

# NEF VECTOR BUNDLES ON A QUADRIC THREEFOLD WITH FIRST CHERN CLASS TWO

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*Abstract* We classify nef vector bundles on a smooth hyperquadric of dimension three with first Chern class two over an algebraically closed field of characteristic zero. In particular, we see that they are globally generated.

*Keywords:* nef vector bundles; Fano bundles; full strong exceptional collections

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## 1. Introduction

In [17, §2 Theorem 2], Peternell–Szurek–Wiśniewski classified nef vector bundles on a smooth hyperquadric  $\mathbb{Q}^n$  of dimension  $n \geq 3$  with first Chern class  $\leq 1$  over an algebraically closed field  $K$  of characteristic zero. In [12, Theorem 9.3], we provided a different proof of this classification, which was based on an analysis with a full strong exceptional collection of vector bundles on  $\mathbb{Q}^n$ .

In this paper, we classify nef vector bundles on a smooth quadric threefold  $\mathbb{Q}^3$  with first Chern class two. (In the subsequent paper [14], we classify those on a smooth hyperquadric  $\mathbb{Q}^n$  of dimension  $n \geq 4$ .) The precise statement is as follows.

**Theorem 1.1.** *Let  $\mathcal{E}$  be a nef vector bundle of rank  $r$  on a smooth hyperquadric  $\mathbb{Q}^3$  of dimension 3 over an algebraically closed field  $K$  of characteristic zero, and let  $\mathcal{S}$  be the spinor bundle on  $\mathbb{Q}^3$ . Suppose that  $\det \mathcal{E} \cong \mathcal{O}(2)$ . Then  $\mathcal{E}$  is isomorphic to one of the following vector bundles or fits in one of the following exact sequences:*

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- (1)  $\mathcal{O}(2) \oplus \mathcal{O}^{\oplus r-1}$ ;
- (2)  $\mathcal{O}(1)^{\oplus 2} \oplus \mathcal{O}^{\oplus r-2}$ ;
- (3)  $\mathcal{O}(1) \oplus \mathcal{S} \oplus \mathcal{O}^{\oplus r-3}$ ;
- (4)  $0 \rightarrow \mathcal{O}(-1) \rightarrow \mathcal{O}(1) \oplus \mathcal{O}^{\oplus r} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (5)  $0 \rightarrow \mathcal{O}^{\oplus a} \rightarrow \mathcal{S}^{\oplus 2} \oplus \mathcal{O}^{\oplus r-4+a} \rightarrow \mathcal{E} \rightarrow 0$ , where  $a=0$  or  $1$ , and the composite of the injection  $\mathcal{O}^{\oplus a} \rightarrow \mathcal{S}^{\oplus 2} \oplus \mathcal{O}^{\oplus r-4+a}$  and the projection  $\mathcal{S}^{\oplus 2} \oplus \mathcal{O}^{\oplus r-4+a} \rightarrow \mathcal{O}^{\oplus r-4+a}$  is zero;
- (6)  $0 \rightarrow \mathcal{S}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (7)  $0 \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow \mathcal{O}^{\oplus r+2} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (8)  $0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}^{\oplus r+1} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (9)  $0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}(-1)^{\oplus 4} \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow \mathcal{E} \rightarrow 0$ .

Note that this list is effective: in each case exists an example. For example, if we denote by  $\mathcal{N}$  a null correlation bundle on  $\mathbb{P}^3$ , then  $\pi_p^*(\mathcal{N}(1))$  belongs to Case (9) of Theorem 1.1, where  $\pi_p : \mathbb{Q}^3 \rightarrow \mathbb{P}^3$  is the projection from a point  $p \in \mathbb{P}^4 \setminus \mathbb{Q}^3$ . (Similarly,  $\pi_p^*(\Omega_{\mathbb{P}^3}(2))$  belongs to Case (9) of Theorem 1.1.) Under the stronger assumption that  $\mathcal{E}$  is globally generated, Ballico–Huh–Malaspina provided a classification of  $\mathcal{E}$  on  $\mathbb{Q}^3$  with  $c_1 = 2$  in [3] and [2].

Note also that the projectivization  $\mathbb{P}(\mathcal{E})$  of the bundle  $\mathcal{E}$  in Theorem 1.1 is a Fano manifold of dimension  $r + 2$ , i.e. the bundle  $\mathcal{E}$  in Theorem 1.1 is a Fano bundle on  $\mathbb{Q}^3$  of rank  $r$ . As a related result, Langer classified smooth Fano 4-folds with adjunction theoretic scroll structure over  $\mathbb{Q}^3$  in [10, Theorem 7.2].

Our basic strategy and framework for describing  $\mathcal{E}$  in Theorem 1.1 is to give a minimal locally free resolution of  $\mathcal{E}$  in terms of some twists of the full strong exceptional collection

$$(\mathcal{O}, \mathcal{S}, \mathcal{O}(1), \mathcal{O}(2))$$

of vector bundles (see [12] for more details).

The content of this paper is as follows. In § 2, we briefly recall Bondal’s theorem [1, Theorem 6.2] and its related notions and results required in the proof of Theorem 1.1. In particular, we recall some finite-dimensional algebra  $A$  and fix some symbols, e.g.  $G$ ,  $P_i$  and  $S_i$ , related to  $A$  and to finitely generated right  $A$ -modules. We also recall the classification [13, Theorem 1.1] of nef vector bundles on a smooth quadric surface  $\mathbb{Q}^2$  with Chern class  $(2, 2)$  in Theorem 2.3. In § 3, we recall some basic properties of the spinor bundle  $\mathcal{S}$  on  $\mathbb{Q}^3$ . In § 4, we state Hirzebruch–Riemann–Roch formulas for vector bundles  $\mathcal{E}$  on  $\mathbb{Q}^3$  with  $c_1 = 2$  and for  $\mathcal{S}^\vee \otimes \mathcal{E}$ . In § 5, we show some key lemmas required later in the proof of Theorem 1.1. In § 6, we provide a lower bound for the third Chern class of a nef vector bundle  $\mathcal{E}$ , if  $h^0(\mathcal{E}(-D)) \neq 0$  for some effective divisor  $D$ . In § 7, we provide the set-up for the proof of Theorem 1.1. The proof of Theorem 1.1 is carried out in § 8–19, according to which case of Theorem 2.3  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to.

### 1.1. Notation and conventions

Throughout this paper, we work over an algebraically closed field  $K$  of characteristic zero. Basically, we follow the standard notation and terminology in algebraic geometry.

We denote by  $\mathbb{Q}^3$  a smooth quadric threefold over  $K$ , by  $\mathbb{Q}^2$  a smooth quadric surface over  $K$  and by

- $\mathcal{S}$  the spinor bundle on  $\mathbb{Q}^3$ .

Note that we follow Kapranov’s convention [9, p. 499]; our spinor bundle  $\mathcal{S}$  is globally generated, and it is the dual of that of Ottaviani’s [16]. For a coherent sheaf  $\mathcal{F}$ , we denote by  $c_i(\mathcal{F})$  the  $i$ th Chern class of  $\mathcal{F}$  and by  $\mathcal{F}^\vee$  the dual of  $\mathcal{F}$ . In particular,

- $c_i$  stands for  $c_i(\mathcal{E})$  of the nef vector bundle  $\mathcal{E}$  we are dealing with.

For a vector bundle  $\mathcal{E}$ ,  $\mathbb{P}(\mathcal{E})$  denotes  $\text{Proj } S(\mathcal{E})$ , where  $S(\mathcal{E})$  denotes the symmetric algebra of  $\mathcal{E}$ . The tautological line bundle

- $\mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)$  is also denoted by  $H(\mathcal{E})$ .

Let  $A^*\mathbb{Q}^3$  be the Chow ring of  $\mathbb{Q}^3$ . We denote

- by  $H$  a hyperplane section of  $\mathbb{Q}^3$  and by  $h$  its class in  $A^1\mathbb{Q}^3$ :  $A^1\mathbb{Q}^3 = \mathbb{Z}h$ ;
- by  $L$  a line in  $\mathbb{Q}^3$  and by  $l$  its class in  $A^2\mathbb{Q}^3$ :  $A^2\mathbb{Q}^3 = \mathbb{Z}l$ .

Note that  $h^2 = 2l$ . Via the map  $\text{deg} : A^3\mathbb{Q}^3 \cong \mathbb{Z}$ , we identify elements  $A^3\mathbb{Q}^3$  with its corresponding integer; thus, we have  $h^3 = 2$  and  $hl = 1$ . For any closed subscheme  $Z$  in  $\mathbb{Q}^3$ ,  $\mathcal{I}_Z$  denotes the ideal sheaf of  $Z$  in  $\mathbb{Q}^3$ ; for a point  $p \in \mathbb{Q}^3$ ,  $\mathcal{I}_p$  denotes the ideal sheaf of  $p \in \mathbb{Q}^3$  and  $k(p)$  denotes the residue field of  $p \in \mathbb{Q}^3$ . For coherent sheaves  $\mathcal{F}$  and  $\mathcal{G}$ , we set

- $\text{ext}^q(\mathcal{F}, \mathcal{G}) = \dim \text{Ext}^q(\mathcal{F}, \mathcal{G})$ ;
- $\text{hom}(\mathcal{F}, \mathcal{G}) = \dim \text{Hom}(\mathcal{F}, \mathcal{G})$ .

Finally we refer to [11] for the definition and basic properties of nef vector bundles.

## 2. Preliminaries

Throughout this paper,  $G_0, G_1, G_2, G_3$  denote respectively  $\mathcal{O}, \mathcal{S}, \mathcal{O}(1), \mathcal{O}(2)$  on  $\mathbb{Q}^3$ . An important and well-known fact [9, Theorem 4.10] of the collection  $(G_0, G_1, G_2, G_3)$  is that it is a full strong exceptional collection in  $D^b(\mathbb{Q}^3)$ , where  $D^b(\mathbb{Q}^3)$  denotes the bounded derived category of (the abelian category of) coherent sheaves on  $\mathbb{Q}^3$ . Here we use the term ‘collection’ to mean ‘family’, not ‘set’. Thus, an exceptional collection is also called an exceptional sequence. We refer to [7] for the definition of a full strong exceptional sequence.

Denote by  $G$  the direct sum  $\bigoplus_{i=0}^3 G_i$  of  $G_0, G_1, G_2$  and  $G_3$ , and by  $A$  the endomorphism ring  $\text{End}(G)$  of  $G$ . The ring  $A$  is a finite-dimensional  $K$ -algebra, and  $G$  is a left  $A$ -module. Note that  $\text{Ext}^q(G, \mathcal{F})$  is a finitely generated right  $A$ -module for a coherent sheaf  $\mathcal{F}$  on  $\mathbb{Q}^3$ . We denote by  $\text{mod } A$  the category of finitely generated right  $A$ -modules and by  $D^b(\text{mod } A)$  the bounded derived category of  $\text{mod } A$ . Let  $p_i : G \rightarrow G_i$  be the projection, and  $\iota_i : G_i \hookrightarrow G$  the inclusion. Set  $e_i = \iota_i \circ p_i$ . Then  $e_i \in A$ . Set

$$P_i = e_i A.$$

Then  $A \cong \bigoplus_i P_i$  as right  $A$ -modules, and  $P_i$ 's are projective right  $A$ -modules. We see that  $P_i \otimes_A G \cong G_i$ . Any finitely generated right  $A$ -module  $V$  has an ascending filtration

$$0 = V^{\leq -1} \subset V^{\leq 0} \subset V^{\leq 1} \subset V^{\leq 2} \subset V^{\leq 3} = V$$

by right  $A$ -submodules, where  $V^{\leq i}$  is defined to be  $\bigoplus_{j \leq i} V e_j$ . Set  $\text{Gr}^i V = V^{\leq i} / V^{\leq i-1}$  and

$$S_i = \text{Gr}^i P_i.$$

Then  $\text{Gr}^i S_i \cong K$  as  $K$ -vector spaces,  $\text{Gr}^j S_i = 0$  for any  $j \neq i$ , and  $S_i$  is a simple right  $A$ -module. If we set  $m_i = \dim_K \text{Gr}^i V$ , then  $\text{Gr}^i V \cong S_i^{\oplus m_i}$  as right  $A$ -modules.

It follows from Bondal's theorem [1, Theorem 6.2] that

$$\text{RHom}(G, \bullet) : D^b(\mathbb{Q}^3) \rightarrow D^b(\text{mod } A)$$

is an exact equivalence, and its quasi-inverse is

$$\bullet \otimes_A^L G : D^b(\text{mod } A) \rightarrow D^b(\mathbb{Q}^3).$$

For a coherent sheaf  $\mathcal{F}$  on  $\mathbb{Q}^3$ , this fact can be rephrased in terms of a spectral sequence [15, Theorem 1]:

$$E_2^{p,q} = \text{Tor}_{-p}^A(\text{Ext}^q(G, \mathcal{F}), G) \Rightarrow E^{p+q} = \begin{cases} \mathcal{F} & \text{if } p+q = 0 \\ 0 & \text{if } p+q \neq 0, \end{cases} \tag{2.1}$$

which is called the Bondal spectral sequence. Note that  $E_2^{p,q}$  is the  $p$ th cohomology sheaf  $\mathcal{H}^p(\text{Ext}^q(G, \mathcal{F}) \otimes_A^L G)$  of the complex  $\text{Ext}^q(G, \mathcal{F}) \otimes_A^L G$ . When we compute the spectral sequence, we consider the ascending filtration on the right  $A$ -module  $\text{Ext}^q(G, \mathcal{F})$  and apply the following

**Lemma 2.1.** *We have*

$$S_3 \otimes_A^L G \cong \mathcal{O}(-1)[3]; \tag{2.2}$$

$$S_2 \otimes_A^L G \cong T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}[2]; \tag{2.3}$$

$$S_1 \otimes_A^L G \cong \mathcal{S}^\vee[1] \cong \mathcal{S}(-1)[1]; \tag{2.4}$$

$$S_0 \otimes_A^L G \cong \mathcal{O}, \tag{2.5}$$

where  $T_{\mathbb{P}^4}$  denotes the tangent bundle of  $\mathbb{P}^4$ .

**Proof.** Since  $\text{RHom}(G, \mathcal{O}(-1)[3]) \cong S_3$ , we obtain (2.2). Note that we have an isomorphism  $\text{RHom}(G, \mathcal{S}^\vee[1]) \cong S_1$  by [12, Lemma 8.2 (1)]. Hence we have (2.4). It is easy to see that the last isomorphism (2.5) holds. To see (2.3), first note that we have the following exact sequence:

$$0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}(-1) \otimes H^0(\mathcal{O}(1))^\vee \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow 0.$$

Serre duality shows that

$$H^3(\mathcal{O}(-4)) \rightarrow H^3(\mathcal{O}(-3)) \otimes H^0(\mathcal{O}(1))^\vee$$

is dual of the canonical isomorphism

$$H^0(\mathcal{O}) \otimes H^0(\mathcal{O}(1)) \rightarrow H^0(\mathcal{O}(1)).$$

Hence  $H^q(T_{\mathbb{P}^4}(-4)|_{\mathbb{Q}^3}) = 0$  for all  $q$ . Moreover,  $h^q(\mathcal{S}^\vee(-i)) = 0$  for  $i = 0, 1, 2$  and all  $q$ . Therefore, we conclude that  $\text{RHom}(G, T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3})$  is isomorphic to  $S_2[-2]$ . □

**Remark 2.2.** As the referee pointed out, Lemma 2.1 shows that

$$(\mathcal{O}(-1), T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee, \mathcal{O}) \tag{2.6}$$

is the left dual exceptional collection of  $(G_0, G_1, G_2, G_3)$  (see [1] and [5] for the definition and the characterization of the left dual exceptional collection). Moreover, the full exceptional collection above is strong by [4, Proposition 3.3] (or by showing directly that  $\text{Ext}^q(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee) = 0$  for any  $q > 0$  through the Euler exact sequence).

Our proof of Theorem 1.1 relies on the following theorem [13, Theorem 1.1]:

**Theorem 2.3.** *Let  $\mathcal{E}$  be a nef vector bundle of rank  $r$  on a smooth quadric surface  $\mathbb{Q}^2$  over an algebraically closed field  $K$  of characteristic zero. Suppose that  $\det \mathcal{E} \cong \mathcal{O}(2, 2)$ . Then  $\mathcal{E}$  is isomorphic to one of the following vector bundles or fits in one of the following exact sequences:*

- (1)  $\mathcal{O}(2, 2) \oplus \mathcal{O}^{\oplus r-1}$ ;
- (2)  $\mathcal{O}(2, 1) \oplus \mathcal{O}(0, 1) \oplus \mathcal{O}^{\oplus r-2}$ ;  
 $\mathcal{O}(1, 2) \oplus \mathcal{O}(1, 0) \oplus \mathcal{O}^{\oplus r-2}$ ;  
*(We do not exhibit the cases obtained by replacing  $(a, b)$  with  $(b, a)$  in the following:)*
- (3)  $\mathcal{O}(1, 1)^{\oplus 2} \oplus \mathcal{O}^{\oplus r-2}$ ;
- (4)  $0 \rightarrow \mathcal{O} \xrightarrow{L} \mathcal{O}(1, 1) \oplus \mathcal{O}(1, 0) \oplus \mathcal{O}(0, 1) \oplus \mathcal{O}^{\oplus r-2} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (5)  $0 \rightarrow \mathcal{O}(-1, -1) \rightarrow \mathcal{O}(1, 1) \oplus \mathcal{O}^{\oplus r} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (6)  $0 \rightarrow \mathcal{O}^{\oplus 2} \rightarrow \mathcal{O}(1, 0)^{\oplus 2} \oplus \mathcal{O}(0, 1)^{\oplus 2} \oplus \mathcal{O}^{\oplus r-2} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (7)  $0 \rightarrow \mathcal{O}(-1, -1) \oplus \mathcal{O}(-1, 0) \oplus \mathcal{O}(0, -1) \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (8)  $0 \rightarrow \mathcal{O}(-1, -2) \rightarrow \mathcal{O}(1, 0) \oplus \mathcal{O}^{\oplus r} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (9)  $0 \rightarrow \mathcal{O}(-1, -1)^{\oplus 2} \rightarrow \mathcal{O}^{\oplus r+2} \rightarrow \mathcal{E} \rightarrow 0$ ;
- (10)  $0 \rightarrow \mathcal{O}(-2, -2) \rightarrow \mathcal{O}^{\oplus r+1} \rightarrow \mathcal{E} \rightarrow 0$ ;

- (11)  $0 \rightarrow \mathcal{O}(-2, -2) \rightarrow \mathcal{O}^{\oplus r+1} \rightarrow \mathcal{E} \rightarrow k(p) \rightarrow 0;$
- (12)  $0 \rightarrow \mathcal{O}(-2, -2) \rightarrow \mathcal{O}^{\oplus r} \rightarrow \mathcal{E} \rightarrow \mathcal{O} \rightarrow 0;$
- (13)  $0 \rightarrow \mathcal{O}(-1, -1)^{\oplus 4} \rightarrow \mathcal{O}^{\oplus r} \oplus \mathcal{O}(-1, 0)^{\oplus 2} \oplus \mathcal{O}(0, -1)^{\oplus 2} \rightarrow \mathcal{E} \rightarrow 0.$

### 3. Some basic properties of the spinor bundle $\mathcal{S}$ on $\mathbb{Q}^3$

We recall some basic facts and properties of the spinor bundle  $\mathcal{S}$  on  $\mathbb{Q}^3$  in our notation (see Ottaviani’s result [16] and [12, Theorem 8.1]). First we have an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \rightarrow \mathcal{O}^{\oplus 4} \rightarrow \mathcal{S} \rightarrow 0 \tag{3.1}$$

by [16, Theorem 2.8 (1)]. The restriction  $\mathcal{S}|_{\mathbb{Q}^2}$  of  $\mathcal{S}$  to a smooth hyperplane section  $\mathbb{Q}^2$  of  $\mathbb{Q}^3$  is isomorphic to  $\mathcal{O}(1, 0) \oplus \mathcal{O}(0, 1)$ , and  $h^0(\mathcal{S}) = 4$ . We have  $\det \mathcal{S} = \mathcal{O}(1)$ , and thus the canonical isomorphism

$$\mathcal{S}^\vee(1) \cong \mathcal{S}. \tag{3.2}$$

The zero locus  $(s)_0$  of every non-zero element  $s$  of  $H^0(\mathcal{S})$  is a line  $l$  in  $\mathbb{Q}^3$ . Thus  $c_1(\mathcal{S}) \cap [\mathbb{Q}^3] = h$  and  $c_2(\mathcal{S}) \cap [\mathbb{Q}^3] = l$ . We have  $h^q(\mathcal{S}) = 0$  for any  $q > 0$  and  $h^q(\mathcal{S}(-i)) = 0$  for all  $q$  if  $i = 1, 2$  or  $3$ .

