


MAXIMAL OPERATORS ALONG FLAT CURVES WITH ONE VARIABLE VECTOR FIELD

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Abstract We study a maximal average along a family of curves $\{(t, m(x_1)\gamma(t)) : t \in [-r, r]\}$, where $\gamma|_{[0, \infty)}$ is a convex function and m is a measurable function. Under the assumption of the doubling property of γ' and $1 \leq m(x_1) \leq 2$, we prove the $L^p(\mathbb{R}^2)$ boundedness of the maximal average. As a corollary, we obtain the pointwise convergence of the average in $r > 0$ without any size assumption for a measurable m .

Keywords: Maximal functions along curves; pseudo-differential operators

1. Introduction

In this study, we analyse a maximal operator defined by a convex function $\gamma|_{[0, \infty)}$ and a measurable function $m : \mathbb{R} \rightarrow \mathbb{R}$. Specifically, our focus lies on the operator:

$$\mathcal{M}_\gamma^m f(x_1, x_2) := \sup_{r>0} \frac{1}{2r} \int_{-r}^r |f(x_1 - t, x_2 - m(x_1)\gamma(t))| dt,$$

where $\gamma : \mathbb{R} \rightarrow \mathbb{R}$ is an extension of $\gamma|_{[0, \infty)}$, which is a even or odd function. Recently, Guo, Hickman, Lie and Roos [13] proved the L^p boundedness of maximal operators \mathcal{M}_γ^m for the homogeneous curve $\gamma(t) = t^n$, with $n \geq 2$, assuming that m is measurable. However, the L^p boundedness of \mathcal{M}_γ^m for the case $n = 1$ remains an open problem. So, we focus on flat convex curves, including piecewise linear curves. Given a convex extension $\gamma : \mathbb{R} \rightarrow \mathbb{R}$, we define the bounded doubling property for a derivative γ' as follows:

$$\text{there exists a constant } \omega > 1 \text{ such that } \gamma'(\omega|t|) \geq 2\gamma'(|t|) \text{ for all } t \in \mathbb{R}. \quad (1.1)$$

Now, we state the main theorem:

Main Theorem 1. *Let $m : \mathbb{R} \rightarrow \mathbb{R}$ be a measurable function such that $1 \leq m(x) \leq 2$ for all $x \in \mathbb{R}$. Suppose that an extension γ of a convex function $\gamma|_{[0, \infty)}$ satisfies the*



bounded doubling property of γ' in (1.1), with $\gamma(0) = 0$. Then, there exists a constant C_ω such that $\|\mathcal{M}_\gamma^m\|_{L^p(\mathbb{R}^2) \rightarrow L^p(\mathbb{R}^2)} \leq C_{\omega,p}$ holds for $1 < p \leq \infty$.

Remark 1.1.

- The theorem can be extended to certain types of piecewise linear curves. Refer to Section 7 in [7] or Remark 5 in [14] for more details. Additionally, the condition (1.1) admits flat convex curves, such as $\gamma(t) = e^{-\frac{1}{|t|}}$ and $e^{-e^{\frac{1}{|t|}}}$, which are flat at the origin.
- By using the dilation technique, we can extend our results to $\|\mathcal{M}_\gamma^m\|_{L^p \rightarrow L^p} \leq C \log_2(\frac{b}{a})$ under the assumption $0 < a \leq m(x) \leq b$.

In the view of pointwise convergence, we can drop the assumption $1 \leq m(x_1) \leq 2$.

Corollary 1.1. *For a measurable function $m : \mathbb{R} \rightarrow \mathbb{R}$ and a convex extension γ on \mathbb{R}^1 passing through the origin with its derivative γ' satisfying property (1.1), we have*

$$\lim_{r \rightarrow 0} \frac{1}{2r} \int_{-r}^r f(x_1 - t, x_2 - m(x_1)\gamma(t)) dt = f(x_1, x_2) \quad a.e.$$

for $f \in L^p(\mathbb{R}^2)$.

The study of maximal operators along flat convex curves has a rich history in Harmonic analysis by itself. In the 1970s, Stein and Wainger [24] asked the general class of curves $(t, \gamma(t))$ for which there are L^p results for \mathcal{M}_γ^1 . In the 1980s, Carlsson *et al.* [11] proved that \mathcal{M}_γ^1 is bounded on $L^p(\mathbb{R}^2)$ under the bounded doubling condition (1.1). In the 1990s, the study of maximal operators was extended to the curves with a variable coefficient, as demonstrated in [4, 9, 10, 15, 23]. Carbery, Wainger and Wright [9] established the L^p boundedness of $\mathcal{M}_\gamma^{x_1}$ along plane curves γ whose derivative satisfies the infinitesimal doubling property. Under the same assumption, Bennett [4] extended the L^2 results for \mathcal{M}_γ^P , where P is a polynomial. As a corollary of our main theorem, we derive the L^p boundedness of \mathcal{M}_γ^P under much weaker assumptions on γ .

Corollary 1.2. *For a polynomial $P : \mathbb{R} \rightarrow \mathbb{R}$ with degree d and a convex extension γ on \mathbb{R}^1 passing through the origin with its derivative γ' satisfying property (1.1), there exists a constant $C_{\omega,d}$ independent of the coefficients of P such that $\|\mathcal{M}_\gamma^P\|_{L^p(\mathbb{R}^2) \rightarrow L^p(\mathbb{R}^2)} \leq C_{\omega,d,p}$ for $1 < p \leq \infty$.*

Note that the infinitesimal doubling property implies the bounded doubling property. For more details, refer to [4].

