



Velocity and acceleration statistics of heavy spheroidal particles in turbulence

Sofia Allende¹ and Jérémie Bec^{2,3,†}

¹Earth and Climate Research, Earth and Life Institute, Université catholique de Louvain, 1348 Ottignies-Louvain-la-Neuve, Belgium

²Université Côte d'Azur, Inria, CNRS, Calisto team, 06900 Sophia Antipolis, France

³Mines Paris, PSL University, CNRS, Cemef, 06900 Sophia Antipolis, France

(Received 21 April 2023; revised 14 June 2023; accepted 17 June 2023)

Non-spherical particles transported by turbulent flow have a rich dynamics that combines their translational and rotational motions. Here, the focus is on small, heavy, inertial particles with a spheroidal shape fully prescribed by their aspect ratio. Such particles undergo an anisotropic, orientation-dependent viscous drag with the carrier fluid flow whose associated torque is given by the Jeffery equations. Direct numerical simulations of homogeneous, isotropic turbulence are performed to study systematically how the translational motion of such spheroidal particles depends on their shape and size. It is found that the Lagrangian statistics of both velocity and acceleration can be described in terms of an effective Stokes number obtained as an isotropic average over angles of the particle's orientation. Corrections to the translational motion of particles due to their non-sphericity and rotation can hence be recast as an effective radius obtained from such a mean.

Key words: isotropic turbulence, particle/fluid flow

1. Introduction

Small complex particles suspended in a turbulent flow occur in a wide variety of natural processes. They are present in the oceans as phytoplankton (Sengupta, Carrara & Stocker 2017) and in the atmosphere as volcanic ash (Del Bello *et al.* 2015) or sea-salt aerosols (Grythe *et al.* 2014). These instances play a key role in climate balances: the atmospheric concentration of CO₂ is partly regulated by phytoplankton blooms (Leblanc *et al.* 2018), while the Earth's radiative budget is largely impacted by airborne particles such as ash and salts (Prata & Lynch 2019; Horowitz *et al.* 2020). The dynamics of such particles involves

† Email address for correspondence: jeremie.bec@mines-paristech.fr

intricate internal and external physical interactions, the proper characterisation of which is still a challenge.

We are here interested in understanding and modelling the joint effects of the particles' inertia and non-sphericity on their transport by a turbulent flow and, for that, we focus on small, heavy, ellipsoidal particles. In the case of spherical particles, the influence of inertia has been intensively studied, both numerically and experimentally – see, for instance, Brandt & Coletti (2022) and references therein. In particular, it is now well known that inertia has two important signatures: preferential sampling, whereby particles are ejected from rotation-dominated regions of the flow and concentrate in those regions with high strain; and filtering, which is due to the delays that the particles have in following the fluid (Toschi & Bodenschatz 2009). The first effect dominates at low inertia and the particles oversample the most energetic regions of the flow, leading to velocity fluctuations slightly higher than those of the fluid. The second effect takes precedence at larger inertia, leading to a noticeable reduction of the particle kinetic energy. Concerning particle acceleration, these two mechanisms both contribute to a significant depletion of the most violent fluctuations. However, much less is known about non-spherical particles, for which translational and rotational dynamics are *a priori* tightly coupled. For example, preferential sampling could be significantly modified by the preferential alignment of the particles with the local geometrical structure of the flow.

The simplest instance of non-spherical particles is axisymmetric ellipsoids, also referred to as spheroids, whose shape is defined by a single parameter, their aspect ratio. Since Jeffery (1922), explicit equations for their translational and rotational motion have been known in the limit where their Reynolds number is zero. In recent years, significant work has been devoted to the dynamics of spheroidal particles in turbulent flows (see Voth & Soldati 2017). Two main issues have received attention. The first concerns the rotation rate of particles and how the relative contributions of spinning and tumbling depend on their shape. In the absence of inertia, rod-like particles in homogeneous isotropic flow align their axis of symmetry with the fluid vorticity, while disk-shaped particles have it orthogonal (Pumir & Wilkinson 2011; Ni, Ouellette & Voth 2014). As a result of this preferential alignment, inertialess prolate particles have a higher spinning rate than oblate ones, and *vice versa* for the tumbling rate (Parsa *et al.* 2012; Marcus *et al.* 2014; Byron *et al.* 2015), with similar observations in inhomogeneous, anisotropic channel flows (Marchioli & Soldati 2013; Baker & Coletti 2022). Inertia reduces both the tumbling and spinning rates because of preferential sampling (Gustavsson, Einarsson & Mehlig 2014; Zhao *et al.* 2015; Roy, Gupta & Ray 2018). The second issue studied at length is the effect of non-sphericity on the gravitational settling of particles. Small heavy spheroids tend to fall with a preferential orientation that fluctuates under the action of turbulence (Klett 1995; Siewert *et al.* 2014a; Anand, Ray & Subramanian 2020), with significant effects on their collision rates (Siewert, Kunnen & Schröder 2014b; Jucha *et al.* 2018). However, this problem is rather delicate, because the inertial torque of the fluid plays a dominant role (Gustavsson *et al.* 2019; Sheikh *et al.* 2020).

Previous studies on spheroidal particles have hence primarily focused on their orientation dynamics, with less attention given to their translational motion. However, Shapiro & Goldenberg (1993) and Zhang *et al.* (2001) suggested that shape effects on the deposition velocity of spheroids can be cast as an effective Stokes number based on an isotropic average of the particle mobility tensor (inverse of its drag/resistance). Direct numerical simulations (DNS) by Mortensen *et al.* (2008) and Challabotla, Zhao & Andersson (2015) in turbulent channel flow confirm that average translational motions weakly depend on the aspect ratio for spheroids with the same effective Stokes number.

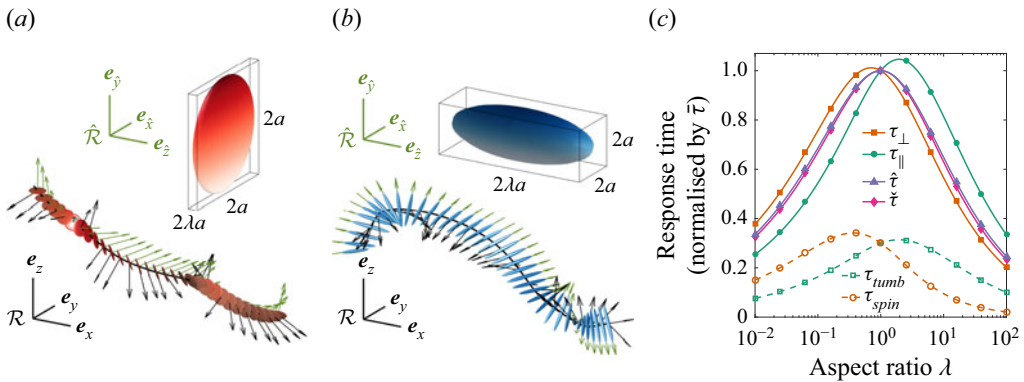


Figure 1. (a) An oblate particle, $\lambda < 1$, together with a representative trajectory on which green arrows stand for particle orientation e_z and black ones for its acceleration dV/dt . (b) Same for a prolate particle, $\lambda > 1$. (c) Various response times (see text for definitions), normalised by the equal-mass time $\bar{\tau} = 2\rho_p\lambda^{2/3}a^2/(9\rho_f\nu)$, as a function of the particle aspect ratio.

