for Γ . Using inclusion-exclusion,

$$\operatorname{card}(\Gamma_n) = \operatorname{card}(\Lambda_n) + \operatorname{card}((k+\Lambda)_n) - \operatorname{card}(\Lambda \cap (k+\Lambda) \cap A_n)$$
$$\sim \operatorname{card}(\Lambda_n) + \operatorname{card}(k+\Lambda_n) - \operatorname{card}(\Lambda \cap (k+\Lambda) \cap A_n)$$
$$= 2\operatorname{card}(\Lambda_n) - \operatorname{card}(\Lambda \cap (k+\Lambda) \cap A_n),$$

and the last term is $o(\operatorname{card}(\Lambda_n))$, so $\operatorname{card}(\Gamma_n) \sim 2\operatorname{card}(\Lambda_n)$ as $n \to \infty$.

Now, let $t \in \mathbb{R}$. The autocorrelation of Γ considers the intersection

$$\begin{split} \Gamma \cap (-t + \Gamma) &= (\Lambda \cap (-t + \Lambda)) \ \cup \ (\Lambda \cap (k - t + \Lambda)) \\ & \cup \ ((k + \Lambda) \cap (-t + \Lambda)) \ \cup \ ((k + \Lambda) \cap (k - t + \Lambda)). \end{split}$$

Because $k \neq 0$, the autocorrelation of Λ being δ_0 implies that the intersection of any two or more sets in this union with A_n has cardinality that is $o(\operatorname{card}(\Lambda_n))$ as $n \to \infty$. So, after using the inclusion-exclusion formula and discarding terms that go to zero, we find that

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$$\begin{aligned} \eta_{\text{count}}(t) &= \lim_{n \to \infty} \frac{\operatorname{card}(\Gamma \cap (-t + \Gamma) \cap A_n)}{\operatorname{card}(\Gamma_n)} = \lim_{n \to \infty} \frac{\operatorname{card}(\Gamma \cap (-t + \Gamma) \cap A_n)}{2\operatorname{card}(A_n)} \\ &= \frac{1}{2} \lim_{n \to \infty} \left(\frac{\operatorname{card}((A \cap (-t + A))_n)}{\operatorname{card}(A_n)} + \frac{\operatorname{card}((A \cap (k - t + A))_n)}{\operatorname{card}(A_n)} \right) \\ &+ \frac{\operatorname{card}(((k + A) \cap (-t + A))_n)}{\operatorname{card}(A_n)} + \frac{\operatorname{card}(((k + A) \cap (k - t + A))_n)}{\operatorname{card}(A_n)} \right) \\ &= \frac{1}{2} (\delta_0(t) + \delta_0(t - k) + \delta_0(t + k) + \delta_0(t)) \\ &= \left(\delta_0 + \frac{\delta_k + \delta_{-k}}{2} \right) (t). \end{aligned}$$

Therefore, Lemma 3.16 implies that $\gamma_{\text{count}} = \delta_0 + (\delta_k + \delta_{-k})/2$ for Γ .

We complete this subsection by showing that the addition of very few points does not change the counting autocorrelation.

It is well known that if two sets differ by a set of density zero, then the density autocorrelation of one exists if and only if the density autocorrelation of the other one exists. Moreover, in this case, the two autocorrelations are equal (see for example [3, Corollary 6] and its proof). We prove the corresponding result for counting autocorrelations.

LEMMA 3.19. Let $\Lambda \subseteq \Gamma \subseteq G$ be uniformly discrete point sets and $\mathcal{A} = (A_n)_n$ be a van Hove sequence with the following properties:

(a) $\Lambda \cap A_n$ are eventually nonempty;

(b) the autocorrelation $\gamma_{\text{count},\Lambda}$ exists with respect to \mathcal{A} ;

(c)

$$\lim_{n\to\infty}\frac{\operatorname{card}(\Lambda\cap A_n)}{\operatorname{card}(\Gamma\cap A_n)}=1.$$

Then, the autocorrelation $\gamma_{\text{count},\Gamma}$ exists with respect to \mathcal{A} and

$$\gamma_{\text{count},\Gamma} = \gamma_{\text{count},\Lambda}.$$

PROOF. Let $F_n := \Lambda \cap A_n$ and $E_n := \Gamma \cap A_n$. We show that

$$\frac{1}{\operatorname{card}(E_n)}\delta_{E_n} * \widetilde{\delta_{E_n}} - \frac{1}{\operatorname{card}(F_n)}\delta_{F_n} * \widetilde{\delta_{F_n}} \to 0$$

vaguely, from which the result follows.

First, let us note that

$$\frac{1}{\operatorname{card}(E_n)}\delta_{F_n}*\widetilde{\delta_{F_n}} - \frac{1}{\operatorname{card}(F_n)}\delta_{F_n}*\widetilde{\delta_{F_n}} = \left(\frac{\operatorname{card}(F_n) - \operatorname{card}(E_n)}{\operatorname{card}(E_n)}\right)\frac{1}{\operatorname{card}(F_n)}(\delta_{F_n}*\widetilde{\delta_{F_n}})$$

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converges to $0 \cdot \gamma_{\text{count},A} = 0$. Therefore, we need to show that

$$\frac{1}{\operatorname{card}(E_n)}\delta_{E_n} * \widetilde{\delta_{E_n}} - \frac{1}{\operatorname{card}(E_n)}\delta_{F_n} * \widetilde{\delta_{F_n}} \to 0.$$

Let $f \in C_{c}(G)$ be arbitrary. Then,

$$\begin{aligned} \left| \frac{1}{\operatorname{card}(E_n)} \delta_{E_n} * \widetilde{\delta_{E_n}}(f) - \frac{1}{\operatorname{card}(E_n)} \delta_{F_n} * \widetilde{\delta_{F_n}}(f) \right| \\ &\leq \left| \frac{1}{\operatorname{card}(E_n)} \delta_{E_n} * \widetilde{\delta_{E_n}}(f) - \frac{1}{\operatorname{card}(E_n)} \delta_{F_n} * \widetilde{\delta_{E_n}}(f) \right| \\ &+ \left| \frac{1}{\operatorname{card}(E_n)} \delta_{F_n} * \widetilde{\delta_{E_n}}(f) - \frac{1}{\operatorname{card}(E_n)} \delta_{F_n} * \widetilde{\delta_{F_n}}(f) \right| \\ &\leq \frac{1}{\operatorname{card}(E_n)} \left| (\delta_{E_n} - \delta_{F_n}) * \widetilde{\delta_{E_n}}(f) \right| + \frac{1}{\operatorname{card}(E_n)} \left| \delta_{F_n} * (\delta_{E_n} - \delta_{F_n})(f) \right| \\ &= \frac{1}{\operatorname{card}(E_n)} \left| \int_G \int_G f(x+y) \, \mathrm{d}\widetilde{\delta_{E_n}}(x) \, \mathrm{d}(\delta_{E_n} - \delta_{F_n})(y) \right| \\ &+ \frac{1}{\operatorname{card}(E_n)} \left| \int_G \int_G f(x+y) \, \mathrm{d}\widetilde{\delta_{E_n}}(x) \, \mathrm{d}(\delta_{E_n} - \delta_{F_n})(y) \right| \\ &\leq \frac{1}{\operatorname{card}(E_n)} \int_G \int_G \left| f(x+y) \right| \, \mathrm{d}\widetilde{\delta_{E_n}}(x) \, \mathrm{d}(\delta_{E_n \setminus F_n})(y) \\ &+ \frac{1}{\operatorname{card}(E_n)} \int_G \int_G \left| f(x+y) \right| \, \mathrm{d}\widetilde{\delta_{F_n}}(x) \, \mathrm{d}(\widetilde{\delta_{E_n \setminus F_n}})(y) \\ &\leq \frac{1}{\operatorname{card}(E_n)} \int_G \int_G \left| f(x+y) \right| \, \mathrm{d}\widetilde{\delta_{F_n}}(x) \, \mathrm{d}(\delta_{E_n \setminus F_n})(y) \\ &+ \frac{1}{\operatorname{card}(E_n)} \int_G \int_G \left| f(x+y) \right| \, \mathrm{d}\widetilde{\delta_{F_n}}(x) \, \mathrm{d}(\delta_{E_n \setminus F_n})(y) \\ &+ \frac{1}{\operatorname{card}(E_n)} \int_G \int_G \int_G \left| f(x+y) \right| \, \mathrm{d}\widetilde{\delta_{F_n}}(x) \, \mathrm{d}(\delta_{E_n \setminus F_n})(y) . \end{aligned}$$