1.1. Historical background

Zygmund conjecture is a long-standing open problem in harmonic analysis. This question inquires whether the Lipschitz regularity of u is sufficient to guarantee any non-trivial L^p bounds for the maximal operator:

$$\mathcal{M}_\gamma^u(f)(x_1, x_2) := \sup_{r>0} \frac{1}{2r} \int_{-r}^r |f(x_1 - t, x_2 - u(x_1, x_2)\gamma(t))| dt,$$

where $\gamma(t) = t$. Since the discovery of the Besicovitch set in the 1920s, it has been shown that the conjecture is false when the function u is only Hölder continuous C^α with $\alpha < 1$. However, the problem remains open under the Lipschitz assumption for u . In the 1970s, Stein and Wainger [24] proposed an analogous conjecture for the Hilbert transform. Regarding the Hilbert transforms along vector fields, Lacey and Li [18] made a significant progress regarding the regularity of u in 2006, using time–frequency analysis tools. Later, Bateman and Thiele [2] obtained the L^p estimates for the Hilbert transform along a one-variable vector field. Their proof relied on the commutation relation between the Hilbert transform and Littlewood–Paley projection operators, which cannot be directly applied to the maximal operator \mathcal{M}_γ^m due to its sub-linearity. Therefore, the problem for maximal operators remains open. For additional discussion on Stein’s conjecture, we recommend references [1, 2, 17]. In the study of maximal operators, Bourgain [5] demonstrated the L^2 boundedness of \mathcal{M}_t^u for real analytic functions u . In 1999, Carbery, Seeger, Wainger and Wright [8] examined the maximal operators \mathcal{M}_t^m along one variable vector field. One of the authors in this paper further extended this result in [16].

Recently, in [13], Guo *et al.* investigated the L^p boundedness of \mathcal{M}_γ^u under the Lipschitz assumption for u and homogeneous curve $\gamma(t) = t^n$ for $n > 1$. Later, Liu, Song and Yu [20] extended the results to more general curves with the condition $\left| \frac{t\gamma''(t)}{\gamma'(t)} \right| \sim 1$. A crucial tool used in the proofs of both papers was the local smoothing estimate, which was established in [3, 21]. For more history, we recommend the study [19] by Victor Lie, which presents a unified approach and includes a more general view of this topic as well as problems related to the concept of non-zero curvature.

1.2. Notation

Let $\psi : \mathbb{R} \rightarrow \mathbb{R}$ be a non-negative C^∞ function supported on $[-2, 2]$ such that $\psi \equiv 1$ on $[-1, 1]$. Define $\varphi(t) = \psi(t) - \psi(2t)$ and $\varphi_l(t) = \frac{1}{2^l} \varphi(\frac{t}{2^l})$. Also, define $\psi^c(t) = 1 - \psi(t)$. Note that $\sum_{l \in \mathbb{Z}} \varphi(\frac{t}{2^l}) = 1$ for $t \neq 0$ and $\text{supp}(\varphi) \subset \{\frac{1}{2} \leq |x| \leq 2\}$. We define the Littlewood–Paley projection $\mathcal{L}_s f$ as $\widehat{\mathcal{L}_s f}(\xi) := \hat{f}(\xi) \varphi(\frac{\xi_1}{2^s})$. We shall use the notation $A \lesssim_d B$ when $A \leq C_d B$ with a constant $C_d > 0$ depending on the parameter d . Moreover, we write $A \sim_d B$, if $A \lesssim_d B$ and $B \lesssim_d A$. Let M_{HL} be the Hardy–Littlewood maximal operator and M^{str} be the strong maximal operator. Let χ_A be a characteristic function, which is equal to 1 on A and otherwise 0. Denote the dyadic pieces of intervals by

$$I_i = [2^{i-1}, 2^{i+1}] \cup [-2^{i+1}, -2^{i-1}],$$

$$\tilde{I}_i = [2^{i-2}, 2^{i+2}] \cup [-2^{i+2}, -2^{i-2}],$$

and the corresponding strips by $S_i = I_i \times \mathbb{R}$, $\tilde{S}_i = \tilde{I}_i \times \mathbb{R}$.

2. Reduction

In this section, we present three propositions that have broad applicability. Let $\Gamma : \mathbb{R}^2 \rightarrow \mathbb{R}$ be a measurable function and define a general class of operators

$$T_j f(x_1, x_2) := \int f(x_1 - t, x_2 - \Gamma(x_1, t)) \varphi_j(t) dt.$$

Proposition 2.1. *Define $T_j^{glo} f(x_1, x_2) := \psi_{j+4}^c(x_1) T_j f(x_1, x_2)$. Under the measurability assumption of Γ , we have*

$$\| \sup_j |T_j - T_j^{glo}| \|_p \leq C_p,$$

for $1 < p \leq \infty$.

Proof. Denote that $\tilde{\varphi}(\frac{x}{2^j}) = \sum_{k=-3}^4 \varphi(\frac{x}{2^{j+k}})$, which has a localized support $|x| \sim 2^j$. Let T_j^{loc} and T_j^{mid} be operator, defined by

$$\begin{aligned} T_j^{loc} f(x_1, x_2) &:= \psi_{j-4}(x_1) T_j f(x_1, x_2), \\ T_j^{mid} f(x_1, x_2) &:= \tilde{\varphi}\left(\frac{x_1}{2^j}\right) T_j f(x_1, x_2). \end{aligned}$$

Then, we can decompose $T_j - T_j^{glo}$ into $T_j^{mid} + T_j^{loc}$. For the operator T_j^{mid} , replace the sup as ℓ^p sum. Then, we have

$$\left\| \sup_{j \in \mathbb{Z}} |T_j^{mid} f| \right\|_{L^p(\mathbb{R}^2)} \leq \left(\sum_{j \in \mathbb{Z}} \|T_j^{mid} f\|_{L^p(\mathbb{R}^2)}^p \right)^{\frac{1}{p}}.$$

Denote $F(x_1) = \|f(x_1, \cdot)\|_{L^p(dx_2)}$. By applying Minkowski's integral inequality and a change of variables, we get the pointwise inequality:

$$\begin{aligned} \|T_j^{mid} f(x_1, \cdot)\|_{L^p(dx_2)} &\leq \int \left(\int |f(x_1 - t, x_2 - \Gamma(x_1, t))|^p dx_2 \right)^{\frac{1}{p}} \varphi_j(t) dt \\ &\leq \int F(x_1 - t) \varphi_j(t) dt \lesssim_{\varphi} M_{HL} F(x_1), \end{aligned} \tag{2.1}$$

where the second inequality follows from the fact that $\Gamma(x_1, t)$ is independent of x_2 . By (2.1) and the L^p boundedness of M_{HL} , we obtain

$$\left(\sum_{j \in \mathbb{Z}} \|T_j^{mid} f\|_{L^p(\mathbb{R}^2)}^p \right)^{\frac{1}{p}} \leq \left(\sum_j \int \tilde{\varphi}\left(\frac{x_1}{2^j}\right) |M_{HL} F(x_1)|^p dx_1 \right)^{\frac{1}{p}} \lesssim \|f\|_p.$$

