NONMONOGENITY OF NUMBER FIELDS DEFINED BY TRUNCATED EXPONENTIAL POLYNOMIALS

ANUJ JAKHAR

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Dedicated to Professor Sudesh K. Khanduja on her 74th birthday

Abstract

Let *p* be a prime number. Let $n \ge 2$ be an integer given by $n = p^{m_1} + p^{m_2} + \cdots + p^{m_r}$, where $0 \le m_1 < m_2 < \cdots < m_r$ are integers. Let $a_0, a_1, \ldots, a_{n-1}$ be integers not divisible by *p*. Let $K = \mathbb{Q}(\theta)$ be an algebraic number field with $\theta \in \mathbb{C}$ a root of an irreducible polynomial $f(x) = \sum_{i=0}^{n-1} a_i x^i / i! + x^n / n!$ over the field \mathbb{Q} of rationals. We prove that *p* divides the common index divisor of *K* if and only if r > p. In particular, if r > p, then *K* is always nonmonogenic. As an application, we show that if $n \ge 3$ is an odd integer such that $n - 1 \ne 2^s$ for $s \in \mathbb{Z}$ and *K* is a number field generated by a root of a truncated exponential Taylor polynomial of degree *n*, then *K* is always nonmonogenic.

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1. Introduction

Let $K = \mathbb{Q}(\theta)$ be an algebraic number field with θ in the ring \mathbb{Z}_K of algebraic integers of *K*. Let f(x) be the minimal polynomial of θ having degree *n* over the field \mathbb{Q} of rational numbers. It is well known that \mathbb{Z}_K is a free abelian group of rank *n*. A number field *K* is said to be monogenic if there exists some $\beta \in \mathbb{Z}_K$ such that $\mathbb{Z}_K = \mathbb{Z}[\beta]$. In this case, $\{1, \beta, \dots, \beta^{n-1}\}$ is an integral basis of *K*; such an integral basis of *K* is called a power integral basis or briefly a power basis of *K*. If *K* does not possess any power basis, we say that *K* is nonmonogenic. Quadratic and cyclotomic fields are monogenic. In algebraic number theory, it is important to know whether a number field is monogenic or not. The first example of a nonmonogenic number field was given by Dedekind in 1878; he proved that the cubic field $\mathbb{Q}(\eta)$ is not monogenic when η is a root of the polynomial $x^3 - x^2 - 2x - 8$ (see [15, page 64]). The problems of



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testing the monogenity of number fields and constructing power integral bases have been intensively studied (see [7] for an overview of the latest developments).

Throughout this paper, ind θ denotes the index of the subgroup $\mathbb{Z}[\theta]$ in \mathbb{Z}_K and i(K) stands for the index of the field K defined by $i(K) = \gcd\{\inf \alpha \mid K = \mathbb{Q}(\alpha) \text{ and } \alpha \in \mathbb{Z}_K\}$. A prime number p dividing i(K) is called a prime common index divisor of K. Note that if K is monogenic, then i(K) = 1. Therefore, a number field having a prime common index divisor is nonmonogenic. However, there exist nonmonogenic number fields having i(K) = 1, for example, $K = \mathbb{Q}(\sqrt[3]{175})$ is not monogenic and has i(K) = 1. Nakahara [14] studied the index of noncyclic but abelian biquadratic number fields. Gaál *et al.* [8] characterised the field indices of biquadratic number fields having Galois group V₄. Ahmad *et al.* [1, 2] proved that for a square free integer m not congruent to $\pm 1 \mod 9$, a pure field $\mathbb{Q}(m^{1/6})$ having degree 6 over \mathbb{Q} is monogenic when $m \equiv 2$ or 3 mod 4 and it is nonmonogenic when $m \equiv 1 \mod 4$. Gaál and Remete [9] studied monogenity of number fields of the type $\mathbb{Q}(m^{1/n})$ where $3 \le n \le 9$ and m is square free. Gaál [6] and Jakhar and Kaur [10] studied monogenity of number fields defined by some sextic irreducible trinomials.

Let a_0, \ldots, a_{n-1} be integers. It is known that the polynomial

$$f(x) = a_0 + a_1 x + a_2 \frac{x^2}{2!} + \dots + a_{n-1} \frac{x^{n-1}}{(n-1)!} + \frac{x^n}{n!}$$
(1.1)

of degree *n* is irreducible over \mathbb{Q} if one of the following conditions is satisfied:

(1) $gcd(a_0, n!) = 1$ (see [5, 16]);

(2) $gcd(a_0a_1\cdots a_{n-1}, n) = 1$ (see [11, Theorem 1.2]).