In this work, we focus on the fine turbulent fluctuations of the velocity and acceleration of heavy spheroids transported by a homogeneous isotropic flow. We provide evidence that these statistics, including rare events, are characterised in terms of the effective, isotropically averaged Stokes number. Such findings imply that the translational dynamics of small inertial spheroids is indistinguishable from that of small heavy spheres with an equivalent shape-dependent radius.

This paper is structured as follows. In §2, we briefly overview the equations of motion for inertial spheroids, discuss associated time scales and present our numerical simulations. In §3, we report and discuss our main results on the statistics of particle translational velocities and accelerations. Finally, in §4, we draw conclusions and offer perspectives for future work.

2. Dynamics of small inertial spheroids, time scales, and numerical methods

We focus on spheroidal particles, which are ellipsoids of revolution with two equal semi-axes $a = b$ and a principal axis $c = \lambda a$. The aspect ratio λ characterises their shape: oblate particles have $\lambda < 1$, spheres $\lambda = 1$ and prolate particles $\lambda > 1$ (see figure 1a,b). We consider the case where such particles are suspended in a developed, incompressible turbulent velocity field $\mathbf{u}(\mathbf{x}, t)$ and are much smaller than the associated Kolmogorov dissipative scale, namely $a, b, c \ll \eta = \nu^{3/4}/\varepsilon^{1/4}$, where ν is the fluid kinematic viscosity and ε is the mean dissipation rate of kinetic energy. Moreover, we assume that the particles are much heavier than the surrounding fluid, i.e. their mass density ρ_p is much larger than the fluid density ρ_f , and that their velocity relative to the fluid, together with their size, defines an infinitely small Reynolds number. Finally, the particles are presumed to be sufficiently dilute to neglect their feedback on the flow and turbulence is presumed to be sufficiently intense to ignore the effects of gravity.

The dynamics of non-spherical particles involves both translational and rotational motions. The translation is determined by the position \mathbf{X} and velocity \mathbf{V} of the particle's centre of mass in the inertial frame of reference $\mathcal{R} = (\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z)$ of the fluid flow. Under the above assumptions, they are given by the linear momentum equations (Brenner 1964)

$$\frac{d\mathbf{X}}{dt} = \mathbf{V}, \quad \frac{d\mathbf{V}}{dt} = -\frac{1}{\bar{\tau}} \mathbf{A}^T \mathbf{D} \mathbf{A} [\mathbf{V} - \mathbf{u}(\mathbf{X}, t)], \quad (2.1a,b)$$

where we have introduced the response time $\bar{\tau} = 2\rho_p\lambda^{2/3}a^2/(9\rho_f\nu)$ associated with a spherical particle with the same mass as the spheroid. Here \mathbf{A} denotes the rotation matrix that maps \mathcal{R} to the reference frame $\widehat{\mathcal{R}} = (\mathbf{e}_{\hat{x}}, \mathbf{e}_{\hat{y}}, \mathbf{e}_{\hat{z}})$ of the particle, $\mathbf{e}_{\hat{z}}$ being along its revolution axis (see figure 1a,b). The drag tensor is expressed in frame $\widehat{\mathcal{R}}$ where it is diagonal, $\mathbf{D} = \text{diag}[D_{\perp}, D_{\perp}, D_{\parallel}]$, with

$$D_{\perp} = \frac{8(\lambda^2 - 1)}{3\lambda^{1/3}[\chi(2\lambda^2 - 3) + \lambda]}, \quad D_{\parallel} = \frac{4(\lambda^2 - 1)}{3\lambda^{1/3}[\chi(2\lambda^2 - 1) - \lambda]} \quad (2.2a,b)$$

and

$$\chi = \frac{\log(\lambda + \sqrt{\lambda^2 - 1})}{\sqrt{\lambda^2 - 1}}. \quad (2.2c)$$

For detailed derivations of these drag coefficients, we refer the reader to Brenner (1964). Note that when $\lambda < 1$, the factor χ involves the logarithm of a unit-modulus complex number and reduces to $\chi = \arctan(\sqrt{1 - \lambda^2}/\lambda)/\sqrt{1 - \lambda^2}$, as usually stipulated for oblate particles.

The components of \mathbf{D} define two shape-dependent time scales, $\tau_{\perp} = \bar{\tau}/D_{\perp}$ and $\tau_{\parallel} = \bar{\tau}/D_{\parallel}$, associated with the drag in the directions perpendicular and parallel to the particle axis of symmetry, respectively. Shapiro & Goldenberg (1993) introduced an effective response time $\hat{\tau}$ by performing an isotropic average of the mobility tensor $\bar{\tau}\mathbf{D}^{-1}$ over all orientations:

$$\hat{\tau} = \frac{1}{3} \text{tr}(\mathbf{D}^{-1})\bar{\tau} = \frac{2\tau_{\perp} + \tau_{\parallel}}{3} = \bar{\tau}\lambda^{1/3}\chi = \frac{2\rho_p\lambda a^2}{9\rho_f\nu} \frac{\log(\lambda + \sqrt{\lambda^2 - 1})}{\sqrt{\lambda^2 - 1}}. \quad (2.3)$$

Fan & Ahmadi (1995) considered an alternative effective response time $\check{\tau}$ obtained by averaging the drag tensor rather than the mobility matrix. This corresponds to the harmonic mean of the orientation-dependent response times, namely

$$\check{\tau} = \left(\frac{1}{3} \text{tr}(\mathbf{D})\right)^{-1} \bar{\tau} = \left(\frac{2\tau_{\perp}^{-1} + \tau_{\parallel}^{-1}}{3}\right)^{-1} = \frac{\rho_p\lambda a^2}{2\rho_f\nu} \frac{4\chi^2(\lambda^2 - 1)^2 - (\lambda - \chi)^2}{(\lambda^2 - 1)[10\chi(\lambda^2 - 1) - 3(\lambda - \chi)]}. \quad (2.4)$$

