

LETTER TO THE EDITOR

GENERAL NÉRON DESINGULARIZATION AND APPROXIMATION

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This letter concerns our papers [4], [5] and its aim is to give a simplification to the proof of the General Néron Desingularization (see [5] (2.4) or here below) together with a small reparation; as T. Ogoma pointed out in [3], our Lemma (9.5) from [4] does not hold in the condition iii₂) (this is true because the “changing” from line 5 from down the page 123 [4] may not preserve iii₂)). However our results were not affected in characteristic zero (they use just iii₁) from [4] (9.5)). In [3] Ogoma gives a nice simplification of our proof. Though completely based on our papers his simplification contains two new ideas:

1) a procedure to pass from a system of elements which is regular in a localization to a “good enough” system (see [3] (4.3), (4.5) or here Lemma 6 and Corollary 7).

2) the so called “residual smoothing”.

First idea is very important and should be part of all possible simplifications. The second idea is a difficult notion which hides a lot of details. Our simplification does not use such hard notions or hard results from characteristic $p > 0$ as the Nica-Popescu Theorem [2] (1.1) but certainly it is inspired by [3], [4] and [5]. Moreover we believe that our simplification preserves better the flavour of the old Néron desingularization (compare our Step 4 and [4] Section 6).

Let $u: A \rightarrow A'$ be a morphism of Noetherian rings, B a finite type A -algebra and $f: B \rightarrow A'$ an A -morphism. A *desingularization of (B, f) with respect to u* is a standard smooth A -algebra B' together with two A -morphisms $g: B \rightarrow B'$, $h: B' \rightarrow A'$ such that $f = hg$.

General Néron desingularization ([5] (2.4)). If u is regular then (B, f) has a desingularization with respect to u .

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The proof follows by Noetherian induction on $\sqrt{f(H_{B/A})A'}$ from the following Theorem (see e.g. [4] (5.2)), where $H_{B/A}$ is the ideal defining the nonsmooth locus of B over A .

THEOREM 1. *Suppose that $A_{u^{-1}q} \rightarrow A'_q$ is formally smooth for a minimal prime over-ideal q of $\alpha := \sqrt{f(H_{B/A})A'}$ such that $u^{-1}q$ is a minimal prime over-ideal of $u^{-1}\alpha$. Then there exist a finite type A -algebra B' and two A -morphisms $g: B \rightarrow B'$, $h: B' \rightarrow A'$ such that $hg = f$ and*

$$f(H_{B/A})A' \subset \sqrt{h(H_{B'/A})A'} \not\subset q.$$

Remark. i) The condition “ $u^{-1}q$ is a minimal prime over-ideal of $u^{-1}\alpha$ ” does not appear in [4], [5]. There we have another more complicated condition concerning the flatness of u . However for our Noetherian induction (see above) does not matter the order in which we choose for desingularization the minimal prime over-ideals of α (if somebody insist to prove Theorem 1 without the above condition then Step 1 will be much more complicated; an idea is given at the end of Step 4 namely to pass from d to δd where $\delta \notin q$ belongs to all minimal prime over-ideals $\neq q$ of α).

ii) The Question [4] (1.3) seems to be older than we expect it (see e.g. [6]).

The proof of Theorem is based on [4] (9.1), (9.2) and some preliminaries which we present below.

LEMMA 2. *Let $q \subset A'$, be a prime ideal and $j: A' \rightarrow A'_q$ the canonical map. If (B, f) has a desingularization with respect to ju then there exist a finite type B -algebra B' and a B -morphism $h: B' \rightarrow A'$ such that $h(H_{B'/A}) \not\subset q$.*

The Lemma is quite elementary. Given a desingularization (C, α, β) of (B, f) with respect to ju let us say $C \cong B[X]/(F)$, $\beta: C \rightarrow A'_q$, $X \rightarrow y/t$, $y, t \in A'$ then we may take $B' := B[Y, T]/(G)$, $h: B' \rightarrow A'$, $(Y, T) \rightarrow (y, t)$, where $G = T^s F(Y/T)$ for a certain high enough positive integer s .