Let p be a prime number. Let $n \ge 2$ be an integer given by $n = p^{m_1} + p^{m_2} + \cdots + p^{m_r}$, where $0 \le m_1 < m_2 < \cdots < m_r$ are integers. Let $K = \mathbb{Q}(\theta)$ with θ a root of an irreducible polynomial f(x) over \mathbb{Q} , where f(x) is given by (1.1) and a_0, \ldots, a_{n-1} are integers not divisible by p. We provide necessary and sufficient conditions so that $p \mid i(K)$ for $n \ge 2$. As an application, we give a family of number fields which are nonmonogenic. Precisely stated, we prove the following result.

THEOREM 1.1. Let p be a prime number. Let $n \ge 2$ be an integer given by $n = p^{m_1} + p^{m_2} + \cdots + p^{m_r}$, where $0 \le m_1 < m_2 < \cdots < m_r$ are integers. Let $a_0, a_1, \ldots, a_{n-1}$ be integers not divisible by p. Let $K = \mathbb{Q}(\theta)$ be an algebraic number field with θ a root of an irreducible polynomial $f(x) = x^n + n! \sum_{i=0}^{n-1} a_i x^i / i!$ over \mathbb{Q} . Then:

- (1) $p\mathbb{Z}_K = \wp_1^{e_1} \cdots \wp_r^{e_r}$, where the \wp_i are distinct prime ideals lying above the prime p with index of ramification $e_i = p^{m_i}$ and residual degree one for each i;
- (2) p divides i(K) if and only if r > p.

In particular, if r > p, then K is always nonmonogenic.

The following corollary is an immediate consequence of the theorem.

COROLLARY 1.2. Let $n \ge 2$ be an integer with 2-adic expansion $n = 2^{m_1} + 2^{m_2} + \dots + 2^{m_r}$, where $0 \le m_1 < m_2 < \dots < m_r$. Let a_0, a_1, \dots, a_{n-1} be odd integers. Let $K = \mathbb{Q}(\theta)$ be an algebraic number field with θ a root of an irreducible polynomial $f(x) = x^n + n! \sum_{i=0}^{n-1} a_i x^i / i!$ over \mathbb{Q} . If r > 2, then K is nonmonogenic.

As an application of this corollary, we obtain the following result.

COROLLARY 1.3. Let $n \ge 2$ be an integer with 2-adic expansion $n = 2^{m_1} + 2^{m_2} + \cdots + 2^{m_r}$, where $0 \le m_1 < m_2 < \cdots < m_r$. Let $K = \mathbb{Q}(\theta)$ be an algebraic number field with θ a root of a truncated exponential Taylor polynomial $f(x) = 1 + x + x^2/2! + \cdots + x^n/n!$. Assume that $r \ge 3$. Then K is always nonmonogenic.

EXAMPLE 1.4. This example provides a family of nonmonogenic algebraic number fields. Let $n \ge 3$ be an odd integer such that $n - 1 \ne 2^s$ for any $s \in N$. If $K = \mathbb{Q}(\theta)$ is an algebraic number field with $\theta \in \mathbb{C}$ a root of $f(x) = \sum_{i=0}^{n} x^i/i!$, then *K* is nonmonogenic by Corollary 1.3.

REMARK 1.5. If we take r < 3, then *K* can be monogenic. For example, consider n = 3, r = 2 and $f(x) = x^3 + 3x^2 + 6x + 6$ in Corollary 1.3. It can be easily checked that the discriminant of f(x) is $-2^3 \cdot 3^3$. Let $K = \mathbb{Q}(\theta)$ with θ a root of f(x). Since f(x) is an Eisenstein polynomial with respect to 3, in view of a basic result [12, Theorem 2.18], we see that $3 \nmid [\mathbb{Z}_K : \mathbb{Z}[\theta]]$. Further note that $f(x) \equiv x^2(x+1) \pmod{2}$. Hence, using Dedekind's criterion [12, page 78], it is easy to see that $2 \nmid [\mathbb{Z}_K : \mathbb{Z}[\theta]]$. Therefore, in view of the formula $D_f = [\mathbb{Z}_K : \mathbb{Z}[\theta]]^2 d_K$, where D_f denotes the discriminant of the polynomial f(x) and d_K denotes the discriminant of *K*, it follows that $\mathbb{Z}_K = \mathbb{Z}[\theta]$. Hence, *K* is monogenic.