The dependence of the two response times $\hat{\tau}$ and $\check{\tau}$ upon the aspect ratio λ is shown in figure 1(c). Surprisingly, their difference hardly exceeds a couple of per cent. The relative discrepancy $\Delta = (\hat{\tau} - \check{\tau})/\bar{\tau}$ between these two time scales can be obtained by asymptotic expansion in different limits. Specifically, we find $\Delta \simeq (2/225)(\lambda - 1)^2$ for nearly spherical particles ($\lambda \simeq 1$), $\Delta \simeq (\pi/56)\lambda^{1/3}$ for thin disks ($\lambda \ll 1$), and $\Delta \simeq (1/10)(\log \lambda)\lambda^{-2/3}$ for slender fibres ($\lambda \gg 1$). Such tiny differences make it almost impossible to select the most relevant of these two response times from numerical or experimental data. Nevertheless, as observed in figure 1(c), the difference between these two time scales and the perpendicular and transverse response times becomes more evident and exceeds 10% when there is a significant deviation of λ from 1. This substantial dependence on λ and $\bar{\tau}$ enables us to differentiate $\hat{\tau}$ and $\check{\tau}$ from other possible definitions of an effective particle response time.

Turning now to rotational dynamics, the orientation matrix \mathbf{A} evolves with an angular velocity $\boldsymbol{\Omega}$, which is more conveniently expressed in the particle reference frame

$\widehat{\mathcal{R}}$, so that

$$\frac{d\mathbf{A}}{dt} = - \begin{bmatrix} 0 & -\Omega_{\hat{z}} & \Omega_{\hat{y}} \\ \Omega_{\hat{z}} & 0 & -\Omega_{\hat{x}} \\ -\Omega_{\hat{y}} & \Omega_{\hat{x}} & 0 \end{bmatrix} \mathbf{A}, \quad \frac{d(\mathbf{I}\boldsymbol{\Omega})}{dt} + \boldsymbol{\Omega} \times (\mathbf{I}\boldsymbol{\Omega}) = \mathbf{T}, \quad (2.5a,b)$$

where $\mathbf{I} = (4/15)\pi\rho_p\lambda a^5 \text{diag}[1 + \lambda^2, 1 + \lambda^2, 2]$ is the spheroid’s moment of inertia about its principal axis and \mathbf{T} the hydrodynamic torque acting on the particle. The latter reads (Jeffery 1922)

$$\mathbf{T} = \begin{bmatrix} T_{\hat{x}} \\ T_{\hat{y}} \\ T_{\hat{z}} \end{bmatrix} = \frac{16}{3}\pi\rho_f\nu a^3 \begin{bmatrix} -\frac{\lambda^4 - 1}{\chi(2\lambda^2 - 1) - \lambda} \left(\Omega_{\hat{x}} - \frac{1}{2}\omega_{\hat{x}} + \frac{\lambda^2 - 1}{\lambda^2 + 1} S_{\hat{z}\hat{y}} \right) \\ -\frac{\lambda^4 - 1}{\chi(2\lambda^2 - 1) - \lambda} \left(\Omega_{\hat{y}} - \frac{1}{2}\omega_{\hat{y}} - \frac{\lambda^2 - 1}{\lambda^2 + 1} S_{\hat{x}\hat{z}} \right) \\ -\frac{\lambda^2 - 1}{\lambda - \chi} \left(\Omega_{\hat{z}} - \frac{1}{2}\omega_{\hat{z}} \right) \end{bmatrix}, \quad (2.6)$$

and depends on the fluid vorticity $\boldsymbol{\omega} = \nabla \times \mathbf{u}$ and strain tensor $\mathbf{S} = (\nabla\mathbf{u} + \nabla\mathbf{u}^T)/2$, both evaluated at the particle position and in the rotating reference frame $\widehat{\mathcal{R}}$. Note that the fluid velocity gradient sampled by the particle is itself determined by the dynamics (2.1a,b), resulting in an intricate coupling between translational and rotational motions through the fluid flow.

Equations (2.5a,b) and (2.6) define two rotational response times associated with the particle tumbling (rotation along the \hat{x} and \hat{y} axes) and with its spinning (rotation along the spheroid’s axis of symmetry \hat{z}). They correspond to the ratio between the components of the moment of inertia and the shape-dependent coefficient appearing in Jeffery’s torque and read

$$\tau_{tumb} = \frac{\rho_p\lambda a^2}{20\rho_f\nu} \frac{\chi(2\lambda^2 - 1) - \lambda}{\lambda^2 - 1} = \frac{3}{10}\tau_{\parallel}, \quad \tau_{spin} = \frac{\rho_p\lambda a^2}{10\rho_f\nu} \frac{\lambda - \chi}{\lambda^2 - 1} = \frac{3}{10}(2\tau_{\perp} - \tau_{\parallel}). \quad (2.7a,b)$$

These two times are displayed in figure 1(c). As stressed by Zhao *et al.* (2015) and Marchioli, Zhao & Andersson (2016), they remain shorter than the translational time scales for all values of the aspect ratio. More precisely, one can actually show that both the tumbling and the spinning response times are smaller than $(9/20)\hat{\tau}$ for all $\lambda > 0$, this bound being attained by τ_{tumb} for $\lambda \rightarrow \infty$ and by τ_{spin} for $\lambda \rightarrow 0$. The separation of time scales between the translational and rotational motions of the particle is hence clear, but one can question whether a factor ≈ 2 is enough to assume complete decoupling. To address this, we have recourse to DNS and investigate shape dependence in the statistics of heavy spheroids transported by a homogeneous isotropic turbulent flow.

The three-dimensional incompressible Navier–Stokes equations with large-scale forcing are integrated using the parallel pseudospectral solver *LaTu* with third-order Runge–Kutta time marching (see Homann, Dreher & Grauer 2007). Relevant simulation parameters are summarised in table 1. Equations (2.1a,b) and (2.5a,b) for the dynamics of spheroidal particles are integrated numerically using an exponential integrator with the same time stepping as the fluid flow. The fluid velocity and its gradient are obtained at the particle position by tricubic interpolation of the corresponding fields from the Eulerian grid. The orientation of each individual particle is represented as a quaternion

N^3	ν	Δt	ε	η	τ_η	u_{rms}	L	τ_L	Re_λ
1024 ³	2×10^{-4}	5×10^{-4}	0.431	0.0021	0.022	0.87	1.52	1.753	315

Table 1. The DNS parameters: N^3 , number of collocation points; ν , kinematic viscosity; Δt , time step; ε , average dissipation rate; $\eta = (\nu^3/\varepsilon)^{1/4}$, Kolmogorov dissipative scale; $\tau_\eta = (\nu/\varepsilon)^{1/2}$, Kolmogorov time; u_{rms} , root-mean-square velocity; $L = u_{rms}^3/\varepsilon$, large scale; $\tau_L = L/u_{rms}$, large-eddy turnover time; and $Re_\lambda = \sqrt{15} u_{rms}^2/(\nu\varepsilon)^{1/2}$, Taylor-scale Reynolds number.