which implies the L^p boundedness of $f \mapsto \sup_j |T_j^{\text{mid}} f|$ for $p > 1$. For the operator $T_j^{\text{loc}} f$, we observe the localization principle:

$$T_j^{\text{loc}} f(x_1, x_2) = T_j^{\text{loc}}(\chi_{S_j} f)(x_1, x_2).$$

By combining this with $\sup_{j \in \mathbb{Z}} \|T_j\|_p \leq C$, we get the following estimate:

$$\left\| \sup_{j \in \mathbb{Z}} |T_j^{\text{loc}} f| \right\|_p^p = \sum_{j \in \mathbb{Z}} \int |T_j^{\text{loc}} \chi_{S_j} f(x_1, x_2)|^p dx \leq C \sum_{j \in \mathbb{Z}} \int |\chi_{S_j} f(x_1, x_2)|^p dx \lesssim \|f\|_p^p.$$

Therefore, we prove $\|\sup_j |T_j - T_j^{\text{glo}}|\|_p \leq C_p$ for $1 < p \leq \infty$. □

By Proposition 2.1, in order to prove Theorem 1, it suffices to consider the maximal operator defined as

$$f \mapsto \sup_j |T_j^{\text{glo}} f|, \text{ where } T_j^{\text{glo}} = \psi_{j+4}^c T_j.$$

Proposition 2.2 (Space Reduction). *Let $T_j^\ell f(x_1, x_2) := \chi_{S_\ell}(x_1, x_2) T_j^{\text{glo}} f(x_1, x_2)$. Then, the following inequality holds:*

$$\left\| \sup_{j \in \mathbb{Z}} |T_j^{\text{glo}}| \right\|_{L^p \rightarrow L^p} \lesssim \sup_{\ell \in \mathbb{Z}} \left\| \sup_{j \in \mathbb{Z}} |T_j^\ell| \right\|_{L^p \rightarrow L^p}. \tag{2.2}$$

Proof. One can obtain (2.2) from the localization $T_j^\ell f(x_1, x_2) = T_j^\ell(\chi_{\tilde{S}_\ell} f)(x_1, x_2)$. □

Combining Proposition 2.1 and Proposition 2.2, we may restrict our attention to the maximal operator defined by $f \mapsto \sup_j |T_j^\ell|$, supported on $|x_1| \sim 2^\ell \gg 2^j$.

Proposition 2.3 (Frequency Reduction). *Suppose $\Gamma : \mathbb{R} \times [0, \infty) \rightarrow \mathbb{R}$ is measurable on \mathbb{R}^2 with $\Gamma(x_1, 0) = 0$ satisfying the following conditions:*

- For every $x_2 \in \mathbb{R}$, $x_1 \mapsto \Gamma(x_1, x_2)$ is measurable function.*
- For every $x_1 \in \mathbb{R}$, $x_2 \mapsto \Gamma(x_1, x_2)$ is convex increasing function.*

Let $\widehat{\mathcal{L}_j^{\text{low}} f}(\xi_1, \xi_2) := \widehat{f}(\xi_1, \xi_2) \psi(2^j \xi_1)$ for $f \in \mathcal{S}(\mathbb{R}^2)$. Then, there exists a constant C independent of Γ such that

$$\sup_{j \in \mathbb{Z}} |T_j(\mathcal{L}_j^{\text{low}} f)(x_1, x_2)| \leq CM^2 M^1 f(x_1, x_2),$$

where M^i is the Hardy–Littlewood maximal operator taken in the i th variable.

Proof. For $g \in \mathcal{S}(\mathbb{R}^1)$ and $2^{j-1} \leq |t| \leq 2^{j+1}$, we have

$$\int g(x_1 - t - s) \frac{1}{2^j} \check{\psi}\left(\frac{s}{2^j}\right) ds \lesssim_{\psi} M_{\text{HL}}g(x_1),$$

$$\frac{1}{r} \int_0^r g(x_2 - \Gamma(x_1, t)) dt \leq 2M_{\text{HL}}f(x_2 - \Gamma(x_1, 0)) = 2M_{\text{HL}}g(x_2),$$

where the second inequality follows from the convexity of $t \mapsto \Gamma(x_1, t)$. For more details, we refer to Lemma 2 in [12] and [6]. Since $T_j(\mathcal{L}_j^{\text{low}} f)(x_1, x_2)$ is a composition of the above two functions, we obtain the desired pointwise inequality. \square

Set $\widehat{\mathcal{L}_j^{\text{high}}} f(\xi_1, \xi_2) = \hat{f}(\xi_1, \xi_2) \psi^c(2^j \xi_1)$. Following Proposition 2.3, it is enough to show the estimate $\|\sup_j |T_j^\ell(\mathcal{L}_j^{\text{high}} f)|\|_p \lesssim \|f\|_p$.

3. Proof of main theorem 1

Following the reduction section, we only consider $\mathcal{T}_j^\ell(\mathcal{L}_j^{\text{high}} f)$, which is given by

$$\mathcal{T}_j^\ell(\mathcal{L}_j^{\text{high}} f)(x_1, x_2) := \psi_{j+4}^c(x_1) \chi_{S_\ell}(x) \int \mathcal{L}_j^{\text{high}} f(x_1 - t, x_2 - m(x_1)\gamma(t)) \varphi_j(t) dt,$$

supported on $|x_1| \sim 2^\ell \gg 2^j$.