Now, since $f \in C_c(G)$ and Γ is uniformly discrete, all measures involved are translation bounded and so there exists a constant *C* such that for all $y \in G$,

$$\int_{G} |f(x+y)| \, \mathrm{d}\delta_{A}(x) \leq C \quad \text{and}$$
$$\int_{G} |f(x+y)| \, \mathrm{d}\widetilde{\delta_{\Gamma}}(x) \leq C.$$

It follows that

$$\begin{aligned} \left| \frac{1}{\operatorname{card}(E_n)} \delta_{E_n} * \widetilde{\delta_{E_n}}(f) - \frac{1}{\operatorname{card}(E_n)} \delta_{F_n} * \widetilde{\delta_{F_n}}(f) \right| \\ &\leq \frac{1}{\operatorname{card}(E_n)} \int_G C \, \operatorname{d}(\delta_{E_n \setminus F_n})(y) + \frac{1}{\operatorname{card}(E_n)} \int_G C \, \operatorname{d}(\widetilde{\delta_{E_n \setminus F_n}})(y) \\ &= \frac{C}{\operatorname{card}(E_n)} 2\operatorname{card}(E_n \setminus F_n) \to 0, \end{aligned}$$

which completes the proof.

3.3. Diffraction of subsets of integers. As mentioned before, many examples we consider below are subsets Λ of the integers, considered as point sets in $G = \mathbb{R}$. The averaging van Hove sequences $(A_n)_n$ are of the form $A_n = [a_n, b_n]$, where $\lim_{n\to\infty} (b_n - a_n) = \infty$.

Let us now fix $\Lambda \subseteq \mathbb{Z}$ and a van Hove sequence $(A_n)_n$ in \mathbb{R} . For each *n*, let $f_n, g_n : \mathbb{Z} \to \mathbb{C}$ be defined as

$$f_n(d) := \frac{1}{\operatorname{vol}(A_n)} \operatorname{card}(\Lambda \cap (d + \Lambda) \cap A_n),$$
$$g_n(d) := \frac{\operatorname{card}(\Lambda \cap (d + \Lambda) \cap A_n)}{\operatorname{card}(\Lambda \cap A_n)} = \frac{f_n(d)}{f_n(0)}.$$

We then have the following proposition (compare [2, Section 10.3.2] or [1, Theorem 1]).

PROPOSITION 3.20. Let $\Lambda \subseteq \mathbb{Z}$ and let $(A_n)_n$ be a van Hove sequence in \mathbb{R} . Then, γ_{dens} exists with respect to $(A_n)_n$ if and only if f_n converges pointwise to a function $f : \mathbb{Z} \to \mathbb{C}$.

Moreover, in this case, $\gamma_{\text{dens}} = \sum_{m \in \mathbb{Z}} f(m) \delta_m$ and f is positive definite. Finally, if σ is the positive measure on \mathbb{R}/\mathbb{Z} corresponding to f via Bochner's theorem, then σ and the 1-periodic measure γ_{dens} are related via the so-called Weil formula:

$$\int_{\mathbb{R}} f(x) d\widehat{\gamma_{\text{dens}}}(x) = \int_{\mathbb{R}/\mathbb{Z}} \mathcal{W} f(x+\mathbb{Z}) d\sigma(x) \quad \text{for all } f \in C_{\mathsf{c}}(G),$$

where

$$\mathcal{W}f(x+\mathbb{Z}) := \sum_{y\in\mathbb{Z}} f(x+y) \text{ for all } x\in\mathbb{R}.$$

PROOF. The first part follows trivially from Proposition 3.14. The last part follows from [21].

Let us note here in passing that intuitively, the Weil formula says that $\widehat{\gamma_{dens}}$ is the 1-periodic measure obtained by 'periodizing' σ . More precisely, σ is the measure obtained by restricting $\widehat{\gamma_{dens}}$ to [0, 1) and identifying this interval with \mathbb{R}/\mathbb{Z} .

Now, exactly the same result holds for the counting autocorrelation. Since the proof is similar, we skip it.

PROPOSITION 3.21. Let $\Lambda \subseteq \mathbb{Z}$ and let $(A_n)_n$ be a van Hove sequence in \mathbb{R} . Then, γ_{count} exists with respect to $(A_n)_n$ if and only if g_n converges pointwise to a function $g : \mathbb{Z} \to \mathbb{C}$.

Moreover, in this case, $\gamma_{\text{count}} = \sum_{m \in \mathbb{Z}} g(m) \delta_m$ and g is positive definite. Finally, if σ is the positive measure on \mathbb{R}/\mathbb{Z} corresponding to g via Bochner's theorem, then σ and the 1-periodic measure γ_{count} are related via the Weil formula.

[23]

4. Diffraction of sets with no infinite translated subsets

As we saw in the last section, the autocorrelation encodes how often an element $t \in G$ appears as the difference of two points of Λ . The easiest examples to study, then, are those sets with periodic subsets or those where Λ and $t + \Lambda$ have little agreement. In this section, we look at the former situation.

LEMMA 4.1. Let $\Lambda \subseteq G$ be a set of FLC and let $\mathcal{A} = (A_n)_n$ be a van Hove sequence. Assume that for $F_n = \Lambda \cap A_n$:

- (a) $\lim_{n\to\infty} \operatorname{card}(F_n) = \infty;$
- (b) for all $0 \neq t \in \Lambda \Lambda$,

$$\operatorname{card}(\Lambda \cap (-t + \Lambda)) < \infty.$$

Then, the counting autocorrelation is $\gamma_{\text{count}} = \delta_0$ and it exists with respect to \mathcal{A} .

PROOF. For any nonzero $0 \neq t \in \Lambda - \Lambda$, we can bound

$$\frac{\operatorname{card}(F_n \cap (-t + F_n))}{\operatorname{card}(F_n)} \le \frac{\operatorname{card}(\Lambda \cap (-t + \Lambda))}{\operatorname{card}(F_n)},$$

where assumptions (a) and (b) imply that the right-hand side tends to zero. So, for nonzero t, in the notation of Lemma 3.15,

$$\eta_{\text{count}}(t) = \lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (-t + F_n))}{\operatorname{card}(F_n)} = 0.$$

For t = 0, $\eta_{\text{count}}(0) = 1$, because F_n is eventually nonempty. So, each limit $\eta_{\text{count}}(t)$ exists, and so by Lemma 3.15, the autocorrelation γ_{count} exists with respect to \mathcal{A} and it is

$$\gamma_{\text{count}} = \sum_{t \in \Lambda - \Lambda} \eta_{\text{count}}(t) \delta_t = \delta_0.$$

Remark 4.2.

(a) Let $\Lambda \subseteq G$ be locally finite and let $K \subseteq G$ be compact. A short computation shows that

$$\begin{split} |\gamma_{F_n} - \delta_0|(K) &= |\gamma_{F_n} - \delta_0|(\{0\}) + |\gamma_{F_n}|(K \setminus \{0\}) \\ &= |\gamma_{F_n}(\{0\}) - 1| + \frac{1}{\operatorname{card}(F_n)} \sum_{\substack{x, y \in F_n}} \delta_{x-y}(K \setminus \{0\}) \\ &= \left| \frac{1}{\operatorname{card}(F_n)} \delta_{F_n} * \widetilde{\delta_{F_n}}(\{0\}) - 1 \right| + \frac{1}{\operatorname{card}(F_n)} \sum_{\substack{t \in (F_n - F_n) \cap K \\ t \neq 0}} \operatorname{card}(F_n \cap (-t + F_n)) \\ &\leq \left| \frac{1}{\operatorname{card}(F_n)} \sum_{\substack{x, y \in F_n}} \delta_{x-y}(\{0\}) \right| + \frac{1}{\operatorname{card}(F_n)} \sum_{\substack{t \in (A - A) \cap K \\ t \neq 0}} \operatorname{card}(A \cap (-t + A)). \end{split}$$

Now, if Λ has FLC, then the set $(\Lambda - \Lambda) \cap K$ is finite. Therefore, if the assumptions in Lemma 4.1 hold, then we get the stronger conclusion that

$$|\gamma_{F_n} - \delta_0|(K) \to 0$$

for all compact sets K.