**Lemma 3.1.** *The natural map*

$$H^0(\mathcal{S}) \otimes H^0(\mathcal{S}) \rightarrow H^0(\mathcal{O}(1))$$

*sending  $s \otimes t$  to  $s \wedge t$  is surjective.*

**Proof.** Without loss of generality, we may assume that  $\mathbb{Q}^3$  is defined by an equation  $X_{01}^2 - X_{02}X_{13} + X_{03}X_{12} = 0$ , where  $[X_{01} : X_{02} : X_{03} : X_{12} : X_{13}]$  is the homogeneous coordinates of  $\mathbb{P}^4$ . We may also regard  $\mathbb{Q}^3$  as a smooth hyperplane section  $H \cap \mathbb{Q}^4$  of a smooth hyperquadric  $\mathbb{Q}^4$  defined by an equation  $X_{01}X_{23} - X_{02}X_{13} + X_{03}X_{12} = 0$ , where  $X_{ij}$  ( $0 \leq i < j \leq 3$ ) are homogeneous coordinates of  $\mathbb{P}^5$ , and  $H$  is the hyperplane defined by  $X_{01} = X_{23}$ . Note that  $\mathbb{Q}^4$  is the image of the Grassmannian  $G(1, 3)$  parametrizing lines in  $\mathbb{P}^3$  by the Plücker embedding  $\iota$ . If we represent a point in  $G(1, 3)$  by a matrix

$$\begin{bmatrix} x_{10} & x_{11} & x_{12} & x_{13} \\ x_{20} & x_{21} & x_{22} & x_{23} \end{bmatrix}, \text{ then } \iota^* X_{ij} = \begin{bmatrix} x_{1i} & x_{1j} \\ x_{2i} & x_{2j} \end{bmatrix}.$$

We will identify  $\mathbb{Q}^4$  with  $G(1, 3)$  via  $\iota$ . Let  $H^0(\mathbb{P}^3, \mathcal{O}(1)) \otimes \mathcal{O}_{G(1,3)} \rightarrow \mathcal{Q}$  be the universal quotient bundle on  $G(1, 3)$ , which sends homogeneous coordinates  $x_j$  of  $\mathbb{P}^3$  to global sections  $s_j$  of  $\mathcal{Q}$  represented by  $\begin{bmatrix} x_{1j} \\ x_{2j} \end{bmatrix}$ .

Recall that  $\mathcal{S}$  is the restriction of  $\mathcal{U}$  to the hyperplane section  $H \cap \mathbb{Q}^4 = \mathbb{Q}^3$ . By abuse of notation, we will denote by  $s_j$  the restriction of  $s_j$  to  $\mathbb{Q}^3$ . Since  $h^0(\mathcal{S}) = 4$ ,  $H^0(\mathcal{S})$  is spanned by  $s_0, s_1, s_2, s_3$ . Moreover,  $H^0(\mathcal{O}(1))$  is spanned by  $X_{i,j} = s_i \wedge s_j$ , where  $(i, j) = (0, 1), (0, 2), (0, 3), (1, 2)$  and  $(1, 3)$ . This completes the proof.  $\square$

**4. Hirzebruch–Riemann–Roch formulas**

Let  $\mathcal{E}$  be a vector bundle of rank  $r$  on  $\mathbb{Q}^3$ . Since the tangent bundle  $T$  of  $\mathbb{Q}^3$  fits in an exact sequence

$$0 \rightarrow T \rightarrow T_{\mathbb{P}^4}|_{\mathbb{Q}^3} \rightarrow \mathcal{O}_{\mathbb{Q}^3}(2) \rightarrow 0,$$

the Chern polynomial  $c_t(T)$  of  $T$  is

$$\frac{(1 + ht)^5}{1 + 2ht} = 1 + 3ht + 4h^2t^2 + 2h^3t^3,$$

where  $h$  denotes  $c_1(\mathcal{O}_{\mathbb{Q}^3}(1))$ . Then the Hirzebruch–Riemann–Roch formula implies that

$$\chi(\mathcal{E}) = r + \frac{13}{12}c_1h^2 + \frac{3}{4}(c_1^2 - 2c_2)h + \frac{1}{6}(c_1^3 - 3c_1c_2 + 3c_3),$$

where we set  $c_i = c_i(\mathcal{E})$ . To compute  $\chi(\mathcal{E}(t))$ , note that

$$\begin{aligned} c_1(\mathcal{E}(t)) &= c_1 + rth; \\ c_2(\mathcal{E}(t)) &= c_2 + (r - 1)tc_1h + \binom{r}{2}t^2h^2; \\ c_3(\mathcal{E}(t)) &= c_3 + (r - 2)tc_2h + \binom{r - 1}{2}t^2c_1h^2 + \binom{r}{3}t^3h^3. \end{aligned}$$

Since  $h^3 = 2$ , we infer that

$$\begin{aligned} \chi(\mathcal{E}(t)) &= \frac{r}{3}t^3 + \frac{1}{2}(c_1h^2 + 3r)t^2 + \frac{1}{2}\{3c_1h^2 + (c_1^2 - 2c_2)h + \frac{13}{3}r\}t \\ &\quad + r + \frac{13}{12}c_1h^2 + \frac{3}{4}(c_1^2 - 2c_2)h + \frac{1}{6}(c_1^3 - 3c_1c_2 + 3c_3). \end{aligned} \tag{4.1}$$

Since  $c_1(\mathcal{E}) = dh$  for some integer  $d$ , the formula above can be written as

$$\begin{aligned} \chi(\mathcal{E}(t)) &= \frac{r}{6}(2t + 3)(t + 2)(t + 1) + dt^2 + (d^2 + 3d)t - c_2ht \\ &\quad + \frac{d}{6}(2d^2 + 9d + 13) + \frac{1}{2}\{c_3 - (d + 3)c_2h\}. \end{aligned} \tag{4.2}$$

In this paper, we are dealing with the case  $d = 2$ :

$$\chi(\mathcal{E}(t)) = \frac{r}{6}(2t + 3)(t + 2)(t + 1) + 2t^2 + 10t + 13 - c_2ht + \frac{1}{2}\{c_3 - 5c_2h\}. \tag{4.3}$$

In particular,

$$\chi(\mathcal{E}(-1)) = 5 - \frac{3}{2}c_2h + \frac{1}{2}c_3; \tag{4.4}$$

$$\chi(\mathcal{E}(-2)) = 1 - \frac{1}{2}c_2h + \frac{1}{2}c_3. \tag{4.5}$$

Next we will compute  $\chi(\mathcal{S}^\vee \otimes \mathcal{E}(t))$ . Recall that  $c_1(\mathcal{S}) = h$  and that  $c_1(\mathcal{S})c_2(\mathcal{S}) = 1$ . Note also that

$$\begin{aligned} \text{rank } \mathcal{S}^\vee \otimes \mathcal{E} &= 2r; \\ c_1(\mathcal{S}^\vee \otimes \mathcal{E}) &= 2c_1 - rh; \\ c_2(\mathcal{S}^\vee \otimes \mathcal{E}) &= 2c_2 - (2r - 1)c_1h + c_1^2 + \binom{r}{2}h^2 + rc_2(\mathcal{S}); \\ c_3(\mathcal{S}^\vee \otimes \mathcal{E}) &= 2c_3 - 2(r - 1)c_2h + (r - 1)^2c_1h^2 + 2(r - 1)c_1c_2(\mathcal{S}) \\ &\quad + 2c_1c_2 - (r - 1)c_1^2h - \frac{1}{3}r(r^2 - 1). \end{aligned}$$

The formula (4.1) together with the formulas above implies the following formula:

$$\begin{aligned} \chi(\mathcal{S}^\vee \otimes \mathcal{E}(t)) &= \frac{2}{3}rt^3 + (c_1h^2 + 2r)t^2 + \{2c_1h^2 + (c_1^2 - 2c_2)h + \frac{4}{3}r\}t \\ &\quad + \frac{7}{6}c_1h^2 + c_1^2h - 2c_2h + \frac{1}{3}c_1^3 + c_3 - c_1c_2 - c_1c_2(\mathcal{S}). \end{aligned}$$

Since  $c_1 = dh$ , the formula above becomes the following formula:

$$\begin{aligned} \chi(\mathcal{S}^\vee \otimes \mathcal{E}(t)) &= \frac{2}{3}rt(t + 1)(t + 2) + 2dt^2 + 2d(d + 2)t \\ &\quad + \frac{2}{3}d(d + 1)(d + 2) - (2t + d + 2)c_2h + c_3. \end{aligned} \tag{4.6}$$

For the case  $d = 2$ , we have

$$\chi(\mathcal{S}^\vee \otimes \mathcal{E}(t)) = \frac{2}{3}rt(t + 1)(t + 2) + 4(t + 2)^2 - 2(t + 2)c_2h + c_3. \tag{4.7}$$

In particular,

$$\chi(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 4 - 2c_2h + c_3. \tag{4.8}$$

### 5. Key lemmas

**Lemma 5.1.** *We have the following exact sequence on  $\mathbb{Q}^3$ :*

$$0 \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^\vee \otimes \text{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee \rightarrow \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3} \rightarrow 0, \tag{5.1}$$

where the injection  $T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^\vee \otimes \text{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee$  is the coevaluation morphism. Moreover,  $\dim \text{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee = 4$ .



**Proof.** The following simplified proof is due to the referee. As we have seen in Remark 2.2,

$$(\mathcal{O}(-1), T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee, \mathcal{O}) \tag{5.2}$$

is a full strong exceptional collection of  $D^b(\mathbb{Q}^3)$ . Since this is strong, the right mutation  $\mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3})$  of  $T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}$  over  $\mathcal{S}^\vee$  fits in the following distinguished triangle:

$$T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^\vee \otimes \mathrm{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee \rightarrow \mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}) \rightarrow .$$

Now consider the mutated full exceptional collection

$$(\mathcal{O}(-1), \mathcal{S}^\vee, \mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}), \mathcal{O}). \tag{5.3}$$

Note here that

$$\mathrm{Ext}^q(\mathcal{S}^\vee, \mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3})) = 0 \text{ for } q \neq 0. \tag{5.4}$$

Indeed, by taking  $\mathrm{RHom}(\mathcal{S}^\vee, \bullet)$  with the triangle above, we see that  $\mathrm{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee$  is isomorphic to  $\mathrm{RHom}(\mathcal{S}^\vee, \mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}))$ . On the other hand, by dualizing the collection (5.2) (and reversing the order) and then twisting it by  $\mathcal{O}(-1)$  gives the following full strong exceptional collection:

$$(\mathcal{O}(-1), \mathcal{S}^\vee, \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}, \mathcal{O}). \tag{5.5}$$

Comparing two full exceptional collections (5.3) and (5.5), we infer that

$$\langle \mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}) \rangle = {}^\perp \langle \mathcal{O}(-1), \mathcal{S}^\vee \rangle \cap \langle \mathcal{O} \rangle^\perp = \langle \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3} \rangle.$$

Thus, we have  $\mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}) \cong \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}[d]$  for some integer  $d$ , but the vanishing (5.4) implies that  $d = 0$ , namely

$$\mathbf{R}_{\mathcal{S}^\vee}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}) \cong \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}.$$

Hence we obtain the desired exact sequence (5.1). It follows immediately from the exact sequence (5.1) that  $\dim \mathrm{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee = 4$ . □

**Lemma 5.2.** *Let  $\varphi : \mathcal{S}^\vee \rightarrow \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}$  be a morphism of  $\mathcal{O}_{\mathbb{Q}^3}$ -modules. If  $\varphi \neq 0$ , then  $\varphi$  is injective, and there exists a line  $L$  on  $\mathbb{Q}^3$  such that the restriction  $\mathrm{Coker}(\varphi)|_L$  to  $L$  of the cokernel  $\mathrm{Coker}(\varphi)$  of  $\varphi$  admits a negative degree quotient.*

**Proof.** We have an exact sequence

$$0 \rightarrow \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3} \xrightarrow{i} H^0(\mathcal{O}(1)) \otimes \mathcal{O} \rightarrow \mathcal{O}(1) \rightarrow 0,$$

and the composite  $i \circ \varphi$  can be written as

$$i \circ \varphi = \sum_{i=1}^r l_i \otimes s_i^\vee$$

for some  $l_i \in H^0(\mathcal{O}(1))$  and  $s_i \in H^0(\mathcal{S})$ , where  $s_i^\vee$  denotes the dual of the morphism  $\mathcal{O} \rightarrow \mathcal{S}$  determined by  $s_i$ . We may assume that  $l_i \neq 0$  for all  $i$ . By replacing  $l_i$  if necessary, we may further assume that  $s_1, \dots, s_r$  are linearly independent. Since  $h^0(\mathcal{S}) = 4$ , we have  $r \leq 4$ . Note that  $\sum_{i=1}^r l_i s_i^\vee = 0$  in  $\text{Hom}(\mathcal{S}^\vee, \mathcal{O}(1))$ . Hence  $r \geq 2$ . Moreover, we have a surjective morphism

$$\psi : \text{Coker}(i \circ \varphi) \rightarrow \mathcal{O}(1).$$

Note that the morphism  $\mathcal{O}^{\oplus r} \rightarrow \mathcal{S}$  determined by  $(s_1, \dots, s_r)$  is generically surjective. Hence we see that  $i \circ \varphi$  is injective. Therefore,  $\varphi$  is injective and

$$\text{Coker}(\varphi) \cong \text{Ker}(\psi).$$

If  $r = 2$ , then  $\text{Coker}(i \circ \varphi) \cong \mathcal{T} \oplus \mathcal{O}^{\oplus 3}$  for some torsion sheaf  $\mathcal{T}$  on  $\mathbb{Q}^3$ . Since  $\mathcal{O}(1)$  is torsion-free,  $\psi$  maps  $\mathcal{T}$  to zero, and we have a surjective morphism  $\bar{\psi} : \mathcal{O}^{\oplus 3} \rightarrow \mathcal{O}(1)$ . On the other hand,  $\bar{\psi} : \mathcal{O}^{\oplus 3} \rightarrow \mathcal{O}(1)$  cannot be surjective since three hyperplane sections of  $\mathbb{Q}^3$  always meet at a point. This is a contradiction. Hence  $r = 3$  or  $4$ . Suppose that  $r = 4$ . Then it follows from the exact sequence (3.1) that  $\text{Coker}(i \circ \varphi) \cong \mathcal{S} \oplus \mathcal{O}$ . Note that  $\psi$  induces a morphism  $\mathcal{S} \rightarrow \mathcal{O}(1)$ , which factors through  $\mathcal{I}_L(1)$  for some line  $L$  in  $\mathbb{Q}^3$ . Since  $L$  and a hyperplane in  $\mathbb{Q}^3$  meet at a point,  $\psi$  cannot be surjective. Hence the case  $r = 4$  does not arise, and we have  $r = 3$ .