LEMMA 3. *Let $q \subset A'$ be a minimal prime ideal and $j: A' \rightarrow A'_q$ the canonical map. If (B, f) has a desingularization with respect to ju then there exists a finite type B -algebra B' and a B -morphism $h: B' \rightarrow A'$ such that*

$$f(H_{B/A}) \subset \sqrt{h(H_{B'/A})A'} \not\subset q.$$

Proof. By Lemma 2 there exist a finite type B -algebra $C \cong B[X]/(F)$, $X = (X_1, \dots, X_r)$, $F = (F_1, \dots, F_m)$ and a B -morphism $\alpha: C \rightarrow A'$ such that $\alpha(H_{C/A}) \not\subset q$. We may suppose that $q \supset \alpha := \sqrt{f(H_{B/A})A'}$, otherwise $B' := B$, $h := f$ work. If α is a nil ideal then $B' := C$, $h := \alpha$ work. Otherwise choose an element z in $\bigcap_{\substack{p \supset \alpha \\ p \in \text{Min } A'}} p$ which is not in q , $\text{Min } A'$ being the set of minimal prime ideals of A' . Then $z\alpha$ is a nil ideal. Let $y = (y_1, \dots, y_n)$ be a system of elements from α such that $\alpha = \sqrt{yA'}$. We have $(zy_i)^s = 0$, $1 \leq i \leq n$ for a certain positive integer. Changing z, y by z^s, y^s we may suppose $zy_i = 0$, $1 \leq i \leq n$.

Let $B' := B[X, Y, Z, T]/(F - \sum_{i=1}^n Y_i T_i, ZY)$, $T_i = (T_{i1}, \dots, T_{im})$, $T = (T_i)_i$, $ZY = (ZY_1, \dots, ZY_n), \dots$ and $h: B' \rightarrow A'$ the B -morphism given by $X \rightarrow \alpha(X)_A$, $Y \rightarrow y$, $Z \rightarrow z$, $T \rightarrow 0$. Note that $B'_{Y_i} \cong B[X, Y, (T_j)_{j \neq i}, Y_i^{-1}]$ is smooth over B and so $\alpha = \sqrt{yA'} \subset \sqrt{h(H_{B'/B})A'}$. Thus $\alpha \subset \sqrt{h(H_{B'/A})A'}$ (see [4] (2.2)). On the other hand $B'_Z \cong B[X, Z^{\pm 1}, T]/(F) = C[T, Z^{\pm 1}]$ is smooth over C . Thus $B'_{h^{-1}q}$ is smooth over A and so $h(H_{B'/A}) \not\subset q$.

LEMMA 4 (see e.g. [2] (3.7)). *Let $q \subset A'$ be a prime ideal, $r = \text{ht } q - \text{ht } u^{-1}$ and $x = (x_1, \dots, x_r)$ a system of elements from q . Suppose that the map $A_{u^{-1}q} \rightarrow A'_q$ induced by u is flat, $R := A'_q/(u^{-1}q)A'_q$ is regular and x induces a regular system of parameters in R . Then the A -morphism $v: A[X] \rightarrow A'$, $X = (X_1, \dots, X_r) \rightarrow x$ induces a flat map $v_q: A[X]_{v^{-1}q} \rightarrow A'_q$ and $(v^{-1}q)A'_q = qA'_q$.*

For the proof note that $A/u^{-1}q \otimes_A v_q$ is flat (see e.g. [1] (36.B)) and so v_q is also by [1] (20.G) applied to $A_{u^{-1}q} \rightarrow A[X]_{v^{-1}q} \rightarrow A'_q$.

LEMMA 5. *Let $q \subset A'$ be a prime ideal, $k \subset K$ the residue field extension of $u_q: A_{u^{-1}q} \rightarrow A'_q$, E/k a finite type field subextension of K/k and $y = (y_1, \dots, y_s)$ a system of elements from A' inducing a p -basis \bar{y} of E over k . Suppose that u_q is formally smooth. Then the A -morphism $w: A[Y] \rightarrow A'$, $Y = (Y_1, \dots, Y_s) \rightarrow y$ induces a flat map $w_q: A[Y]_{w^{-1}q} \rightarrow A'_q$ and the ring $A'_q/(w^{-1}q)A'_q$ is regular of dimension $r - \text{rank } \Gamma_{E/k}$, where $\Gamma_{E/k}$ is the imperfection module of E over k (see e.g. [1] (39.B)).*

Proof. Applying [1] (20.G) to $A_{u^{-1}q} \rightarrow A[Y]_{w^{-1}q} \rightarrow A'_q$ we reduce to the case when A is a field. Now it is enough to apply [5] (7.1).

LEMMA 6 (Ogoma [3] (4.3)). *Let z, x be two elements in A and s, t two positive integers such that $\text{Ann}_{A_z} x^s = \text{Ann}_{A_z} x^{s+1}$ and $\text{Ann}_A z^t = \text{Ann}_A z^{t+1}$.*

Then $\text{Ann}_A(z^t x)^s = \text{Ann}_A(z^t x)^{s+1}$.