(b) The conclusion of Lemma 4.1 does not hold for sets without FLC. For example,

$$\Lambda = \bigcup_{n} \left\{ n! + \frac{1}{e^n}, \dots, n! + \frac{\lfloor e^n \rfloor}{e^n} \right\}$$

has density zero, meets any of its translates in at most one point, but one can show that

$$\gamma_{\rm count} = \lambda|_{[-1,1]}.$$

As an immediate consequence of Lemma 4.1, we obtain the following lemma.

LEMMA 4.3. Let $\Lambda = \{a_n : n \in \mathbb{N}\} \subseteq \mathbb{R}$ for any sequence $(a_n)_n$ satisfying

$$\lim_{n \to \infty} \left(a_{n+1} - a_n \right) = \infty.$$

Then, Λ *has FLC, and for any van Hove sequence* $(A_n)_n$ *such that* $\lim_{n\to\infty} (A_n \cap \Lambda) = \infty$ *,*

$$\gamma_{\text{count}} = \delta_0, \quad \widehat{\gamma_{\text{count}}} = \lambda.$$
 (4-1)

In particular, (4-1) holds for all van Hove sequences that are nested and exhausting.

PROOF. First, note that for each R > 0, there exists some N such that, for all n > N,

$$\Lambda \cap [a_n - R, a_n + R] = \{a_n\}.$$

It follows immediately that Λ has FLC.

Note that the given condition implies that for all $t \neq 0$, the set

$$\Lambda \cap (t + \Lambda)$$

is finite. Therefore, for any van Hove sequence for which $\lim_{n\to\infty} \operatorname{card}(F_n) = \infty$, we get $\gamma_{\text{count}} = \delta_0$ by Lemma 4.1.

Finally, if $(A_n)_n$ is exhausting, $\bigcup_n A_n = \mathbb{R}$ and so $\bigcup_n F_n = \Lambda$. If $(A_n)_n$ is nested, so is the sequence $(F_n)_n$, and so since Λ is infinite, we must have $\lim_{n\to\infty} \operatorname{card}(F_n) = \infty$. \Box

The following two-sided version is proven in exactly the same way and we skip the details.

LEMMA 4.4. Let $\Lambda = \{a_n : n \in \mathbb{Z}\} \subseteq \mathbb{R}$ so that

$$\lim_{n \to \infty} a_{n+1} - a_n = \infty \quad and$$
$$\lim_{n \to -\infty} a_{n-1} - a_n = -\infty.$$

Then, Λ *has FLC and for any van Hove sequence* $(A_n)_n$ *such that* $\lim_{n\to\infty} (A_n \cap \Lambda) = \infty$ *,*

$$\gamma_{\text{count}} = \delta_0, \quad \widehat{\gamma_{\text{count}}} = \lambda.$$
 (4-2)

In particular, (4-2) holds for all van Hove sequences that are nested and exhausting.

The next three examples follow immediately from these results.

EXAMPLE 4.5. Choose any a > 1, let

 $\Lambda = \{a^n : n \in \mathbb{N}\},\$

and let $(A_n)_n = ([-n, n])_n$. Then, $\gamma_{\text{count}} = \delta_0$ and $\widehat{\gamma_{\text{count}}} = \lambda$.

EXAMPLE 4.6. Let

$$\Lambda = \{n! : n \in \mathbb{N}\}$$

and let $(A_n)_n = ([-n, n])_n$. Then, $\gamma_{\text{count}} = \delta_0$ and $\widehat{\gamma_{\text{count}}} = \lambda$.

EXAMPLE 4.7. Let

$$\Lambda = \{ f_n : n \in \mathbb{N} \},\$$

where $(f_n)_n$ is the Fibonacci sequence $f_0 = 0$, $f_1 = 1$, and $f_{n+1} = f_n + f_{n-1}$. Let $(A_n)_n = ([-n, n])_n$. Then, $\gamma_{\text{count}} = \delta_0$ and $\widehat{\gamma_{\text{count}}} = \lambda$.

More generally, the same behavior is exhibited by many point sets arising from linear recurrence relations, in particular when the largest root in modulus of the characteristic polynomial is strictly greater than 1. The point being that all of these have approximately exponential growth.

It should be noted that these examples can be put into Proposition 3.18 to get a point set with diffraction and autocorrelation

$$\gamma_{\text{count}} = \delta_0 + \frac{\delta_k + \delta_{-k}}{2}$$
 and $\widehat{\gamma_{\text{count}}} = (1 + \cos(2\pi kx))\lambda$.

5. Diffraction of the primes

In this section, we discuss the diffraction of primes $\mathbb{P} \subseteq \mathbb{Z}$ (including negative primes) for van Hove sequences of intervals. We see that the density autocorrelation is always 0, while the counting autocorrelation is, under weak conditions, δ_0 .

Throughout this entire section, for $d \in \mathbb{N}$, let us recall the notation

$$\pi_d(x) = \operatorname{card}\{p \in \mathbb{P} \cap [0, x] : p + d \in \mathbb{P}\}.$$

Of course, $\pi_0(x)$ is just the prime counting function $\pi(x)$. Since there is only one even prime, for odd *d*,

$$\pi_d(x) \le 1$$

for any *x*.

5.1. Density autocorrelation with respect to van Hove sequences of intervals. Consider a van Hove sequence $(A_n)_n = ([a_n, b_n])_n$ of intervals. By eventually replacing a_n, b_n by nearby integers, we can assume without loss of generality that $a_n, b_n \in \mathbb{Z}$.

A simple consequence of the Brun–Titchmarsh theorem is that the density of the primes with respect to $(A_n)_n$ is zero. Indeed, let us recall the following version of this theorem.

THEOREM 5.1 [17, Corollary 2]. Let m, n be positive integers. Then,

$$\pi(m+n) - \pi(m) \le 2\pi(n).$$

As an immediate consequence, we obtain the following theorem.

THEOREM 5.2. Let $(A_n)_n = ([a_n, b_n])_n$ be any van Hove sequence of intervals. Then,

$$\operatorname{card}(\mathbb{P} \cap A_n) \le \frac{2\pi(b_n - a_n)}{b_n - a_n}.$$

In particular, with respect to $(A_n)_n$, we have dens $(\mathbb{P}) = 0$ and so the density diffraction is

$$\gamma_{\text{dens}} = 0.$$

PROOF. Let $r_n = b_n - a_n$. Then, since $(A_n)_n$ is a van Hove sequence, we have $\lim_{n\to\infty} r_n = \infty$.

Let $F_n := \mathbb{P} \cap A_n$.

[27]

We split the problem into the following three cases.

Case 1: Suppose $0 < a_n$. Then, by Theorem 5.1,

$$\frac{\operatorname{card}(F_n)}{r_n} = \frac{\pi(b_n) - \pi(a_n)}{r_n} \le 2\frac{\pi(r_n)}{r_n}.$$

Case 2: Suppose $b_n < 0$. Then, by Theorem 5.1,

$$\frac{\operatorname{card}(F_n)}{r_n} = \frac{\pi(|a_n|) - \pi(|b_n|)}{r_n} \le 2\frac{\pi(r_n)}{r_n}.$$

Case 3: Suppose $a_n \le 0 \le b_n$. Then, $|a_n| < r_n$ and $b_n < r_n$, and hence,

$$\frac{\operatorname{card}(F_n)}{r_n} = \frac{\pi(b_n) + \pi(|a_n|)}{r_n} \le 2\frac{\pi(r_n)}{r_n}.$$

Therefore, for all n,

$$\frac{\operatorname{card}(F_n)}{r_n} \le 2\frac{\pi(r_n)}{r_n}$$

Since $r_n \rightarrow \infty$, the last claim follows from the prime number theorem.

REMARK 5.3. Let $\mathbb{X}(\mathbb{P})$ be the dynamical system generated by \mathbb{P} under the translation action of \mathbb{R} ; see [4] for details. Then, since the primes have arbitrarily large gaps, we have $\emptyset \in \mathbb{X}(\mathbb{P})$.