3.1. Main difficulty

In a view of pseudo-differential operator, we write

$$\mathcal{T}_j^\ell(\mathcal{L}_j^{\text{high}} f)(x_1, x_2) = \int e^{2\pi i(x_1 \xi_1 + x_2 \xi_2)} b_j(x_1, \xi_1, \xi_2) \hat{f}(\xi_1, \xi_2) d\xi_1 d\xi_2,$$

with the symbol $b_j(x_1, \xi_1, \xi_2)$ given by

$$b_j(x_1, \xi_1, \xi_2) = \chi_{I_\ell}(x_1) \psi^c(2^j \xi_1) \int e^{-2\pi i(2^j t \xi_1 + m(x_1)\gamma(2^j t)\xi_2)} \varphi(t) dt.$$

When analysing an oscillatory integral with a phase $t\xi_1 + m(x_1)\gamma(t)\xi_2$, it is usual to decompose each frequency variable ξ_1 and ξ_2 with dyadic scale. Specifically, in the case of a homogeneous curve, we can even estimate the asymptotic behaviour of oscillatory integral. However, under the flat condition (1.1), this usual approach does not work, as there are no comparability condition $\left| \frac{\gamma'(2t)}{\gamma'(t)} \right| \sim 1$ and a finite type assumption for the curve. To overcome this situation, we will perform an angular decomposition in [11] for a function f and utilize the method in one of the author's paper [15].

3.2. Angular decomposition

Set

$$A_j(\xi_1, \xi_2) := \psi\left(\frac{\xi_1}{\xi_2 \gamma'(2^{j+1})}\right) - \psi\left(\frac{\xi_1}{\xi_2 \gamma'(2^{j-1})}\right)$$

and

$$\begin{aligned} \widehat{\mathcal{A}_j f}(\xi_1, \xi_2) &:= \widehat{f}(\xi_1, \xi_2) A_j(\xi_1, \xi_2), \\ \mathcal{A}_j^c f(x_1, x_2) &:= f(x_1, x_2) - \mathcal{A}_j f(x_1, x_2). \end{aligned}$$

Note that we have the following Littlewood–Paley estimate in [11]:

$$\left\| \left(\sum_{j \in \mathbb{Z}} |\mathcal{A}_j f|^2 \right)^{\frac{1}{2}} \right\|_{L^p(\mathbb{R}^2)} \lesssim \|f\|_{L^p(\mathbb{R}^2)} \text{ for } 1 < p < \infty.$$

We have $\mathcal{A}_j \mathcal{L}_j^{\text{high}} f(x) = \mathcal{A}_j f(x) - \mathcal{L}_j^{\text{low}} \mathcal{A}_j f(x)$. Then, it gives

$$|\mathcal{A}_j \mathcal{L}_j^{\text{high}} f(x_1, x_2)| \lesssim |\mathcal{A}_j f(x_1, x_2)| + |M^1 \mathcal{A}_j f(x_1, x_2)|$$

from the pointwise estimate $|\mathcal{L}_j^{\text{low}} f(x_1, x_2)| \lesssim M^1 f(x_1, x_2)$. By the vector valued estimate for Hardy–Littlewood maximal operator, the following estimate holds:

$$\left\| \left(\sum_{j \in \mathbb{Z}} |\mathcal{A}_j \mathcal{L}_j^{\text{high}} f|^2 \right)^{\frac{1}{2}} \right\|_{L^p(\mathbb{R}^2)} \lesssim \|f\|_{L^p(\mathbb{R}^2)} \text{ for } 1 < p < \infty. \tag{3.1}$$

We split $\mathcal{T}_j^\ell(\mathcal{L}_j^{\text{high}} f)$ into two terms:

$$\mathcal{T}_j^\ell(\mathcal{L}_j^{\text{high}} f) = \mathcal{T}_j^\ell(\mathcal{A}_j \mathcal{L}_j^{\text{high}} f) + \mathcal{T}_j^\ell(\mathcal{A}_j^c \mathcal{L}_j^{\text{high}} f).$$

Then, we shall prove the following:

$$\left\| \sup_{j \in \mathbb{Z}} |\mathcal{T}_j^\ell(\mathcal{A}_j \mathcal{L}_j^{\text{high}} f)| \right\|_{L^p(\mathbb{R}^2)} \lesssim \|f\|_{L^p(\mathbb{R}^2)}, \tag{3.2}$$

$$\left\| \sup_{j \in \mathbb{Z}} |\mathcal{T}_j^\ell(\mathcal{A}_j^c \mathcal{L}_j^{\text{high}} f)| \right\|_{L^p(\mathbb{R}^2)} \lesssim \|f\|_{L^p(\mathbb{R}^2)}. \tag{3.3}$$

We can obtain the estimate (3.2) for $p = 2$ from the following process:

$$\left\| \left(\sum_{j \in \mathbb{Z}} |\mathcal{T}_j^\ell(\mathcal{A}_j \mathcal{L}_j^{\text{high}} f)|^2 \right)^{\frac{1}{2}} \right\|_{L^p(\mathbb{R}^2)} \lesssim \left\| \left(\sum_{j \in \mathbb{Z}} |\mathcal{A}_j \mathcal{L}_j^{\text{high}} f|^2 \right)^{\frac{1}{2}} \right\|_{L^p(\mathbb{R}^2)} \lesssim \|f\|_p. \tag{3.4}$$

Furthermore, the range of p can be extended by a bootstrap argument detailed in Section 3.4. In the following proposition, we focus particularly on the term $\mathcal{T}_j^\ell(\mathcal{A}_j^c \mathcal{L}_j^{\text{high}} f)$ and prove the estimate (3.3). Furthermore, the range of p can be extended by a bootstrap argument detailed in Section 3.4. In the following proposition, we focus particularly on the term $\mathcal{T}_j^\ell(\mathcal{A}_j^c \mathcal{L}_j^{\text{high}} f)$ and prove the estimate (3.3).

Proposition 3.1. *Define the Littlewood–Paley projection $\widehat{\mathcal{L}}_j f(\xi_1, \xi_2) := \widehat{f}(\xi_1, \xi_2) \varphi(\frac{\xi_1}{2^j})$ so that $\mathcal{T}_j^\ell(\mathcal{A}_j^c \mathcal{L}_j^{\text{high}} f) = \sum_{n=0}^\infty \mathcal{T}_j^\ell(\mathcal{A}_j^c \mathcal{L}_{n-j} f)$. For $f \in L^p(\mathbb{R}^2)$, It holds that*

$$\left\| \sup_{j \in \mathbb{Z}} |\mathcal{T}_j^\ell(\mathcal{A}_j^c \mathcal{L}_{n-j} f)| \right\|_{L^p(\mathbb{R}^2)} \leq C 2^{-\varepsilon p n} \|f\|_{L^p(\mathbb{R}^2)}, \tag{3.5}$$

for $1 < p < \infty$ and $n \geq 0$.