(see Mortensen *et al.* 2008; Siewert *et al.* 2014a) to ease numerical integration and stability. In order to span the particles’ parameter space, we have considered 90 different families of 500 000 particles each. They combine nine different aspect ratios, ranging from $\lambda = 0.1$ to 10, and ten response times, spanning $\widehat{St} = \widehat{\tau}/\tau_\eta = 0.1$ to 6.4, where $\tau_\eta = (\nu/\varepsilon)^{1/2}$ denotes the Kolmogorov dissipative time scale. The properties of spheroids are stored with a period $\approx 6.8\tau_\eta$. Statistics are computed over approximately four large-eddy turnover times after a statistical steady state is reached.

3. Results and discussion

3.1. Fluctuations of particle velocities

To analyse the translational motion of inertial spheroidal particles, we start by measuring the fluctuations in the velocity of their centre of mass along Lagrangian trajectories. For this purpose, we introduce the particle root-mean-square (r.m.s.) velocity

$$V_{rms} = \langle V_i^2 \rangle^{1/2} = \langle \frac{1}{3} |V|^2 \rangle^{1/2} \tag{3.1}$$

computed from an arbitrary component $i = x, y, z$, where we recall that $V = (V_x, V_y, V_z)$ is the particle translational velocity in the reference frame of the fluid flow and $\langle \cdot \rangle$ denotes averages over both time and particle initial positions.

Figure 2(a) shows the particle r.m.s. velocity as a function of the isotropically averaged Stokes number $\widehat{St} = \widehat{\tau}/\tau_\eta$, which is obtained by non-dimensionalising the response time $\widehat{\tau}$ defined in (2.2c) by the Kolmogorov time scale. We observe that the data collected across a range of aspect ratios λ collapse on top of each other when plotted as a function of \widehat{St} . This indicates that the variance of the particle velocity is effectively as if given by an average over all its possible orientations. Note that, as argued in the previous section, a similar collapse in the data is observed if, instead of \widehat{St} , we use the harmonically averaged Stokes number $\check{St} = \check{\tau}/\tau_\eta$, with $\check{\tau}$ given by (2.4). As shown in the Appendix, other definitions of the Stokes number, based for instance on the drag parallel or perpendicular to its axis of symmetry, do not lead to any collapse of the data. Interestingly, our data indicate that the approach to the tracer limit $\widehat{St} \rightarrow 0$, where particle r.m.s. velocities converge to that of the fluid u_{rms} , depends on particle shape only through \widehat{St} up to statistical errors. In addition, the depletion of particle velocities occurring at large values of \widehat{St} , which is generally attributed to filtering of the fluid velocity, also seems independent of the aspect ratio λ . Finally, we find that at intermediate Stokes numbers, $\widehat{St} \lesssim 0.3$, the particles’ r.m.s. velocities are slightly larger than u_{rms} . This feature has previously been observed for spherical particles (Salazar & Collins 2012) and is due to the preferential sampling of energy-containing, strain-dominated regions of the flow by heavy inertial particles.

Preferential sampling is generally quantified by evaluating the mean trace of the squared fluid-velocity gradient $\langle \text{tr}[\nabla u^2(X, t)] \rangle$ along particle paths. The inset of figure 2(a) shows

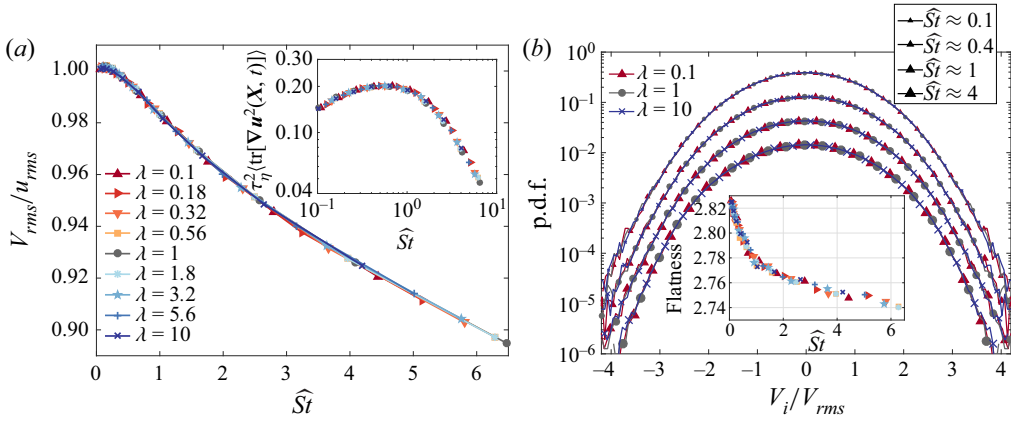


Figure 2. (a) The r.m.s. particle velocity V_{rms} as a function of the isotropically averaged Stokes number $\widehat{St} = \hat{\tau}/\tau_\eta$. Inset: Average of $\text{tr}(\nabla \mathbf{u}^2)$ along particle paths versus \widehat{St} . (b) The p.d.f. of the particle velocity components (normalised to unit variance) for combinations of $\bar{\tau}$ and $\lambda \in \{0.1, 1, 10\}$ associated with four different values of \widehat{St} (as labelled). The various curves have been vertically shifted by a factor 3 from each other to increase visibility. Inset: Flatness $\langle V_i^4 \rangle / V_{rms}^4$ of the particle velocity as a function of \widehat{St} for the various aspect ratios, labelled as in panel (a).

this quantity for various aspect ratios λ . Once again, the measurements collapse on top of each other when plotted as a function of the isotropically averaged Stokes number \widehat{St} . This confirms that the relevant response time of the spheroids is given by $\hat{\tau}$ (or $\check{\tau}$, up to statistical precision), rather than by the time $\bar{\tau}$ associated with a spherical particle with equivalent mass.