Now, for each $\varphi_1, \ldots, \varphi_n \in C_{c}(\mathbb{R})$, define $F_{\varphi_1, \ldots, \varphi_n} : \mathbb{X}(\mathbb{P}) \to \mathbb{C}$ via

$$F_{\varphi_1,\ldots,\varphi_n}(\Lambda) := \prod_{j=1}^n \left(\sum_{x \in \Lambda} \varphi_j(x)\right)$$

Let

$$\mathbb{A} := \{ F_{\varphi_1,\ldots,\varphi_n} + c \mathbb{1}_{\mathbb{X}(\mathbb{P})} : 0 \le n, \varphi_1,\ldots,\varphi_n \in C_{\mathsf{c}}(\mathbb{R}), c \in \mathbb{R} \}.$$

Then, $\mathbb{A} \subseteq C(\mathbb{X}(\mathbb{P}))$ is a subalgebra separating the points and hence dense in $C(\mathbb{X}(\mathbb{P}))$ by Stone–Weierstrass.

Now, it follows immediately from Theorem 5.2 that

$$\lim_{k \to \infty} \frac{1}{2k} \int_{t-k}^{t+k} F_{\varphi_1, \dots, \varphi_n}(s+\mathbb{P}) \, \mathrm{d}s = 0 = \int_{\mathbb{X}(\mathbb{P})} F_{\varphi_1, \dots, \varphi_n}(\Gamma) \, \mathrm{d}\delta_{\emptyset}(\Gamma)$$

uniformly in t. Also, we trivially have

$$\lim_{k\to\infty}\frac{1}{2k}\int_{t-k}^{t+k}c\mathbf{1}_{\mathbb{X}(\mathbb{P})}(s+\mathbb{P})\,\mathrm{d}s=c=\int_{\mathbb{X}(\mathbb{P})}c\mathbf{1}_{\mathbb{X}(\mathbb{P})}(\Gamma)\,\mathrm{d}\delta_{\emptyset}(\Gamma).$$

The density of \mathbb{A} in $C(\mathbb{X}(\mathbb{P}))$ immediately implies that $(\mathbb{X}(\mathbb{P}), \mathbb{R})$ is uniquely ergodic, with unique ergodic measure $m = \delta_0$. Therefore, by [4], the primes must have density and density autocorrelation zero with respect to any van Hove sequence (not necessarily of intervals).

The same conclusion holds if we replace the translation action of $\mathbb R$ by the translation action of $\mathbb Z.$

5.2. Counting diffraction with respect to van Hove sequences of intervals. Numerical estimates have reported that the diffraction of primes is pure point, meaning that the primes are a model for quasicrystals [27] (compare [26, 28]). This would mean that the (counting) diffraction would have the form

$$\widehat{\gamma_{\text{count}}} = \sum_{y \in B} I(y) \delta_y.$$

This seems too nice to be true. Indeed, for a set $\Lambda \subseteq \mathbb{Z}$, the much weaker statement that $\widehat{\gamma_{\text{count}}} \neq \lambda$ is equivalent to the fact that there exists some $d \in \mathbb{Z} \setminus \{0\}$ such that

$$\limsup_{n\to\infty}\frac{\operatorname{card}(\Lambda\cap(d+\Lambda)\cap[-n,n])}{\operatorname{card}(\Lambda\cap[-n,n])}>0.$$

When $\Lambda = \mathbb{P}$, this would imply that there exists a positive integer d > 0 such that the set $\{p \in \mathbb{P} : p + d \in \mathbb{P}\}$ would have positive density among the primes.

As mentioned above, such a result is too nice to be true. It would give some results that are very much stronger than the latest developments in number theory [16, 20, 29]. In fact, it turns out that this would contradict the generalization of the

Brun–Titchmarsh theorem, Proposition 5.4, and hence cannot be true. This means that the counting diffraction of the primes must be the Lebesgue measure

$$\widehat{\gamma_{\text{count}}} = \lambda.$$

We now prove that this is indeed the case. We show that the counting autocorrelation γ_{count} exists along many van Hove sequences of intervals and it is $\gamma_{\text{count}} = \delta_0$. This implies that the diffraction is the Lebesgue measure and hence absolutely continuous.

First, the aforementioned generalization of the Brun–Titchmarsh theorem is itself a generalization of Brun's theorem.

PROPOSITION 5.4. For all $d \ge 1$,

[29]

$$\lim_{x\to\infty}\frac{\pi_d(x)}{\pi_0(x)}=0.$$

PROOF. For even *d*, it is well known using sieve methods in number theory that there exists a constant C > 0 such that

$$\pi_d(x) \le C \frac{x}{\log^2 x}$$
 for all $x \ge 1$.

Since we are not experts, we do not know precisely who came up with this argument, but it can be found from Bateman and Stemmler [6, Lemma 3]. Therefore, by the prime number theorem,

$$0 \le \limsup_{x \to \infty} \frac{\pi_d(x)}{\pi_0(x)} \le \lim_{x \to \infty} \frac{Cx/\log^2 x}{x/\log x} = 0,$$

so $\lim_{x\to\infty} \pi_d(x)/\pi_0(x) = 0$.

Now, note that if $F \subseteq \mathbb{R}$ is any finite set,

$$\gamma_{-F} = \gamma_F.$$

Since $-\mathbb{P} = \mathbb{P}$, for any set *A*,

$$-(\mathbb{P} \cap A) = \mathbb{P} \cap (-A). \tag{5-1}$$

Therefore, we immediately obtain the following lemma.

LEMMA 5.5. Let $\mathcal{A} = (A_n)_n$ be any van Hove sequence and with $\epsilon_n \in \{\pm 1\}$, let $\mathcal{B} = (B_n)_n = (\epsilon_n A_n)_n$. Then, the counting autocorrelation $\gamma_{\text{count},\mathcal{A}}$ of \mathbb{P} exists with respect to \mathcal{A} if and only if the counting autocorrelation $\gamma_{\text{count},\mathcal{B}}$ of \mathbb{P} exists with respect to \mathcal{B} . Moreover, in this case, $\gamma_{\text{count},\mathcal{A}} = \gamma_{\text{count},\mathcal{B}}$.

Whenever we are given a van Hove sequence $(A_n)_n = ([a_n, b_n])_n$ of intervals, Lemma 5.5 allows us to flip some of those intervals so that $|b_n| \ge |a_n|$. Since, after the flip, we also have $a_n < b_n$, we get that $b_n \ge 0$ and must have

$$\lim_{n\to\infty}b_n=\infty$$

because the width of the intervals goes to infinity. We often make this extra assumption.

Next, let us cover the following two results.

LEMMA 5.6. Let $(A_n)_n = ([a_n, b_n])_n$ be a van Hove sequence of intervals such that for all n, we have $0 \in A_n$. Then, with respect to $(A_n)_n$, we have $\gamma_{\text{count}} = \delta_0$.

PROOF. By (5-1) and Lemma 5.5, we can assume without loss of generality that $|b_n| \ge |a_n|$. This implies that $b_n > 0$ and $\lim_{n\to\infty} b_n = \infty$. As usual, let $F_n = \mathbb{P} \cap A_n$.

For each $t \neq 0$,

$$\operatorname{card}(F_n \cap (t + \mathbb{P})) \le 2\pi_{|t|}(b_n),$$
$$\operatorname{card}(F_n) \ge \pi(b_n).$$

The claim now follows from Proposition 5.4 and Lemma 3.16.

As a consequence, we obtain the following theorem.

THEOREM 5.7. The primes \mathbb{P} have counting autocorrelation and diffraction with respect to the van Hove sequence $(A_n)_n = ([-n, n])_n$,

$$\gamma_{\text{count}} = \delta_0,$$

 $\widehat{\gamma_{\text{count}}} = \lambda.$

REMARK 5.8. Combining the proofs of Lemma 5.6, Proposition 5.4, Lemma 3.16, and the prime number theorem, it follows that, for each van Hove sequence $(A_n)_n$ of intervals such that $0 \in A_n$, there exists a constant $C_1 > 0$ such that for all $m \in \mathbb{Z}$,

$$|\gamma_{F_n} - \delta_0|(m) \le \frac{2\pi_{|m|}(n) + |m|}{\pi_0(n)} \le C_1 \Big(\frac{1}{\log(n)} + \frac{|m|\log(n)}{n}\Big).$$

This seems to suggest that γ_{F_n} converges very slowly to γ_{count} , meaning that numerical estimates are useless when studying the diffraction of primes.