Note that we need the following:

Lemma 3.1 (Reduction to one variable operator). *Consider the two operators \mathcal{R}_1 and \mathcal{R}_2^λ , given by*

$$\begin{aligned} \mathcal{R}_1 f(x_1, x_2) &:= \int_{\mathbb{R}^2} e^{2\pi i(x_1 \xi_1 + x_2 \xi_2)} a(x_1, \xi_1, \xi_2) \widehat{f}(\xi_1, \xi_2) d\xi_1 d\xi_2, \\ \mathcal{R}_2^\lambda g(x_1) &:= \int_{\mathbb{R}} e^{2\pi i x_1 \xi_1} a(x_1, \xi_1, \lambda) \widehat{g}(\xi_1) d\xi_1. \end{aligned}$$

for $f \in \mathcal{S}(\mathbb{R}^2)$ and $g \in \mathcal{S}(\mathbb{R})$. Then, $\|\mathcal{R}_1\|_{L^2(\mathbb{R}^2) \rightarrow L^2(\mathbb{R}^2)} \leq \sup_{\lambda \in \mathbb{R}} \|\mathcal{R}_2^\lambda\|_{L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})}$.

Proof of Lemma 3.1 Consider a function $f \in \mathcal{S}(\mathbb{R}^2)$ with $\|f\|_{L^2(\mathbb{R}^2)} = 1$. Denote $\mathcal{F}_2 f(x_1, \xi_2) = g_{\xi_2}(x_1)$. By Plancherl’s theorem with respect to x_2 , we get

$$\begin{aligned} \|\mathcal{R}_1 f\|_2^2 &= \int \left| \int_{\mathbb{R}^2} e^{2\pi i(x_1 \xi_1 + x_2 \xi_2)} a(x_1, \xi_1, \xi_2) \widehat{f}(\xi_1, \xi_2) d\xi_1 d\xi_2 \right|^2 dx_1 dx_2 \\ &= \int \left| \int_{\mathbb{R}} e^{2\pi i x_2 \lambda} \mathcal{R}_2^\lambda g_\lambda(x_1) d\lambda \right|^2 dx_2 dx_1 \\ &= \int |\mathcal{R}_2^\lambda g_\lambda(x_1)|^2 dx_1 d\lambda \leq \sup_{\lambda \in \mathbb{R}} \|\mathcal{R}_2^\lambda\|_{L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})}^2 \int |g_\lambda|_{L^2(\mathbb{R})}^2 d\lambda. \end{aligned}$$

which yields the desired estimate. □

3.3. Proof of Proposition 3.1

We shall prove $\|\mathcal{T}_j^\ell \mathcal{L}_{n-j} \mathcal{A}_j^c\|_{L^2(\mathbb{R}^2) \rightarrow L^2(\mathbb{R}^2)} \lesssim 2^{-\frac{n}{2}}$, which implies

$$\begin{aligned} \left\| \sup_j |\mathcal{T}_j^\ell(\mathcal{L}_{n-j} \mathcal{A}_j^c f)| \right\|_2^2 &\lesssim \sum_j \|\mathcal{T}_j^\ell \mathcal{L}_{n-j} \mathcal{A}_j^c(\mathcal{L}_{n-j} f)\|_2^2 \\ &\lesssim 2^{-n} \left\| \sum_j \mathcal{L}_{n-j} f \right\|_2^2 = 2^{-n} \|f\|_2^2. \end{aligned}$$

We write $\mathcal{T}_j^\ell \mathcal{L}_{n-j} \mathcal{A}_j^c f$ as

$$\mathcal{T}_j^\ell \mathcal{L}_{n-j} \mathcal{A}_j^c f(x_1, x_2) = \int e^{2\pi i(x_1 \xi_1 + x_2 \xi_2)} a_j(x_1, \xi_1, \xi_2) \hat{f}(\xi_1, \xi_2) d\xi_1 d\xi_2,$$

with symbol $a_j(x_1, \xi_1, \xi_2)$ given by

$$\chi_{I_\ell}(x_1) \varphi\left(\frac{\xi_1}{2^{n-j}}\right) A_j^c(\xi_1, \xi_2) \int_{\mathbb{R}} e^{-2\pi i(2^j t \xi_1 + m(x_1) \gamma(2^j t) \xi_2)} \varphi(t) dt.$$

By Lemma 3.1, to prove (3.5), it suffices to show

$$\|\mathcal{R}_j^\lambda\|_{L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})} \leq c_1 2^{-c_2 n},$$

where c_1 and c_2 are constants independent of j and λ and $\mathcal{R}_j^\lambda g(x) := \int e^{2\pi i x \xi} a_j(x, \xi, \lambda) \hat{g}(\xi) d\xi$ for $g \in \mathcal{S}(\mathbb{R})$. Note that $x \in \mathbb{R}$ and $\xi \in \mathbb{R}$. Hereafter, we omit j and λ in operators for simplicity. Observe that we write \mathcal{R} with kernel K

$$\begin{aligned} \mathcal{R}g(x) &= \int e^{2\pi i x \xi} a_j(x, \xi, \lambda) \left(\int e^{-2\pi i y \xi} g(y) dy \right) d\xi \\ &= \int K(x, y) g(y) dy, \end{aligned}$$

where

$$K(x, y) := \chi_{I_\ell}(x) \int e^{-2\pi i \lambda m(x) \gamma(2^j t)} \left(\int e^{2\pi i(x - 2^j t - y)\xi} \varphi\left(\frac{\xi_1}{2^{n-j}}\right) \widehat{A}_j^c(\xi, \lambda) d\xi \right) \varphi(t) dt.$$

Recall that $|x| \sim 2^\ell \gg 2^j$ and denote

$$\begin{aligned} Q_k &:= \{x \in \mathbb{R} : 2^{\ell-1} + k \cdot 2^j \leq |x| < 2^{\ell-1} + (k+1) \cdot 2^j\}, \\ Q'_k &:= \{x \in \mathbb{R} : 2^{\ell-1} + (k-4) \cdot 2^j \leq |x| < 2^{\ell-1} + (k+5) \cdot 2^j\}, \end{aligned}$$

for each integer k . We define the functions

$$G_k(x, y) := K(x, y)\chi_{Q_k}(x)\chi_{Q'_k}^c(y),$$

$$B_k(x, y) := K(x, y)\chi_{Q_k}(x)\chi_{Q'_k}(y)$$

and use them to split the operator \mathcal{R} as

$$\begin{aligned} \mathcal{R}g(x) &= \sum_{k=0}^{3 \cdot 2^{\ell-j-1}-1} \left(\int G_k(x, y)g(y)dy + \int B_k(x, y)g(y)dy \right) \\ &:= \sum_{k=0}^{3 \cdot 2^{\ell-j-1}-1} \left(\mathcal{G}_k g(x) + \mathcal{B}_k g(x) \right). \end{aligned}$$