Now it follows from the exact sequence (3.1) that the cokernel of the morphism determined by  ${}^t(s_1^\vee, s_2^\vee, s_3^\vee) : \mathcal{S}^\vee \rightarrow \mathcal{O}^{\oplus 3}$  is isomorphic to the cokernel of some non-zero morphism  $\mathcal{O} \rightarrow \mathcal{S}$ , and hence it is isomorphic to  $\mathcal{I}_M(1)$  for some line  $M$  on  $\mathbb{Q}^3$ . Therefore,  $\text{Coker}(i \circ \varphi) \cong \mathcal{I}_M(1) \oplus \mathcal{O}^{\oplus 2}$ , and we have the following exact sequence:

$$0 \rightarrow \text{Coker}(\varphi) \rightarrow \mathcal{I}_M(1) \oplus \mathcal{O}^{\oplus 2} \xrightarrow{\psi} \mathcal{O}(1) \rightarrow 0. \tag{5.6}$$

Let  $\mathbb{Q}^2$  be a general hyperplane section of  $\mathbb{Q}^3$  containing  $M$ . We may assume that  $M$  is a divisor of type  $(1, 0)$  of  $\mathbb{Q}^2$ . Then  $\mathcal{I}_M(1)$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{I}_M(1) \rightarrow \mathcal{O}_{\mathbb{Q}^2}(0, 1) \rightarrow 0.$$

By pulling back the sequence above to a line  $L$  of type  $(0, 1)$  in  $\mathbb{Q}^2$ , we obtain the following exact sequence:

$$\mathcal{O}_L \rightarrow \mathcal{I}_M(1) \otimes \mathcal{O}_L \rightarrow \mathcal{O}_L \rightarrow 0.$$

The image of  $\mathcal{O}_L \rightarrow \mathcal{I}_M(1) \otimes \mathcal{O}_L$  is the torsion part of  $\mathcal{I}_M(1) \otimes \mathcal{O}_L$ . Therefore,  $\psi \otimes 1_L$  factors through  $\mathcal{O}_L^{\oplus 3}$  and induces a surjection  $\mathcal{O}_L^{\oplus 3} \rightarrow \mathcal{O}_L(1)$ . Hence  $\text{Coker}(\varphi) \otimes \mathcal{O}_L$  has  $\mathcal{O}_L(-1) \oplus \mathcal{O}_L$  as a quotient. □

Lemma 5.3 will be applied to  $\psi_a$  in (12.4) and (12.7) and plays a crucial role in our proof of Theorem 1.1.

**Lemma 5.3.** *For any positive integer  $a$  and for any morphism  $\psi_a : T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^{\vee \oplus a}$ , there exists a line  $L$  in  $\mathbb{Q}^3$  such that the cokernel  $\text{Coker}(\psi_a)$  of  $\psi_a$  has  $\mathcal{O}_L(-1)$  as a quotient. In case  $a = 1$ , there is a one-to-one correspondence between lines  $L$  in  $\mathbb{Q}^3$  and non-zero morphisms  $\psi_1 : T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^{\vee}$  up to scalar, and the correspondence is given by the following exact sequence:*

$$0 \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \xrightarrow{\psi_1} \mathcal{S}^{\vee} \rightarrow \mathcal{O}_L(-1) \rightarrow 0. \tag{5.7}$$

**Proof.** The following brilliant proof is due to the referee. This proof is much shorter than the original and enlightens the meaning of the exact sequence (5.7) more clearly.

Denote by  $\text{Quot}(\mathcal{S}^{\vee})$  the Quot-scheme parametrizing quotient sheaves of  $\mathcal{S}^{\vee}$ . Then we have a morphism

$$\Psi : \mathbb{P}(\text{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^{\vee})^{\vee}) \rightarrow \text{Quot}(\mathcal{S}^{\vee})$$

sending  $[\psi_1]$  to  $\text{Coker}(\psi_1)$ . Note that for any line  $L \subset \mathbb{Q}^3$  we have  $\mathcal{S}^{\vee}|_L \cong \mathcal{O}_L(-1) \oplus \mathcal{O}_L$  so that  $\mathcal{S}^{\vee}$  admits  $\mathcal{O}_L(-1)$  as a quotient. Note also that the Hilbert polynomial  $\chi(\mathcal{O}_L(t-1))$  of  $\mathcal{O}_L(-1)$  is  $t$ . Let  $Z$  be the Hilbert scheme parametrizing lines in  $\mathbb{Q}^3$ . Then we have an inclusion

$$Z \hookrightarrow \text{Quot}^t(\mathcal{S}^{\vee})$$

sending  $[L]$  to  $\mathcal{O}_L(-1)$ , where  $\text{Quot}^t(\mathcal{S}^{\vee})$  is the Quot-scheme parametrizing quotients of  $\mathcal{S}^{\vee}$  with Hilbert polynomial  $t$ . It is well-known that  $Z \cong \mathbb{P}^3$ . Note also that

$$\mathbb{P}(\text{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^{\vee})^{\vee}) \cong \mathbb{P}^3$$

by Lemma 5.1. We will show that  $\Psi$  is an isomorphism onto  $Z$ .

We first claim that the image  $\text{Im } \Psi$  of  $\Psi$  is  $Z$ . To see this, we first apply to  $\mathcal{O}_L(-1)$  for any line  $L \subset \mathbb{Q}^3$  the Bondal spectral sequence (2.1). We have the following:

$$\text{ext}^q(\mathcal{O}, \mathcal{O}_L(-1)) = 0 \text{ for any } q;$$

$$\text{ext}^q(\mathcal{S}, \mathcal{O}_L(-1)) = h^q(\mathcal{O}_L(-2) \oplus \mathcal{O}_L(-1)) = \begin{cases} 1 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1 \end{cases}; \tag{5.8}$$

$$\text{ext}^q(\mathcal{O}(1), \mathcal{O}_L(-1)) = h^q(\mathcal{O}_L(-2)) = \begin{cases} 1 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1 \end{cases};$$

$$\text{ext}^q(\mathcal{O}(2), \mathcal{O}_L(-1)) = h^q(\mathcal{O}_L(-3)) = \begin{cases} 2 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1 \end{cases}.$$

Thus,  $\text{Ext}^3(G, \mathcal{E}) = 0$ ,  $\text{Ext}^2(G, \mathcal{E}) = 0$ ,  $\text{Hom}(G, \mathcal{E}) = 0$ , and  $\text{Ext}^1(G, \mathcal{E})$  has a filtration  $S_1 \subset F \subset \text{Ext}^1(G, \mathcal{E})$  of right  $A$ -modules such that the following sequences are exact:

$$0 \rightarrow F \rightarrow \text{Ext}^1(G, \mathcal{E}) \rightarrow S_3^{\oplus 2} \rightarrow 0;$$

$$0 \rightarrow S_1 \rightarrow F \rightarrow S_2 \rightarrow 0.$$

These exact sequences induce the following distinguished triangles by Lemma 2.1:

$$F \otimes_A^L G \rightarrow \text{Ext}^1(G, \mathcal{E}) \otimes_A^L G \rightarrow \mathcal{O}(-1)^{\oplus 2}[3] \rightarrow;$$

$$\mathcal{S}^\vee[1] \rightarrow F \otimes_A^L G \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}[2] \rightarrow .$$

By taking cohomologies, we obtain the following exact sequences:

$$0 \rightarrow E_2^{-3,1} \rightarrow \mathcal{O}(-1) \rightarrow \mathcal{H}^{-2}(F \otimes_A^L G) \rightarrow E_2^{-2,1} \rightarrow 0;$$

$$0 \rightarrow \mathcal{H}^{-2}(F \otimes_A^L G) \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \xrightarrow{\psi_L} \mathcal{S}^\vee \rightarrow E_2^{-1,1} \rightarrow 0.$$

Moreover, we see that  $E_2^{p,q} = 0$  unless  $q = 1$  and that  $E_2^{p,1} = 0$  unless  $p = -3, -2$  or  $-1$ . Hence we infer that  $E_2^{-3,1} = 0$ , that  $E_2^{-2,1} = 0$  and that  $E_2^{-1,1} \cong \mathcal{O}_L(-1)$ . Therefore,  $\mathcal{O}_L(-1)$  is resolved as

$$0 \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \xrightarrow{\psi_L} \mathcal{S}^\vee \rightarrow \mathcal{O}_L(-1) \rightarrow 0 \tag{5.9}$$

in terms of the full strong exceptional collection (2.6). This implies that the image  $\text{Im } \Psi$  of  $\Psi$  contains  $Z$ . Since the source of  $\Psi$  has the same dimension as  $Z$ , we conclude that  $\text{Im } \Psi = Z$ .

Next we show that  $\Psi$  is injective. Note that the exact sequence (5.9) splits into the following two exact sequences:

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{S}^\vee \rightarrow \mathcal{O}_L(-1) \rightarrow 0; \tag{5.10}$$

$$0 \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{K} \rightarrow 0. \tag{5.11}$$

Since we have (5.8), the exact sequence (5.10) shows that  $\mathcal{K}$  is the left mutation of  $\mathcal{O}_L(-1)$  over  $\mathcal{S}^\vee$ . Moreover it follows from (5.11) that  $\mathcal{O}(-1)^{\oplus 2}$  is the left mutation of  $\mathcal{K}$  over  $T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}$ , since

$$K \cong \text{RHom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}) \cong \text{RHom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, K).$$

Therefore,  $\psi_L$  in (5.9) is uniquely determined by  $L$  up to scalar. Hence  $\Psi$  is injective.

Finally, if the composite of the morphism  $\psi_a$  and some projection  $\mathcal{S}^{\vee\oplus a} \rightarrow \mathcal{S}^\vee$  is zero, then  $\text{Coker}(\psi_a)$  admits  $\mathcal{S}^\vee$  as a quotient, and the assertion follows. Hence we may assume that the composite cannot be zero for any projection  $\mathcal{S}^{\vee\oplus a} \rightarrow \mathcal{S}^\vee$ . Then the cokernel of the composite has  $\mathcal{O}_L(-1)$  as a quotient, and so does  $\text{Coker}(\psi_a)$ .  $\square$

Since the analyses of  $\text{Coker}(\psi_a)$  in case  $a \geq 2$  in the original proof of Lemma 5.3 are indispensable for the proof of Lemma 5.4, we also provide that part of the proof as it is. Recall here that, for a coherent sheaf  $\mathcal{F}$  of codimension  $\geq p + 1$  on a non-singular projective variety  $X$ , we have  $c_i(\mathcal{F}) = 0$  for all  $1 \leq i \leq p$  (see, e.g., [6, Example 15.3.6]).

**Proof. The original proof of Lemma 5.3 in case  $a \geq 2$**  If the composite of the morphism  $\psi_a$  and some projection  $\mathcal{S}^{\vee\oplus a} \rightarrow \mathcal{S}^\vee$  is zero, then  $\text{Coker}(\psi_a)$  admits  $\mathcal{S}^\vee$  as a quotient, and the assertion follows. Hence we may assume that the composite cannot be zero for any projection  $\mathcal{S}^{\vee\oplus a} \rightarrow \mathcal{S}^\vee$ , and this implies that  $a \leq 4$  by Lemma 5.1.

If  $a = 4$ , then Lemma 5.1 shows that  $\text{Coker}(\psi_4) \cong \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}$ , and the assertion follows.

If  $a = 3$ , then  $\psi_3$  can be regarded as the composite of the coevaluation morphism

$$\psi_4 : T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^\vee \otimes \text{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee$$

and some projection  $\mathcal{S}^\vee \otimes \text{Hom}(T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}, \mathcal{S}^\vee)^\vee \rightarrow \mathcal{S}^{\vee\oplus 3}$ . Let  $\mathcal{S}^\vee \rightarrow \mathcal{S}^{\vee\oplus 4}$  be the kernel of this projection, and let  $\varphi$  be the composite of the inclusion  $\mathcal{S}^\vee \rightarrow \mathcal{S}^{\vee\oplus 4}$  and the surjection  $\mathcal{S}^{\vee\oplus 4} \rightarrow \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}$  in (5.1). Then

$$\text{Coker}(\psi_3) \cong \text{Coker}(\varphi) \tag{5.12}$$

and  $\text{Ker}(\psi_3) \cong \text{Ker}(\varphi)$  by the snake lemma. Since  $\text{Hom}(\mathcal{S}^\vee, T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}) = 0$ ,  $\varphi$  cannot be zero by (5.1). Lemma 5.2 then shows that  $\varphi$  is injective and that the restriction  $\text{Coker}(\varphi)|_L$  to some line  $L$  on  $\mathbb{Q}^3$  admits a negative degree quotient. Hence the assertion holds, and  $\psi_3$  is injective.

Suppose that  $a = 2$ . Then we can regard  $\psi_2$  as the composite of some  $\psi_3 : T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^{\vee\oplus 3}$  and some projection  $\mathcal{S}^{\vee\oplus 3} \rightarrow \mathcal{S}^{\vee\oplus 2}$ . Let  $\mathcal{S}^\vee \rightarrow \mathcal{S}^{\vee\oplus 3}$  be the kernel of this projection. Note here that we have an exact sequence

$$0 \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \xrightarrow{\psi_3} \mathcal{S}^{\vee\oplus 3} \rightarrow \text{Coker}(\varphi) \rightarrow 0.$$

Denote by  $\varphi_1 : \mathcal{S}^\vee \rightarrow \text{Coker}(\varphi)$  the composite of the inclusion  $\mathcal{S}^\vee \rightarrow \mathcal{S}^{\vee\oplus 3}$  and the surjection  $\mathcal{S}^{\vee\oplus 3} \rightarrow \text{Coker}(\varphi)$ . Then  $\varphi_1$  cannot be zero, since  $\text{Hom}(\mathcal{S}^\vee, T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}) = 0$ . Moreover, the snake lemma implies that

$$\text{Coker}(\psi_2) \cong \text{Coker}(\varphi_1) \quad \text{and that} \quad \text{Ker}(\psi_2) \cong \text{Ker}(\varphi_1).$$

Recall the inclusion  $i : \text{Coker}(\varphi) \hookrightarrow \mathcal{I}_M(1) \oplus \mathcal{O}^{\oplus 2}$  in (5.6) and consider the composite  $i \circ \varphi_1$ . We have the following exact sequence:

$$0 \rightarrow \text{Coker}(\varphi_1) \rightarrow \text{Coker}(i \circ \varphi_1) \rightarrow \mathcal{O}(1) \rightarrow 0. \tag{5.13}$$

Let  $i \circ \varphi_1$  be equal to  $(t^\vee, s_1^\vee, s_2^\vee)$ , where  $t^\vee \in \text{Hom}(\mathcal{S}^\vee, \mathcal{I}_M(1))$ ,  $t \in H^0(\mathcal{S}(1))$ ,  $s_1^\vee, s_2^\vee \in \text{Hom}(\mathcal{S}^\vee, \mathcal{O})$  and  $s_1, s_2 \in H^0(\mathcal{S})$ . Since we have an exact sequence (5.6), we have  $t^\vee + h_1 s_1^\vee + h_2 s_2^\vee = 0$  for some  $h_1, h_2 \in H^0(\mathcal{O}(1))$ . Now we have two cases:

- (1)  $s_1$  and  $s_2$  are linearly independent;
- (2)  $s_1$  and  $s_2$  are linearly dependent.

(1) If  $s_1$  and  $s_2$  are linearly independent, then  $\varphi_1$  is injective, and  $\text{Coker}(i \circ \varphi_1)$  has rank one. Thus we see that  $\text{Coker}(\varphi_1)$  is a torsion sheaf. Moreover, we claim that  $\text{Coker}(\varphi_1)$  is pure by [8, Prop. 1.1.6]: first note that  $\mathcal{E}xt_{\mathbb{Q}^3}^q(\text{Coker}(\varphi), \omega_{\mathbb{Q}^3}) = 0$  for all  $q \geq 2$ ; thus  $\mathcal{E}xt_{\mathbb{Q}^3}^q(\text{Coker}(\varphi_1), \omega_{\mathbb{Q}^3}) = 0$  for all  $q \geq 2$ , and hence  $\text{Coker}(\varphi_1)$  satisfies the generalized Serre’s condition  $S_{1,1}$  in [8, Section 1.1]. Now we compute the Chern polynomial of  $\text{Coker}(\varphi_1)$ . First note that  $c_t(\text{Coker}(\varphi)) = c_t(\Omega_{\mathbb{P}^4(1)}|_{\mathbb{Q}^3})/c_t(\mathcal{S}^\vee) = 1 + lt^2 - t^3$ . Hence

$$c_t(\text{Coker}(\varphi_1)) = c_t(\text{Coker}(\varphi))/c_t(\mathcal{S}^\vee) = 1 + ht + 2lt^2.$$

Since  $\text{Coker}(\varphi_1)$  is a torsion sheaf, this implies that  $\text{Coker}(\varphi_1)$  is supported on a hyperplane section  $H$  of  $\mathbb{Q}^3$ , and the length of  $\text{Coker}(\varphi_1)$  at the generic point of  $H$  is one. Since  $\text{Coker}(\varphi_1)$  is pure, this implies that  $\text{Coker}(\varphi_1)$  is of the form  $\mathcal{I}_{Z,H}(D)$ , where  $D$  is a divisor on  $H$  and  $\mathcal{I}_{Z,H}$  denotes the ideal sheaf of some zero-dimensional closed subscheme  $Z$  in  $H$ . Note here that  $c_t(\mathcal{O}_H) = 1 + ht + 2lt^2 + 2t^3$ , that  $c_t(\mathcal{O}_L) = (c_t(\mathcal{S}^\vee)/c_t(\mathcal{O}(-1)))^{-1} = 1 - lt^2 - t^3$  and that  $c_t(k(p)) = 1 + 2t^3$ , where  $k(p)$  is the residue field at a point  $p$  (see also [6, Example 15.3.1] for the formula  $c_t(k(p)) = 1 + 2t^3$ ). Hence we see that  $[D] = 0 \cdot l$  in  $A^2\mathbb{Q}^3$ . Moreover, if  $D$  is of type  $(d, -d)$ , then  $c_t(\mathcal{I}_{Z,H}(D)) = 1 + ht + 2lt^2 + (2 - 2d^2 - 2 \text{length } Z)t^3$ . Hence  $(d, \text{length } Z) = (0, 1)$  or  $(\pm 1, 0)$ . Therefore,  $\text{Coker}(\varphi_1)$  is isomorphic to either  $\mathcal{I}_{p,H}$  or  $\mathcal{O}_H(d, -d)$  where  $d = \pm 1$ . Thus the assertion holds.

