

ON GROUP GRADED RINGS SATISFYING POLYNOMIAL IDENTITIES

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A number of classical theorems of ring theory deal with nilness and nilpotency of the Jacobson radical of various ring constructions (see [10], [18]). Several interesting results of this sort have appeared in the literature recently. In particular, it was proved in [1] that the Jacobson radical of every finitely generated PI-ring is nilpotent. For every commutative semigroup ring RS , it was shown in [11] that if $J(R)$ is nil then $J(RS)$ is nil. This result was generalized to all semigroup algebras satisfying polynomial identities in [15] (see [16, Chapter 21]). Further, it was proved in [12] that, for every normal band B , if $J(R)$ is nilpotent, then $J(RB)$ is nilpotent. A similar result for special band-graded rings was established in [13, Section 6]. Analogous theorems concerning nilpotency and local nilpotency were proved in [2] for rings graded by finite and locally finite semigroups.

This paper is devoted to the radicals of group graded rings, which have been actively investigated by many authors (see [10], [14]). Let G be a group. An associative ring $R = \bigoplus_{g \in G} R_g$ is said to be G -graded (strongly G -graded) if $R_g R_h \subseteq R_{gh}$ (respectively, $R_g R_h = R_{gh}$) for all $g, h \in G$.

First, we consider algebras over a field of characteristic zero. In this case our result will be also of interest in connection with the well-known problem of finding necessary and sufficient conditions for the Jacobson radical to be homogeneous. An ideal I of $R = \bigoplus_{g \in G} R_g$ is said to be homogeneous if $I = \bigoplus_{g \in G} I \cap R_g$. This problem has not been solved even for u.p.-groups (see [7], [8], [10]). Polynomial identities give what appears to be the first natural sufficient condition which is applicable to the case of arbitrary groups.

THEOREM 1. *Let G be a group with identity e , and let $R = \bigoplus_{g \in G} R_g$ be a G -graded PI-algebra over a field of characteristic zero. If the Jacobson radical $J(R_e)$ is nil, then $J(R)$ is a homogeneous nil ideal of R .*

The following corollary to the main theorem is worth mentioning.

COROLLARY 2. *Let G be a group with identity e , and let $R = \bigoplus_{g \in G} R_g$ be a strongly G -graded PI-algebra over a field of characteristic zero. If $J(R_e)$ is nilpotent, then $J(R)$ is nilpotent.*

It is impossible to replace strongly graded algebras by ordinary group graded algebras in Corollary 2. Indeed, let A be the free commutative algebra with free generators a_1, a_2, \dots . Denote by I the ideal of A generated by a_1, a_2^2, a_3^3, \dots . Then A/I is positively graded, and so $A/I = \bigoplus_{z \in Z} A_z$ where Z is the infinite cyclic group. Although $A_0 = 0$, it is clear that $J(A/I) = A/I$ is not nilpotent.

One cannot omit the restriction on the characteristic of the field neither in Theorem

1 nor in Corollary 2, as the results on the Jacobson radical of group algebras show (see [10, Section 44]). However, the conclusion of Theorem 1 concerning nilness holds for arbitrary PI-rings. Namely, the following graded analogue of the celebrated Braun's Nullstellensatz for PI-rings (see [1], [18]) is valid.

THEOREM 3. *Let G be a group with identity e , and let $R = \bigoplus_{g \in G} R_g$ be a G -graded PI-ring. If $J(R_e)$ is nil, then $J(R)$ is nil, too.*

Throughout $R = \bigoplus_{g \in G} R_g$ will be a G -graded ring, G a group with identity e . Let T be a subset of G . Put $R_T = \bigoplus_{i \in T} R_i$. For any $r \in R$, say $r = \sum_{g \in G} r_g$ where $r_g \in R_g$, we put $r_T = \sum_{i \in T} r_i$. If $I \subseteq R$ and $g \in G$, then we put $I_g = I \cap R_g$ and $I_T = I \cap R_T$. Further, for $r \neq 0$, denote the set of all non-zero homogeneous summands r_g of r by $H(r)$, and put $\text{supp}(r) = \{g \in G \mid r_g \neq 0\}$. Put $H(0) = 0$, $\text{supp}(0) = \emptyset$. Clearly, $H(r)$ and $\text{supp}(r)$ are finite sets. Let $H(I) = \bigcup_{r \in I} H(r)$. Then $H(R)$ is the set of all *homogeneous* elements of R .