COROLLARY 7 (Ogoma [3]). *Let $q \subset A'$ be a prime ideal, $x = (x_1, \dots, x_r)$ a system of elements from A' which induces a regular system of elements in A'_q and s a positive integer. Then there exists a system of elements $z = (z_1, \dots, z_r)$ in $A' \setminus q$ such that*

$$((z_1^s x_1^s, \dots, z_{i-1}^s x_{i-1}^s): z_i x_i) = ((z_1^s x_1^s, \dots, z_{i-1}^s x_{i-1}^s): z_i^2 x_i^2)$$

for all $1 \leq i \leq r$, where $x_0 := 0$.

Proof. Applying induction on r we reduce to the case $r = 1$. Then $x = x_1$ induces a nonzero divisor in A'_q . Since $(\text{Ann}_{A'_q} x)A'_q = \text{Ann}_{A'_q} x = 0$ there exists an element $z \in A' \setminus q$ such that $z \text{Ann}_{A'_q} x = 0$ and so x induces a nonzero divisor in A'_z . We have $\text{Ann}_{A'_z} x = \text{Ann}_{A'_z} x^2$ and by Noetherianity $\text{Ann}_{A'} z^t = \text{Ann}_{A'} z^{t+1}$ for a certain positive integer t . Changing z by z^t we get $\text{Ann}_{A'} zx = \text{Ann}_{A'} (zx)^2$ by Lemma 6.

LEMMA 8. *Suppose that u is a morphism of Artinian local rings such that the residue field extension $k \subset K$ induced by u has rank $\Gamma_{K/k} < \infty$. Then A' is a filtered inductive union of its local sub- A -algebras $C \subset A'$ essentially of finite type such that the inclusion $C \hookrightarrow A'$ is faithfully flat.*

The proof is given at the end.

Let $b = (b_1, \dots, b_\mu)$ be a system of generators of $H_{B/A}$, $d \in A$ an element and s a positive integer. The B -algebra $B_1 := B[Z]/(d^s - \sum_{i=1}^\mu b_i Z_i)$, $Z = (Z_1, \dots, Z_\mu)$ is called the *containerizer of B over A with respect to d, b, s* . Given a system of elements d_1, \dots, d_r in A we may speak by recurrence of the *containerizer of B over A with respect to d_1, \dots, d_r, b, s* .

LEMMA 9 ([4] (2.4)). *Then the following conditions hold:*

- i) $d \in H_{B_1/A}$,
- ii) $H_{B/A} \subset H_{B_1/B}$ (in particular $H_{B/A} \subset H_{B_1/A}$).
- iii) if $u(d)^s \in f(H_{B/A})A'$ then f extends to an A -morphism $f_1: B_1 \rightarrow A'$ by $Z \rightarrow z$, where z is chosen by $u(d)^s = \sum_{i=1}^\mu f(b_i)z_i$.

Let $B \cong A[Y]/(F)$ be a presentation of B over A and S the symmetric A -algebra associated to $(F)/(F)^2$. We call S the *standardizer of B over A* (this notion and the “containerizer” appeared in [4] but Ogoma gave them names [3]). An element $x \in H_{B/A}$ is a *standard element* for the above presentation of B over A if there exists a system of polynomials $G = (G_1,$

\dots, G_s) in the ideal (F) such that $x \in \sqrt{\Delta_G((G): (F))}$, where Δ_G is generated by all $s \times s$ -minors of $(\partial G/\partial Y)$.

LEMMA 10 ([4] (3.4)). *The following conditions hold:*

- i) $H_{B/A} \subset H_{S/B}$ (in particular $H_{B/A} \subset H_{S/A}$),
- ii) *there exists a presentation of S over A for which all elements from $H_{B/A}$ are standard,*
- iii) *f extends to an A -morphism $\alpha: S \rightarrow A'$ in a trivial way (by construction $S = B[Z']/(F')$ where F' is a homogeneous linear system of polynomials, then α is given by $Z' \rightarrow 0$).*

Proof of Theorem 1. We divide the proof in four steps.

Step 1. Reduction to the case when $\text{ht}(u^{-1}q) = 0$.