(2) If  $s_1$  and  $s_2$  are linearly dependent, by replacing  $s_i$  and  $h_i$  if necessary, we may assume that  $s_2 = 0$ , and we have  $t^\vee + h_1 s_1^\vee = 0$ . Set  $\varphi'_1 := (t^\vee, s_1^\vee) : \mathcal{S}^\vee \rightarrow \mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3}$ . Then  $\text{Coker}(i \circ \varphi_1) \cong \text{Coker}(\varphi'_1) \oplus \mathcal{O}_{\mathbb{Q}^3}$  and  $\text{Ker}(\varphi_1) \cong \text{Ker}(\varphi'_1)$ . Note that  $\varphi'_1 \neq 0$  since  $\varphi_1 \neq 0$ . Hence  $s_1 \neq 0$ . Let  $L$  be the zero locus  $(s_1)_0$  of  $s_1$ . Then the composite of  $\varphi'_1$  and the inclusion  $\mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1) \oplus \mathcal{O}_{\mathbb{Q}^3}$  factors through the morphism  $(-h_1, 1) : \mathcal{O} \rightarrow \mathcal{O}(1) \oplus \mathcal{O}_{\mathbb{Q}^3}$ , and we have the following commutative diagram with exact rows:

$$\begin{array}{ccccccc}
 \mathcal{S}^\vee & \xrightarrow{s_1^\vee} & \mathcal{O}_{\mathbb{Q}^3} & \longrightarrow & \mathcal{O}_L & \longrightarrow & 0 \\
 \varphi'_1 \downarrow & & (-h_1, 1) \downarrow & & -\bar{h}_1 \downarrow & & \\
 0 & \longrightarrow & \mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3} & \longrightarrow & \mathcal{O}(1) \oplus \mathcal{O}_{\mathbb{Q}^3} & \longrightarrow & \mathcal{O}_M(1) \longrightarrow 0
 \end{array} \tag{5.14}$$

We see that  $\text{Im}(\varphi'_1) \cong \mathcal{I}_L$  and that  $\text{Ker}(\varphi'_1) \cong \mathcal{O}(-1)$ . We claim here that  $\bar{h}_1 \neq 0$ . Assume, to the contrary, that  $\bar{h}_1 = 0$ . Then the snake lemma implies that  $\text{Coker}(\varphi'_1)$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}_L \rightarrow \text{Coker}(\varphi'_1) \rightarrow \mathcal{O}(1) \rightarrow \mathcal{O}_M(1) \rightarrow 0.$$

Since  $\mathcal{O}_L$  is a torsion sheaf, the surjection  $\text{Coker}(\varphi'_1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1)$  induces a surjection  $\mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1)$ . On the other hand, the morphism  $\mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1)$  cannot be surjective since a line  $M$  and a hyperplane meets at least at one point. This is a contradiction. Hence  $\bar{h}_1 \neq 0$ , and thus  $L = M$ . Moreover, the commutative diagram (5.14) induces the following exact sequence by the snake lemma:

$$0 \rightarrow \text{Coker}(\varphi'_1) \rightarrow \mathcal{O}(1) \rightarrow k(p) \rightarrow 0,$$

where  $p = (\bar{h}_1)_0$ . Therefore,  $\text{Coker}(\varphi'_1) = \mathcal{I}_p(1)$ . The exact sequence (5.13), i.e. the sequence

$$0 \rightarrow \text{Coker}(\varphi_1) \rightarrow \mathcal{I}_p(1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1) \rightarrow 0$$

then shows that  $\text{Coker}(\varphi_1) = \mathcal{I}_p$ . Thus the assertion also holds if  $s_1$  and  $s_2$  are linearly dependent. □

Lemma 5.4 will be applied to  $\pi$  in (12.8) and plays a crucial role in the proof of Theorem 1.1.

**Lemma 5.4.** *Let  $\psi_a : T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{S}^{\vee \oplus a}$  be a morphism of  $\mathcal{O}_{\mathbb{Q}^3}$ -modules where  $a$  is a positive integer, and let  $\pi : \mathcal{O}_{\mathbb{Q}^3}(-1) \rightarrow \text{Coker}(\psi_a)$  be a morphism of  $\mathcal{O}_{\mathbb{Q}^3}$ -modules. If  $\text{Coker}(\pi)$  does not admit a negative degree quotient, then  $a = 1$ ,  $\text{Coker}(\pi) = 0$  and  $\text{Ker}(\pi)$  is isomorphic to  $\mathcal{I}_L(-1)$  for some line  $L$  in  $\mathbb{Q}^3$ .*

**Proof.** We may assume that  $\pi \neq 0$ .

Suppose that  $\text{Coker}(\psi_a)$  admits  $\mathcal{S}^\vee$  as a quotient; let  $p : \text{Coker}(\psi_a) \rightarrow \mathcal{S}^\vee$  be the surjection. Note that  $\text{Coker}(\pi)$  admits  $\text{Coker}(p \circ \pi)$  as a quotient. If  $p \circ \pi = 0$ , then  $\text{Coker}(p \circ \pi) \cong \mathcal{S}^\vee$ , and if  $p \circ \pi \neq 0$ , then  $\text{Coker}(p \circ \pi) \cong \mathcal{I}_L$  for some line  $L$  in  $\mathbb{Q}^3$ . Therefore, the restriction of  $\text{Coker}(\pi)$  to a line admits a negative degree quotient.

In the following, we assume that  $\text{Coker}(\psi_a)$  does not admit  $\mathcal{S}^\vee$  as a quotient. Hence  $a \leq 4$  by Lemma 5.1.

Suppose that  $a = 4$ . Then  $\text{Coker}(\psi_4) \cong \Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}$  by Lemma 5.1. Since  $\Omega_{\mathbb{P}^4}(1)|_L \cong \mathcal{O}_L(-1) \oplus \mathcal{O}_L^{\oplus 3}$  for any line  $L$  in  $\mathbb{Q}^3$ , if  $\text{Coker}(\pi)|_L$  does not admit a negative degree quotient for any line  $L$  in  $\mathbb{Q}^3$ , we see that  $\text{Coker}(\pi)|_L \cong \mathcal{O}_L^{\oplus 3}$  for any line  $L$  in  $\mathbb{Q}^3$ . This implies that  $\text{Coker}(\pi) \cong \mathcal{O}_{\mathbb{Q}^3}^{\oplus 3}$  by [18, (3.6.1) Lemma]. Thus  $\Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3} \cong \mathcal{O}(-1) \oplus \mathcal{O}^{\oplus 3}$ , which contradicts  $H^0(\Omega_{\mathbb{P}^4}(1)|_{\mathbb{Q}^3}) = 0$ . Therefore,  $\text{Coker}(\pi)|_L$  admits a negative degree quotient for some line  $L$  in  $\mathbb{Q}^3$ .

Suppose that  $a = 3$ . Recall that  $\text{Coker}(\psi_3) \cong \text{Coker}(\varphi)$  in (5.12). Recall also the inclusion  $i : \text{Coker}(\varphi) \hookrightarrow \mathcal{I}_M(1) \oplus \mathcal{O}^{\oplus 2}$  in (5.6) and consider the composite  $i \circ \pi$ . We have the following exact sequence:

$$0 \rightarrow \text{Coker}(\pi) \rightarrow \text{Coker}(i \circ \pi) \xrightarrow{\rho} \mathcal{O}(1) \rightarrow 0. \tag{5.15}$$

Let  $i \circ \pi$  be equal to  $(t, g_1, g_2)$ , where  $t \in \text{Hom}(\mathcal{O}(-1), \mathcal{I}_M(1)) \cong H^0(\mathcal{I}_M(2))$ ,  $g_1, g_2 \in \text{Hom}(\mathcal{O}(-1), \mathcal{O}) \cong H^0(\mathcal{O}(1))$ . Since we have an exact sequence (5.6), we have  $t + h_1g_1 + h_2g_2 = 0$  for some  $h_1, h_2 \in H^0(\mathcal{O}(1))$ . Now we have two cases:

- (1)  $g_1$  and  $g_2$  are linearly independent;
- (2)  $g_1$  and  $g_2$  are linearly dependent.

(1) If  $g_1$  and  $g_2$  are linearly independent, then the cokernel of the morphism  $(g_1, g_2) : \mathcal{O}(-1) \rightarrow \mathcal{O}^{\oplus 2}$  is of the form  $\mathcal{I}_C(1)$ , where  $C$  is the conic defined by  $g_1$  and  $g_2$ . Hence  $\text{Coker}(i \circ \pi)$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{I}_M(1) \rightarrow \text{Coker}(i \circ \pi) \rightarrow \mathcal{I}_C(1) \rightarrow 0.$$

Now consider the composite of the injection  $\mathcal{I}_M \rightarrow \text{Coker}(i \circ \pi)(-1)$  and the surjection  $\rho(-1) : \text{Coker}(i \circ \pi)(-1) \rightarrow \mathcal{O}$ . The composite is nothing but the inclusion  $\mathcal{I}_M \hookrightarrow \mathcal{O}$  and its cokernel is  $\mathcal{O}_M$ . Thus the surjection  $\rho(-1)$  induces a surjection  $\bar{\rho}(-1) : \mathcal{I}_C \rightarrow \mathcal{O}_M$ . This implies that  $C \cap M = \emptyset$ . Moreover  $\text{Coker}(\pi)(-1) \cong \text{Ker}(\bar{\rho}(-1)) \cong \mathcal{I}_{C \sqcup M}$ . Hence  $\text{Coker}(\pi) \cong \mathcal{I}_{C \sqcup M}(1)$ . Note that the conic  $C$  and the line  $M$  can be joined by a line  $L$  in  $\mathbb{Q}^3$ . Indeed, any hyperplane section  $H$  containing  $M$  intersects  $C$  at some point  $p$ , and the point  $p$  and  $M$  can be joined by a line  $L$  in  $H$ . Now we see that  $\text{Coker}(\pi)|_L$  admits a negative degree quotient.

(2) If  $g_1$  and  $g_2$  are linearly dependent, by replacing  $g_i$  and  $h_i$  if necessary, we may assume that  $g_2 = 0$ , and we have  $t + h_1g_1 = 0$ . Set  $\pi'_1 := (t, g_1) : \mathcal{O}(-1) \rightarrow \mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3}$ . Then  $\text{Coker}(i \circ \pi) \cong \text{Coker}(\pi'_1) \oplus \mathcal{O}_{\mathbb{Q}^3}$ . Note that  $\pi'_1 \neq 0$  since  $\pi \neq 0$ . Hence  $g_1 \neq 0$ . Let  $H$  be the hyperplane defined by  $g_1$ . Then we have the following commutative diagram with exact rows:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathcal{O}(-1) & \xrightarrow{g_1} & \mathcal{O}_{\mathbb{Q}^3} & \longrightarrow & \mathcal{O}_H \longrightarrow 0 \\
 & & \pi' \downarrow & & (-h_1, 1) \downarrow & & -\bar{h}_1 \downarrow \\
 0 & \longrightarrow & \mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3} & \longrightarrow & \mathcal{O}(1) \oplus \mathcal{O}_{\mathbb{Q}^3} & \longrightarrow & \mathcal{O}_M(1) \longrightarrow 0
 \end{array} \tag{5.16}$$

We claim here that  $\bar{h}_1 \neq 0$ . Assume, to the contrary, that  $\bar{h}_1 = 0$ . Then the snake lemma shows that we have the following exact sequence:

$$0 \rightarrow \mathcal{O}_H \rightarrow \text{Coker}(\pi'_1) \rightarrow \mathcal{O}(1) \rightarrow \mathcal{O}_M(1) \rightarrow 0.$$

Since  $\mathcal{O}_H$  is a torsion sheaf, the surjection  $\rho : \text{Coker}(\pi'_1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1)$  sends  $\mathcal{O}_H$  to zero, and thus  $\rho$  induces a surjection  $\mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1)$ . On the other hand, the morphism  $\mathcal{I}_M(1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1)$  cannot be surjective since a line  $M$  and a hyperplane meets at least at one point. This is a contradiction. Hence  $\bar{h}_1 \neq 0$ . Then the kernel of the morphism  $-\bar{h}_1 : \mathcal{O}_H \rightarrow \mathcal{O}_M(1)$  is  $\mathcal{O}_H(-M)$  and the cokernel of  $-\bar{h}_1$  is  $k(p)$  for some point  $p \in M$ .



Hence the commutative diagram (5.16) induces the following exact sequence by the snake lemma:

$$0 \rightarrow \mathcal{O}_H(-M) \rightarrow \text{Coker}(\pi') \rightarrow \mathcal{O}(1) \rightarrow k(p) \rightarrow 0.$$

Since  $\mathcal{O}_H(-M)$  is a torsion sheaf, the surjection  $\rho : \text{Coker}(\pi') \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1)$  sends  $\mathcal{O}_H(-M)$  to zero, and thus the inclusion  $\mathcal{O}_H(-M) \hookrightarrow \text{Coker}(\pi') \oplus \mathcal{O}_{\mathbb{Q}^3}$  induces an inclusion  $\mathcal{O}_H(-M) \hookrightarrow \text{Coker}(\pi)$ . The exact sequence (5.15) induces the following exact sequence:

$$0 \rightarrow \text{Coker}(\pi)/\mathcal{O}_H(-M) \rightarrow \mathcal{I}_p(1) \oplus \mathcal{O}_{\mathbb{Q}^3} \rightarrow \mathcal{O}(1) \rightarrow 0.$$

This shows that  $\text{Coker}(\pi)/\mathcal{O}_H(-M) = \mathcal{I}_p$ .

Suppose that  $a = 2$ . As we have seen in the original proof of Lemma 5.3,  $\text{Coker}(\psi_2)$  is isomorphic to  $\text{Coker}(\varphi_1)$ , and  $\text{Coker}(\varphi_1)$  is one of the following:  $\mathcal{I}_{p,H}$ ;  $\mathcal{O}_H(d, -d)$  where  $d = \pm 1$ ;  $\mathcal{I}_p$ . If  $\text{Coker}(\varphi_1) = \mathcal{I}_{p,H}$ , then  $\text{Coker}(\pi)$  admits  $\mathcal{O}_C(-p)$  as a quotient, where  $C$  is a conic on  $H$ . If  $\text{Coker}(\varphi_1) = \mathcal{O}_H(d, -d)$  with  $d = \pm 1$ , then  $\text{Coker}(\pi)$  admits  $\mathcal{O}_L(-1)$  as a quotient, where  $L$  is a line on  $H$ . If  $\text{Coker}(\varphi_1) = \mathcal{I}_p$ , then  $\text{Coker}(\pi)$  admits  $\mathcal{I}_{p,H}$  as a quotient. Hence the assertion follows if  $a = 2$ .

Suppose that  $a = 1$ . Then  $\text{Coker}(\psi_1) \cong \mathcal{O}_L(-1)$  by Lemma 5.3. Since  $\pi \neq 0$ , the morphism  $\pi : \mathcal{O}(-1) \rightarrow \mathcal{O}_L(-1)$  is surjective, and  $\text{Ker}(\pi) \cong \mathcal{I}_L(-1)$ . This completes the proof. □

### 6. A lower bound for the third Chern class

Note that

$$c_3 \geq 2c_1c_2 - c_1^3 \tag{6.1}$$

for a nef vector bundle  $\mathcal{E}$  on a complete threefold  $X$ , since  $H(\mathcal{E})^{r+2} = c_3 - 2c_1c_2 + c_1^3 \geq 0$  for a nef line bundle  $H(\mathcal{E})$ . If there exists an injection  $\mathcal{L} \rightarrow \mathcal{E}$  from a line bundle  $\mathcal{L}$ , then we have a lower bound, which is better if  $\mathcal{L} \cong \mathcal{O}(D)$  for some effective divisor  $D$ , as the following lemma shows:

**Lemma 6.1.** *Let  $\mathcal{E}$  be a nef vector bundle of rank  $r$  on a complete variety  $X$  of dimension three. Let  $\mathcal{L}$  be a line bundle on  $X$  such that  $H^0(\mathcal{E} \otimes \mathcal{L}^{-1}) \neq 0$ . Then we have the following inequality:*

$$c_3 \geq 2c_1c_2 - c_1^3 + (c_1^2 - c_2)c_1(\mathcal{L}).$$

**Proof.** The following short proof is due to the referee. Let  $p : \mathbb{P}(\mathcal{E}) \rightarrow X$  be the projection. Then  $H^0(H(\mathcal{E}) \otimes p^*\mathcal{L}^{-1}) \cong H^0(\mathcal{E} \otimes \mathcal{L}^{-1}) \neq 0$ . Hence  $H(\mathcal{E})^{r+1}(H(\mathcal{E}) - p^*c_1(\mathcal{L})) \geq 0$ . This yields the desired inequality. □

Lemma 6.1 will be applied to  $\mathcal{E}$  in § 12.1.

**7. Set-up for the proof of Theorem 1.1**

Let  $\mathcal{E}$  be a nef vector bundle of rank  $r$  on  $\mathbb{Q}^3$  with  $c_1 = 2h$ . It follows from [12, Lemma 4.1 (1)] that

$$h^q(\mathcal{E}(t)) = 0 \text{ for } q > 0 \text{ and } t \geq 0. \tag{7.1}$$

Moreover, if  $H(\mathcal{E})^{r+2} = c_3 - 2c_1c_2 + c_1^3 = c_3 - 4c_2h + 16 > 0$ , then

$$h^q(\mathcal{E}(-1)) = 0 \text{ for } q > 0 \tag{7.2}$$

by [12, Lemma 4.1 (2)]. Note here that

$$c_3 \geq 0 \tag{7.3}$$

by [11, Theorem 8.2.1], since  $\mathcal{E}$  is nef. Hence we see that

$$h^q(\mathcal{E}(-1)) = 0 \text{ for } q > 0 \text{ if } c_2h \leq 3. \tag{7.4}$$

It follows from [12, Lemma 4.3] that

$$\text{Ext}^q(\mathcal{S}, \mathcal{E}(2)) = 0 \text{ for } q > 0. \tag{7.5}$$

The exact sequence (3.1) together with the isomorphism (3.2) implies that  $\mathcal{S}^\vee \otimes \mathcal{E}(2)$  fits in an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(1) \rightarrow \mathcal{E}(1)^{\oplus 4} \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(2) \rightarrow 0.$$

It then follows from (7.1) and (7.5) that

$$\text{Ext}^q(\mathcal{S}, \mathcal{E}(1)) = 0 \text{ for } q \geq 2. \tag{7.6}$$

If  $h^0(\mathcal{E}(-2)) \neq 0$ , then  $\mathcal{E} \cong \mathcal{O}(2) \oplus \mathcal{O}^{\oplus r-1}$  by [12, Proposition 5.1 and Remark 5.3]. Thus, we will always assume that

$$h^0(\mathcal{E}(-2)) = 0 \tag{7.7}$$

in the following. It follows from Theorem 2.3 that

$$h^q(\mathcal{E}|_{\mathbb{Q}^2}) = 0 \text{ for } q \geq 2. \tag{7.8}$$

Moreover

$$h^1(\mathcal{E}|_{\mathbb{Q}^2}) = \begin{cases} 1 & \text{if } \mathcal{E}|_{\mathbb{Q}^2} \text{ belongs to Case (11) of Theorem 2.3;} \\ 0 & \text{otherwise.} \end{cases} \tag{7.9}$$

The vanishing (7.1) then shows that

$$h^3(\mathcal{E}(-1)) = 0. \tag{7.10}$$

Moreover

$$h^2(\mathcal{E}(-1)) = 0 \text{ unless } \mathcal{E}|_{\mathbb{Q}^2} \text{ belongs to Case (11) of Theorem 2.3.} \tag{7.11}$$

It follows from Theorem 2.3 that

$$h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0 \text{ for } q \geq 2. \tag{7.12}$$

The vanishing (7.10) then shows that

$$h^3(\mathcal{E}(-2)) = 0. \tag{7.13}$$

The exact sequence (3.1) together with (3.2) also induces the following exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow \mathcal{E}(-1)^{\oplus 4} \rightarrow \mathcal{S}^\vee \otimes \mathcal{E} \rightarrow 0. \tag{7.14}$$

This exact sequence (7.14) and an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow \mathcal{S}^\vee \otimes \mathcal{E} \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0 \tag{7.15}$$

will be used to compute  $\text{Ext}^q(\mathcal{S}, \mathcal{E})$ .