Evidently, $H(R)$ is a multiplicative subsemigroup of R . By the *length* of r we mean $|\text{supp}(r)|$. Recall that a semigroup S is said to be *permutational* if there exists $n > 1$ such that, for any n elements x_1, \dots, x_n of S , their product can be rearranged as $x_1 \dots x_n = x_{\sigma_1} \dots x_{\sigma_n}$ for a non-trivial permutation σ . Every PI-ring (or PI-algebra) satisfies a multilinear identity, i.e. an identity of the form

$$x_1 \dots x_n + \sum_{1 \neq \sigma \in S_n} k_\sigma x_{\sigma_1} \dots x_{\sigma_n} = 0, \tag{1}$$

where S_n is the symmetric group, k_σ are integers (elements of the field in the case of algebras, see [17]). Let us begin with a known lemma (see [10, Proposition 6.18]), which will be used repeatedly.

LEMMA 4. *Let R be a G -graded ring, H a subgroup of G . Then $J(R_H) \supseteq R_H \cap J(R)$.*

LEMMA 5. *Let R be a G -graded PI-ring, I a homogeneous ideal of R contained in $J(R)$. If I_e is nil, then I is nil.*

Proof. Take any element r in $H(I)$. Since I is homogeneous, $r \in I$. Let $r \in J(R) \cap R_g$, where $g \in G$. If g is a periodic element, then there exists a positive integer n such that $r^n \in I \cap R_e = I_e$, and so r is nilpotent. Further, assume that g is not periodic. Denote by T the infinite cyclic group generated in G by g . Lemma 4 shows that $r \in J(R_T)$, and therefore r is nilpotent again in view of [10, Theorem 32.5]. Thus $H(I)$ is a multiplicative nil subsemigroup of R . Since R satisfies a polynomial identity, it follows from [17, Theorem 1.6.36], that I is nil, as required.

LEMMA 6. *Let G be a permutational group, R a G -graded PI-ring. If $J(R_e)$ is nil, then $J(R)$ is nil.*

Proof. By [16, Theorem 19.8], G is finite-by-abelian-by-finite. Take any $r \in J(R_G)$. Denote by S the subgroup generated in G by the support of r . It is easily seen that S is also finite-by-abelian-by-finite. Lemma 4 implies $r \in J(R_S)$, and so without loss of

generality we may assume that G is finitely generated itself. Then assertion (2.2) of [4] tells us that G is abelian-by-finite, i.e. G has an abelian normal subgroup A of finite index. Then A is finitely generated, too (see [9]). Therefore G contains a torsion-free abelian subgroup T of finite index. By [10, Corollary 22.8], R is graded by the finite group G/T with the identity component R_T . Therefore [15, Lemma 1.1(1)], shows that it suffices to prove that $J(R_T)$ is nil. However, $J(R_T)$ is homogeneous by [10, Theorem 30.28], because T is torsion-free abelian. Lemma 5 completes the proof.

LEMMA 7. *Let G be a permutational group, R a G -graded PI-algebra over a field of characteristic zero. If $J(R_e)$ is nil, then $J(R)$ is homogeneous.*

Proof. We shall verify that $H(J(R))$ consists of nilpotent elements. Then [17, Theorem 1.6.36], will show that $H(J(R))$ generates a homogeneous nil ideal I in R , and so $J(R) = I$ is homogeneous.

Pick any $r \in J(R)$ and $g \in \text{supp}(r)$. We claim that r_g is nilpotent. As in the beginning of the proof of Lemma 6, we may assume that G has a torsion-free abelian subgroup T of finite index. If we look at the natural G/T -gradation of R and apply [10, Theorem 30.28 (b)], and the fact that our field has characteristic zero, then we conclude that $J(R)$ is G/T -homogeneous. We may assume that the whole $\text{supp}(r)$ is contained in one T -coset of G (otherwise we would pass to the G/T -homogeneous summand of r corresponding to the coset containing g). Since G/T is finite, there exists a positive integer n such that $rr_g^n \in R_T$. Given that $J(R_e)$ is nil, [10, Theorem 32.5], implies that all the homogeneous summands of rr_g^n are nilpotent. Therefore r_g is nilpotent, as required.

Proof of Theorem 1. By Lemma 5 the largest homogeneous ideal I of R contained in $J(R)$ is nil. Obviously, R/I is a G -graded ring, and $J(R/I) = J(R)/I$. Therefore it suffices to prove Theorem 1 for R/I . To simplify the notation we may assume that from the very beginning $I = 0$.

Suppose that $J(R) \neq 0$. Choose a non-zero element r with a minimal length in $J(R)$. Denote by T and S the subgroup and, respectively, subsemigroup generated in G by $\text{supp}(r)$. Let $M = M(r)$ be the multiplicative subsemigroup generated in R by $H(r)$. We claim that $H(r)$ consists of nilpotent elements.

If S is permutational, then the group T is permutational too, by [16, Theorem 19.8], and so all elements in $H(r)$ are nilpotent in view of Lemmas 6 and 7.

