

Maximal sum-free sets in finite abelian groups, II

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Maximal sum-free sets in groups Z_n , where n is any positive integer such that every prime divisor of n is congruent to 1 modulo 3, are completely characterized.

Let G be an additive group. If S and T are non-empty subsets of G , we write $S \pm T$ for $\{s \pm t; s \in S, t \in T\}$ respectively, $|S|$ for the cardinality of S and \bar{S} for the complement of S in G . We say that S is sum-free in G if S and $S + S$ have no common element and that S is maximal sum-free in G if S is sum-free in G and $|S| \geq |T|$ for every T sum-free in G . We denote by $\lambda(G)$ the cardinality of a maximal sum-free set in G . We say that S is in a.p. (arithmetic progression) with difference d if $S = \{s, s+d, \dots, s+nd\}$ for some $s, d \in G$ and some integer $n \geq 0$. We say that S is quasi-periodic if there exists a subgroup H , of order ≥ 2 , of G such that S is the disjoint union of a non-empty set S' consisting of H -cosets and a residue set S'' contained in a remaining H -coset. We say that a prime p is a bad prime if p is congruent to 1 modulo 3.

Erdős [2] gives certain upper and lower bounds for $\lambda(G)$ of finite abelian groups G . Exact values $\lambda(G)$ for all finite abelian groups G , except when every prime divisor of $|G|$ is bad, were determined by Diananda and Yap [1]. In this exceptional case,

$$|G|(m-1)/3m \leq \lambda(G) \leq (|G|-1)/3$$

where m is the exponent of G . For elementary abelian p -groups G of

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order p^n , Rheimtulla and Street [5] prove that $\lambda(G) = kp^{n-1}$, where $p = 3k + 1$ is a prime.

The structure of maximal sum-free sets in the following groups were completely characterized:

- (i) G is any abelian group such that $|G|$ has a prime divisor congruent to 2 modulo 3 [1, 7];
- (ii) $G = \mathbb{Z}_p$ where p is a bad prime [8, 5];
- (iii) G (abelian and non-abelian) is of order $3p$, where p is a bad prime [9];
- (iv) G is an elementary abelian p -group where p is a bad prime [6];
- (v) G is an elementary abelian 3-group and $G = \mathbb{Z}_3 \oplus \mathbb{Z}_3 \oplus \mathbb{Z}_p$ where p is a bad prime [10].

We shall apply a Lemma in [5] and Theorem 2.1 in [3], which are restated respectively as Lemma 1 and Theorem 1 here, to prove Theorem 2 which generalizes some results in [8] and [5].

LEMMA 1. *Let $G = \mathbb{Z}_n$, $n = 3k + 1$ and S be a sum-free set in G satisfying $|S| = k$, $-S = S$ and $\overline{S} = S + S$. Then*

- (i) *if $|(S+g) \cap S| = 1$ for some g in G , then $|(S+g^*) \cap S| \geq k - 3$ where $g^* = 3g/2$ and $\pm g/2 \in S$;*
- (ii) *if $|(S+g) \cap S| = \lambda > 1$ for some $g \neq 0$ in G , then $g^* = s_1 - s_2$, where $s_1, s_2 (\neq s_1) \in S$ and $s_1 + g, s_2 + g \in S$, is such that $|(S+g^*) \cap S| \geq k - (\lambda + 1)$.*

THEOREM 1 (Kemperman). *Let G be an abelian group with subsets A and B such that $|A|, |B| \geq 2$. If $|A+B| = |A| + |B| - 1$, then either $A + B$ is in a.p. or $A + B$ is quasi-periodic.*

We first prove the following lemmas.

LEMMA 2. *Let $G = \mathbb{Z}_n$ where n is any positive integer such that every prime divisor p of n is bad. If S is a maximal sum-free set in G , then*

- (i) if $-S \neq S$, $|S+S^*| = |S| + |S^*| - 1$, where $S^* = -S \cup S$;
- (ii) if $-S = S$, either $|S+S| = 2|S| - 1$ or $\bar{S} = S + S$.