**8. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (1) of Theorem 2.3**

The assumption (7.7) implies that this case does not arise. Indeed, if  $\mathcal{E}|_{\mathbb{Q}^2} \cong \mathcal{O}(2, 2) \oplus \mathcal{O}^{\oplus r-1}$ , then  $h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$  for  $q > 0$ . Moreover  $c_2h = 0$ . Hence  $h^q(\mathcal{E}(-1)) = 0$  for  $q > 0$  by (7.4). This implies that  $h^q(\mathcal{E}(-2)) = 0$  for  $q \geq 2$ . The assumption (7.7) then shows that

$$0 \geq -h^1(\mathcal{E}(-2)) = \chi(\mathcal{E}(-2)) = 1 + \frac{1}{2}c_3$$

by (4.5). This contradicts (7.3). Hence this case does not arise.

**9. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (2) of Theorem 2.3**

Suppose that

$$\mathcal{E}|_{\mathbb{Q}^2} \cong \mathcal{O}(2, 1) \oplus \mathcal{O}(0, 1) \oplus \mathcal{O}^{\oplus r-2}.$$

Then  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 2$  and  $h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$  for  $q > 0$ . Moreover  $c_2h = 2$ . Hence

$$h^q(\mathcal{E}(-1)) = 0 \text{ for } q > 0$$

by (7.4). It then follows from (4.4) and (7.3) that  $h^0(\mathcal{E}(-1)) = \chi(\mathcal{E}(-1)) = 2 + \frac{1}{2}c_3 \geq 2$ . On the other hand, we have  $h^0(\mathcal{E}(-1)) \leq h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 2$  by (7.7). Therefore, the restriction map  $H^0(\mathcal{E}(-1)) \rightarrow H^0(\mathcal{E}(-1)|_{\mathbb{Q}^2})$  is an isomorphism,

$$h^0(\mathcal{E}(-1)) = 2 \text{ and } c_3 = 0.$$

Hence we see that

$$h^q(\mathcal{E}(-2)) = 0 \text{ for all } q.$$

Since  $\mathcal{E}(-2)|_{\mathbb{Q}^2} \cong \mathcal{O}(0, -1) \oplus \mathcal{O}(-2, -1) \oplus \mathcal{O}(-2, -2)^{\oplus r-2}$ , we have  $h^q(\mathcal{E}(-2)|_{\mathbb{Q}^2}) = 0$  for  $q < 2$  and  $h^2(\mathcal{E}(-2)|_{\mathbb{Q}^2}) = r - 2$ . Therefore

$$h^q(\mathcal{E}(-3)) = 0 \text{ for } q < 3 \text{ and } h^3(\mathcal{E}(-3)) = r - 2.$$

Next we will compute  $\text{Ext}^q(\mathcal{S}, \mathcal{E}(-1))$ . Since

$$\mathcal{S}^\vee \otimes \mathcal{E}(t)|_{\mathbb{Q}^2} \cong (\mathcal{O}(-1, 0) \oplus \mathcal{O}(0, -1)) \otimes (\mathcal{O}(2+t, 1+t) \oplus \mathcal{O}(t, 1+t) \oplus \mathcal{O}(t, t)^{\oplus r-2}),$$

we see that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}(t)|_{\mathbb{Q}^2}) = 0$  for  $q > 0$  and  $t \geq 0$ . Hence it follows from (7.6) that

$$\text{Ext}^q(\mathcal{S}, \mathcal{E}(-1)) = 0 \text{ for } q \geq 2.$$

Since  $c_2h = 2$  and  $c_3 = 0$ , the formula (4.8) shows that

$$h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)).$$

Set  $a = h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1))$ . Note that  $\mathcal{S}^\vee \otimes \mathcal{E}(-1)$  fits in an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-2) \rightarrow \mathcal{E}(-2)^{\oplus 4} \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow 0$$

by (3.1) and (3.2). Since  $h^q(\mathcal{E}(-2)) = 0$  for all  $q$ , this exact sequence shows that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}(-2)) = \begin{cases} 0 & \text{if } q = 0, 3 \\ a & \text{otherwise.} \end{cases}$$

On the other hand, we have an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-2) \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow (\mathcal{S}^\vee \otimes \mathcal{E}(-1))|_{\mathbb{Q}^2} \rightarrow 0. \tag{9.1}$$

Since

$$\mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2} \cong (\mathcal{O}(-1, 0) \oplus \mathcal{O}(0, -1)) \otimes (\mathcal{O}(1, 0) \oplus \mathcal{O}(-1, 0) \oplus \mathcal{O}(-1, -1)^{\oplus r-2}),$$

we see that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2}) = \begin{cases} 1 & \text{if } q = 0, 1 \\ 0 & \text{if } q = 2, 3. \end{cases}$$

Hence the exact sequence (9.1) implies that  $a = 1$ .

We apply to  $\mathcal{E}(-1)$  the Bondal spectral sequence (2.1). We have  $\text{Ext}^3(G, \mathcal{E}(-1)) \cong S_3^{\oplus r-2}$ ,  $\text{Ext}^2(G, \mathcal{E}(-1)) = 0$  and  $\text{Ext}^1(G, \mathcal{E}(-1)) \cong S_1$ . Moreover,  $\text{Hom}(G, \mathcal{E}(-1))$  fits in an exact sequence

$$0 \rightarrow S_0^{\oplus 2} \rightarrow \text{Hom}(G, \mathcal{E}(-1)) \rightarrow S_1 \rightarrow 0.$$

Now Lemma 2.1 shows that  $E_2^{p,3} = 0$  unless  $p = -3$ , that  $E_2^{-3,3} \cong \mathcal{O}(-1)^{\oplus r-2}$ , that  $E_2^{p,2} = 0$  for all  $p$ , that  $E_2^{p,1} = 0$  unless  $p = -1$ , that  $E_2^{-1,1} \cong \mathcal{S}(-1)$  and that a distinguished triangle

$$\mathcal{O}^{\oplus 2} \rightarrow \text{Hom}(G, \mathcal{E}(-1)) \otimes^L_A G \rightarrow \mathcal{S}(-1)[1] \rightarrow$$

exists. Hence we have the following exact sequence:

$$0 \rightarrow E_2^{-1,0} \rightarrow \mathcal{S}(-1) \rightarrow \mathcal{O}^{\oplus 2} \rightarrow E_2^{0,0} \rightarrow 0. \tag{9.2}$$

Note here that  $E_2^{-1,0} \cong E_\infty^{-1,0} = 0$ . Hence we see that  $E_2^{0,0}$  is a non-zero torsion sheaf. On the other hand,  $\mathcal{E}(-1)$  has  $E_2^{0,0}$  as a subsheaf, so that  $E_2^{0,0}$  must be torsion-free. This is a contradiction. Therefore, this case does not arise.

### 10. The case where $\mathcal{E}|_{\mathbb{Q}^2}$ belongs to Case (3) of Theorem 2.3

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2} \cong \mathcal{O}(1, 1)^{\oplus 2} \oplus \mathcal{O}^{\oplus r-2}$ . Then  $c_2 \cdot h = 2$ . Hence  $h^q(\mathcal{E}(-1)) = 0$  for  $q > 0$  by (7.4). Since  $h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$  for  $q > 0$ , this implies that  $h^q(\mathcal{E}(-2)) = 0$  for  $q \geq 2$ . The assumption (7.7) together with (4.5) and (7.3) shows that

$$0 \geq -h^1(\mathcal{E}(-2)) = \chi(\mathcal{E}(-2)) = \frac{1}{2}c_3 \geq 0.$$

Hence  $h^1(\mathcal{E}(-2)) = 0$  and  $c_3 = 0$ . Thus  $h^0(\mathcal{E}(-1)) = h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 2$ . Since  $h^q(\mathcal{E}(-2)) = 0$  for any  $q$ , we see that  $h^q(\mathcal{E}(-3)) = h^{q-1}(\mathcal{E}(-2)|_{\mathbb{Q}^2})$  for all  $q$ . Hence  $h^q(\mathcal{E}(-3)) = 0$  unless  $q = 3$  and  $h^3(\mathcal{E}(-3)) = r - 2$ . Since

$$\mathcal{S}^\vee \otimes \mathcal{E}(t)|_{\mathbb{Q}^2} \cong (\mathcal{O}(-1, 0) \oplus \mathcal{O}(0, -1)) \otimes (\mathcal{O}(1+t, 1+t))^{\oplus 2} \oplus \mathcal{O}(t, t)^{\oplus r-2},$$

we see that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}(t)|_{\mathbb{Q}^2}) = 0$  for  $q > 0$  and  $t \geq -1$ . Hence it follows from (7.6) that  $\text{Ext}^q(\mathcal{S}, \mathcal{E}(-t)) = 0$  for  $q \geq 2$  and  $t = 0, 1, 2$ . Since the exact sequence (3.1) together with (3.2) induces an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-2) \rightarrow \mathcal{E}(-2)^{\oplus 4} \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow 0,$$

the vanishing  $h^1(\mathcal{E}(-2)) = 0$  implies that  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Since  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ , this implies that  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-2)) = 0$ . Hence  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ . We apply to  $\mathcal{E}(-1)$  the Bondal spectral sequence (2.1). We see that  $\text{Hom}(G, \mathcal{E}(-1)) \cong S_0^{\oplus 2}$ , that  $\text{Ext}^q(G, \mathcal{E}(-1)) = 0$  for  $q = 1, 2$  and that  $\text{Ext}^3(G, \mathcal{E}(-1)) \cong S_3^{\oplus r-2}$ . Hence  $E_2^{p,q} = 0$  unless  $q=0$  or  $q=3$ ,  $E_2^{p,0} = 0$  unless  $p=0$ ,  $E_2^{0,0} = \mathcal{O}^{\oplus 2}$ ,  $E_2^{p,3} = 0$  unless  $p = -3$  and  $E_2^{-3,3} = \mathcal{O}(-1)^{\oplus r-2}$  by Lemma 2.1. Therefore,  $\mathcal{E}(-1)$  fits in an exact sequence

$$0 \rightarrow \mathcal{O}^{\oplus 2} \rightarrow \mathcal{E}(-1) \rightarrow \mathcal{O}(-1)^{\oplus r-2} \rightarrow 0.$$

Hence  $\mathcal{E} \cong \mathcal{O}(1)^{\oplus 2} \oplus \mathcal{O}^{\oplus r-2}$ . This is Case (2) of Theorem 1.1.

**11. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (4) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in an exact sequence

$$0 \rightarrow \mathcal{O} \rightarrow \mathcal{O}(1, 1) \oplus \mathcal{O}(1, 0) \oplus \mathcal{O}(0, 1) \oplus \mathcal{O}^{\oplus r-2} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $c_2h = 3$ . Hence  $h^q(\mathcal{E}(-1)) = 0$  for  $q > 0$  by (7.4). Note that  $h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$  for  $q > 0$  and that  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 1$ . Hence  $h^q(\mathcal{E}(-2)) = 0$  for  $q \geq 2$ . The assumption (7.7) together with (4.5) and (7.3) shows that

$$0 \geq -h^1(\mathcal{E}(-2)) = \chi(\mathcal{E}(-2)) = -\frac{1}{2} + \frac{1}{2}c_3 \geq -\frac{1}{2}.$$

Hence  $h^1(\mathcal{E}(-2)) = 0$  and  $c_3 = 1$ . Now that  $h^q(\mathcal{E}(-2)) = 0$  for any  $q$ , we have  $h^q(\mathcal{E}(-3)) = h^{q-1}(\mathcal{E}(-2)|_{\mathbb{Q}^2})$  for any  $q$ . Set  $a = h^1(\mathcal{E}(-2)|_{\mathbb{Q}^2})$ . Then  $a = 0$  or  $1$ , and  $h^2(\mathcal{E}(-2)|_{\mathbb{Q}^2}) = r - 3 + a$ . Hence we see that  $h^q(\mathcal{E}(-3)) = 0$  for  $q \leq 1$ , that  $h^2(\mathcal{E}(-3)) = a$  and that  $h^3(\mathcal{E}(-3)) = r - 3 + a$ . Moreover, the assumption (7.7) implies that  $h^0(\mathcal{E}(-1)) = h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 1$ . Since  $\mathcal{E}|_{\mathbb{Q}^2}(-2, -1)$  fits in an exact sequence

$$0 \rightarrow \mathcal{O}(-2, -1) \rightarrow \mathcal{O}(-1, 0) \oplus \mathcal{O}(-1, -1) \oplus \mathcal{O}(-2, 0) \oplus \mathcal{O}(-2, -1)^{\oplus r-2} \rightarrow \mathcal{E}|_{\mathbb{Q}^2}(-2, -1) \rightarrow 0,$$

we see that  $h^q(\mathcal{E}|_{\mathbb{Q}^2}(-2, -1)) = 0$  unless  $q = 1$ . Hence  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$  unless  $q = 1$ . Note that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}(t)|_{\mathbb{Q}^2}) = 0$  for  $t \geq 0$  and  $q \geq 1$ . Hence it follows from (7.6) that  $\text{Ext}^q(\mathcal{S}, \mathcal{E}(-t)) = 0$  for  $q \geq 2$  and  $t = 0, 1$ . Note that  $\mathcal{S}^\vee \otimes \mathcal{E}(-2)$  is a subbundle of  $\mathcal{E}(-2)^{\oplus 4}$  by (3.1). Since  $h^0(\mathcal{E}(-2)) = 0$ , this implies that  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-2)) = 0$ . Since we have an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-2) \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2} \rightarrow 0$$

and  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ , we infer that  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Now, from (4.8), it follows that

$$-h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = \chi(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 4 - 2 \cdot 3 + 1 = -1.$$

We apply to  $\mathcal{E}(-1)$  the Bondal spectral sequence (2.1). We have the following isomorphisms:  $\text{Ext}^3(G, \mathcal{E}(-1)) \cong S_3^{\oplus r-3+a}$ ;  $\text{Ext}^2(G, \mathcal{E}(-1)) \cong S_3^{\oplus a}$ ;  $\text{Ext}^1(G, \mathcal{E}(-1)) \cong S_1$ ;  $\text{Hom}(G, \mathcal{E}(-1)) \cong S_0$ . Lemma 2.1 then shows that  $E_2^{p,q} = 0$  unless  $(p, q) = (-3, 3)$ ,  $(-3, 2)$ ,  $(-1, 1)$  or  $(0, 0)$ , that  $E_2^{-3,3} = \mathcal{O}(-1)^{\oplus r-3+a}$ , that  $E_2^{-3,2} = \mathcal{O}(-1)^{\oplus a}$ , that  $E_2^{-1,1} = \mathcal{S}(-1)$  and that  $E_2^{0,0} = \mathcal{O}$ . Hence  $E_3^{-3,2} = 0$  and  $E_3^{-1,1}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1)^{\oplus a} \rightarrow \mathcal{S}(-1) \rightarrow E_3^{-1,1} \rightarrow 0.$$

Moreover  $\mathcal{E}(-1)$  has a filtration  $\mathcal{O} \subset F(\mathcal{E}(-1)) \subset \mathcal{E}(-1)$  such that  $F(\mathcal{E}(-1))$  fits in the following exact sequences:

$$0 \rightarrow F(\mathcal{E}(-1)) \rightarrow \mathcal{E}(-1) \rightarrow \mathcal{O}(-1)^{\oplus r-3} \rightarrow 0;$$

$$0 \rightarrow \mathcal{O} \rightarrow F(\mathcal{E}(-1)) \rightarrow E_3^{-1,1} \rightarrow 0.$$

In particular, we see that  $F(\mathcal{E}(-1))$  is a vector bundle, since so is  $\mathcal{E}(-1)$ . On the other hand, since  $\text{Ext}^1(\mathcal{S}(-1), \mathcal{O}) = 0$ ,  $F(\mathcal{E}(-1))$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1)^{\oplus a} \rightarrow \mathcal{O} \oplus \mathcal{S}(-1) \rightarrow F(\mathcal{E}(-1)) \rightarrow 0.$$

This implies that  $a=0$ . Indeed, if  $a=1$ , then  $F(\mathcal{E}(-1))$  cannot be a vector bundle, since the intersection of a line and a hyperplane section cannot be empty. Therefore  $F(\mathcal{E}(-1)) \cong \mathcal{O} \oplus \mathcal{S}(-1)$ , and thus  $\mathcal{E} \cong \mathcal{O}(1) \oplus \mathcal{S} \oplus \mathcal{O}^{\oplus r-3}$ . This is Case (3) of Theorem 1.1.

### 12. The case where $\mathcal{E}|_{\mathbb{Q}^2}$ belongs to Case (5) of Theorem 2.3

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in an exact sequence

$$0 \rightarrow \mathcal{O}(-1, -1) \rightarrow \mathcal{O}(1, 1) \oplus \mathcal{O}^{\oplus r} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $c_2h = 4$ . Note that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}|_{\mathbb{Q}^2}) = \begin{cases} 4 & \text{if } q = 0 \\ 0 & \text{if } q \neq 0, \end{cases} \tag{12.1}$$

and that

$$h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = \begin{cases} 1 & \text{if } q = 0, 1 \\ 0 & \text{if } q \neq 0, 1. \end{cases} \tag{12.2}$$

Hence we have

$$h^0(\mathcal{E}(-1)) \leq 1$$

by (7.7).