Higher-order velocity statistics also exhibit independence of shape. Figure 2(b) shows the probability distributions of particle-velocity components for $\lambda = 0.1, 1$ and 10 , using selected values of the response time such that $\widehat{St} \approx 0.1, 0.4, 1$ and 4 . The distributions associated with different aspect ratios collapse onto master curves that depend solely upon \widehat{St} , indicating that the isotropically averaged Stokes number captures dependence on particle shape for single-time velocity fluctuations. This observation is further supported by the flatness of the particle-velocity distributions shown in the inset of figure 2(b). Overall, our findings indicate that \widehat{St} is a robust and informative parameter for characterising velocity statistics of heavy spheroidal particles in homogeneous isotropic turbulent flow.

3.2. Fluctuations of particle accelerations

In the previous subsection, it was observed that the orientation of inertial spheroids appears to be uncorrelated with their translational motion. However, this behaviour might be due to the fact that velocity fluctuations involve only large-scale turbulent eddies, and, on such scales, the orientation may be effectively averaged in an isotropic manner. To investigate this possibility further, it is necessary to examine small-scale quantities, which motivates the study of particle accelerations.

We begin by computing the r.m.s. acceleration

$$a_{rms} = \langle a_i^2 \rangle^{1/2} = \langle |\mathbf{a}|^2 / 3 \rangle^{1/2}, \quad \text{with } \mathbf{a} = d\mathbf{V}/dt, \quad (3.2)$$

evaluated along an arbitrary component $i = x, y, z$. The inset of figure 3(a) illustrates the dependence of this quantity upon the particle aspect ratio λ . We observe that

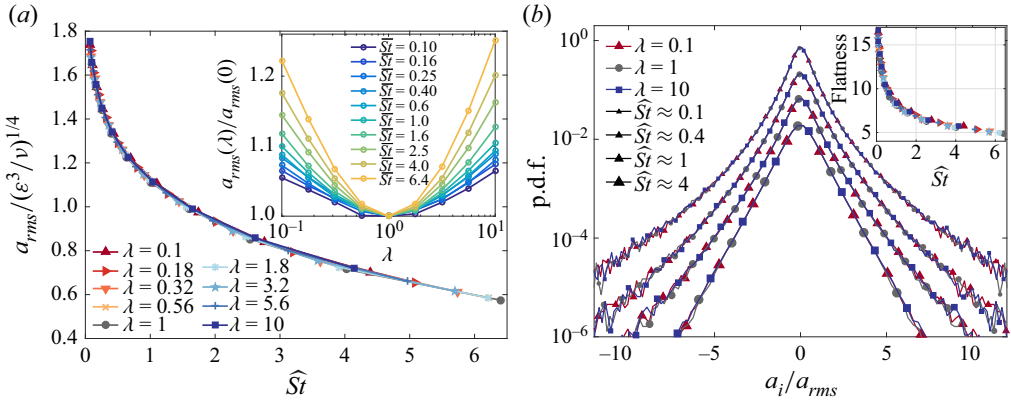


Figure 3. (a) The r.m.s. particle acceleration a_{rms} normalised to turbulent viscous units, as a function of \widehat{St} . Inset: Same, as a function of λ for various \widehat{St} , normalised here to the r.m.s. acceleration of a spherical particle with the same mass. (b) The p.d.f. of the components of particle acceleration (normalised to unit variance) for combinations of $\bar{\tau}$ and $\lambda \in \{0.1, 1, 10\}$ associated with four different \widehat{St} (as labelled). The curves have been shifted vertically by a factor 3 from each other to increase visibility. Inset: Flatness $\langle (dV_i/dt)^4 \rangle / a_{rms}^4$ of the particle acceleration as a function of \widehat{St} for the various aspect ratios, labelled as in panel (a).

$a_{rms}(\lambda)$ increases as non-sphericity becomes more pronounced, for all values of the equivalent-mass Stokes number $\bar{St} = \bar{\tau}/\tau_\eta$. However, when the particle shape is incorporated into the Stokes number, the behaviour of a sphere is recovered, as in the case of velocity fluctuations. Figure 3(a) indeed shows that the r.m.s. components of the particle acceleration depend solely on the isotropically averaged Stokes number \widehat{St} . The relevance of this effective Stokes number, as compared to other possible definitions, is further supported in the Appendix, where we use alternative approaches for representing the same data. It is worth noting that in figure 3(a) accelerations are normalised by the dimensional value expected for fluid elements, which is proportional to $\eta/\tau_\eta^2 = \varepsilon^{3/4}/\nu^{1/4}$. Our measurements suggest $a_{rms}/(\varepsilon^{3/4}/\nu^{1/4}) \approx 1.8$ in the limit $\widehat{St} \rightarrow 0$. This value, which depends on the fluid-flow Reynolds number, is consistent with previously reported measurements at $R_\lambda \approx 300$ (see e.g. Yeung *et al.* 2006). At large values of \widehat{St} , a continuous depletion of particle acceleration is observed, similar to the case of spherical particles. This depletion is caused by a complex interplay between preferential sampling and filtering, as discussed in Bec *et al.* (2006).

Figure 3(b) shows the probability density functions (p.d.f.s) of the acceleration components. Similar to the velocity components, distributions with the same value of \widehat{St} but different aspect ratios λ collapse on top of each other, even at large fluctuations. This trend is confirmed by the flatness of the distribution of particle acceleration shown as a function of \widehat{St} in the inset of figure 3(b). We emphasise that particle acceleration statistics depend solely on the isotropically averaged Stokes number \widehat{St} , just like velocity statistics, and can thus be straightforwardly deduced from those of spheres. This suggests again that there is a fundamental independence between the orientation of spheroidal particles and the dynamics of their centre of mass.