Further, consider the case where S is not permutational. Let n be the degree of a multilinear identity (1) of R . There exist elements s_1, \dots, s_n in S such that $s_1 \dots s_n \neq s_{\sigma_1} \dots s_{\sigma_n}$ for all $\sigma \in S_n$ such that $\sigma \neq 1$. Clearly, there exist $x_1, \dots, x_n \in M$ such that $x_i \in R_{s_i}$ for all $i = 1, \dots, n$. Applying (1) to the elements x_1, \dots, x_n we get

$$x_1 \dots x_n \in R_{s_1 \dots s_n} \cap \sum_{1 \neq \sigma \in S_n} R_{s_{\sigma_1} \dots s_{\sigma_n}}, \tag{2}$$

whence $x_1 \dots x_n = 0$. It follows that $y_1 \dots y_m = 0$ for some $y_1, \dots, y_m \in H(r)$. Then we can choose m and the y_1, \dots, y_m such that $y_1 \dots y_{m-1} \neq 0$. Now look at the product $y_1 \dots y_{m-1}r$. It also belongs to $J(R)$ but has fewer homogeneous summands than r . Hence $y_1 \dots y_{m-1}r = 0$ by the choice of r . Since G is a group, we get $y_1 \dots y_{m-1}H(r) = 0$. Further, we can look at $y_1 \dots y_{m-2}rH(r) = 0$ and infer $y_1 \dots y_{m-2}(H(r)^2) = 0$. Reasoning like this m times, we conclude $(H(r))^m = 0$. In particular, all elements in $H(r)$ are nilpotent, again.

Denote by L the ideal generated in $H(R)$ by $H(r)$. Each non-zero element of L is a homogeneous summand of a certain element of positive minimal length in $J(R)$. It follows from what we have proved that L is a nil ideal of $H(R)$. Hence L is locally nilpotent by [17, Theorem 1.6.36]. Therefore L generates a nil subalgebra K in R . Evidently, K is a homogeneous ideal of R , and so $K \subseteq I$, contradicting the fact that $I = 0$. Thus $J(R) = I$, and so $J(R)$ is a homogeneous nil ideal of R .

Proof of Corollary 2. By Theorem 1 we get $J(R) = \bigoplus_{g \in G} I_g$, where $I_g = I \cap R_g$. Denote by n the nilpotency index of $J(R_e)$. Lemma 4 implies $I_e \subseteq J(R_e)$, and so $(I_e)^n = 0$. We claim that $J(R)^{2n} = 0$.

To this end we need only to verify that $x_1 \dots x_{2n} = 0$ for arbitrary homogeneous elements $x_1, \dots, x_{2n} \in J(R)$. Let $x_i \in I_{g_i}$, where $i = 1, \dots, 2n$. Given that $x_2 \in R_{g_2} = R_{g_1^{-1}R_{g_1g_2}}$, we can find $y_{j_1}^{(1)} \in R_{g_1^{-1}}$ and $z_{j_1}^{(1)} \in R_{g_1g_2}$ such that $x_2 = \sum_{j_1} y_{j_1}^{(1)} z_{j_1}^{(1)}$. Then $x_1 y_{j_1}^{(1)} \in I_e$ for all j_1 . Further, suppose that for some $i = 2, \dots, n$ elements $y_{j_{i-1}}^{(i-1)}$ and $z_{j_{i-1}}^{(i-1)}$ have been introduced such that $x_{2i-2} = \sum_{j_{i-1}} y_{j_{i-1}}^{(i-1)} z_{j_{i-1}}^{(i-1)}$ and all $z_{j_{i-1}}^{(i-1)} \in R_{g_1 \dots g_{2i-2}}$. Then $x_{2i} \in R_{g_{2i}} = R_{(g_1 \dots g_{2i-1})^{-1} R_{g_1 \dots g_{2i}}}$ and so there exist homogeneous elements $y_{j_i}^{(i)} \in R_{(g_1 \dots g_{2i-1})^{-1}}$ and $z_{j_i}^{(i)} \in R_{g_1 \dots g_{2i}}$ such that $x_{2i} = \sum_{j_i} y_{j_i}^{(i)} z_{j_i}^{(i)}$ and all $z_{j_{i-1}}^{(i-1)} x_{2i-1} y_{j_i}^{(i)}$ belong to I_e . Therefore

$$\begin{aligned} x_1 \dots x_{2n} &= \sum_{j_1 \dots j_n} (x_1 y_{j_1}^{(1)}) (z_{j_1}^{(1)} x_3 y_{j_2}^{(2)}) (z_{j_2}^{(2)} x_5 y_{j_3}^{(3)}) \dots (z_{j_{n-1}}^{(n-1)} x_{2n-1} y_{j_n}^{(n)}) z_{j_n}^{(n)} \\ &\in I_e^n \sum_{j_n} z_{j_n}^{(n)} = 0, \end{aligned}$$

which completes the proof.