Note also here that for the density autocorrelation calculated with respect to $(A_n)_n = ([-n, n])_n$,

$$\begin{aligned} |\gamma_n - 0|(m) &\leq \frac{\pi(n)}{n} |\gamma_{F_n} - \delta_0|(m) + \frac{\pi(n)}{n} \delta_0(m) \\ &\leq \frac{C_2}{\log(n)} C_1 \Big(\frac{1}{\log(n)} + \frac{|m| \log(n)}{n} \Big) + \frac{C_2}{\log(n)} \\ &= C \Big(\frac{1}{\log^2(n)} + \frac{|m|}{n} \Big) + \frac{C_2}{\log(n)} \end{aligned}$$

for some constants C and C_2 . This explains the slow rate of convergence of the density autocorrelation to 0.

A similar estimate can be obtained with respect to any van Hove sequence $(A_n)_n$ with $0 \in A_n$ for all $n \ge 1$.

LEMMA 5.9. Let $(A_n)_n = ([a_n, b_n])_n$ be a van Hove sequence of intervals such that for all n, we have $0 < a_n < b_n$. Assume that there exists some c > 1 and N such that for all n > N, we have $b_n \ge ca_n$.

Then, with respect to A_n the primes have counting autocorrelation $\gamma_{\text{count}} = \delta_0$. PROOF. For each $t \neq 0$.

$$\operatorname{card}(F_n \cap (t + \mathbb{P})) < \pi_{\operatorname{tr}}(b_n)$$

$$\operatorname{card}(F_n) = \pi(b_n) - \pi(a_n) \ge \pi(b_n) - \pi(b_n/c).$$

Now, by Proposition 5.4 and the prime number theorem,

$$\lim_{n \to \infty} \frac{\pi_{|t|}(b_n)}{\frac{b_n}{\ln(b_n)}} = 0 \quad \text{and}$$
$$\lim_{n \to \infty} \frac{\pi(b_n) - \pi(b_n/c)}{\frac{b_n}{\ln(b_n)}} = 1 - \frac{1}{c} > 0.$$

It follows that for all $t \neq 0$,

$$\lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (t + \mathbb{P}))}{\operatorname{card}(F_n)} = \lim_{n \to \infty} \frac{\pi_{|t|}(b_n)}{\pi(b_n) - \pi(b_n/c)} = 0$$

The claim follows from Lemma 3.16.

REMARK 5.10. The condition that $b_n \ge ca_n$ is important and cannot be dropped. For instance, $(A_n)_n = ([n!, n! + n])_n$ is a van Hove sequence that has empty intersection with \mathbb{P} , because n! + k is always divisible by k. With respect to this van Hove sequence,

$$\gamma_{\rm count} = 0.$$

Let us now state a few immediate consequences of the previous result.

COROLLARY 5.11. Let a_n, b_n be any sequences of integers such that $b_n > a_n > 0$ and $\lim_{n\to\infty} b_n = \infty$. If

$$\lim_{n \to \infty} \frac{b_n}{a_n} = L > 1,$$

then $(A_n)_n = ([a_n, b_n])_n$ is a van Hove sequence and with respect to this van Hove sequence,

$$\gamma_{\text{count}} = \delta_0, \quad \widehat{\gamma_{\text{count}}} = \lambda.$$

REMARK 5.12. In [26–28], the authors are considering intervals of the form $[M_n, M_n + L_n]$, where $M_n \to \infty$ and the ratio L_n/M_n converges to some $\beta > 0$. The setting falls within the assumptions from Corollary 5.11 and

$$L = 1 + \beta > 1.$$

Therefore, with respect to such van Hove sequences of intervals, the diffraction is actually the Lebesgue measure λ and hence absolutely continuous.

We can now prove our most general version of these results.

THEOREM 5.13. Let $(A_n)_n = ([a_n, b_n])_n$ be any van Hove sequence of intervals. Assume that there exists some constant d > 0 such that

$$|a_n| < d(b_n - a_n).$$

Then, with respect to $(A_n)_n$, we have $\gamma_{\text{count}} = \delta_0$ and $\widehat{\gamma_{\text{count}}} = \lambda$.

PROOF. As usual, let $r_n = b_n - a_n$.

First, let us note that

$$|b_n| \le |a_n| + |b_n - a_n| \le (d+1)r_n$$

In particular, for all *n*,

$$\max\{|a_n|, |b_n|\} \le (d+1)r_n.$$

Define

$$B_n = \begin{cases} [a_n, b_n] & \text{if } |a_n| \le |b_n|, \\ [-b_n, -a_n] & \text{if } |a_n| \ge |b_n|. \end{cases}$$

Then, by Lemma 5.5, it suffices to prove that our conclusion holds with respect to $(B_n)_n$. Note that if $(B_n)_n = ([a'_n, b'_n])_n$,

$$|b'_n| \le (d+1)(b'_n - a'_n).$$

Therefore, without loss of generality, we can assume that $|b_n| \ge |a_n|$ for all *n*. As before, this implies that $b_n > 0$ and $b_n \to \infty$.

Define

$$A := \{n : a_n \le 0\},\$$

$$B := \{n : a_n > 0\}.$$

We split the proof into three cases.

Case 1: A is finite. Then, by possibly erasing the first few terms of A_n , we can assume that $a_n > 0$ for all n.

Next,

$$\frac{b_n}{a_n} = \frac{b_n - a_n}{a_n} + 1 \ge d + 1 > 1.$$

The conclusion follows from Lemma 5.9.

Case 2: B is finite. Then, by possibly erasing the first few terms of A_n , we can assume that $a_n \le 0$ for all *n*. The conclusion then follows from Lemma 5.6.

Case 3: A, B are infinite. Then, write A as the sequence $k_1, k_2, \ldots, k_n \ldots$ and B as the sequence $m_1, m_2, \ldots, m_n \ldots$

[33]

Diffraction of the primes and other sets of zero density

By Lemma 5.6, as in Case 2,

$$\lim_{n\to\infty}\gamma_{F_{k_n}}=\delta_0.$$

Also, exactly as in Case 1,

$$\frac{b_{m_n}}{a_{m_n}} \ge d+1 > 1$$

Therefore, by Lemma 5.9,

$$\lim_{n\to\infty}\gamma_{F_{m_n}}=\delta_0.$$

Since $A \cup B = \mathbb{N}$, we conclude that

$$\lim_{n\to\infty}\gamma_{F_n}=\delta_0,$$

as claimed.

COROLLARY 5.14. Let $(A_n)_n$ be a van Hove sequence of intervals that have a common point c. Then, with respect to $(A_n)_n$, we have $\gamma_{\text{count}} = \delta_0$ and $\widehat{\gamma_{\text{count}}} = \lambda$.

In particular, the conclusion holds for any nested van Hove sequence of intervals.

PROOF. For each $n \ge 1$, let $A_n = [a_n, b_n]$ and let $r_n = b_n - a_n$. Then,

$$\frac{a_n}{r_n} < \frac{c}{r_n},$$

which is bounded from above since $\lim_{n\to\infty} c/r_n = 0$. Moreover,

$$\frac{-a_n}{r_n} = 1 - \frac{b_n}{r_n} \le 1 - \frac{c}{r_n},$$

which again is bounded from above.

Therefore, we are in the situation of Theorem 5.13.

REMARK 5.15. If we do not work with van Hove sequences of intervals, there are many other auto-correlations that are possible. Indeed, let $Q \subseteq \mathbb{P}$ be any finite set.

Then, $(A_n)_n = ([n!, n! + n] \cup Q)_n$ is a van Hove sequence and $F_n = A_n \cap \mathbb{P} = Q$. Comparing to Remark 5.10, it follows that

$$\gamma_{\text{count}} = \frac{1}{\operatorname{card}(Q)} \sum_{p,q \in Q} \delta_{p-q}, \quad \widehat{\gamma_{\text{count}}}(x) = \frac{1}{\operatorname{card}(Q)} \sum_{p \in Q} |e^{2\pi i (q-p)x}|^2.$$

By combining Proposition 3.18 and Theorem 5.7, we also get the following example. EXAMPLE 5.16. Let k be a nonzero integer and consider the set

$$\mathbb{P} \cup (k + \mathbb{P}) = \{p, p + k : p \in \mathbb{P}\}.$$

Then, with respect to the van Hove sequence $([-n, n])_n$, $\gamma_{\text{count}} = \delta_0 + \frac{1}{2}(\delta_k + \delta_{-k})$ and so

$$\widehat{\gamma_{\text{count}}} = (1 + \cos(2\pi kx))\lambda.$$

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5.3. Diffraction of prime powers. Consider now the set

$$\mathbb{P}_{pow} := \{\pm p^n : p \in \mathbb{P}, n \in \mathbb{N}\}$$

of positive and negative prime powers. The point sets $\mathbb{P} \subseteq \mathbb{P}_{pow} \subseteq \mathbb{R}$ and $(A_n)_n = ([-n, n])_n$ satisfy the conditions of Lemma 3.19.