Proof. By Kneser's Theorem [4], there exists a subgroup K of G such that $S + S + K = S + S$ and $|S+S| \geq 2|S+K| - |K|$. It is clear that K is a proper subgroup of G .

Suppose that $|K| = q > 1$. Let $n = 3k + 1 = pq$, $p = 3r + 1$, $q = 3s + 1$. Then $\lambda(G) = k = rq + s$ and

$$|G| - |S| = 2k + 1 \geq |S+S| \geq 2(k/q)q - q$$

where (x) denotes the smallest positive integer $\geq x$.

Thus $2k + 1 \geq |S+S| \geq (2r+1)q$, which is impossible. Hence $|S+S| \geq 2|S| - 1$.

If $-S = S$, then $|S+S|$ is odd and from $2k + 1 \geq |S+S| \geq 2k - 1$, it follows that either $|S+S| = 2|S| - 1$ or $|S+S| = 2|S| + 1$ and thus $\bar{S} = S + S$.

If $-S \neq S$, then again by Kneser's Theorem there exists a proper subgroup K of G such that $S \dot{+} S^* + K = S + S^*$ and $|S+S^*| \geq |S+K| + |S^*+K| - |K|$.

In this case, we can show that $|K| = 1$. Thus

$$2k + 1 \geq |S+S^*| \geq |S| + |S^*| - 1 \geq |S| + (|S|+2) - 1 = 2k + 1.$$

Hence $|S^*| = |S| + 2$ and $|S+S^*| = |S| + |S^*| - 1$.

The proof of Lemma 2 is now complete.

LEMMA 3. Let $G = \mathbb{Z}_n$ where n is any positive integer such that every prime divisor p of n is bad. Let S be a maximal sum-free set in G .

(I) If $-S \neq S$, then S can be mapped onto $\{k, k+1, \dots, 2k-1\}$ under an automorphism of G .

(II) If $-S = S$ and $|S+S| = 2|S| - 1$, then S can be mapped onto $\{k+1, k+2, \dots, 2k\}$ under an automorphism of G .

Proof (I). If $-S \neq S$, then by Lemma 2, $|S+S^*| = |S| + |S^*| - 1$. By Kemperman's Theorem, we have either $S + S^*$ is in a.p. or $S + S^*$ is quasi-periodic.

Suppose that $S + S^*$ is quasi-periodic, then from $\overline{S} = S + S^*$ it follows that S is also quasi-periodic. Thus S' , which is a subset of S consisting of H -cosets, will be a maximal sum-free set in G/H while the non-empty residue set S'' which is contained in a remaining H -coset will violate the sum-free property of S . Hence $S + S^*$ cannot be quasi-periodic.

Let $S + S^* = \{a' + id; i = 0, 1, \dots, 2k\}$. Since $|S + S^*| = 2k + 1$, therefore $(d, n) = 1$ (the g.c.d. of d and n). Hence under an automorphism of G , we can write $S + S^* = \{a + i; i = 0, 1, \dots, 2k\}$. Then $S = \overline{S + S^*} = \{a + i; i = 2k + 1, \dots, 3k\}$. From $|S^*| = |S| + 2$, we have either

(i) $2a + 2k + 3 + 3k \equiv 0 \pmod{n}$, that is $a \equiv -(k+1) \pmod{n}$,
or

(ii) $2a + 2k + 1 + 3k - 2 \equiv 0 \pmod{n}$, that is $a \equiv -(k-1) \pmod{n}$.

(i) gives the maximal sum-free set $S = \{k, k+1, \dots, 2k-1\}$.

(ii) gives $S = \{k+2, k+3, \dots, 2k+1\}$ which can be mapped onto $\{k, k+1, \dots, 2k-1\}$ under an automorphism of G .