Proof of Theorem 3. We shall prove that $J(R) = B(R)$, where $B(R)$ denotes the Baer radical of R . To this end we show that $R/B(R)$ is semisimple. Suppose to the contrary that $J(R/B(R)) \neq 0$. Since $R/B(R)$ is a subdirect product of prime PI-rings, there exists a prime PI-ring \bar{R} and a homomorphism f of R onto \bar{R} such that $f(J(R)) \neq 0$. By Posner's theorem (see [17]) \bar{R} is contained in a matrix ring D_m , where D is a division ring. For any $r \in R$ and $T \subseteq R$, put $\bar{r} = f(r)$, $\bar{T} = f(T)$.

Consider the set L of all $x \in H(R)$ such that either $\bar{x} = 0$ or \bar{x} has the smallest non-zero rank in D_m . By [16, Theorem 1.6], all non-zero elements of \bar{L} lie in the same completely 0-simple factor F of the multiplicative semigroup D_m . Obviously, \bar{L} is a multiplicative ideal of $\bar{H}(R)$, and so L is a multiplicative ideal of $H(R)$.

Put $M = LJ(R)L = \{xay \mid a \in J(R), x, y \in L\}$. Clearly, M is a multiplicative subsemigroup of R , because $J(R)$ is an ideal of R . Denote by I the subring generated in R by L . It follows that I is an ideal of R and \bar{I} is an ideal of \bar{R} . Since $\bar{I} \neq 0$ and \bar{R} is prime, we get $\overline{IJ(R)} \neq 0$, and so $\overline{IJ(R)I} \neq 0$. Since I consists of all finite sums of elements of L , we get $\bar{M} \neq 0$. We shall show that every element in \bar{M} is nilpotent.

Fix any non-zero $\bar{w} \in \bar{M}$. There exists $w = axb$, such that $a, b \in L$, $x \in J(R)$, $x = \sum_{k=1}^s x_k$, and all x_k are homogeneous. Elements \bar{a} and \bar{b} belong to the same completely 0-simple factor F of D_m . By [16, Theorem 1.3], we can represent F as a Rees

matrix semigroup $F = \mathcal{M}(G^0; I, \Lambda; P)$. (The definitions and standard properties of completely 0-simple semigroups and Rees matrix semigroups can be also found in each of the following monographs [3], [5], [6].) Let $\bar{a} = (i, g, \lambda)$ and $\bar{b} = (j, h, \mu)$, where $i, j \in I$, $\lambda, \mu \in \Lambda$, $g, h \in G$. It is routine to verify that $ax_k\bar{b} \in (i, G^0, \underline{\mu})$ for every $k = 1, \dots, s$.

If $p_{\mu i} = 0$, then $(i, G^0, \underline{\mu})^2 = 0$ in F , and therefore $(ax\bar{b})^2 = 0$ in F . Hence every element $(ax_k\bar{b})(ax_l\bar{b})$ has a smaller rank in D_m than \bar{a} . Therefore $(ax_k\bar{b})(ax_l\bar{b}) = 0$ where $1 \leq k, l \leq s$. Thus $\bar{w}^2 = 0$, as required.

Further, consider the case where $p_{\mu i} \neq 0$. By [16, Lemma 1.4], $P = (i, G, \mu)$ is a multiplicative subgroup of D_m . Put $T = \{g \in G \mid \bar{R}_g \cap P \neq \emptyset\}$. Clearly, T is a subsemigroup of G . Let n be the degree of a multilinear identity (1) satisfied in R . For any $g_1, \dots, g_n \in T$, we can choose $r_k \in R_{g_k}$ such that $\bar{r}_k \in P$, where $k = 1, \dots, n$. Applying (1) we get (2), which implies that T is permutational. By [16, Theorem 19.8], T generates a permutational subgroup Q in G . Lemma 6 shows that $J(R_Q)$ is nil.

Since all non-zero summands $ax_k\bar{b}$ belong to P , where $k = 1, \dots, s$, we get $ax_k\bar{b} \in R_Q$, and so $ax\bar{b} \in J(R_Q)$ by Lemma 4. Therefore $w = ax\bar{b}$ is a nilpotent element.

Thus \bar{M} is a multiplicative nil subsemigroup of D_m . Hence $\bar{M}^q = 0$ for some $q > 1$ by [18, Proposition 2.6.30]. Since \bar{M} is, evidently, closed under multiplication by elements of $H(R)$, it follows that \bar{M} generates a nilpotent ideal in \bar{R} . This contradicts the primeness of \bar{R} and completes the proof.

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