3.3. Two-time statistics

To highlight further the relevance of the isotropically averaged Stokes number, we study the time autocorrelations of the particle velocity and acceleration components

$$A_{vel}(t) = \langle \mathbf{V}(t) \cdot \mathbf{V}(0) \rangle / (3V_{rms}^2), \quad A_{acc}(t) = \langle \mathbf{a}(t) \cdot \mathbf{a}(0) \rangle / (3a_{rms}^2). \quad (3.3a,b)$$

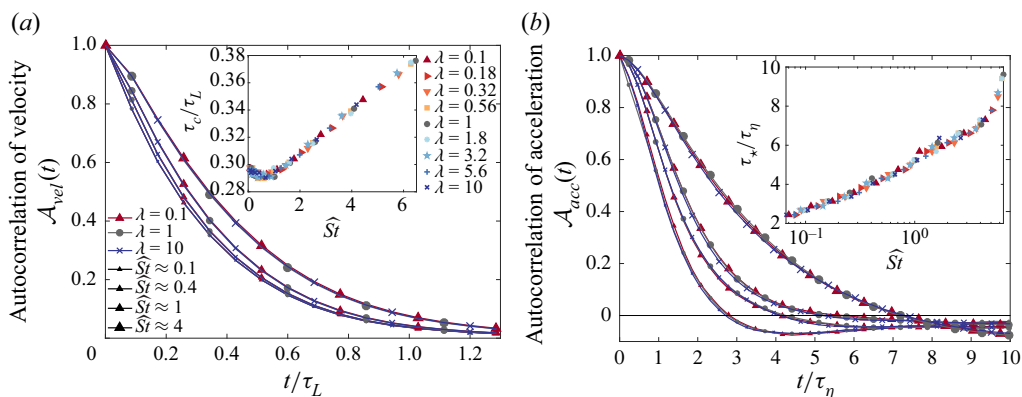


Figure 4. (a) Time autocorrelations \mathcal{A}_{vel} of particle velocity components for different combinations of λ and $\bar{\tau}$ associated with four values of \widehat{St} , as labelled. Inset: Correlation times τ_c obtained from exponential fits of \mathcal{A}_{vel} , plotted here as a function of \widehat{St} . (b) Time autocorrelations \mathcal{A}_{acc} of particle acceleration for the same aspect ratios and isotropically averaged Stokes numbers as in panel (a). Inset: Zero-crossing time τ_* of \mathcal{A}_{acc} .

The time correlation of particle velocity is shown for selected values of \widehat{St} and different aspect ratios λ in figure 4(a). These results provide further evidence for the importance of the isotropically averaged Stokes number. The autocorrelation $\mathcal{A}_{vel}(t)$ exhibits an exponential decay $\propto \exp(-t/\tau_c)$, allowing for an estimate of the Lagrangian correlation time τ_c of particle velocity. In the inset of figure 4(a), we plot τ_c as a function of \widehat{St} . For tracers, it is known that $\tau_c \approx 0.3\tau_L$ (see e.g. Yeung & Pope 1989), and this is recovered in our simulations. At large inertia, τ_c grows almost linearly as a function of \widehat{St} . Interestingly, for $\widehat{St} \lesssim 1$, the correlation time is slightly reduced by inertia, consistent with our previous observation that particles with small to intermediate inertia tend to oversample the highly energetic regions of the flow.

Figure 4(b) confirms the relevance of \widehat{St} for two-time acceleration statistics. The correlation time can now be estimated by the zero-crossing time τ_* , defined as the smallest time at which $\mathcal{A}_{acc}(\tau_*) = 0$. The inset of figure 4(b) shows that τ_* starts from $\approx 2.2\tau_\eta$ at $\widehat{St} = 0$ (as documented for tracers in Yeung & Pope (1989)), and then increases monotonically with the isotropically averaged Stokes number.

4. Concluding remarks

In this study, we investigated the dynamics of heavy spheroidal particles transported by a homogeneous isotropic turbulent flow. Our numerical simulations provided evidence that the isotropically averaged Stokes number, as introduced by Shapiro & Goldenberg (1993), and based on the effective response time obtained by averaging the particle mobility tensor over all possible orientations, captures the shape dependence in their translational dynamics. Whether this definition is more relevant than that proposed by Fan & Ahmadi (1995), where the drag tensor is averaged instead, remains to be determined by future work with much more precise statistics. Nevertheless, our results demonstrate that the single-particle statistics of an inertial spheroid, including the probability distributions of velocity and acceleration and their time correlations, are similar to those of an equivalent spherical particle whose effective radius can be written as $a_{eff} = (\lambda\chi)^{1/2}a$, where the dependence of χ on the aspect ratio λ is given in (2.2c).

This paves the way for the development of macroscopic models for turbulent transport of non-spherical particles, in which particles can be approximated as effective spheres, eliminating the need to consider the intricacies of their angular motion. This simplified approach holds significant potential for the various applications mentioned in the introduction. Our observations suggest that angular and translational dynamics are only weakly correlated, which can be explained by the fast time scales associated with particle spinning and tumbling. Specifically, the response to fluid-flow rotation is at least twice as fast as translational equilibration.

While our study has focused on statistically isotropic situations, it is worth noting that intricate relations between rotation and translation could arise when anisotropies are significant. A first instance is when particle gravitational settling is of the same order as turbulent motions. The preferential orientation of particles during their fall that sets their settling speed could compete with orientation fluctuations induced by turbulence. A second instance is flow with a mean shear or in the presence of boundaries. In these cases, prolate particles tend to orient in the direction of the flow, whereas oblate particles are more likely to align in the direction of its gradient. Turbulent structures in such flows also exhibit strong anisotropies, and the response of spheroids to turbulent fluctuations may depend non-trivially on their shape. Recent experimental results by Baker & Coletti (2022) suggest that, near to the walls of a channel flow, rods tumble more frequently than disks, and the latter respond more slowly to fluid-velocity fluctuations. These observations imply that efficient macroscopic models for the transport of spheroids by anisotropic flow may need to weigh differently the components of the particle mobility tensor. Further work is needed to develop a comprehensive understanding of the effects of anisotropies on the turbulent transport of non-spherical particles.

Finally, many questions remain unanswered regarding two-particle statistics of spheroids in turbulence. While we observed a decoupling between translation and rotation at the single-particle level, this may not hold true for their relative motion. Previous studies have shown that, in the absence of inertia, spheroidal particles tend to align with the eigendirections of the Cauchy–Green tensor (Ni *et al.* 2014), and we expect these correlations to persist for low-inertia particles. In this case, particles concentrate on dynamically evolving attractors with a fractal structure related to the stretching and compression directions of the Cauchy–Green tensor. This could lead to intricate relationships between clustering and alignment, possibly making it impossible to describe particle spatial patterns in terms of a single shape-dependent Stokes number. For particles with large inertia, the presence of caustics, where particles with very different histories come arbitrarily close to each other, competes with fractal clustering. Such particles are likely to have significantly different orientations, making it even more challenging to predict how their relative motion depends on their shape.

Acknowledgements. We are deeply grateful to C. Siewert for numerous discussions and we acknowledge the precious help of H. Homann and J.-I. Polanco for the implementation of spheroids in the *LaTu* code.

Funding. Computational resources were provided by GENCI (grant IDRIS 2019-A0062A10800) and by the OPAL infrastructure from Université Côte d’Azur. This work received support from the UCA-JEDI Future Investments, funded by the French Government (grant no. ANR-15-IDEX-01), and from the Agence Nationale de la Recherche (grant no. ANR-21-CE30-0040-01).