Let d_1, \dots, d_t be a system of elements from $u^{-1}\mathfrak{a}$ which forms a system of parameters in $A_{u^{-1}q}$, $t = \text{ht}(u^{-1}q)$ (by hypothesis $(u^{-1}\mathfrak{a})A'_{u^{-1}q} = (u^{-1}q)A_{u^{-1}q}$). Apply induction on t . The case $t = 0$ remains for the next steps. If $t > 0$ then by Lemmas 9, 10 there exist a finite type B -algebra \hat{B} and an A -morphism $\beta: \hat{B} \rightarrow A'$ extending f such that

- 1) d_t is a standard element for \hat{B} over A ,
- 2) $H_{B/A} \subset H_{\hat{B}/A}$.

Changing (B, f) by (\hat{B}, β) we may suppose that d_t is a standard element for B over A . Let n be the positive integer associated to d_t by [4] (9.2). Then it is enough to show our Lemma for $\tilde{A} := A/(d_t^n)$, $\tilde{A} \otimes_A A'$, $\tilde{A} \otimes_A B, \dots$. But this follows by induction hypothesis.

Step 2. Case when $\text{ht } q = 0$.

Then $A_{u^{-1}q} \rightarrow A'_q$ is a regular morphism of Artinian local rings. Thus A'_q is a filtered inductive limit of standard smooth $A_{u^{-1}q}$ algebras by [4] (3.3) and it is enough to apply Lemma 3.

Let $k \subset K$ be the residue field extension induced by $A_{u^{-1}q} \rightarrow A'_q$.

Step 3. Case when $k \subset K$ is separable.

The ring $R := A'_q/(u^{-1}q)A'_q$ is regular by formal smoothness and as $\mathfrak{a}A'_q = qA'_q$ we may choose in \mathfrak{a} a system of elements $x = (x_1, \dots, x_r)$, $r := \dim R$ which induces in R a regular system of parameters. By Lemma 4 the A -morphism $v: A[X] \rightarrow A'$, $X = (X_1, \dots, X_r) \rightarrow x$ induces a flat map $v_q: A[X]_{v^{-1}q} \rightarrow A'_q$ and $(v^{-1}q)A[X]_{v^{-1}q} \supset (v^{-1}\mathfrak{a})A[X]_{v^{-1}q} \supset (u^{-1}\mathfrak{a}, X)A[X]_{v^{-1}q} = (u^{-1}q, X)A[X]_{v^{-1}q} = (v^{-1}q)A[X]_{v^{-1}q}$ since $(u^{-1}\mathfrak{a})A_{u^{-1}q} = (u^{-1}q)A_{u^{-1}q}$. Thus $v^{-1}q$ is a minimal prime over-ideal of $v^{-1}\mathfrak{a}$ and v_q is formally smooth

because $k \otimes_{A_{u^{-1}q}} v_q$ is exactly the separable (i.e. formally smooth) extension $k \subset K$ (see [1] 43).

Clearly it is enough to show our Lemma for $v: A[X] \rightarrow A', B[X], f': B[X] \rightarrow A'$ being given by f and v because $A[X]$ is smooth over A and $H_{B[X]/A[X]} \supset H_{B/A}$. By Step 1 it is enough to treat the case when $u^{-1}q$ (and so q) is minimal. But this was done in Step 2.

Step 4. General case

Using Step 1 we suppose additionally that $u^{-1}q$ is minimal in A and so $(u^{-1}q)^\lambda A_{u^{-1}q} = 0$ for a certain positive integer λ . Choose a positive integer τ such that $q^\tau A'_q \subset f(H_{B/A})A'_q$ and let $b = (b_1, \dots, b_r)$ be a system of generators of $H_{B/A}$. Consider the containerizer B_1 of $B[X], X = (X_1, \dots, X_r)$ over $A[X]$ with respect to X_1, \dots, X_r, b, τ and let B_2 be the standardizer of B_1 over $A[X]$. Then there exists a positive integer c' such that for every $i, X'_i \in \mathcal{A}_{F_i}((F_i): I_i)$ for some representation $B_2 = A[X, U]/I_i$ and some system F_i from I_i . Applying Lemma 8 to $A_{u^{-1}q} \rightarrow \tilde{A}' := A'_q/q^n A'_q, n := \sup\{\tau, \lambda + rc\}, c := 10c'$ we find an essentially of finite type, local sub- A -algebra \tilde{D} of \tilde{A}' containing the image of the composite map $B \xrightarrow{f} A' \rightarrow \tilde{A}'$ and such that $\tilde{D} \hookrightarrow \tilde{A}'$ is flat.