Then, we shall prove the following:

Lemma 3.2. *There exist constants C_1 and C_2 independent of j, ℓ and λ such that*

$$\left\| \sum_{k=0}^{3 \cdot 2^{\ell-j-1}-1} \mathcal{G}_k \right\|_{L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})} \leq C_1 2^{-n}, \tag{3.6}$$

$$\left\| \sum_{k=0}^{3 \cdot 2^{\ell-j-1}-1} \mathcal{B}_k \right\|_{L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})} \leq C_2 2^{-\frac{n}{2}}. \tag{3.7}$$

Proof of (3.6). Recall that

$$K(x, y) := \int e^{-2\pi i \lambda m(x) \gamma(2^j t)} \left(\int e^{2\pi i(x-2^j t-y)\xi} \varphi\left(\frac{\xi}{2^{n-j}}\right) A_j^c(\xi, \lambda) d\xi \right) \varphi(t) dt.$$

We build our proof upon the following observation:

$$|G_k(x, y)| \lesssim \frac{2^j}{2^n |x-y|^2} \chi_{Q_k}(x) \psi^c\left(\frac{|x-y|}{2^j}\right). \tag{3.8}$$

□

Proof of (3.8). Note that $supp(\psi^c) \subset \{|x| > \frac{1}{2}\}$. We utilize the integration by parts twice with respect to ξ . Then, we get

$$\begin{aligned} \left| \int e^{2\pi i(x-2^j t-y)\xi} \varphi_{n-j}(\xi) A_j^c(\xi, \lambda) d\xi \right| &\lesssim \frac{1}{(x-2^j t-y)^2} \int \left| \partial_\xi^2 \left[\varphi\left(\frac{\xi}{2^{n-j}}\right) A_j^c(\xi, \lambda) \right] \right| d\xi \\ &\lesssim \frac{2^j}{2^n} \cdot \frac{1}{(x-2^j t-y)^2}. \end{aligned}$$

Since $|x - 2^j t - y| \gtrsim |x - y|$ on $x \in Q_k, y \in \mathbb{R} \setminus Q'_k$ for $\frac{1}{2} \leq t \leq 2$, we get the desired estimate. □

We shall deduce the following estimate:

$$\sum_{k=0}^{3 \cdot 2^{\ell-j-1}-1} \left(\int |G_k(x, y)| dx + \int |G_k(x, y)| dy \right) \lesssim 2^{-n}. \tag{3.9}$$

Proof of (3.9). By estimate (3.8) and the disjointness of Q_k s, we have

$$\begin{aligned} \sum_{k=0}^{3 \cdot 2^{\ell-j-1}-1} \int |G_k(x, y)| dx &\lesssim \frac{2^j}{2^n} \sum_k \int_{|x-y|>2^j} \frac{\chi_{Q_k}(x)}{|x-y|^2} dx \\ &\lesssim \frac{2^j}{2^n} \cdot \int_{|x|>2^j} \frac{1}{|x|^2} dx = 2^{-n}. \end{aligned}$$

and the second estimate also holds by the similar way. □

By Schur’s lemma with the estimate (3.9), we finish the proof of (3.6).

Proof of (3.7). For the operator \mathcal{B}_k , denote $g_k(y) = \chi_{Q'_k}(y)g(y)$. By the localization principle, we have

$$\left\| \sum_{k=0}^{3 \cdot 2^{\ell-j-1}-1} \mathcal{B}_k \right\|_{L^2 \rightarrow L^2} \lesssim \sup_{k \in \mathbb{Z}} \left(\sup_{\|g_k\|_2=1} \|\mathcal{B}_k g_k\|_2 \right). \tag{3.10}$$

To estimate $\|\mathcal{B}_k g_k\|_2$, we write it with the symbol expression again, which is

$$\mathcal{B}_k g_k(x) = \int e^{2\pi i x \xi} \chi_{Q_k}(x) a_j(x, \xi, \lambda) \widehat{g}_k(\xi) d\xi,$$

where

$$a_j(x, \xi, \lambda) = \chi_{I_\ell}(x) \varphi\left(\frac{\xi}{2^{n-j}}\right) A_j^c(\xi, \lambda) \int e^{-2\pi i(2^j t \xi + m(x_1) \gamma(2^j t) \lambda)} \varphi(t) dt,$$

Observe that

$$|a_j(x, \xi, \lambda)| \lesssim \frac{1}{2^j |\xi|}. \tag{3.11}$$

□

Proof of (3.11). From the support of $A_j^\xi(\xi, \lambda)$, we have $|\frac{\xi}{\lambda}| \approx |\gamma'(2^j t)|$ for $|t| \sim 1$. This enables us to apply the integration by parts with respect to variable t . Then, we get

$$\begin{aligned} & \left| \int e^{-2\pi i(\xi 2^j t + \lambda m(x)\gamma(2^j t))} \varphi(t) dt \right| \\ & \lesssim \left| \int e^{-2\pi i(\xi 2^j t + \lambda m(x)\gamma(2^j t))} \partial_t \left(\frac{\varphi(t)}{2^j(\xi + \lambda m(x)\gamma'(2^j t))} \right) dt \right| \\ & \lesssim \int \frac{|2^j \lambda m(x) 2^j \gamma''(2^j t)|}{\{2^j(\xi + \lambda m(x)\gamma'(2^j t))\}^2} \cdot \varphi(t) dt + \int \frac{|\varphi'(t)|}{|2^j(\xi + \lambda m(x)\gamma'(2^j t))|} dt \\ & \lesssim \int \frac{|\varphi'(t)|}{|2^j(\xi + \lambda m(x)\gamma'(2^j t))|} dt \lesssim \frac{1}{2^j |\xi|}. \end{aligned}$$

Then, we get the desired estimate. □

From the observation (3.11), it is easy to check

$$\begin{aligned} \int |\chi_{Q_k}(x) a_j(x, \xi, \lambda)| dx & \lesssim 2^{-(n-j)}, \\ \int |a_j(x, \xi, \lambda)| d\xi & \lesssim 2^{-j}. \end{aligned}$$

By Schur’s lemma with the above estimate and (3.10), we obtain (3.7) in Lemma 3.2.