**12.1. Suppose that  $h^0(\mathcal{E}(-1)) = 1$ .**

Lemma 6.1 then shows that  $c_3 \geq 4$ . Hence  $H^q(\mathcal{E}(-1))$  vanishes for  $q > 0$  by (7.2). The formula (4.4) then shows that

$$h^0(\mathcal{E}(-1)) = -1 + \frac{1}{2}c_3.$$

Thus we have  $c_3 = 4$ . We also see that  $h^q(\mathcal{E}(-2)) = 0$  unless  $q = 2$  and that  $h^2(\mathcal{E}(-2)) = 1$  by (12.2) and (7.7). We have  $h^0(\mathcal{E}) = r + 5$ . Since we have an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-2) \rightarrow \mathcal{E}(-2)^{\oplus 4} \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow 0,$$

we see that  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-2)) = 0$  and that  $h^3(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Note that  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ . Since we have an exact sequence

$$0 \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-2) \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1) \rightarrow \mathcal{S}^\vee \otimes \mathcal{E}(-1)|_{\mathbb{Q}^2} \rightarrow 0,$$

we infer that  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Since we have an exact sequence (7.14), we see that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  for  $q \geq 2$ . The exact sequence (7.15) together with (12.1) shows that  $h^2(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Now the formula (4.8) shows that

$$-h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = \chi(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0,$$

since  $c_3 = 4$  and  $c_2h = 4$ . The exact sequence (7.14) then implies that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  unless  $q = 0$  and that  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}) = 4$ . Since  $h^0(\mathcal{E}(-1)) = 1$ , we have an injection  $\mathcal{O}(1) \rightarrow \mathcal{E}$ . Let  $\mathcal{F}$  be its cokernel: we have the following exact sequence:

$$0 \rightarrow \mathcal{O}(1) \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow 0.$$

We apply to  $\mathcal{F}$  the Bondal spectral sequence (2.1). We see that  $h^q(\mathcal{F}) = 0$  unless  $q = 0$  and that  $h^0(\mathcal{F}) = r$ . Moreover  $h^q(\mathcal{F}(-1)) = 0$  for any  $q$ ,  $h^q(\mathcal{F}(-2)) = 0$  unless  $q = 2$  and  $h^2(\mathcal{F}(-2)) = 1$ . Finally, we have  $h^q(\mathcal{S}^\vee \otimes \mathcal{F}) = 0$  for all  $q$ . Therefore  $\text{Ext}^q(G, \mathcal{F}) = 0$  for  $q = 3$  and  $1$ ,  $\text{Ext}^2(G, \mathcal{F}) \cong S_3$  and  $\text{Hom}(G, \mathcal{F}) \cong S_0^{\oplus r}$ . Hence  $E_2^{p,q} = 0$  unless  $(p \cdot q) =$



$(-3, 2)$  or  $(0, 0)$ ,  $E_2^{-3,2} = \mathcal{O}(-1)$  and  $E_2^{0,0} = \mathcal{O}^{\oplus r}$  by Lemma 2.1. Thus, we have an exact sequence

$$0 \rightarrow \mathcal{O}(-1) \rightarrow \mathcal{O}^{\oplus r} \rightarrow \mathcal{F} \rightarrow 0.$$

Therefore  $\mathcal{E}$  belongs to Case (4) of Theorem 1.1.

**12.2. Suppose that  $h^0(\mathcal{E}(-1)) = 0$ .**

Then  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$  by (7.14). Note that  $H^q(\mathcal{E}|_{\mathbb{Q}^2})$  vanishes for all  $q > 0$ . Since  $h^q(\mathcal{E}) = 0$  for all  $q > 0$  by (7.1), we have  $h^q(\mathcal{E}(-1)) = 0$  for all  $q \geq 2$ . Hence (4.4) and (7.3) imply that

$$0 \geq -h^1(\mathcal{E}(-1)) = \chi(\mathcal{E}(-1)) = -1 + \frac{1}{2}c_3 \geq -1.$$

Therefore,  $(h^1(\mathcal{E}(-1)), c_3)$  is either  $(0, 2)$  or  $(1, 0)$ . Since  $h^3(\mathcal{E}(-1)) = 0$ , we first have  $h^3(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  by (7.14). Secondly, we have  $h^3(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$  by (12.1) and (7.15). Thirdly, we have  $h^2(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  by (7.14) since  $h^2(\mathcal{E}(-1)) = 0$ . Finally, we have  $h^2(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$  by (12.1) and (7.15). Hence

$$-h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = \chi(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = -4 + c_3 \tag{12.3}$$

by (4.8). We apply to  $\mathcal{E}$  the Bondal spectral sequence (2.1).

*12.2.1. Suppose that  $(h^1(\mathcal{E}(-1)), c_3) = (0, 2)$ .*

Then  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  by (7.14). Moreover  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 2$  by (12.3). Hence we have  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}) = 2$  by (7.14). Since  $h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 1$  for  $q = 0, 1$  and  $h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$  for  $q = 2, 3$ , we infer that  $h^q(\mathcal{E}(-2)) = 1$  for  $q = 1, 2$ , and that  $h^q(\mathcal{E}(-2)) = 0$  unless  $q = 1$  or  $2$ . Since  $h^0(\mathcal{E}|_{\mathbb{Q}^2}) = r + 4$ , we see that  $h^0(\mathcal{E}) = r + 4$ . Therefore, we have an exact sequence

$$0 \rightarrow S_0^{\oplus r+4} \rightarrow \text{Hom}(G, \mathcal{E}) \rightarrow S_1^{\oplus 2} \rightarrow 0$$

and the following:  $\text{Ext}^1(G, \mathcal{E}) \cong S_3$ ;  $\text{Ext}^2(G, \mathcal{E}) \cong S_3$  and  $\text{Ext}^3(G, \mathcal{E}) = 0$ . Therefore, Lemma 2.1 implies that  $E_2^{p,q} = 0$  unless  $(p, q) = (-3, 1), (-3, 2), (-1, 0)$  or  $(0, 0)$ , that  $E_2^{-3,1} \cong \mathcal{O}(-1)$ , that  $E_2^{-3,2} \cong \mathcal{O}(-1)$  and that there is an exact sequence

$$0 \rightarrow E_2^{-1,0} \rightarrow \mathcal{S}(-1)^{\oplus 2} \rightarrow \mathcal{O}^{\oplus r+4} \rightarrow E_2^{0,0} \rightarrow 0.$$

It follows from the Bondal spectral sequence (2.1) that  $E_2^{-3,1} \cong E_2^{-1,0}$ , that  $E_2^{-3,2} \cong E_3^{-3,2}$ , that  $E_2^{0,0} \cong E_3^{0,0}$  and that there is an exact sequence

$$0 \rightarrow E_3^{-3,2} \rightarrow E_3^{0,0} \rightarrow \mathcal{E} \rightarrow 0.$$

Hence we obtain the following exact sequences:

$$0 \rightarrow \mathcal{O}(-1) \rightarrow \mathcal{S}(-1)^{\oplus 2} \rightarrow \mathcal{O}^{\oplus r+4} \rightarrow E_3^{0,0} \rightarrow 0;$$

$$0 \rightarrow \mathcal{O}(-1) \rightarrow E_3^{0,0} \rightarrow \mathcal{E} \rightarrow 0.$$

The latter exact sequence shows that  $E_3^{0,0}$  is a vector bundle since so is  $\mathcal{E}$ . The former exact sequence then splits into the following two exact sequences with  $\mathcal{G}$  a vector bundle of rank three:

$$0 \rightarrow \mathcal{O}(-1) \rightarrow \mathcal{S}(-1)^{\oplus 2} \rightarrow \mathcal{G} \rightarrow 0;$$

$$0 \rightarrow \mathcal{G} \rightarrow \mathcal{O}^{\oplus r+4} \rightarrow E_3^{0,0} \rightarrow 0.$$

The latter exact sequence shows that the dual  $\mathcal{G}^\vee$  of  $\mathcal{G}$  is globally generated. The injection  $\mathcal{O}(-1) \rightarrow \mathcal{S}(-1)^{\oplus 2}$  in the former exact sequence gives rise to two global sections  $s_0, s_1$  of  $\mathcal{S}$ , and we infer that  $(s_0)_0 \cap (s_1)_0 = \emptyset$  since  $\mathcal{G}$  is a vector bundle. Hence  $s_0$  and  $s_1$  are linearly independent. We also see that  $\mathcal{G}^\vee$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{G}^\vee \rightarrow \mathcal{S}^{\oplus 2} \rightarrow \mathcal{O}(1) \rightarrow 0.$$

Note that the induced map  $H^0(\mathcal{S})^{\oplus 2} \rightarrow H^0(\mathcal{O}(1))$  sends  $(t_0, t_1)$  to  $s_0 \wedge t_0 + s_1 \wedge t_1$ , and Lemma 3.1 implies that it is surjective. Therefore  $h^0(\mathcal{G}^\vee) = 3$ . Since  $\mathcal{G}^\vee$  is a globally generated vector bundle of rank three, this implies that  $\mathcal{G}^\vee \cong \mathcal{O}^{\oplus 3}$ . On the other hand, the exact sequence above shows that  $c_1(\mathcal{G}^\vee) = 1$ . This is a contradiction. Hence the case  $(h^1(\mathcal{E}(-1)), c_3) = (0, 2)$  does not arise.

12.2.2. Suppose that  $(h^1(\mathcal{E}(-1)), c_3) = (1, 0)$ .

Then  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 4$  by (12.3). Set  $a := h^0(\mathcal{S}^\vee \otimes \mathcal{E})$ . Then  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}) = a$  by (7.14). From (12.2), it follows that  $h^q(\mathcal{E}(-2)) = 0$  unless  $q=1$  or  $2$  and that  $(h^1(\mathcal{E}(-2)), h^2(\mathcal{E}(-2))) = (1, 0)$  or  $(2, 1)$ . Note also that  $h^0(\mathcal{E}) = r + 3$ .

12.2.2.1. Suppose that  $(h^1(\mathcal{E}(-2)), h^2(\mathcal{E}(-2))) = (1, 0)$ . Then we see that  $\text{Ext}^3(G, \mathcal{E}) = 0$ , that  $\text{Ext}^2(G, \mathcal{E}) = 0$ , that  $\text{Ext}^1(G, \mathcal{E})$  has a filtration  $S_1^{\oplus a} \subset F \subset \text{Ext}^1(G, \mathcal{E})$  of right  $A$ -modules such that the following sequences are exact:

$$0 \rightarrow F \rightarrow \text{Ext}^1(G, \mathcal{E}) \rightarrow S_3 \rightarrow 0;$$

$$0 \rightarrow S_1^{\oplus a} \rightarrow F \rightarrow S_2 \rightarrow 0,$$

and that  $\text{Hom}(G, \mathcal{E})$  fits in the following exact sequence of right  $A$ -modules:

$$0 \rightarrow S_0^{\oplus r+3} \rightarrow \text{Hom}(G, \mathcal{E}) \rightarrow S_1^{\oplus a} \rightarrow 0.$$

These exact sequences induce the following distinguished triangles by Lemma 2.1:

$$F \otimes_A^L G \rightarrow \text{Ext}^1(G, \mathcal{E}) \otimes_A^L G \rightarrow \mathcal{O}(-1)[3] \rightarrow;$$

$$\mathcal{S}(-1)[1]^{\oplus a} \rightarrow F \otimes_A^L G \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}[2] \rightarrow;$$

$$\mathcal{O}^{\oplus r+3} \rightarrow \text{Hom}(G, \mathcal{E}) \otimes_A^L G \rightarrow \mathcal{S}(-1)[1]^{\oplus a} \rightarrow .$$

By taking cohomologies, we obtain the following exact sequences by (3.2):

$$0 \rightarrow E_2^{-3,1} \rightarrow \mathcal{O}(-1) \rightarrow \mathcal{H}^{-2}(F \otimes_A^L G) \rightarrow E_2^{-2,1} \rightarrow 0;$$

$$0 \rightarrow \mathcal{H}^{-2}(F \otimes_A^L G) \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \xrightarrow{\psi_a} \mathcal{S}^{\vee \oplus a} \rightarrow E_2^{-1,1} \rightarrow 0; \tag{12.4}$$

$$0 \rightarrow E_2^{-1,0} \rightarrow \mathcal{S}^{\vee \oplus a} \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow E_2^{0,0} \rightarrow 0.$$

Moreover, we have the following exact sequences:

$$0 \rightarrow E_2^{-2,1} \rightarrow E_2^{0,0} \rightarrow E_3^{0,0} \rightarrow 0;$$

$$0 \rightarrow E_2^{-3,1} \rightarrow E_2^{-1,0} \rightarrow 0;$$

$$0 \rightarrow E_3^{0,0} \rightarrow \mathcal{E} \rightarrow E_2^{-1,1} \rightarrow 0.$$

Since  $\mathcal{E}$  is nef,  $E_2^{-1,1}$  cannot admit negative degree quotients. Hence it follows from Lemma 5.3 that  $a=0$ . Then  $E_2^{-1,1} = 0$ ,  $E_2^{-3,1} = E_2^{-1,0} = 0$ ,  $E_2^{0,0} = \mathcal{O}^{\oplus r+3}$ , and we have the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1) \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow E_2^{-2,1} \rightarrow 0.$$

Hence  $\mathcal{E}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1) \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow \mathcal{E} \rightarrow 0. \tag{12.5}$$

Since  $T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}$  fits in an exact sequence

$$0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}(-1)^{\oplus 5} \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow 0,$$

the exact sequence (12.5) induces the following exact sequence:

$$0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}(-1)^{\oplus 4} \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow \mathcal{E} \rightarrow 0.$$

This is Case (9) of Theorem 1.1.

12.2.2.2. Suppose that  $(h^1(\mathcal{E}(-2)), h^2(\mathcal{E}(-2))) = (2, 1)$ . Then we see that  $\text{Ext}^3(G, \mathcal{E}) = 0$ , that  $\text{Ext}^2(G, \mathcal{E}) \cong S_3$ , that  $\text{Ext}^1(G, \mathcal{E})$  has a filtration  $S_1^{\oplus a} \subset F \subset \text{Ext}^1(G, \mathcal{E})$  of right  $A$ -modules such that the following sequences are exact:

$$0 \rightarrow F \rightarrow \text{Ext}^1(G, \mathcal{E}) \rightarrow S_3^{\oplus 2} \rightarrow 0;$$

$$0 \rightarrow S_1^{\oplus a} \rightarrow F \rightarrow S_2 \rightarrow 0,$$

and that  $\text{Hom}(G, \mathcal{E})$  fits in the following exact sequence of right  $A$ -modules:

$$0 \rightarrow S_0^{\oplus r+3} \rightarrow \text{Hom}(G, \mathcal{E}) \rightarrow S_1^{\oplus a} \rightarrow 0.$$

Lemma 2.1 implies that  $\text{Ext}^2(G, \mathcal{E}) \otimes_A^L G \cong \mathcal{O}(-1)[3]$  and that the three exact sequences above induce the following distinguished triangles:

$$F \otimes_A^L G \rightarrow \text{Ext}^1(G, \mathcal{E}) \otimes_A^L G \rightarrow \mathcal{O}(-1)^{\oplus 2}[3] \rightarrow;$$

$$\mathcal{S}(-1)[1]^{\oplus a} \rightarrow F \otimes_A^L G \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}[2] \rightarrow;$$

$$\mathcal{O}^{\oplus r+3} \rightarrow \text{Hom}(G, \mathcal{E}) \otimes_A^L G \rightarrow \mathcal{S}(-1)[1]^{\oplus a} \rightarrow .$$

By taking cohomologies, we see that  $E_2^{p,2} = 0$  unless  $p = -3$ , that  $E_2^{-3,2} \cong \mathcal{O}(-1)$ , and that we have the following exact sequences by (3.2):

$$0 \rightarrow E_2^{-3,1} \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow \mathcal{H}^{-2}(F \otimes_A^L G) \rightarrow E_2^{-2,1} \rightarrow 0; \tag{12.6}$$

$$0 \rightarrow \mathcal{H}^{-2}(F \otimes_A^L G) \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \xrightarrow{\psi_a} \mathcal{S}^{\vee \oplus a} \rightarrow E_2^{-1,1} \rightarrow 0; \tag{12.7}$$

$$0 \rightarrow E_2^{-1,0} \rightarrow \mathcal{S}^{\vee \oplus a} \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow E_2^{0,0} \rightarrow 0.$$

Moreover, we have the following exact sequences:

$$0 \rightarrow E_3^{-3,2} \rightarrow E_2^{-3,2} \xrightarrow{\pi} E_2^{-1,1} \rightarrow E_3^{-1,1} \rightarrow 0; \tag{12.8}$$

$$0 \rightarrow E_2^{-2,1} \rightarrow E_2^{0,0} \rightarrow E_3^{0,0} \rightarrow 0;$$

$$0 \rightarrow E_2^{-3,1} \rightarrow E_2^{-1,0} \rightarrow 0;$$

$$0 \rightarrow E_3^{-3,2} \rightarrow E_3^{0,0} \rightarrow E_4^{0,0} \rightarrow 0;$$

$$0 \rightarrow E_4^{0,0} \rightarrow \mathcal{E} \rightarrow E_3^{-1,1} \rightarrow 0.$$

Since  $\mathcal{E}$  is nef,  $E_3^{-1,1}$  cannot admit negative degree quotients. If  $a > 0$ , it follows from Lemmas 5.4 and 5.3 that  $a = 1$ , that  $E_3^{-1,1} = 0$ , that  $E_3^{-3,2} \cong \mathcal{I}_L(-1)$  for some line  $L \subset \mathbb{Q}^3$ , that  $E_2^{-1,1} \cong \mathcal{O}_L(-1)$  and that  $\mathcal{H}^{-2}(F \otimes_A^L G) \cong \mathcal{O}(-1)^{\oplus 2}$ . Therefore,  $\mathcal{E} \cong E_4^{0,0}$  and the exact sequence (12.6) becomes the following exact sequence:

$$0 \rightarrow E_2^{-3,1} \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow E_2^{-2,1} \rightarrow 0.$$

Set  $\mathcal{O}(-1)^{\oplus b} \cong E_2^{-3,1}$  for some non-negative integer  $b \leq 2$ . Then  $E_2^{-2,1} \cong \mathcal{O}(-1)^{\oplus b}$  and we have the following exact sequences:

$$0 \rightarrow \mathcal{O}(-1)^{\oplus b} \rightarrow \mathcal{S}^\vee \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow E_2^{0,0} \rightarrow 0;$$

$$0 \rightarrow \mathcal{O}(-1)^{\oplus b} \rightarrow E_2^{0,0} \rightarrow E_3^{0,0} \rightarrow 0;$$

$$0 \rightarrow \mathcal{I}_L(-1) \rightarrow E_3^{0,0} \rightarrow \mathcal{E} \rightarrow 0.$$