Indeed, a standard computation (see for example [23]) shows that for all *n*,

$$\operatorname{card}(\mathbb{P}_{\operatorname{pow}} \cap [-n, n]) = 2\operatorname{card}(\mathbb{P}_{\operatorname{pow}} \cap [0, n])$$
$$= 2(\pi_0(n) + \pi_0(\sqrt{n}) + \pi_0(\sqrt[3]{n}) + \dots + \pi_0(\sqrt[m]{n})),$$

where $m = \lfloor \log_2(n) \rfloor + 1$ since $n < 2^{m+1}$. This implies that

$$0 \leq \frac{\operatorname{card}(\mathbb{P}_{\operatorname{pow}} \cap [-n,n])}{\operatorname{card}(\mathbb{P} \cap [-n,n])} - 1 \leq \frac{\pi_0(\sqrt{n}) + \pi_0(\sqrt[3]{n}) + \dots + \pi_0(\sqrt[m]{n})}{\pi_0(n)}$$
$$\leq \frac{\sqrt{n} + \sqrt[3]{n} + \dots + \sqrt[m]{n}}{\pi_0(n)} \leq \frac{(\lfloor \log_2(n) \rfloor + 1) \cdot \sqrt{n}}{\pi_0(n)}.$$

Therefore, by the prime number theorem,

$$\lim_{n \to \infty} \frac{\operatorname{card}(\mathbb{P}_{\text{pow}} \cap [-n, n])}{\operatorname{card}(\mathbb{P} \cap [-n, n])} = 1.$$

Lemma 3.19 and Theorem 5.13 then give the following proposition.

PROPOSITION 5.17. Let $(A_n)_n = ([a_n, b_n])_n$ be any van Hove sequence of intervals. Assume that there exists some constant d > 0 such that

$$|a_n| < d(b_n - a_n).$$

Then, with respect to $(A_n)_n$, the set \mathbb{P}_{pow} of powers of primes has counting autocorrelation $\gamma_{count} = \delta_0$ and diffraction $\widehat{\gamma_{count}} = \lambda$.

5.4. Primes with fixed distance. We complete the section on primes by discussing the diffraction of twin primes and other similar sets. While we cannot explicitly calculate the diffraction of the twin primes, as the answer would need us first to settle the twin prime conjecture, we can show that the diffraction of the twin primes is different from the diffraction of the primes.

Fix a positive integer $d \ge 1$. Define

$$\mathbb{P}_d := \{p, p+d : p, p+d \in \mathbb{P}\}.$$

When d = 2, the set \mathbb{P}_2 is exactly the set of twin primes.

PROPOSITION 5.18. Let $d \ge 1$ be any positive integer. Then, we have the following.

(a) If \mathbb{P}_d is nonempty and finite, then the autocorrelation γ_{count} exists with respect to $(A_n)_n = ([-n, n])_n$ and

$$\begin{split} \gamma_{\text{count}} &= \frac{1}{\text{card}(\mathbb{P}_d)} \sum_{p,q \in \mathbb{P}_d} \delta_{p-q} \neq \delta_0, \\ \widehat{\gamma_{\text{count}}} &= \frac{1}{\text{card}(\mathbb{P}_d)} \sum_{p,q \in \mathbb{P}_d} e^{2\pi i (p-q)x} \neq \lambda. \end{split}$$

Moreover,

$$\frac{1}{2} \leq \gamma_{\text{count}}(\{d\})$$

with equality if and only if p, p + d, p + 2d cannot be prime at the same time.

(b) Assume that P_d is infinite and d is not divisible by 3. Let γ_{count} be the counting autocorrelation of P_d with respect to a subsequence of ([-n, n])_n. Then,

$$\gamma_{\text{count}}(\{d\}) = \frac{1}{2},$$

 $\widehat{\gamma_{\text{count}}} \neq \lambda.$

PROOF. Let us define

$$\Lambda := \{ p \in \mathbb{P}_d : p + d \in \mathbb{P}_d \}$$

and note that

$$\mathbb{P}_d = \Lambda \cup (d+\Lambda). \tag{5-2}$$

(a) Since \mathbb{P}_d is finite,

$$F_n = \mathbb{P}_d \cap [-n, n] = \mathbb{P}_d$$

for *n* large enough. The two formulas are then immediate. Next, (5-2) implies that

$$\operatorname{card}(\mathbb{P}_d) \leq 2\operatorname{card}(\Lambda)$$

with equality if and only if $\Lambda \cap (d + \Lambda) = \emptyset$. Now,

$$\gamma_{\text{count}}(\{d\}) = \frac{1}{\operatorname{card}(\mathbb{P}_d)} \sum_{p,q \in \mathbb{P}_d} \delta_{p-q}(d)$$
$$= \frac{1}{\operatorname{card}(\mathbb{P}_d)} \sum_{q,q+d \in \mathbb{P}_d} 1 = \frac{\operatorname{card}(\Lambda)}{\operatorname{card}(\mathbb{P}_d)} \ge \frac{1}{2}$$

with equality if and only if $\Lambda \cap (p + \Lambda) = \emptyset$. This is in turn equivalent to saying that p, p + d, p + 2d are never primes at the same time.

(b) Next, let us note that if

$$p \in \Lambda \cap (d + \Lambda),$$

then $p, p + d, p + 2d \in \mathbb{P}$. Since $3 \nmid d$, one of p, p + d, p + 2d must be divisible by 3. This shows that

$$\Lambda \cap (d + \Lambda) \subseteq \{-3 - 2d, -3 - d, -3, 3, 3 - d, 3 - 2d\}$$

and hence, $\Lambda \cap (d + \Lambda)$ is finite.

A short computation similar to the proof of Proposition 3.18 shows that

$$\gamma_{\rm count}(\{d\}) = \frac{1}{2}.$$

The claim follows.

Since $3, 5, 7 \in \mathbb{P}$, we obtain the following corollary.

COROLLARY 5.19. Let γ_{count} be any counting autocorrelation of the twin primes \mathbb{P}_2 with respect to a subsequence of $([-n, n])_n$. Then, $\gamma_{\text{count}}(\{2\}) = \frac{1}{2}$ if and only if there are infinitely many twin primes.

Remark 5.20.

- (a) The diffraction of the set \mathbb{P}_2 of twin primes is not λ .
- (b) When \mathbb{P}_d is finite, then the diffraction is absolutely continuous. It is unclear to us what happens when \mathbb{P}_d is infinite, for example, for the values of *d* from [16, 20, 29].

The above result shows that, as long as *d* is not a multiple of 3, if \mathbb{P}_d is infinite, then \mathbb{P}_d and \mathbb{P} have different diffractions. It is reasonable to expect that for infinite \mathbb{P}_d , the sets \mathbb{P}_d and \mathbb{P} have different diffractions even when 3|d, but the above proof does not work anymore. To make the proof work, one would need to show that the set of primes *p* for which both p + d and p + 2d are also prime has asymptotic density zero inside \mathbb{P}_d , which looks reasonable but is extremely hard to show.

6. Subsets of zero density with nontrivial Bragg spectrum

All the examples we have seen so far have continuous counting diffraction spectra. We show below that this is not always the case. The following result allows us to construct sets of density zero whose counting diffraction spectrum can be the density diffraction of any relatively dense set that we want.

THEOREM 6.1. Let $\Lambda \subseteq \mathbb{Z}$ be relatively dense and assume that the density autocorrelation $\gamma_{\text{dens}} = \gamma$ of Λ exists with respect to $\mathcal{A} = (A_n)_n = ([-n, n])_n$.