3.4. A bootstrap argument for the proof of Theorem 1

In the spirit of Nagel, Stein and Wainger [22], we claim that

Lemma 3.3. *If $\|\sup_j |\mathcal{T}_j^\ell f|\|_{L^p(\mathbb{R}^2)} \leq C_1 \|f\|_{L^p(\mathbb{R}^2)}$ and $\|\mathcal{T}_j^\ell f\|_{L^r(\mathbb{R}^2)} \leq C_2 \|f\|_{L^r(\mathbb{R}^2)}$ for $1 < r < \infty$,*

$$\left\| \left(\sum_j |\mathcal{T}_j^\ell f_j|^2 \right)^{\frac{1}{2}} \right\|_{L^q(\mathbb{R}^2)} \leq (C_1 C_2)^{\varepsilon q} \left\| \left(\sum_j |f_j|^2 \right)^{\frac{1}{2}} \right\|_{L^q(\mathbb{R}^2)} \tag{3.12}$$

holds for all q with $\frac{1}{q} < \frac{1}{2}(1 + \frac{1}{p})$.

Proof. Consider vector valued functions $\mathfrak{f} = \{f_j\}$ and $\mathfrak{T}\mathfrak{f} = \{\mathcal{T}_j^\ell f_j\}$. Since the operator \mathcal{A}_j is a positive, it follows that $\|\mathfrak{T}\mathfrak{f}\|_{L^p(\mathbb{R}^2, l^\infty)} \lesssim \|\mathfrak{f}\|_{L^p(\mathbb{R}^2, l^\infty)}$ and $\|\mathfrak{T}\mathfrak{f}\|_{L^r(\mathbb{R}^2, l^r)} \lesssim \|\mathfrak{f}\|_{L^r(\mathbb{R}^2, l^r)}$ for r near 1. Applying the Riesz–Thorin interpolation for vector-valued function, we get the conclusion. □

Combining (3.4), Proposition 2.3 and Proposition 3.1, we obtain the estimate

$$\left\| \sup_{j \in \mathbb{Z}} |\mathcal{T}_j^\ell f| \right\|_p \leq C_p \|f\|_p \tag{3.13}$$

for $p = 2$. Moreover, we have

$$\|\mathcal{T}_j^\ell f\|_r \leq \|f\|_r \tag{3.14}$$

for $r > 1$. By using Lemma 3.3 with (3.13) and (3.14), we obtain (3.12) for $\frac{4}{3} < p \leq 2$. Then, by setting $\{f_j\}_{j \in \mathbb{Z}} = \{\mathcal{A}_j^c \mathcal{L}_{n-j} f\}_{j \in \mathbb{Z}}$ in (3.12) and applying interpolation with the decay estimate (3.5), we obtain Proposition 3.1 for $\frac{4}{3} < p \leq 2$. To treat the bad part in (3.4), set $\{f_j\}_{j \in \mathbb{Z}} = \{\mathcal{A}_j \mathcal{L}_j^{\text{high}} f\}_{j \in \mathbb{Z}}$. Then, we apply Lemma 3.3 again to get the first inequality of (3.4), which implies (3.13) for $\frac{4}{3} < p \leq 2$. We can iteratively apply Lemma 3.3 with a wider range of p until we get (3.13) for all $p > 1$. With this, we complete the proof of Main Theorem 1.

4. Application

In this section, we shall prove Corollary 1.1 and Corollary 1.2.

4.1. Proof of Corollary 1.1

For a measurable function $m : \mathbb{R} \rightarrow \mathbb{R}$, denote that

$$S_r^m f(x_1, x_2) = \frac{1}{2r} \int_{-r}^r f(x_1 - t, x_2 - m(x_1)\gamma(t)) dt,$$

$$\tilde{E}^k = \{(x_1, x_2) \in \mathbb{R}^2 : 2^k \leq m(x_1) \leq 2^{k+1}\}.$$

By Main Theorem 1 and the second part of Remark 1.1, one can easily check that

$$\left\| \sup_{r > 0} |\chi_{\tilde{E}^k}(\cdot) S_r^m f| \right\|_p \lesssim \|f\|_p. \tag{4.1}$$

To prove Corollary 1.1, it suffices to show that for each $\alpha > 0$ and $k \in \mathbb{Z}$, the set

$$E_\alpha^k = \left\{ (x_1, x_2) \in \tilde{E}^k : \limsup_{r \rightarrow 0} |S_r^m f(x_1, x_2) - f(x_1, x_2)| > 2\alpha \right\}$$

has measure zero. Consider a continuous function g_ε of compact support with $\|f - g_\varepsilon\|_p < \varepsilon$. One can see that $\limsup_{r \rightarrow 0} |S_r^m f(x_1, x_2) - f(x_1, x_2)| \leq \mathcal{M}_\gamma^m(f - g_\varepsilon)(x) + |g_\varepsilon(x) - f(x)|$. For F_α^k and G_α^k , defined by

$$F_\alpha^k = \{x \in E_\alpha^k : \mathcal{M}_\gamma^m(f - g_\varepsilon)(x) > \alpha\},$$

$$G_\alpha^k = \{x \in E_\alpha^k : |f(x) - g_\varepsilon(x)| > \alpha\},$$

we have $m(E_\alpha^k) \leq m(F_\alpha^k) + m(G_\alpha^k)$. Applying estimate (4.1), we get

$$m(F_\alpha^k) + m(G_\alpha^k) \leq \frac{2\varepsilon^p}{\alpha^p}.$$

As $\varepsilon \rightarrow 0$, we get the conclusion.

4.2. Proof of Corollary 1.2

In order to achieve our goal of removing the dependence of the coefficients of polynomial P on factors other than its degree, we consider the following lemma.