Since  $\mathcal{O}^{\oplus r+3}$  is torsion-free and  $\mathcal{S}^\vee$  is not isomorphic to  $\mathcal{O}^{\oplus 2}$ , we see that  $b \leq 1$ . Note here that  $E_3^{0,0}$  is torsion-free, and so is  $E_2^{0,0}$ . If  $b = 1$ , we get an exact sequence

$$0 \rightarrow \mathcal{I}_M \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow E_2^{0,0} \rightarrow 0$$

for some line  $M$  in  $\mathbb{Q}^3$ . Since we can extend  $\mathcal{I}_M \rightarrow \mathcal{O}^{\oplus r+3}$  to an injection  $\mathcal{O} \rightarrow \mathcal{O}^{\oplus r+3}$  by taking double duals, we infer that  $E_2^{0,0}$  contains a torsion sheaf  $\mathcal{O}_M$ . This is a contradiction. Hence  $b = 0$ , and  $E_2^{0,0}$  fits in the following exact sequences:

$$0 \rightarrow \mathcal{S}^\vee \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow E_2^{0,0} \rightarrow 0;$$

$$0 \rightarrow \mathcal{I}_L(-1) \rightarrow E_2^{0,0} \rightarrow \mathcal{E} \rightarrow 0.$$

Since  $\mathcal{I}_L(-1)$  is torsion-free but not locally free, so is  $E_2^{0,0}$ . Hence the former exact sequence together with (3.1) implies that  $E_2^{0,0} \cong \mathcal{I}_M(1) \oplus \mathcal{O}^{\oplus r}$  for some line  $M$  in  $\mathbb{Q}^3$ . This can be shown by the similar argument as in the proof of Lemma 5.2. Indeed, by taking a free basis of  $\mathcal{O}^{\oplus r+3}$  suitably, we may assume that the injection  $\mathcal{S}^\vee \rightarrow \mathcal{O}^{\oplus r+3}$  is written as  ${}^t(s_1^\vee, \dots, s_m^\vee, 0, \dots, 0)$  for some linearly independent elements  $s_1, \dots, s_m$  of  $H^0(\mathcal{S})$ , where  $s_i^\vee$  denotes the dual of the morphism  $\mathcal{O} \rightarrow \mathcal{S}$  defined by  $s_i$ . We have  $2 = \text{rank } \mathcal{S}^\vee \leq m \leq h^0(\mathcal{S}) = 4$ . Since  $E_2^{0,0}$  is torsion-free, we have  $3 \leq m$ . Since  $E_2^{0,0}$  is not

locally free, it follows from the exact sequence (3.1) that  $m \neq 4$ . Hence  $m = 3$ . Moreover, the exact sequence (3.1) shows that if we extend  $(s_1, s_2, s_3)$  to a basis  $(s_1, s_2, s_3, s_4)$  of  $H^0(\mathcal{S})$  then there exists a basis  $(t_1, t_2, t_3, t_4)$  of  $H^0(\mathcal{S})$  such that  $\sum_{i=1}^4 t_i s_i^\vee = 0$  and that the cokernel of the morphism  ${}^t(s_1^\vee, s_2^\vee, s_3^\vee)$  is isomorphic to the cokernel of the morphism  $t_4 : \mathcal{O} \rightarrow \mathcal{S}$ . Hence the cokernel of  ${}^t(s_1^\vee, s_2^\vee, s_3^\vee)$  is isomorphic to  $\mathcal{I}_M(1)$  for some line  $M$  on  $\mathbb{Q}^3$ . Therefore  $E_2^{0,0} \cong \mathcal{I}_M(1) \oplus \mathcal{O}^{\oplus r}$ . By taking the double dual of the injection  $\mathcal{I}_L(-1) \rightarrow E_2^{0,0}$  in the latter exact sequence, we obtain a commutative diagram with exact rows

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{I}_L(-1) & \longrightarrow & E_2^{0,0} & \longrightarrow & \mathcal{E} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \mathcal{O}(-1) & \longrightarrow & \mathcal{O}(1) \oplus \mathcal{O}^{\oplus r} & \longrightarrow & \mathcal{F} & \longrightarrow & 0 \end{array}$$

for some coherent sheaf  $\mathcal{F}$ . Note that  $\text{Tor}_q^{\mathcal{O}_p}(\mathcal{F}_p, k(p)) = 0$  for  $q \geq 2$  and any point  $p$ . Since  $\mathcal{E}$  is torsion-free, the snake lemma implies that  $L = M$  and that we have an exact sequence

$$0 \rightarrow \mathcal{O}_L(-1) \rightarrow \mathcal{O}_M(1) \rightarrow \mathcal{O}_Z \rightarrow 0$$

for some closed subscheme  $Z$  of length two. Moreover,  $\mathcal{E}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow \mathcal{O}_Z \rightarrow 0.$$

For an associated point  $p$  of  $Z$ , the exact sequence above induces a coherent sheaf  $\mathcal{G}$  and the following exact sequence:

$$0 \rightarrow \mathcal{E} \rightarrow \mathcal{G} \rightarrow k(p) \rightarrow 0.$$

Since  $\text{Tor}_3^{\mathcal{O}_p}(\mathcal{F}_p, k(p)) = 0$ , we have  $\text{Tor}_3^{\mathcal{O}_p}(\mathcal{G}_p, k(p)) = 0$ . Note that  $\text{Tor}_q^{\mathcal{O}_p}(\mathcal{E}_p, k(p)) = 0$  for  $q \geq 1$ . Hence  $\text{Tor}_3^{\mathcal{O}_p}(k(p), k(p)) = 0$ , which contradicts the fact that  $\text{Tor}_3^{\mathcal{O}_p}(k(p), k(p)) = 1$ . Therefore,  $a$  cannot be positive:  $a = 0$ . Thus  $0 = E_2^{-1,1} = E_3^{-1,1}$ ,  $0 = E_2^{-1,0} = E_2^{-3,1}$ ,  $\mathcal{O}^{\oplus r+3} \cong E_2^{0,0}$ ,  $E_3^{-3,2} \cong E_2^{-3,2} \cong \mathcal{O}(-1)$ ,  $E_4^{0,0} \cong \mathcal{E}$ , and we have the following exact sequences:

$$0 \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow E_2^{-2,1} \rightarrow 0;$$

$$0 \rightarrow E_2^{-2,1} \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow E_3^{0,0} \rightarrow 0;$$

$$0 \rightarrow \mathcal{O}(-1) \rightarrow E_3^{0,0} \rightarrow \mathcal{E} \rightarrow 0.$$

Since  $T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3}$  fits in an exact sequence

$$0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}(-1)^{\oplus 5} \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow 0,$$

$E_2^{-2,1}$  has a resolution of the following form:

$$0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}(-1)^{\oplus 3} \rightarrow E_2^{-2,1} \rightarrow 0.$$

Therefore, we see that  $\mathcal{E}$  belongs to Case (9) of Theorem 1.1.

**13. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (6) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}^{\oplus 2} \rightarrow \mathcal{O}(1, 0)^{\oplus 2} \oplus \mathcal{O}(0, 1)^{\oplus 2} \oplus \mathcal{O}^{\oplus r-2} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$  for any  $q$ , and  $c_2h = 4$ . Since  $h^q(\mathcal{E}|_{\mathbb{Q}^2}) = 0$  for any  $q > 0$ , the vanishing (7.1) shows that  $h^q(\mathcal{E}(-t)) = 0$  for  $q \geq 2$  and  $t = 1, 2$ . The assumption (7.7) together with (4.5) and (7.3) shows that

$$0 \geq -h^1(\mathcal{E}(-2)) = \chi(\mathcal{E}(-2)) = -1 + \frac{1}{2}c_3 \geq -1.$$

Therefore we have two cases:  $(h^1(\mathcal{E}(-2)), c_3) = (0, 2)$  or  $(1, 0)$ . Note here that  $h^q(\mathcal{E}(-1)) = h^q(\mathcal{E}(-2))$  for any  $q$ . In particular,  $h^0(\mathcal{E}(-1)) = h^0(\mathcal{E}(-2)) = 0$  by (7.7).

We claim here that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}(t)|_{\mathbb{Q}^2}) = 0$  for  $q > 0$  and  $t \geq 0$ . Indeed, we see that

$$h^q((\mathcal{O}(-1, 0) \oplus \mathcal{O}(0, -1)) \otimes (\mathcal{O}(1+t, t)^{\oplus 2} \oplus \mathcal{O}(t, 1+t)^{\oplus 2} \oplus \mathcal{O}(t, t)^{\oplus r-3})) = 0$$

for  $q > 0$  and  $t \geq 0$ . Hence we obtain the claim. Then it follows from (7.6) that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}(t)) = 0 \text{ for } q \geq 2 \text{ and } t = 0, -1. \tag{13.1}$$

Since  $h^0(\mathcal{E}(-1)) = 0$ , the exact sequence (7.14) together with (13.1) shows that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$  unless  $q = 1$ . Hence

$$-h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = \chi(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = -4 + c_3 \tag{13.2}$$

by (4.8).

**13.1. Suppose that  $(h^1(\mathcal{E}(-2)), c_3) = (0, 2)$ .**

Then  $h^q(\mathcal{E}(-2)) = 0$  for any  $q$ . Hence  $h^q(\mathcal{E}(-1)) = 0$  for any  $q$ . Set  $a = h^1(\mathcal{E}(-2)|_{\mathbb{Q}^2})$ . Then  $a \leq 2$  and  $h^2(\mathcal{E}(-2)|_{\mathbb{Q}^2}) = r - 4 + a$ . Thus  $h^2(\mathcal{E}(-3)) = a$ ,  $h^3(\mathcal{E}(-3)) = r - 4 + a$  and  $h^q(\mathcal{E}(-3)) = 0$  unless  $q = 2$  or  $3$ . It follows from (13.2) that  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 2$ . We

apply to  $\mathcal{E}(-1)$  the Bondal spectral sequence (2.1). We have  $\text{Ext}^3(G, \mathcal{E}(-1)) \cong S_3^{\oplus r-4+a}$ ,  $\text{Ext}^2(G, \mathcal{E}(-1)) \cong S_3^{\oplus a}$ ,  $\text{Ext}^1(G, \mathcal{E}(-1)) \cong S_1^{\oplus 2}$  and  $\text{Hom}(G, \mathcal{E}(-1)) = 0$ . Lemma 2.1 then shows that  $E_2^{-3,3} \cong \mathcal{O}(-1)^{\oplus r-4+a}$ , that  $E_2^{-3,2} \cong \mathcal{O}(-1)^{\oplus a}$ , that  $E_2^{-1,1} \cong \mathcal{S}(-1)^{\oplus 2}$  and that  $E_2^{p,q} = 0$  unless  $(p, q) = (-3, 3)$ ,  $(-3, 2)$  or  $(-1, 1)$ . Then  $\mathcal{E}(-1)$  fits in the  $(-1)$ -twist of the following exact sequence:

$$0 \rightarrow \mathcal{O}^{\oplus a} \rightarrow \mathcal{S}^{\oplus 2} \rightarrow \mathcal{E} \rightarrow \mathcal{O}^{\oplus r-4+a} \rightarrow 0. \tag{13.3}$$

This sequence splits into the following two exact sequences:

$$0 \rightarrow \mathcal{O}^{\oplus a} \rightarrow \mathcal{S}^{\oplus 2} \rightarrow \mathcal{F} \rightarrow 0;$$

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{E} \rightarrow \mathcal{O}^{\oplus r-4+a} \rightarrow 0,$$

where  $\mathcal{F}$  is a globally generated vector bundle of rank  $4 - a$ . We claim here that  $a \leq 1$ . Indeed, if  $a = 2$ , then we have the following exact sequences:

$$0 \rightarrow \mathcal{O} \rightarrow \mathcal{S}^{\oplus 2} \rightarrow \mathcal{G} \rightarrow 0;$$

$$0 \rightarrow \mathcal{O} \rightarrow \mathcal{G} \rightarrow \mathcal{F} \rightarrow 0,$$

where  $\mathcal{G}$  is a globally generated vector bundle of rank 3. Since  $\mathcal{F}$  is a vector bundle,  $\mathcal{G}$  must have a nowhere vanishing global section, and thus  $c_3(\mathcal{G}) = 0$ . On the other hand,  $c_3(\mathcal{G}) = c_3(\mathcal{S}^{\oplus 2}) = 2c_2(\mathcal{S})h = 2$ . This is a contradiction. Hence the case  $a = 2$  does not arise. Now note that  $\mathcal{E}$  is isomorphic to  $\mathcal{F} \oplus \mathcal{O}^{\oplus r-4+a}$  since  $h^1(\mathcal{F}) = 0$ . Therefore,  $\mathcal{E}$  fits in an exact sequence

$$0 \rightarrow \mathcal{O}^{\oplus a} \rightarrow \mathcal{S}^{\oplus 2} \oplus \mathcal{O}^{\oplus r-4+a} \rightarrow \mathcal{E} \rightarrow 0,$$

where the composite of the inclusion  $\mathcal{O}^{\oplus a} \rightarrow \mathcal{S}^{\oplus 2} \oplus \mathcal{O}^{\oplus r-4+a}$  and the projection  $\mathcal{S}^{\oplus 2} \oplus \mathcal{O}^{\oplus r-4+a} \rightarrow \mathcal{O}^{\oplus r-4+a}$  is zero. This is Case (5) of Theorem 1.1.

**13.2. Suppose that  $(h^1(\mathcal{E}(-2)), c_3) = (1, 0)$ .**

Then  $h^1(\mathcal{E}(-1)) = 1$ . Hence  $h^0(\mathcal{E}) = h^0(\mathcal{E}|_{\mathbb{Q}^2}) - 1 = r + 3$ . It follows from (13.2) that  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 4$ . Set  $a = h^0(\mathcal{S}^\vee \otimes \mathcal{E})$ . Then the exact sequence (7.14) shows that  $a \leq 4$ , that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  unless  $q = 0$  or  $1$  and that  $h^1(\mathcal{S}^\vee \otimes \mathcal{E}) = a$ . Hence we have  $\text{Ext}^q(G, \mathcal{E}) = 0$  for  $q = 2$  and  $3$ , and  $\text{Hom}(G, \mathcal{E})$  fits in an exact sequence

$$0 \rightarrow S_0^{\oplus r+3} \rightarrow \text{Hom}(G, \mathcal{E}) \rightarrow S_1^{\oplus a} \rightarrow 0.$$

Moreover,  $\text{Ext}^1(G, \mathcal{E})$  has a filtration  $S_1^{\oplus a} \subset F \subset \text{Ext}^1(G, \mathcal{E})$  of right  $A$ -modules such that the following sequences are exact:

$$0 \rightarrow F \rightarrow \text{Ext}^1(G, \mathcal{E}) \rightarrow S_3 \rightarrow 0;$$



$$0 \rightarrow S_1^{\oplus a} \rightarrow F \rightarrow S_2 \rightarrow 0.$$

Now the structures of right  $A$ -modules  $\text{Ext}^q(G, \mathcal{E})$ 's are the same as those of  $\text{Ext}^q(G, \mathcal{E})$ 's in § 12.2.2.1, and we conclude that  $\mathcal{E}$  belongs to Case (9) of Theorem 1.1.

**14. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (7) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1, -1) \oplus \mathcal{O}(-1, 0) \oplus \mathcal{O}(0, -1) \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $c_2h = 5$ . It then follows from (6.1) that  $c_3 \geq 4$ . Note that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}|_{\mathbb{Q}^2}) = \begin{cases} 2 & \text{if } q = 0 \\ 0 & \text{if } q \neq 0, \end{cases} \tag{14.1}$$

and that

$$h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = \begin{cases} 1 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1. \end{cases} \tag{14.2}$$

Hence we have

$$h^0(\mathcal{E}(-1)) = 0$$

by (7.7). Note that  $H^q(\mathcal{E}|_{\mathbb{Q}^2})$  vanishes for any  $q > 0$ . Since  $h^q(\mathcal{E}) = 0$  for any  $q > 0$  by (7.1), we have  $h^q(\mathcal{E}(-1)) = 0$  for any  $q \geq 2$ . Hence it follows from (4.4) that

$$0 \geq -h^1(\mathcal{E}(-1)) = \chi(\mathcal{E}(-1)) = -\frac{5}{2} + \frac{1}{2}c_3 \geq -\frac{1}{2}.$$

Therefore  $c_3 = 5$  and  $h^1(\mathcal{E}(-1)) = 0$ . Now it follows from (7.14) that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E}(-1))$  for any  $q$ . In particular,  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Moreover  $h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E})$  for  $q \geq 1$  by (14.1) and (7.15). Hence  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  for  $q \geq 1$  and  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$  for  $q \geq 2$ . Therefore

$$-h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = \chi(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = -6 + c_3 = -1$$

by (4.8). Thus  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}) = 1$ . We apply to  $\mathcal{E}$  the Bondal spectral sequence (2.1). From (14.2), it follows that  $h^q(\mathcal{E}(-2)) = 0$  unless  $q = 2$  and that  $h^2(\mathcal{E}(-2)) = 1$ . Since  $h^0(\mathcal{E}|_{\mathbb{Q}^2}) = r + 3$ , we see that  $h^0(\mathcal{E}) = r + 3$ . Hence we have an exact sequence

$$0 \rightarrow S_0^{\oplus r+3} \rightarrow \text{Hom}(G, \mathcal{E}) \rightarrow S_1 \rightarrow 0,$$

and the following:  $\text{Ext}^q(G, \mathcal{E}) = 0$  for  $q = 1, 3$ ;  $\text{Ext}^2(G, \mathcal{E}) \cong S_3$ . Therefore, Lemma 2.1 implies that  $E_2^{p,q} = 0$  unless  $(p, q) = (-3, 2)$  or  $(0, 0)$ , that  $E_2^{-3,2} \cong \mathcal{O}(-1)$ , and that there is the following exact sequence:

$$0 \rightarrow \mathcal{S}(-1) \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow E_2^{0,0} \rightarrow 0.$$

Note that we have the following exact sequence:

$$0 \rightarrow E_2^{-3,2} \rightarrow E_2^{0,0} \rightarrow \mathcal{E} \rightarrow 0.$$

Since  $\text{Ext}^1(\mathcal{O}(-1), \mathcal{S}(-1)) = 0$ , this implies that  $\mathcal{E}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{S}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathcal{O}^{\oplus r+3} \rightarrow \mathcal{E} \rightarrow 0.$$

This is Case (6) of Theorem 1.1.