Declaration of interests. The authors report no conflict of interest.

Author ORCIDs.

 Jérémie Bec <https://orcid.org/0000-0002-3618-5743>.

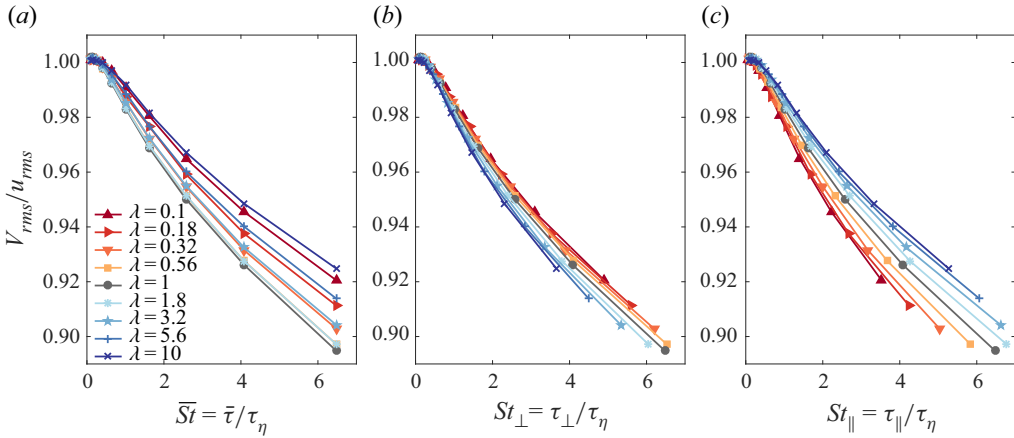


Figure 5. The r.m.s. particle velocity V_{rms} for particles with various response times and aspect ratios, plotted against different definitions of the Stokes number: (a) the equivalent-mass Stokes number $\bar{St} = \bar{\tau}/\tau_\eta$, (b) the perpendicular Stokes number $St_\perp = \tau_\perp/\tau_\eta$, and (c) the parallel Stokes number $St_\parallel = \tau_\parallel/\tau_\eta$.

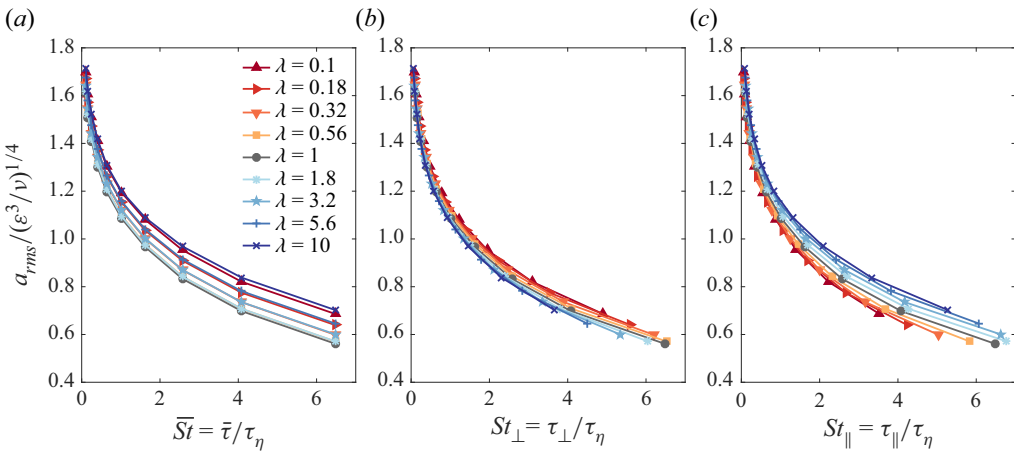


Figure 6. The r.m.s. particle acceleration a_{rms} for particles with various response times and aspect ratios, plotted against different definitions of the Stokes number: (a) the equivalent-mass Stokes number $\bar{St} = \bar{\tau}/\tau_\eta$, (b) the perpendicular Stokes number $St_\perp = \tau_\perp/\tau_\eta$, and (c) the parallel Stokes number $St_\parallel = \tau_\parallel/\tau_\eta$.

Appendix. Testing alternative definitions of the Stokes number

In order to provide additional evidence regarding the relevance of the isotropically averaged Stokes number, we compare the results obtained with other definitions of the Stokes number. These alternative definitions include the equivalent-mass Stokes number $\bar{St} = \bar{\tau}/\tau_\eta$ and those based on the drag coefficients parallel or perpendicular to the particle axis of symmetry. Figures 5 and 6 present the particle r.m.s. velocity and acceleration, respectively, plotted as a function of these alternative definitions. It is evident that the data do not exhibit a clear collapse, or at least the collapse is by far much less convincing compared to the corresponding figures 2(a) and 3(a) that use \hat{St} .