Lemma 4.1. *Given a polynomial P with degree d , we can find a partition $\{s_0, s_1, s_2, \dots, s_{n(d)}\}$ such that for each interval $[s_i, s_{i+1}]$, there exists a pair (m_i, s_{j_i}) with $1 \leq m_i \leq d$, satisfying*

$$\sup_{x \in [s_i, s_{i+1}]} \frac{|P(x)|}{|x - s_{j_i}|^{m_i}} \sim_d \inf_{x \in [s_i, s_{i+1}]} \frac{|P(x)|}{|x - s_{j_i}|^{m_i}}. \tag{4.2}$$

Proof of Lemma 4.1. We seek to construct a partition $\mathcal{P} = \{s_1, s_2, \dots, s_{n(d)}\}$ of $(-\infty, \infty)$ such that, for each subinterval $[s_i, s_{i+1}]$, there exist non-negative integers m_i and j_i satisfying (4.2). Consider a polynomial $P(x)$ represented by the following expression:

$$P(x) = \prod_{i=1}^{d_1} (x - \alpha_i)^{q_i},$$

where α_i are distinct real numbers. Let $U_i = \{x \in \mathbb{R} : |x - \alpha_i| < |x - \alpha_k| \text{ for all } k = 1, \dots, d_1\}$. For each i and k , let $\mathcal{U}_i^k(1) = \{x \in U_i : 2|x - \alpha_i| \geq |x - \alpha_k|\}$ and $\mathcal{U}_i^k(0) = \{x \in U_i : 2|x - \alpha_i| < |x - \alpha_k|\}$. Then, for any $x \in \mathbb{R}$, there exists an index i such that $x \in U_i$. We define the set-valued function F_i on $\{0, 1\}^{d_1}$ by $F_i(a) = \bigcap_{k=1}^{d_1} \mathcal{U}_i^k(a_k)$ for $a = (a_k) \in \{0, 1\}^{d_1}$. By using the set-valued function F , we can decompose each set U_i into a finite number of disjoint open intervals, that is,

$$U_i = \mathcal{U}_i^k(0) \cup \mathcal{U}_i^k(1) = \bigcap_{k=1}^{d_1} \left(\mathcal{U}_i^k(0) \cup \mathcal{U}_i^k(1) \right) = \bigcup_{a \in \{0, 1\}^{d_1}} F_i(a).$$

For each interval $F_i(a) = [s_i, s_{i+1}]$, we take $m = \sum_{\{k:a_k=1\}} q_k$ and $s_{j_i} = \alpha_i$. Observe that we have the following inequalities for each fixed i :

$$|x - \alpha_k| \sim |x - \alpha_i| \text{ for all } k \text{ such that } a_k = 1,$$

$$|x - \alpha_k| \sim |\alpha_i - \alpha_k| \text{ for all } k \text{ such that } a_k = 0.$$

By using these observation, we have (4.2) on $[s_i, s_{i+1}]$.

To handle a general polynomial, we can employ a similar approach. First, we can express the polynomial as

$$P(x) = \prod_{i=1}^{d_1} (x - \alpha_i)^{q_i} \prod_{i=1}^{d_2} \{(x - \beta_i)^2 + \delta_i^2\}^{r_i}.$$

To treat this, we give one more criterion comparing between $2|x - \alpha_i|$ and $\max\{|x - \beta_k|, |\delta_k|\}$ instead of $|x - \alpha_k|$. Then, the last part can be proved similarly. \square

Proof of the Corollary 1.2. Given a polynomial $P(x)$, we obtain a partition $\mathcal{P} = \{s_0, s_1, \dots, s_{n(d)}\}$ from Lemma 4.1. We then decompose $\mathcal{M}_\gamma^P f(x)$ as

$$\mathcal{M}_\gamma^P f(x) = \sum_{i=0}^{n(d)} \chi_{[s_i, s_{i+1}]}(x) \mathcal{M}_i f(x),$$

where $\mathcal{M}_i f(x) := \chi_{[s_i, s_{i+1}]}(x) \mathcal{M}_\gamma^P f(x)$. To complete the proof, it suffices to demonstrate that

$$\|\mathcal{M}_i f\|_p \leq C_d \|f\|_p.$$

By Lemma 4.1, there exists a pair (m_i, s) such that the following holds for $[s_i, s_{i+1}]$:

$$\sup_{x_1 \in [s_i, s_{i+1}]} \frac{|P(x_1)|}{|x_1 - s|^{m_i}} \sim_d \inf_{x_1 \in [s_i, s_{i+1}]} \frac{|P(x_1)|}{|x_1 - s|^{m_i}}.$$

Denote that $g_s(x_1, x_2) := f(x_1 + s, x_2)$ and consider the estimate

$$\|\mathcal{M}_i f\|_p^p = \int_{s_i-s}^{s_{i+1}-s} \int_{\mathbb{R}} \left(\sup_{r>0} \frac{1}{r} \int_0^r |g_s(x_1 - t, x_2 - P(x_1 + s)\gamma(t))| dt \right)^p dx_2 dx_1.$$

By applying Proposition 2.2, we can reduce matters to $|x_1| \sim 2^\ell$:

$$\left\| \sup_{j \in \mathbb{Z}} |\mathcal{P}_j^\ell g_s| \right\|_p \leq C_d \|f\|_p, \tag{4.3}$$

where $\mathcal{P}_j^\ell g_s(x)$ is defined as

$$\mathcal{P}_j^\ell g_s(x) := \chi_{I_\ell}(x_1) \psi_{j+4}^c(x_1) \int g_s(x_1 - t, x_2 - P(x_1 + s)\gamma(t)) \varphi_j(t) dt,$$

for ℓ such that $[2^{\ell-1}, 2^{\ell+1}] \cap [s_i - s, s_{i+1} - s] \neq \emptyset$. To prove (4.3), it is enough to check the hypothesis of Remark 1.1:

$$\frac{\sup_x |P(x + s)|}{\inf_x |P(x + s)|} \lesssim_d \frac{2^{(\ell+1)m_i}}{2^{(\ell-1)m_i}} \lesssim_d 1 \text{ for } |x| \in [2^{\ell-1}, 2^{\ell+1}],$$

where $1 \leq m_i \leq d$. This implies the conclusion. \square

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