**15. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (8) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1, -2) \rightarrow \mathcal{O}(1, 0) \oplus \mathcal{O}^{\oplus r} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $c_2h = 6$ . It then follows from (6.1) that  $c_3 \geq 8$ . Note that

$$h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = \begin{cases} 2 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1, \end{cases} \tag{15.1}$$

and that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}|_{\mathbb{Q}^2}) = \begin{cases} 1 & \text{if } q = 0, 1 \\ 0 & \text{if } q \neq 0, 1. \end{cases} \tag{15.2}$$

Hence we have

$$h^0(\mathcal{E}(-1)) = 0$$

by (7.7). Note that  $H^q(\mathcal{E}|_{\mathbb{Q}^2})$  vanishes for all  $q > 0$ . Since  $h^q(\mathcal{E}) = 0$  for all  $q > 0$  by (7.1), we have  $h^q(\mathcal{E}(-1)) = 0$  for all  $q \geq 2$ . It follows from (4.4) that

$$0 \geq -h^1(\mathcal{E}(-1)) = \chi(\mathcal{E}(-1)) = -4 + \frac{1}{2}c_3 \geq 0.$$

Therefore  $c_3 = 8$  and  $h^1(\mathcal{E}(-1)) = 0$ . Now it follows from (7.14) that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E}(-1))$  for any  $q$ . In particular,  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Moreover  $h^{q+1}(\mathcal{S}^\vee \otimes$

$\mathcal{E}(-1) = h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E})$  for  $q \geq 2$  by (7.15) and (15.2). Hence  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  for  $q \geq 2$  and  $h^3(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$ . Hence

$$-h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) + h^2(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = \chi(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = -8 + c_3 = 0$$

by (4.8). Set  $a = h^0(\mathcal{S}^\vee \otimes \mathcal{E})$ . Then  $a = h^1(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = h^2(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = h^1(\mathcal{S}^\vee \otimes \mathcal{E})$ . We see that  $a = 1$  by (7.15) and (15.2). We apply to  $\mathcal{E}$  the Bondal spectral sequence (2.1). It follows from (15.1) that  $h^q(\mathcal{E}(-2))$  vanishes unless  $q = 2$  and that  $h^2(\mathcal{E}(-2)) = 2$ . Since  $h^0(\mathcal{E}|_{\mathbb{Q}^2}) = r + 2$ , we see that  $h^0(\mathcal{E}) = r + 2$ . Therefore,  $\text{Ext}^3(G, \mathcal{E}) = 0$ ,  $\text{Ext}^2(G, \mathcal{E}) \cong S_3^{\oplus 2}$ ,  $\text{Ext}^1(G, \mathcal{E}) \cong S_1$  and  $\text{Hom}(G, \mathcal{E})$  fits in the following exact sequence:

$$0 \rightarrow S_0^{\oplus r+2} \rightarrow \text{Hom}(G, \mathcal{E}) \rightarrow S_1 \rightarrow 0.$$

Therefore, Lemma 2.1 implies that  $E_2^{p,q} = 0$  unless  $(p, q) = (-3, 2), (-1, 1), (-1, 0)$  or  $(0, 0)$ , that  $E_2^{-3,2} \cong \mathcal{O}(-1)^{\oplus 2}$ , that  $E_2^{-1,1} \cong \mathcal{S}(-1)$  and that there exists the following exact sequence:

$$0 \rightarrow E_2^{-1,0} \rightarrow \mathcal{S}(-1) \rightarrow \mathcal{O}^{\oplus r+2} \rightarrow E_2^{0,0} \rightarrow 0.$$

The Bondal spectral sequence implies that  $E_2^{-1,0} = 0$ , that  $E_2^{0,0} \cong E_3^{0,0}$  and that we have the following exact sequences:

$$0 \rightarrow E_3^{-3,2} \rightarrow \mathcal{O}(-1)^{\oplus 2} \xrightarrow{\varphi} \mathcal{S}(-1) \rightarrow E_3^{-1,1} \rightarrow 0;$$

$$0 \rightarrow E_3^{-3,2} \rightarrow E_3^{0,0} \rightarrow E_4^{0,0} \rightarrow 0;$$

$$0 \rightarrow E_4^{0,0} \rightarrow \mathcal{E} \rightarrow E_3^{-1,1} \rightarrow 0.$$

Since  $\mathcal{E}$  is nef,  $E_3^{-1,1}$  cannot admit a negative degree quotient. Hence  $\varphi \neq 0$ . Thus, there exists an inclusion  $\iota : \mathcal{O}(-1) \rightarrow \mathcal{O}(-1)^{\oplus 2}$  such that  $\varphi \circ \iota \neq 0$ . Now we have a morphism  $\bar{\varphi} : \mathcal{O}(-1) \cong \text{Coker}(\iota) \rightarrow \text{Coker}(\varphi \circ \iota) \cong \mathcal{I}_L$  for some line  $L$  in  $\mathbb{Q}^3$  and  $\bar{\varphi}$  fits in the following exact sequence:

$$0 \rightarrow E_3^{-3,2} \rightarrow \mathcal{O}(-1) \xrightarrow{\bar{\varphi}} \mathcal{I}_L \rightarrow E_3^{-1,1} \rightarrow 0.$$

This shows that  $E_3^{-1,1}|_M$  admits a negative degree quotient for some line  $M$  in  $\mathbb{Q}^3$ . This is a contradiction. Therefore,  $\mathcal{E}|_{\mathbb{Q}^2}$  cannot belong to Case (8) of Theorem 2.3.

**16. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (9) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1, -1)^{\oplus 2} \rightarrow \mathcal{O}^{\oplus r+2} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $c_2h = 6$ . It then follows from (6.1) that  $c_3 \geq 8$ . Note that

$$h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = \begin{cases} 2 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1, \end{cases} \tag{16.1}$$

and that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}|_{\mathbb{Q}^2}) = 0 \text{ for all } q. \tag{16.2}$$

Hence we have

$$h^0(\mathcal{E}(-1)) = 0$$

by (7.7). Note that  $H^q(\mathcal{E}|_{\mathbb{Q}^2})$  vanishes for all  $q > 0$ . Since  $h^q(\mathcal{E}) = 0$  for all  $q > 0$  by (7.1), we have  $h^q(\mathcal{E}(-1)) = 0$  for all  $q \geq 2$ . It follows from (4.4) that

$$0 \geq -h^1(\mathcal{E}(-1)) = \chi(\mathcal{E}(-1)) = -4 + \frac{1}{2}c_3 \geq 0.$$

Therefore  $c_3 = 8$  and  $h^1(\mathcal{E}(-1)) = 0$ . Now it follows from (7.14) that  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E}(-1))$  for any  $q$ . Moreover  $h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = h^{q+1}(\mathcal{S}^\vee \otimes \mathcal{E})$  for any  $q$  by (7.15) and (16.2). Hence  $h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  for any  $q$ . We apply to  $\mathcal{E}$  the Bondal spectral sequence (2.1). It follows from (16.1) that  $h^q(\mathcal{E}(-2))$  vanishes unless  $q=2$  and that  $h^2(\mathcal{E}(-2)) = 2$ . Since  $h^0(\mathcal{E}|_{\mathbb{Q}^2}) = r + 2$ , we see that  $h^0(\mathcal{E}) = r + 2$ . Therefore,  $\text{Hom}(G, \mathcal{E}) \cong S_0^{\oplus r+2}$ ,  $\text{Ext}^1(G, \mathcal{E}) = 0$ ,  $\text{Ext}^2(G, \mathcal{E}) \cong S_3^{\oplus 2}$  and  $\text{Ext}^3(G, \mathcal{E}) = 0$ . Therefore, Lemma 2.1 implies that  $E_2^{p,q} = 0$  unless  $(p, q) = (-3, 2)$  or  $(0, 0)$ , that  $E_2^{-3,2} \cong \mathcal{O}(-1)^{\oplus 2}$  and that  $E_2^{0,0} \cong \mathcal{O}^{\oplus r+2}$ . It follows from the Bondal spectral sequence that  $\mathcal{E}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-1)^{\oplus 2} \rightarrow \mathcal{O}^{\oplus r+2} \rightarrow \mathcal{E} \rightarrow 0.$$

This is Case (7) of Theorem 1.1.

**17. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (10) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-2, -2) \rightarrow \mathcal{O}^{\oplus r+1} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $c_2h = 8$ . It then follows from (6.1) that  $c_3 \geq 16$ . Note that

$$h^q(\mathcal{E}|_{\mathbb{Q}^2}) = \begin{cases} r + 1 & \text{if } q = 0 \\ 1 & \text{if } q = 1 \\ 0 & \text{if } q = 2, \end{cases} \tag{17.1}$$

that

$$h^q(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = \begin{cases} 4 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1, \end{cases} \tag{17.2}$$

that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}(1)|_{\mathbb{Q}^2}) = \begin{cases} 4r + 4 & \text{if } q = 0 \\ 0 & \text{if } q \neq 0, \end{cases} \tag{17.3}$$

and that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}|_{\mathbb{Q}^2}) = \begin{cases} 4 & \text{if } q = 1 \\ 0 & \text{if } q \neq 1. \end{cases} \tag{17.4}$$

Hence we have

$$h^0(\mathcal{E}(-1)) = 0$$

by (7.7). Then  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}(-1)) = 0$  by (7.14). Since  $h^q(\mathcal{E}) = 0$  for all  $q > 0$  by (7.1), we have  $h^2(\mathcal{E}(-1)) = 1$  and  $h^3(\mathcal{E}(-1)) = 0$  by (17.1). It then follows from (4.4) that

$$1 \geq 1 - h^1(\mathcal{E}(-1)) = \chi(\mathcal{E}(-1)) = -7 + \frac{1}{2}c_3 \geq 1.$$

Therefore  $c_3 = 16$  and  $h^1(\mathcal{E}(-1)) = 0$ . Hence  $h^0(\mathcal{E}) = r + 1$  since  $h^0(\mathcal{E}|_{\mathbb{Q}^2}) = r + 1$  by (17.1). Moreover  $h^2(\mathcal{E}(-2)) = 5$  and  $h^q(\mathcal{E}(-2)) = 0$  unless  $q = 2$  by (17.2). It follows from (7.6) and (17.3) that

$$h^q(\mathcal{S}^\vee \otimes \mathcal{E}) = 0 \text{ for } q \geq 2.$$

Moreover  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}) = 0$  since  $h^0(\mathcal{S}^\vee \otimes \mathcal{E}|_{\mathbb{Q}^2}) = 0$  by (17.4). Hence it follows from (4.7)

$$-h^1(\mathcal{S}^\vee \otimes \mathcal{E}) = \chi(\mathcal{S}^\vee \otimes \mathcal{E}) = 16 - 4c_2h + c_3 = 0.$$

We apply to  $\mathcal{E}$  the Bondal spectral sequence (2.1). We see that  $\text{Hom}(G, \mathcal{E}) \cong S_0^{\oplus r+1}$ , that  $\text{Ext}^q(G, \mathcal{E}) = 0$  for  $q = 1, 3$  and that  $\text{Ext}^2(G, \mathcal{E})$  fits in the following exact sequence of right  $A$ -modules:

$$0 \rightarrow S_2 \rightarrow \text{Ext}^2(G, \mathcal{E}) \rightarrow S_3^{\oplus 5} \rightarrow 0.$$

Therefore, Lemma 2.1 implies that  $E_2^{p,q} = 0$  unless  $(p, q) = (-3, 2)$   $(-2, 2)$  or  $(0, 0)$ , that  $E_2^{0,0} \cong \mathcal{O}^{\oplus r+1}$  and that  $E_2^{-3,2}$  and  $E_2^{-2,2}$  fit in the following exact sequence:

$$0 \rightarrow E_2^{-3,2} \rightarrow \mathcal{O}(-1)^{\oplus 5} \rightarrow T_{\mathbb{P}^4}(-2)|_{\mathbb{Q}^3} \rightarrow E_2^{-2,2} \rightarrow 0. \tag{17.5}$$

The Bondal spectral sequence induces the following isomorphisms and exact sequences:

$$E_2^{-3,2} \cong E_3^{-3,2};$$

$$E_2^{0,0} \cong E_3^{0,0};$$

$$0 \rightarrow E_3^{-3,2} \rightarrow E_3^{0,0} \rightarrow E_4^{0,0} \rightarrow 0;$$

$$0 \rightarrow E_4^{0,0} \rightarrow \mathcal{E} \rightarrow E_2^{-2,2} \rightarrow 0.$$

Note here that  $E_2^{-2,2}|_L$  cannot admit a negative degree quotient for any line  $L \subset \mathbb{Q}^3$  since  $\mathcal{E}$  is nef. We will show that  $E_2^{-2,2} = 0$ ; first note that the exact sequence (17.5) induces the following exact sequence:

$$0 \rightarrow E_2^{-3,2} \rightarrow \mathcal{O}(-1)^{\oplus 5} \oplus \mathcal{O}(-2) \xrightarrow{p} \mathcal{O}(-1)^{\oplus 5} \rightarrow E_2^{-2,2} \rightarrow 0.$$

Consider the composite of the inclusion  $\mathcal{O}(-1)^{\oplus 5} \rightarrow \mathcal{O}(-1)^{\oplus 5} \oplus \mathcal{O}(-2)$  and the morphism  $p$  above, and let  $\mathcal{O}(-1)^{\oplus a}$  be the cokernel of this composite. Then we have the following exact sequence:

$$\mathcal{O}(-2) \xrightarrow{\pi} \mathcal{O}(-1)^{\oplus a} \rightarrow E_2^{-2,2} \rightarrow 0.$$

We claim here that  $a=0$ . Suppose, to the contrary, that  $a > 0$ . Since  $E_2^{-2,2}$  cannot be isomorphic to  $\mathcal{O}(-1)^{\oplus a}$ , the morphism  $\pi$  above is not zero. Therefore, the composite of  $\pi$  and some projection  $\mathcal{O}(-1)^{\oplus a} \rightarrow \mathcal{O}(-1)$  is not zero, whose quotient is of the form  $\mathcal{O}_H(-1)$  for some hyperplane  $H$  in  $\mathbb{Q}^3$ . Hence  $E_2^{-2,2}$  admits  $\mathcal{O}_H(-1)$  as a quotient. This is a contradiction. Thus  $a=0$  and  $E_2^{-2,2} = 0$ . Moreover, we see that  $E_2^{-3,2} \cong \mathcal{O}(-2)$ . Therefore,  $\mathcal{E}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-2) \rightarrow \mathcal{O}^{\oplus r+1} \rightarrow \mathcal{E} \rightarrow 0.$$

This is Case (8) of Theorem 1.1.

**18. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (11) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in the following exact sequence:

$$0 \rightarrow \mathcal{O}(-2, -2) \rightarrow \mathcal{O}^{\oplus r+1} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow k(p) \rightarrow 0.$$

Then  $c_2h = 7$ . It then follows from (6.1) that

$$c_3 \geq 12.$$

We claim here that  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ . Indeed, if  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) \neq 0$ , then

$$c_2h \leq c_1(\mathcal{E}|_{\mathbb{Q}^2})(c_1(\mathcal{E}|_{\mathbb{Q}^2}) - c_1(\mathcal{O}_{\mathbb{Q}^2}(1,1))) = 4$$

by [12, Lemma 10.1]. This is a contradiction. Hence  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ . Thus, we have  $h^0(\mathcal{E}(-1)) = 0$  by (7.7). It follows from (4.4) that

$$\chi(\mathcal{E}(-1)) = -\frac{11}{2} + \frac{1}{2}c_3.$$

In particular  $c_3$  is odd, and thus  $c_3 > 12$ . Therefore  $h^q(\mathcal{E}(-1)) = 0$  for all  $q > 0$  by (7.2). This implies that  $\chi(\mathcal{E}(-1)) = 0$ , which is a contradiction. Therefore,  $\mathcal{E}|_{\mathbb{Q}^2}$  cannot belong to Case (11) of Theorem 2.3.

**19. The case where  $\mathcal{E}|_{\mathbb{Q}^2}$  belongs to Case (12) or (13) of Theorem 2.3**

Suppose that  $\mathcal{E}|_{\mathbb{Q}^2}$  fits in either of the following exact sequences:

$$0 \rightarrow \mathcal{O}(-2, -2) \rightarrow \mathcal{O}^{\oplus r} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow \mathcal{O} \rightarrow 0;$$

$$0 \rightarrow \mathcal{O}(-1, -1)^{\oplus 4} \rightarrow \mathcal{O}^{\oplus r} \oplus \mathcal{O}(-1, 0)^{\oplus 2} \oplus \mathcal{O}(0, -1)^{\oplus 2} \rightarrow \mathcal{E}|_{\mathbb{Q}^2} \rightarrow 0.$$

Then  $c_2h = 8$ . It then follows from (6.1) that

$$c_3 \geq 16.$$

We claim here that  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ . Indeed, if  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) \neq 0$ , then

$$c_2h \leq c_1(\mathcal{E}|_{\mathbb{Q}^2})(c_1(\mathcal{E}|_{\mathbb{Q}^2}) - c_1(\mathcal{O}_{\mathbb{Q}^2}(1,1))) = 4$$

by [12, Lemma 10.1]. This is a contradiction. Hence  $h^0(\mathcal{E}(-1)|_{\mathbb{Q}^2}) = 0$ . Thus, we have  $h^0(\mathcal{E}(-1)) = 0$  by (7.7). Note that  $h^q(\mathcal{E}|_{\mathbb{Q}^2}) = 0$  for all  $q > 0$ . Since  $h^q(\mathcal{E}) = 0$  for all  $q > 0$  by (7.1), this implies that  $h^q(\mathcal{E}(-1)) = 0$  for all  $q \geq 2$ . It follows from (4.4) that

$$0 \geq -h^1(\mathcal{E}(-1)) = \chi(\mathcal{E}(-1)) = -7 + \frac{1}{2}c_3 \geq 1.$$

This is a contradiction. Therefore,  $\mathcal{E}|_{\mathbb{Q}^2}$  cannot belong to Case (12) or (13) of Theorem 2.3.

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