2. Preliminary results

Let $K = \mathbb{Q}(\theta)$ be an algebraic number field with θ a root of a monic irreducible polynomial f(x) belonging to $\mathbb{Z}[x]$. In what follows, \mathbb{Z}_K stands for the ring of algebraic integers of K. For a rational prime p, let \mathbb{F}_p be the finite field with p elements and \mathbb{Z}_p denote the ring of p-adic integers. Throughout the paper, $f(x) \to \overline{f(x)}$ stands for the canonical homomorphism from $\mathbb{Z}_p[x]$ onto $\mathbb{F}_p[x]$. For a prime p and a nonzero m belonging to the ring \mathbb{Z}_p of p-adic integers, $v_p(m)$ denotes the highest power of p dividing m. The following lemma will play an important role in the proof of the theorem.

LEMMA 2.1 [15, Theorem 4.34]. Let K be an algebraic number field and p be a rational prime. Then p is a prime common index divisor of K if and only if for some positive integer h, the number of distinct prime ideals of \mathbb{Z}_K lying above p having residual degree h is greater than the number of monic irreducible polynomials of degree h in $\mathbb{F}_p[x]$.

The following simple result will also be used. Its proof is omitted.

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LEMMA 2.2. Let p be a prime number. If $n = c_0 + c_1p + \cdots + c_rp^r$ is the representation of the positive integer n in base p with $0 \le c_i < p$ for each i, then

$$v_p(n!) = \frac{n - (c_0 + c_1 + \dots + c_r)}{p - 1}.$$

3. A short introduction to prime ideal factorisation based on Newton polygons

In 1894, Hensel developed a powerful approach for finding prime ideals of \mathbb{Z}_K over a rational prime p. He showed that for every prime p, the prime ideals of \mathbb{Z}_K lying above p are in one-to-one correspondence with monic irreducible factors of f(x) in $\mathbb{Q}_p[x]$. Newton polygons are very helpful for finding the factors of f(x) in $\mathbb{Q}_p[x]$. This is a standard method which is rather technical but efficient to apply. Therefore, we first introduce the notion of Gauss valuation and ϕ -Newton polygon, where $\phi(x)$ belonging to $\mathbb{Z}_p[x]$ is a monic polynomial with $\overline{\phi}(x)$ irreducible over \mathbb{F}_p .

DEFINITION 3.1. The Gauss valuation of the field $\mathbb{Q}_p(x)$ of rational functions in an indeterminate *x* extends the valuation v_p of \mathbb{Q}_p and is defined on $\mathbb{Q}_p[x]$ by

$$v_{p,x}(a_0 + a_1x + a_2x^2 + \dots + a_sx^s) = \min_{1 \le i \le s} \{v_p(a_i)\}, \quad a_i \in \mathbb{Q}_p$$

DEFINITION 3.2. Let *p* be a rational prime. Let $\phi(x) \in \mathbb{Z}_p[x]$ be a monic polynomial which is irreducible modulo *p* and $f(x) \in \mathbb{Z}_p[x]$ be a monic polynomial not divisible by $\phi(x)$. Let $\sum_{i=0}^{n} a_i(x)\phi(x)^i$, with deg $a_i(x) < \deg \phi(x)$, $a_n(x) \neq 0$, be the $\phi(x)$ -expansion of f(x) obtained by dividing f(x) by the successive powers of $\phi(x)$. Let P_i stand for the point in the plane having coordinates $(i, v_{p,x}(a_{n-i}(x)))$ when $a_{n-i}(x) \neq 0$, $0 \leq i \leq n$. Let μ_{ij} denote the slope of the line joining the point P_i to P_j if $a_{n-i}(x)a_{n-j}(x) \neq 0$. Let i_1 be the largest positive index not exceeding *n* such that

$$\mu_{0i_1} = \min\{\mu_{0i} \mid 0 < j \le n, \ a_{n-i}(x) \ne 0\}.$$

If $i_1 < n$, let i_2 be the largest index such that $i_1 < i_2 \le n$ with

$$\mu_{i_1 i_2} = \min\{\mu_{i_1 j} \mid i_1 < j \le n, \ a_{n-j}(x) \neq 0\},\$$

and so on. The ϕ -Newton polygon of f(x) with respect to p is the polygonal path having segments $P_0P_{i_1}, P_{i_1}P_{i_2}, \dots, P_{i_{k-1}}P_{i_k}$ with $i_k = n$. These segments are called the edges of the ϕ -Newton polygon and their slopes form a strictly increasing sequence; these slopes are nonnegative as f(x) is a monic polynomial with coefficients in \mathbb{Z}_p .