For each $n \ge 3$, set

$$\Gamma_n = n! + (\Lambda \cap [-n, n])$$

and define

$$\Gamma:=\bigcup_{n\geq 3}\Gamma_n,$$

[36]

which is a disjoint union. Then, with respect to A:

- (a) dens(Γ) = 0; and
- (b) $\gamma_{\text{count}} = C\gamma$, where

$$C = \frac{1}{\gamma(\{0\})} = \frac{1}{\operatorname{dens}(\Lambda)} \neq 0.$$

PROOF. Let us note first that for $n \ge 3$,

$$n! + n < (n + 1)! - (n + 1),$$

showing that Γ is indeed a disjoint union.

Let $\Lambda_n = \Lambda \cap A_n = \Lambda \cap [-n, n]$, so that $\Gamma_n = n! + \Lambda_n$ is such that we have $\operatorname{card}(\Gamma_n) = \operatorname{card}(\Lambda_n) \leq 2n + 1$. Denote $F_n = \Gamma \cap A_n$.

(a) Let $n \in \mathbb{N}$ and let *m* be the unique natural number for which

$$m! \le n < (m+1)!.$$

Then, $F_n \subseteq \bigcup_{k=3}^{m+1} \Gamma_k$, and so

$$\frac{\operatorname{card}(F_n)}{2n} = \frac{1}{2n} \sum_{k=3}^{m+1} \operatorname{card}(\Gamma_k \cap [-n, n])$$
$$\leq \frac{1}{2n} \sum_{k=3}^{m+1} \operatorname{card}(\Gamma_k) \leq \frac{m(2m+1)}{2n} \leq \frac{m(2m+1)}{2m!} \to 0$$

as $m \to \infty$. As $n \to \infty$, we also have $m \to \infty$ and so therefore,

dens_{$$\mathcal{A}$$}(Γ) = $\lim_{n \to \infty} \frac{\operatorname{card}(F_n)}{2n} = 0.$

(b) Let $t \in \mathbb{Z}$. For readability, introduce the notation

$$\ell_n = \operatorname{card}(\Lambda_n) = \operatorname{card}(\Gamma_n)$$
 and
 $c_n = \operatorname{card}(\Lambda_n \cap (-t + \Lambda)).$

Then, by Lemma 3.14, we know that

$$\gamma(\{0\}) = \operatorname{dens}_{\mathcal{A}}(\Lambda) = \lim_{n \to \infty} \frac{\ell_n}{2n} \neq 0 \quad \text{and} \quad \gamma(\{t\}) = \lim_{n \to \infty} \frac{c_n}{2n}$$

Our goal is to show that

$$\lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (-t + \Gamma))}{\operatorname{card}(F_n)} = \frac{\gamma(\{t\})}{\gamma(\{0\})} = \lim_{n \to \infty} \frac{c_n}{\ell_n},$$

and then the result follows from Lemma 3.15. We accomplish this by showing that $\operatorname{card}(F_n \cap (-t + \Gamma))/\operatorname{card}(F_n)$ is asymptotically a ratio of the Césaro means for (c_n) and (ℓ_n) .

[37]

As in the proof of item (a), let $n \in \mathbb{N}$ and let $m \in \mathbb{N}$ be the unique number satisfying $m! \le n \le (m + 1)!$. Then,

$$\bigcup_{k=3}^{m} \Gamma_k \subseteq F_n \subseteq \bigcup_{k=3}^{m+1} \Gamma_k$$

with these unions disjoint, and so

$$\sum_{k=3}^{m} \ell_k \le \operatorname{card}(F_n) \le \sum_{k=3}^{m+1} \ell_k.$$

Eventually, as k becomes large, k! is large enough that $t + \Gamma_k$ intersects Γ only in Γ_k . That is, for some constant N depending only on t, if $k \ge N$, then

$$\Gamma_k \cap (-t + \Gamma) = \Gamma_k \cap (-t + \Gamma_k),$$

which has cardinality given by $\operatorname{card}(\Gamma_k \cap (-t + \Gamma_k)) = \operatorname{card}(\Lambda_k \cap (-t + \Lambda_k)) = c_k$. (In fact, we could take N = |t|, though a smaller number typically works.) Now, assume that *n* is large enough that $m \ge N$, and estimate

$$\operatorname{card}(F_n \cap (-t + \Gamma)) \ge \sum_{k=3}^m \operatorname{card}(\Gamma_k \cap (-t + \Gamma))$$
$$\ge \sum_{k=N}^m \operatorname{card}(\Gamma_k \cap (-t + \Gamma))$$
$$= \sum_{k=N}^m c_k = \sum_{k=3}^m c_k - C,$$

where $C = \sum_{k=3}^{N-1} c_k$ is a constant not depending on *n* (only on *A* and *t*). Additionally, for an upper bound,

$$\begin{aligned} \operatorname{card}(F_n \cap (-t + \Gamma)) &\leq \sum_{k=3}^{m+1} \operatorname{card}(\Gamma_k \cap (-t + \Gamma)) \\ &= \sum_{k=3}^{N-1} \operatorname{card}(\Gamma_k \cap (-t + \Gamma)) + \sum_{k=N}^{m+1} \operatorname{card}(\Gamma_k \cap (-t + \Gamma)) \\ &\leq \sum_{k=3}^{N-1} \operatorname{card}(\Gamma_k) + \sum_{k=N}^{m+1} \operatorname{card}(\Gamma_k \cap (-t + \Gamma_k)) \\ &= \sum_{k=3}^{N-1} \ell_k + \sum_{k=N}^{m+1} c_k \leq \sum_{k=3}^{m+1} c_k + D, \end{aligned}$$

where $D = \sum_{k=3}^{N-1} (\ell_k - c_k)$ is a constant not depending on *n*. Combining all of our bounds so far shows that for large enough *n*,

$$\frac{\sum_{k=3}^{m} c_k - C}{\sum_{k=3}^{m+1} \ell_k} \le \frac{\operatorname{card}(F_n \cap (-t + \Gamma))}{\operatorname{card}(F_n)} \le \frac{\sum_{k=3}^{m+1} c_k + D}{\sum_{k=3}^{m} \ell_k}.$$
(6-1)

Now, because Λ_n is relatively dense, there must be a constant R > 0 so that

$$Rn \le \ell_n \le 2n+1$$

for all *n*. So,

$$\frac{\sum_{k=3}^{m+1} \ell_k}{\sum_{k=3}^m \ell_k} - 1 = \frac{\ell_{m+1}}{\sum_{k=3}^m \ell_k} \le \frac{2m+1}{\sum_{k=3}^m Rk} = \frac{2m+1}{R\frac{m(m+1)}{2} - R} \to 0$$

as $m \to \infty$, so

$$\lim_{m \to \infty} \frac{\sum_{k=3}^{m+1} \ell_k}{\sum_{k=3}^m \ell_k} = 1.$$
 (6-2)

Now, as $n \to \infty$, $m \to \infty$, (6-2) gives

$$\lim_{m\to\infty}\frac{\sum_{k=3}^m c_k - C}{\sum_{k=3}^{m+1} \ell_k} = \lim_{m\to\infty}\frac{\sum_{k=3}^m c_k - C}{\sum_{k=3}^m \ell_k} = \lim_{m\to\infty}\frac{c_m}{\ell_m},$$

by the Stolz-Césaro theorem. Similarly,

$$\lim_{m \to \infty} \frac{\sum_{k=3}^{m+1} c_k + D}{\sum_{k=3}^{m} \ell_k} = \lim_{m \to \infty} \frac{\sum_{k=3}^{m+1} c_k + D}{\sum_{k=3}^{m+1} \ell_k} = \lim_{m \to \infty} \frac{c_{m+1}}{\ell_{m+1}} = \lim_{m \to \infty} \frac{c_m}{\ell_m}.$$

Now, the squeeze theorem applied to (6-1) yields

$$\eta_{\text{count}}(t) = \lim_{n \to \infty} \frac{\operatorname{card}(F_n \cap (-t + \Gamma))}{\operatorname{card}(F_n)} = \lim_{m \to \infty} \frac{c_m}{\ell_m} = \frac{\gamma(\{t\})}{\gamma(\{0\})}.$$

Lemma 3.15 now shows that γ_{count} exists for Γ with respect to \mathcal{A} , and it is equal to $\gamma_{\text{count}} = 1/\gamma(\{0\})\gamma$.