REFERENCES

- ANAND, P., RAY, S.S. & SUBRAMANIAN, G. 2020 Orientation dynamics of sedimenting anisotropic particles in turbulence. *Phys. Rev. Lett.* **125**, 034501.
- BAKER, L.J. & COLETTI, F. 2022 Experimental investigation of inertial fibres and disks in a turbulent boundary layer. *J. Fluid Mech.* **943**, A27.
- BEC, J., BIFERALE, L., BOFFETTA, G., CELANI, A., CENCINI, M., LANOTTE, A., MUSACCHIO, S. & TOSCHI, F. 2006 Acceleration statistics of heavy particles in turbulence. *J. Fluid Mech.* **550**, 349–358.
- BRANDT, L. & COLETTI, F. 2022 Particle-laden turbulence: progress and perspectives. *Annu. Rev. Fluid Mech.* **54**, 159–189.
- BRENNER, H. 1964 The Stokes resistance of a slightly deformed sphere. *Chem. Engng Sci.* **19**, 519–539.
- BYRON, M., EINARSSON, J., GUSTAVSSON, K., VOTH, G.A., MEHLIG, B. & VARIANO, E. 2015 Shape-dependence of particle rotation in isotropic turbulence. *Phys. Fluids* **27**, 035101.
- CHALLABOTLA, N., ZHAO, L. & ANDERSSON, H. 2015 Orientation and rotation of inertial disk particles in wall turbulence. *J. Fluid Mech.* **766**, R2.
- DEL BELLO, E., TADDEUCCI, J., SCARLATO, P., GIACALONE, E. & CESARONI, C. 2015 Experimental investigation of the aggregation-disaggregation of colliding volcanic ash particles in turbulent, low-humidity suspensions. *Geophys. Res. Lett.* **42**, 1068–1075.
- FAN, F.-G. & AHMADI, G. 1995 Dispersion of ellipsoidal particles in an isotropic pseudo-turbulent flow field. *Trans. ASME J. Fluids Engng* **117**, 154–161.
- GRYTHE, H., STRÖM, J., KREJCI, R., QUINN, P. & STOHL, A. 2014 A review of sea-spray aerosol source functions using a large global set of sea salt aerosol concentration measurements. *Atmos. Chem. Phys.* **14**, 1277–1297.
- GUSTAVSSON, K., EINARSSON, J. & MEHLIG, B. 2014 Tumbling of small axisymmetric particles in random and turbulent flows. *Phys. Rev. Lett.* **112**, 014501.
- GUSTAVSSON, K., SHEIKH, M.Z., LOPEZ, D., NASO, A., PUMIR, A. & MEHLIG, B. 2019 Effect of fluid inertia on the orientation of a small prolate spheroid settling in turbulence. *New J. Phys.* **21** (8), 083008.
- HOMANN, H., DREHER, J. & GRAUER, R. 2007 Impact of the floating-point precision and interpolation scheme on the results of DNS of turbulence by pseudo-spectral codes. *Comput. Phys. Commun.* **177** (7), 560–565.
- HOROWITZ, H.M., *et al.* 2020 Effects of sea salt aerosol emissions for marine cloud brightening on atmospheric chemistry: implications for radiative forcing. *Geophys. Res. Lett.* **47**, e2019GL085838.
- JEFFERY, G. 1922 The motion of ellipsoidal particles immersed in a viscous fluid. *Proc. R. Soc. A* **102** (715), 161–179.
- JUCHA, J., NASO, A., LÉVÊQUE, E. & PUMIR, A. 2018 Settling and collision between small ice crystals in turbulent flows. *Phys. Rev. Fluids* **3** (1), 014604.
- KLETT, J.D. 1995 Orientation model for particles in turbulence. *J. Atmos. Sci.* **52** (12), 2276–2285.
- LEBLANC, K., *et al.* 2018 Nanoplanktonic diatoms are globally overlooked but play a role in spring blooms and carbon export. *Nat. Commun.* **9**, 953.
- MARCHIOLI, C. & SOLDATI, A. 2013 Rotation statistics of fibers in wall shear turbulence. *Acta Mech.* **224**, 2311–2329.
- MARCHIOLI, C., ZHAO, L. & ANDERSSON, H.I. 2016 On the relative rotational motion between rigid fibers and fluid in turbulent channel flow. *Phys. Fluids* **28**, 013301.
- MARCUS, G.G., PARSA, S., KRAMEL, S., NI, R. & VOTH, G.A. 2014 Measurements of the solid-body rotation of anisotropic particles in 3D turbulence. *New J. Phys.* **16**, 102001.
- MORTENSEN, P.H., ANDERSSON, H.I., GILLISSEN, J.J.J. & BOERSMA, B.J. 2008 Dynamics of prolate ellipsoidal particles in a turbulent channel flow. *Phys. Fluids* **20**, 093302.
- NI, R., OUELLETTE, N.T. & VOTH, G.A. 2014 Alignment of vorticity and rods with Lagrangian fluid stretching in turbulence. *J. Fluid Mech.* **743**, R3.
- PARSA, S., CALZAVARINI, E., TOSCHI, F. & VOTH, G.A. 2012 Rotation rate of rods in turbulent fluid flow. *Phys. Rev. Lett.* **109**, 134501.
- PRATA, F. & LYNCH, M. 2019 Passive earth observations of volcanic clouds in the atmosphere. *Atmosphere* **10** (4), 199.
- PUMIR, A. & WILKINSON, M. 2011 Orientation statistics of small particles in turbulence. *New J. Phys.* **13**, 093030.
- ROY, A., GUPTA, A. & RAY, S.S. 2018 Inertial spheroids in homogeneous, isotropic turbulence. *Phys. Rev. E* **98**, 021101.
- SALAZAR, J.P.L.C. & COLLINS, L.R. 2012 Inertial particle relative velocity statistics in homogeneous isotropic turbulence. *J. Fluid Mech.* **696**, 45–66.

Velocity and acceleration of heavy spheroids in turbulence

- SENGUPTA, A., CARRARA, F. & STOCKER, R. 2017 Phytoplankton can actively diversify their migration strategy in response to turbulent cues. *Nature* **543** (7646), 555–558.
- SHAPIRO, M. & GOLDENBERG, M. 1993 Deposition of glass fiber particles from turbulent air flow in a pipe. *J. Aerosol Sci.* **24**, 65–87.
- SHEIKH, M.Z., GUSTAVSSON, K., LOPEZ, D., LÉVÊQUE, E., MEHLIG, B., PUMIR, A. & NASO, A. 2020 Importance of fluid inertia for the orientation of spheroids settling in turbulent flow. *J. Fluid Mech.* **886**, A9.
- SIEWERT, C., KUNNEN, R.P.J., MEINKE, M. & SCHRÖDER, W. 2014a Orientation statistics and settling velocity of ellipsoids in decaying turbulence. *Atmos. Res.* **142**, 45–56.
- SIEWERT, C., KUNNEN, R.P.J. & SCHRÖDER, W. 2014b Collision rates of small ellipsoids settling in turbulence. *J. Fluid Mech.* **758**, 686–701.
- TOSCHI, F. & BODENSCHATZ, E. 2009 Lagrangian properties of particles in turbulence. *Annu. Rev. Fluid Mech.* **41**, 375–404.
- VOTH, G.A. & SOLDATI, A. 2017 Anisotropic particles in turbulence. *Annu. Rev. Fluid Mech.* **49**, 249–276.
- YEUNG, P.-K. & POPE, S. 1989 Lagrangian statistics from direct numerical simulations of isotropic turbulence. *J. Fluid Mech.* **207**, 531–586.
- YEUNG, P.-K., POPE, S., LAMORGESE, A. & DONZIS, D. 2006 Acceleration and dissipation statistics of numerically simulated isotropic turbulence. *Phys. Fluids* **18** (6), 065103.
- ZHANG, H., AHMADI, G., FAN, F.G. & MCLAUGHLIN, J.B. 2001 Ellipsoidal particles transport and deposition in turbulent channel flows. *Intl J. Multiphase Flow* **27** (6), 971–1009.
- ZHAO, L., CHALLABOTLA, N., ANDERSSON, H. & VARIANO, E. 2015 Rotation of nonspherical particles in turbulent channel flow. *Phys. Rev. Lett.* **115** (24), 244501.