DEFINITION 3.3. Let $\phi(x) \in \mathbb{Z}_p[x]$ be a monic polynomial which is irreducible modulo a rational prime *p* having a root α in the algebraic closure $\widetilde{\mathbb{Q}}_p$ of \mathbb{Q}_p . Let $f(x) \in \mathbb{Z}_p[x]$ be a monic polynomial not divisible by $\phi(x)$ whose $\phi(x)$ -expansion is given by $\phi(x)^n + a_{n-1}(x)\phi(x)^{n-1} + \cdots + a_0(x)$ and such that $\overline{f}(x)$ is a power of $\overline{\phi}(x)$. Suppose that the ϕ -Newton polygon of f(x) with respect to *p* consists of a single edge, say *S*, having positive slope l/e with *l*, *e* coprime, that is,

$$\min\left\{\frac{v_{p,x}(a_{n-i}(x))}{i} \mid 1 \le i \le n\right\} = \frac{v_{p,x}(a_0(x))}{n} = \frac{l}{e},$$

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so that *n* is divisible by *e*, say n = et, and $v_{p,x}(a_{n-ej}(x)) \ge lj$ with $1 \le j \le t$. Thus, the polynomial $b_j(x) := a_{n-ej}(x)/p^{lj}$ has coefficients in \mathbb{Z}_p and $b_j(\alpha) \in \mathbb{Z}_p[\alpha]$ for $1 \le j \le t$. The polynomial T(Y) in the indeterminate *Y* defined by $T(Y) = Y^t + \sum_{j=1}^t \overline{b_j(\alpha)}Y^{t-j}$ with coefficients in $\mathbb{F}_p[\overline{\alpha}] \cong \mathbb{F}_p[x]/\langle \phi(x) \rangle$ is called the residual polynomial of f(x) with respect to (ϕ, S) .

The following weaker version of the theorem of the product, originally due to Ore, will be used in the proof of main result (see [4, Theorem 1.5], [13, Theorem 1.1]).

THEOREM 3.4. Let $\phi(x) \in \mathbb{Z}_p[x]$ be a monic polynomial which is irreducible modulo a rational prime p having a root α in the algebraic closure $\widetilde{\mathbb{Q}}_p$ of \mathbb{Q}_p . Let $g(x) \in \mathbb{Z}_p[x]$ be a monic polynomial not divisible by $\phi(x)$ whose $\phi(x)$ -expansion is given by $\phi(x)^n + a_{n-1}(x)\phi(x)^{n-1} + \cdots + a_0(x)$ and such that $\overline{f}(x)$ is a power of $\overline{\phi}(x)$. Suppose that the ϕ -Newton polygon of g(x) with respect to the prime p has k edges S_1, \ldots, S_k having slopes $\lambda_1 < \cdots < \lambda_k$. Then:

- (1) $g(x) = g_1(x) \cdots g_k(x)$, where each $g_i(x) \in \mathbb{Z}_p[x]$ is a monic polynomial of degree $\ell_i \deg(\phi(x))$ and whose ϕ -Newton polygon has a single edge, say S'_i , which is a translate of S_i such that ℓ_i is the length of the horizontal projection of S_i ;
- (2) the residual polynomial $T_i(Y) \in \mathbb{F}_p[\overline{\alpha}][Y]$ of $g_i(x)$ with respect to (ϕ, S'_i) has degree ℓ_i/e_i , where e_i is the smallest positive integer such that $e_i\lambda_i \in \mathbb{Z}$.

The next definition extends the notion of residual polynomial to more general polynomials f(x).