Let $k \subset L$ be the residue field extension given by $A_{u^{-1}q} \rightarrow \tilde{D}$ and $y = (y_1, \dots, y_s)$ a system of elements from A' which induces a p -basis \bar{y} of L over k . Clearly we may suppose that y belongs modulo $q^n A'_q$ to \tilde{D} . By Lemma 5 the A -morphism $\tilde{w}: A[Y] \rightarrow A', Y = (Y_1, \dots, Y_s) \rightarrow y$ induces a flat map $A[Y] \rightarrow A'_q$ such that $R' := A'_q/(\tilde{w}^{-1}q)A'_q$ is a regular local ring of dimension $t := r - \text{rank } \Gamma_{L/k}$. Choose a system of elements $y' = (y'_1, \dots, y'_t)$ in q which induces a regular system of parameters in R' . By Lemma 4 the $A[Y]$ -morphism $w: A[Y, Y'] \rightarrow A', Y' = (Y'_1, \dots, Y'_t) \rightarrow y'$ induces a flat map $w_q: C_{w^{-1}q} \rightarrow A'_q, C := A[Y, Y']$ such that $(w^{-1}q)A'_q = qA'_q$. We may choose y' such that it belongs modulo $q^n A'_q$ to \tilde{D} . Then w_q induces a map $\eta: \tilde{C} \rightarrow \tilde{D}$, where $\tilde{C} := C/(w^{-1}q)^n C$.

Since $\tilde{C} \otimes_{w_q}$ and $\tilde{D} \hookrightarrow A'$ and faithfully flat we get η flat and $\tilde{D}/(w^{-1}q)\tilde{D} \cong L$. The field extension $k(\bar{y}) \subset L$ is finite separable because $k \subset L$ is of finite type and \bar{y} is a p -basis in L/k . Thus η is formally smooth by [1] 43 and so smooth because it is essentially of finite type.

Let $d = (d_1, \dots, d_r)$ be a system of elements from C inducing a system of parameters in $C_{w^{-1}q}$. But $C_{w^{-1}q}$ is a Cohen Macaulay ring because it is a smooth algebra over an Artinian ring, $A_{u^{-1}q}$. Then d is regular in

$C_{w^{-1}q}$ and changing $d_i, 1 \leq i \leq r$ by some of their multiples we may suppose by Corollary 7 that

$$((d_1^c, \dots, d_{i-1}^c): d_i) = ((d_1^c, \dots, d_{i-1}^c): d_i^2)$$

for all $1 \leq i \leq r$, where $d_0 := 0$.

The linear equation

$$(*) \quad w(d_i) = \sum_{j=1}^{\mu} f(b_j)Z_{ji}$$

has sure a solution $z_i = (z_{1i}, \dots, z_{\mu i})$ in A'_q because $q^r A'_q \subset f(H_{B/A})A'_q$ and we claim that we may choose z_i such that it belongs modulo q^n to \tilde{D} . Indeed, (*) has a solution \tilde{z}_i in \tilde{D} because $\tilde{D} \hookrightarrow \tilde{A}'$ is faithfully flat, let us say \tilde{z}_i is induced by a system of elements \hat{z}_i from A'_q . Changing Z_{ji} by $\hat{Z}_{ji} + \hat{z}_{ji}$ it remains to show that

$$\rho_i := w(d_i) - \sum_j f(b_j)\hat{z}_{ji} = \sum_j f(b_j)\hat{Z}_{ji}$$

has a solution in A'_q . But this is trivial because $\rho_i \in q^n A'_q \subset q^r A'_q \subset f(H_{B/A})A'_q$.

Let $\delta = (\delta_1, \dots, \delta_r)$ be a system of elements in $A' \setminus q$ such that $\delta_i z_i \in A'^{\mu}$ for all $i, 1 \leq i \leq r$. By flatness $w(d)$ induces a regular system in A'_q and so changing $\delta_i, 1 \leq i \leq r$ by some of their multiples we may suppose by Corollary 7 that

$$((\delta_1^c w(d_1^c), \dots, \delta_{i-1}^c w(d_{i-1}^c)): \delta_i w(d_i)) = ((\delta_1^c w(d_1^c), \dots, \delta_{i-1}^c w(d_{i-1}^c)): \delta_i^2 w(d_i^2))$$

for all $1 \leq i \leq r$.