REMARK 6.2. The conclusion of Theorem 6.1 holds if in the definition of Γ_n , the sequence $(n!)_n$ is replaced by any increasing sequence $(a_n)_n$ of natural numbers with the property that

$$\lim_{n\to\infty}\frac{a_{n+1}-a_n}{n^2}=\infty.$$

The proof in this more general situation is a straightforward modification of the proof of Theorem 6.1.

By applying this result to various known examples, we get a list of subsets of \mathbb{Z} of density zero covering most spectral types. Note that the primes already provide an

example of a set of density zero with a purely absolutely continuous spectrum; the only spectral type currently missing is purely singular continuous. Such an example cannot be produced by the method of this section, as a relatively dense subset of \mathbb{Z} always has a trivial Bragg peak at the origin.

EXAMPLE 6.3. Let $\Lambda = \mathbb{Z}$ and let

$$\Gamma = \bigcup_{k=3}^{\infty} \{n! - n, n! - n + 1, \dots, n! + n\}.$$

Then, Γ has

$$\gamma_{\text{count}} = \delta_{\mathbb{Z}}$$
 and
 $\widehat{\gamma_{\text{count}}} = \delta_{\mathbb{Z}},$

which are the density autocorrelation and diffraction of \mathbb{Z} , respectively.

EXAMPLE 6.4. Let Λ be the positions of as in the Thue–Morse substitution (see [2, Section 4.6]) and let

$$\Gamma = \bigcup_{k=3}^{\infty} n! + (\Lambda \cap [-n, n]).$$

Let γ_{TM} and ω_{TM} be the density autocorrelation and diffraction of the ±1 weighted Thue–Morse comb. Then, by [2, Theorem 10.1], ω is a \mathbb{Z} -periodic purely singular continuous measure.

Next, by [2, Remark 10.3],

$$\gamma_{\text{dens}} = \frac{1}{4}\delta_{\mathbb{Z}} + \frac{1}{4}\gamma_{\text{TM}}.$$

Since the *as* in the Thue–Morse comb have density $\frac{1}{2}$, we have $\gamma_{dens}(\{0\}) = \frac{1}{2}$ and hence

$$\gamma_{\text{count}} = \frac{1}{2}\delta_{\mathbb{Z}} + \frac{1}{2}\gamma_{\text{TM}}$$
$$\widehat{\gamma_{\text{count}}} = \frac{1}{2}\delta_{\mathbb{Z}} + \frac{1}{2}\omega_{\text{TM}}.$$

EXAMPLE 6.5. Let Λ be the positions of as in the binary Rudin–Shapiro substitution (see [2, Section 4.7]) and let

$$\Gamma = \bigcup_{k=3}^{\infty} n! + (\Lambda \cap [-n, n]).$$

Then, similar to the Thue-Morse calculations above,

$$\gamma_{\text{count}} = \frac{1}{2}\delta_{\mathbb{Z}} + \frac{1}{2}\delta_{0}$$
$$\widehat{\gamma_{\text{count}}} = \frac{1}{2}\delta_{\mathbb{Z}} + \frac{1}{2}\lambda,$$

where λ is the Lebesgue measure on \mathbb{R} (see [2, Remark 10.5]).

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Note here that

$$\gamma_{\text{count}}(\{0\}) = \frac{1}{2} + \frac{1}{2}$$

as Lemma 3.8(b) implies.

EXAMPLE 6.6. Let Λ' be the positions of *a*s in the Thue–Morse substitution and let Λ be a generic element for the Bernoulization process on Λ' with $p = \frac{1}{2}$ [2, Section 11.2.2]. Then, $\Lambda \subseteq \mathbb{Z}$ almost surely has diffraction

$$\widehat{\gamma_{\text{dens}}} = \frac{1}{16} \delta_{\mathbb{Z}} + \frac{1}{16} \omega + \frac{1}{4} \lambda,$$

where ω is the singular continuous measure from Example 6.4 (see [2, Remark 11.3]). Theorem 6.1 then produces a set Γ of density zero with diffraction spectrum containing all three components.

7. Embedding point sets in higher dimension

Let us now briefly look at a different situation where sets of density zero appear naturally. Let $\Lambda \subseteq \mathbb{R}^d$ be a uniformly discrete point set. Then we can identify Λ with $\Lambda \times \{0\} \subseteq \mathbb{R}^{d+m}$. Using the standard van Hove sequence $(A_n)_n = ([-n, n]^{d+m})_n$,

$$dens(\Lambda) = 0.$$

This means that the classical definition of (density) diffraction would always give a diffraction

$$\widehat{\gamma_{\text{dens}}} = 0.$$

However, now consider an (idealized) multiple-slit interference experiment. This can simply be described by punching tiny holes into a wall at locations $\Lambda \subseteq \mathbb{R}$ and doing a two-dimensional diffraction experiment. The outcome of the experiment is usually a mixture of lights and shadows on a wall, which are periodic in one direction and change in the opposite direction.

We see below that the counting autocorrelation precisely describes this phenomenon. Recall first that given two measures μ , ν on G and H, respectively, there exists a unique product measure $\mu \times \nu$ on $G \times H$ with the property that

$$\int_{G \times H} f(x)g(y) \, d\mu \times \nu(x, y) = \left(\int_G f(x) \, d\mu(x) \right) \left(\int_H g(y) \, d\nu(y) \right)$$
for all $f \in C_c(G), g \in C_c(H)$.

With this notation, trivially

$$\delta_x \times \delta_v = \delta_{(x,v)}.$$

Let us now show how the counting autocorrelation behaves when we increase the dimension of the underlying space.

THEOREM 7.1. Let $\Lambda \subseteq G$ be any point set and assume that the autocorrelation $\gamma_{\text{count},\Lambda}$ exists with respect to some van Hove sequence $(A_n)_n$.

Let *H* be any second countable LCAG and $(B_n)_n$ any van Hove sequence in *H*, such that $0 \in B_n$ for all *n*. Let $C_n = A_n \times B_n \subseteq G \times H$.

Define

$$\Gamma = \Lambda \times \{0\} \subseteq G \times H.$$

Then, the counting autocorrelation $\gamma_{\text{count},\Gamma}$ of $\Gamma \subseteq G \times H$ exists with respect to $(C_n)_n$ and

$$\gamma_{\text{count},\Gamma} = \gamma_{\text{count},\Lambda} \times \delta_0$$

In particular,

$$\widehat{\gamma_{\operatorname{count},\Gamma}} = \widehat{\gamma_{\operatorname{count},\Lambda}} \times \theta_{\widehat{H}},$$

where $\theta_{\widehat{\mu}}$ is a Haar measure on \widehat{H} .

PROOF. Define

$$F_n := \Lambda \cap A_n,$$
$$E_n = \Gamma \cap C_n.$$

Then, since $0 \in B_n$,

 $E_n = F_n \times \{0\}$

and hence

$$\delta_{E_n} = \delta_{F_n} \times \delta_0.$$

It follows immediately that

$$\gamma_{E_n} = \frac{1}{\operatorname{card}(E_n)} \delta_{E_n} * \widetilde{\delta_{E_n}} = \frac{1}{\operatorname{card}(F_n)} \delta_{F_n \times \{0\}} * \widetilde{\delta_{F_n \times \{0\}}}$$
$$= \left(\frac{1}{\operatorname{card}(F_n)} \delta_{F_n} * \widetilde{\delta_{F_n}}\right) \times \delta_0 = \gamma_{F_n} \times \delta_0,$$

from which the rest of the proof follows.

EXAMPLE 7.2. Consider $\mathbb{Z} \times \{0\} \subseteq \mathbb{R}^d$. Then, its counting diffraction is

$$\widehat{\gamma_{\text{count}}} = \delta_{\mathbb{Z}} \times \lambda.$$

EXAMPLE 7.3. Let $\Lambda \subseteq \mathbb{R}$ be the Silver mean model set (see [2, Example 4.5]) and let $\Gamma = \Lambda \times \{0\} \subseteq \mathbb{R}^{1+m}$. Then, the diffraction of Γ is

$$\widehat{\gamma_{\text{count}}} = \sigma \times \lambda,$$

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where

$$\sigma = \sum_{k \in \frac{1}{2}\mathbb{Z} + \frac{1}{2\sqrt{2}}\mathbb{Z}} A_k \delta_k$$

is the pure point measure from [2, Theorem 9.3].

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