DEFINITION 3.5. Let $p, \phi(x), \alpha$ be as in Definition 3.3. Let $g(x) \in \mathbb{Z}_p[x]$ be a monic polynomial not divisible by $\phi(x)$ such that $\overline{g}(x)$ is a power of $\phi(x)$. Let $\lambda_1 < \cdots < \lambda_k$ be the slopes of the edges of the ϕ -Newton polygon of g(x) and S_i denote the edge with slope λ_i . In view of Theorem 3.4, we can write $g(x) = g_1(x) \cdots g_k(x)$, where the ϕ -Newton polygon of $g_i(x) \in \mathbb{Z}_p[x]$ has a single edge, say S'_i , which is a translate of S_i . Let $T_i(Y)$ belonging to $\mathbb{F}_p[\overline{\alpha}][Y]$ denote the residual polynomial of $g_i(x)$ with respect to (ϕ, S'_i) as in Definition 3.3. For convenience, the polynomial $T_i(Y)$ will be referred to as the residual polynomial of g(x) with respect to (ϕ, S_i) . The polynomial g(x) is said to be p-regular with respect to ϕ if none of the polynomials $T_i(Y)$ has a repeated root in the algebraic closure of \mathbb{F}_p , $1 \le i \le k$. In general, if f(x) belonging to $\mathbb{Z}_p[x]$ is a monic polynomial and $\overline{f}(x) = \overline{\phi}_1(x)^{e_1} \cdots \overline{\phi}_r(x)^{e_r}$ is its factorisation modulo p into irreducible polynomials with each $\phi_i(x)$ belonging to $\mathbb{Z}_p[x]$ monic and $e_i > 0$, then by Hensel's lemma [3, Ch. 4, Section 3], there exist monic polynomials $f_1(x), \ldots, f_r(x)$ belonging to $\mathbb{Z}_p[x]$ such that $f(x) = f_1(x) \cdots f_r(x)$ and $f_i(x) = \overline{\phi}_i(x)^{e_i}$ for each *i*. The polynomial f(x) is said to be *p*-regular (with respect to ϕ_1, \ldots, ϕ_r) if each $f_i(x)$ is *p*-regular with respect to ϕ_i .

We provide a simple example of a *p*-regular polynomial with respect to any monic polynomial $\phi(x) \in \mathbb{Z}[x]$ which is irreducible modulo a prime *p*.

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EXAMPLE 3.6. If $p, \phi(x)$ are as above and $g(x) \neq \phi(x)$ belonging to $\mathbb{Z}_p[x]$ is a monic polynomial with $\overline{g}(x) = \overline{\phi}(x)$, then the ϕ -Newton polygon of g(x) with respect to p is a line segment S joining the point (0, 0) with (1, b) for some b > 0. Consequently, the polynomial associated to g(x) with respect to (ϕ, S) is linear and g(x) is p-regular with respect to ϕ .

To determine the number of distinct prime ideals of \mathbb{Z}_K lying above a rational prime p, we will use the following theorem which is a weaker version of [13, Theorem 1.2].

THEOREM 3.7. Let $L = \mathbb{Q}(\xi)$ be an algebraic number field with ξ satisfying an irreducible polynomial $g(x) \in \mathbb{Z}[x]$ and p be a rational prime. Let $\overline{\phi}_1(x)^{e_1} \cdots \overline{\phi}_r(x)^{e_r}$ be the factorisation of g(x) modulo p into powers of distinct irreducible polynomials over \mathbb{F}_p with each $\phi_i(x) \neq g(x)$ belonging to $\mathbb{Z}[x]$ monic. Suppose that the ϕ_i -Newton polygon of g(x) has k_i edges, say S_{ij} , having slopes $\lambda_{ij} = l_{ij}/e_{ij}$ with $gcd(l_{ij}, e_{ij}) = 1$ for $1 \leq j \leq k_i$. If $T_{ij}(Y) = \prod_{s=1}^{s_{ij}} U_{ijs}(Y)$ is the factorisation of the residual polynomial $T_{ij}(Y)$ into distinct irreducible factors over \mathbb{F}_p with respect to (ϕ_i, S_{ij}) for $1 \leq j \leq k_i$, then

$$p\mathbb{Z}_L = \prod_{i=1}^r \prod_{j=1}^{k_i} \prod_{s=1}^{s_{ij}} \mathfrak{p}_{ijs}^{e_{ij}},$$

where \mathfrak{p}_{ijs} are distinct prime ideals of \mathbb{Z}_L having residual degree deg $\phi_i(x) \cdot \deg U_{ijs}(Y)$.