Consider the A -morphism $\varepsilon: A[X] \rightarrow C[T], T = (T_1, \dots, T_r)$ given by $X_i \rightarrow d'_i := T_i d_i$ and the C -morphism $w': C[T] \rightarrow A', T \rightarrow \delta$. The correspondence $Z_i \rightarrow \delta_i z_i, 1 \leq i \leq r$ defines a $C[T]$ -morphism $C[T] \otimes_{A[X]} B_1 \rightarrow A'$ (see Lemma 9) which extends trivially ($Z' \rightarrow 0$, see Lemma 10) to a $C[T]$ -morphism $\beta_2: B'_2 \rightarrow A'$, where $B'_2 := C[T] \otimes_{A[X]} B_2$. Note that $\tilde{C} \otimes_{C[T]} \beta_2$ factorizes through $\tilde{D}[T]$ because z belongs modulo $q^n A'_q$ to \tilde{D} .

Since $\tilde{D}[T]$ is smooth over $\tilde{C}[T]$ our Theorem holds for $\tilde{B}'_2 := \tilde{C} \otimes_{C[T]} B'_2, \tilde{C} \otimes \beta_2$ with respect to $\tilde{C} \otimes w'$ (see Lemma 2). Note that

$$q^n A'_q = (u^{-1}q, d')^n A'_q \subset (d')^r A'_q \subset (d_1^c, \dots, d_r^c) A'_q$$

and so applying Lemma 3 and by recurrence [4] (9.1) for d'_i and $e = 2$ we get a finite type B'_2 -algebra B' and a B'_2 -morphism $h: B' \rightarrow A'$ such that

$$h(H_{B/A}B_2^0) \subset h(H_{B_2^0/C[T]}) \subset \sqrt{h(\overline{H_{B_2^0/C[T]}})A_q^0} \not\subset q.$$

As $C[T]$ is smooth over A we are ready.

Proof of Lemma 8. Given a field L consider the Cohen ring R_L of residue field L , i.e. L if $p := \text{char } L = 0$ or a complete DVR of residue field L which is an unramified extension of $\mathbb{Z}_{(p)}$. By Cohen Structure Theorem we have $A \cong R_k[X]/\mathfrak{a}$, $A' \cong R_k[Y]/\mathfrak{a}$, $X = (X_1, \dots, X_r)$, $Y = (Y_1, \dots, Y_s)$. Let \mathcal{L} be the set of all subfields L , $k \subset L \subset K$ such that $k \subset L$ is of finite type and \mathfrak{a}' is defined over R_L , i.e. \mathfrak{a}' is an extension of $\mathfrak{a}'_L := \mathfrak{a}' \cap R_L[Y]$ to $R_k[Y]$. Then A' is a filtered inductive union of $D_L := R_L[Y]/\mathfrak{a}'_L$, $L \in \mathcal{L}$ and by base change $D_L \hookrightarrow D_K = A'$ is flat. Since A is a finite type R_k -algebra it is enough to show that there exists $L \in \mathcal{L}$ such that D_L contains $u(\overline{R}_k)$, where $\overline{R}_k := R_k/(p^r)$ and $(p^r) = \mathfrak{a} \cap R_k$.

If $k \subset K$ is separable then we may suppose that u extends the map $\overline{R}_k \rightarrow \overline{R}_K$ and our wish is trivially fulfilled. Otherwise, by [2] (2.14) there exist two subfields $E \subset F \subset k$ such that

- 1) $E \subset F$ is of finite type,
- 2) $E \subset K$ is separable,
- 3) $F \subset k$ is etale.

As above we may suppose that $u|_{\overline{R}_E}$ extends the map $\overline{R}_E \rightarrow \overline{R}_K$ because of 2) and so every D_L , $L \in \mathcal{L}$ contains $u(\overline{R}_E)$. Since \overline{R}_F is essentially of finite type over \overline{R}_E we can choose $L' \in \mathcal{L}$ such that $D_{L'}$ contains $u(\overline{R}_F)$.

We claim that $u(\overline{R}_k) \subset D_{L'}$. Indeed as $\overline{R}_F \hookrightarrow \overline{R}_k$ is smooth there exists a ring morphism $v: \overline{R}_k \rightarrow D_{L'}$, extending $u|_{\overline{R}_F}$ which lifts the composite map $\overline{R}_k \rightarrow k \hookrightarrow L'$. Then $u|_{\overline{R}_k}$ and the composite map $v', \overline{R}_k \xrightarrow{v} D_{L'} \hookrightarrow A'$ lift both the map $\overline{R}_k \rightarrow k \rightarrow K$. Thus $u = v'$ (in particular $D_{L'} \supset u(\overline{R}_k)$) because $\overline{R}_F \subset \overline{R}_k$ is also etale.

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