4. Proof of Theorem 1.1

PROOF. Observe that $p \le n$. We first show that x is the only repeated factor of f(x) modulo p. If $p \mid n$, then clearly $f(x) \equiv x^n \pmod{p}$. If $p \nmid n$, then assume that $j, 0 \le j \le n-2$, is the smallest index such that p divides n-j. Keeping in mind that $p \nmid a_i$, we see that f(x) is congruent to

$$x^{n} + na_{n-1}x^{n-1} + \dots + a_{n-j}\frac{n!}{(n-j)!}x^{n-j}$$

$$\equiv x^{n-j} \left(x^{j} + na_{n-1}x^{j-1} + \dots + a_{n-j}\frac{n!}{(n-j)!} \right) \mod p$$

Note that $p \nmid j$. Otherwise, if $p \mid j$, then since $p \mid (n-j)$, we have $p \mid n$, which is a contradiction. Hence, the polynomial $x^j + \overline{na}_{n-1}x^{j-1} + \cdots + \overline{a}_{n-j}\overline{n!/(n-j)!}$ belonging to $\mathbb{Z}/p\mathbb{Z}[x]$ is a separable polynomial. It follows that x is the only repeated factor of f(x) modulo p.

Now we show that f(x) is *p*-regular with respect to $\phi(x) = x$. Recall that $p \nmid a_i$. By the definition of the *p*-Newton polygon, we see that it will be the polygonal path formed by the lower edges along the convex hull of the points of the set *S* defined by

$$S = \left\{ \left(i, v_p \left(\frac{n!}{(n-i)!} \right) \right) \middle| 0 \le i \le n \right\}.$$

By hypothesis, $n = p^{m_1} + p^{m_2} + \cdots + p^{m_r}$, where $0 \le m_1 < m_2 < \cdots < m_r$. Let ℓ_i denote the integer

$$\ell_i = p^{m_1} + \dots + p^{m_i}, \quad 1 \le i \le r.$$

Set $\ell_0 = 0$. As in [5], using Lemma 2.2 and keeping in mind that $v_p(a_i) = 0$ for each *i*, it can be easily checked that the *p*-Newton polygon of f(x) consists of *r* edges, and the *i*th edge is the line segment having vertices $(\ell_{i-1}, v_p(n! / (n - \ell_{i-1})!))$ and $(\ell_i, v_p(n! / (n - \ell_i)!))$. So by Lemma 2.2, the slope λ_i of the *i*th edge of the *p*-Newton polygon of f(x) is

$$\lambda_{i} = \frac{-v_{p}((n-\ell_{i})!) + v_{p}((n-\ell_{i-1})!)}{\ell_{i} - \ell_{i-1}} = \frac{\ell_{i} - \ell_{i-1} - 1}{(\ell_{i} - \ell_{i-1})(p-1)} = \frac{p^{m_{i}} - 1}{p^{m_{i}}(p-1)}.$$

Observe that f(x) can have an edge with slope zero if and only if $m_1 = 0$. Also, m_1 can be zero only when $p \nmid n$. Therefore, in view of Hensel's lemma and Theorem 3.4, we can write $f(x) = g_1(x) \cdots g_r(x)$, where $g_i(x) \in \mathbb{Z}_p[x]$ has degree $\ell_i - \ell_{i-1} = p^{m_i}$ and the *p*-Newton polygon of $g_i(x)$ has a single edge, say S_i , with slope λ_i . When $\lambda_i > 0$, the polynomial, say $T_i(y) \in \mathbb{F}_p[y]$, associated to $g_i(x)$ with respect to (x, S_i) is linear. Hence, f(x) is *p*-regular with respect to $\phi(x) = x$. So, by Theorem 3.7,

$$p\mathbb{Z}_K = \wp_1^{e_1} \cdots \wp_r^{e_r}$$

where the \wp_i are distinct prime ideals lying above prime *p* with index of ramification $e_i = p^{m_i}$ and residual degree one for each *i*. Hence, by Lemma 2.1, $p \mid i(K)$ if and only if r > p. This completes the proof of the theorem.

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ANUJ JAKHAR, Indian Institute of Technology (IIT) Madras, Chennai, India e-mail: anujjakhar@iitm.ac.in, anujiisermohali@gmail.com