Proof (II). Applying similar methods we can show that under an automorphism of G , $S + S$ can be mapped onto $S + S = \{a + i; i = 0, 1, \dots, 2k-2\}$. Since $-S = S$, therefore $2a + 2k - 2 \equiv 0 \pmod{n}$, that is $a \equiv -(k-1) \pmod{n}$.

Then $S + S = \{-(k-1), -(k-2), \dots, k-1\}$, and

$$S \subseteq \overline{S + S} = \{k, k+1, \dots, 2k+1\}.$$

But $2k = k + k \notin S + S$, therefore $k \notin S$. Hence $S = \{k+1, \dots, 2k\}$.

The proof of Lemma 3 is now complete.

LEMMA 4. Let $G = Z_n$, $n = 3k + 1$ and S be a sum-free set in G satisfying $|S| = k$, $-S = S$ and $\overline{S} = S + S$. Then $|(S+g) \cap S| > 1$ for every $g \in \overline{S}$ with $(g, n) > 1$.

Proof. We first note that $(S+g) \cap S \neq \emptyset$ if and only if $g \notin S$.

Suppose that $|(S+g) \cap S| = 1$ for some $g \in \overline{S}$ with $(g, n) > 1$. Then

by Lemma 1, $|(S+f) \cap S| \geq k - 3$ where $f = 3g/2$.

Now $|(S+f) \cap S| \neq k$, since S cannot be a union of cosets of a nontrivial subgroup of G . Thus $|(S+f) \cap S| = k - 1, k - 2$ or $k - 3$.

Let $H = [f]$, the subgroup of G generated by f , where $|H| = p = 3r + 1 > 1$, $pq = n$, $q = 3s + 1$, $|S| = sp + r$.

(i) If $|(S+f) \cap S| = k - 1$, then

$$S = UH_i \cup \{a_1, a_1+f, \dots, a_1+m_1f\}$$

where each H_i is a coset of H , $|UH_i| = sp$ and $m_1 = r - 1$. In this case it is clear that $S'' = \{a_1, a_1+f, \dots, a_1+m_1f\} \subseteq H$. But $H \subseteq S + S$ which contradicts the fact that $(S+S) \cap S = \emptyset$.

(ii) If $|(S+f) \cap S| = k - 2$, then

$$S = UH_i \cup \{a_1, a_1+f, \dots, a_1+m_1f\} \cup \{a_2, a_2+f, \dots, a_2+m_2f\}, \quad m_1 \leq m_2.$$

Since $-S = S$, $s \geq 2$, therefore $H \subseteq S + S$, and

$$-\{a_1, a_1+f, \dots, a_1+m_1f\} = \{a_2, a_2+f, \dots, a_2+m_2f\}.$$

Hence $m_1 + m_2$ is even. If $|UH_i| = (s-1)p$, then $m_1 + m_2 = p + r - 2$ is odd, which is impossible. Hence $|UH_i| = sp$ and $m_1 + m_2 = r - 2$.

But then

$$\{a_1, \dots, a_1+m_1f, a_2, \dots, a_2+m_2f\} + \{a_1, \dots, a_1+m_1f, a_2, \dots, a_2+m_2f\}$$

contains elements from 3 distinct cosets of H , which contradicts the fact that $\bar{S} = S + S$.

(iii) If $|(S+f) \cap S| = k - 3$, then

$$S = UH_i \cup \{a_1, \dots, a_1+m_1f, a_2, \dots, a_2+m_2f, a_3, \dots, a_3+m_3f\},$$

$$m_1 \leq m_2 \leq m_3.$$

Suppose that $S \cap H = \emptyset$. Then from $-S = S$ we know that

$$\{a_1, \dots, a_1+m_1f, a_2, \dots, a_2+m_2f, a_3, \dots, a_3+m_3f\}$$

is contained in exactly two distinct cosets of H . Without loss of generality, assume that $a_2 \in a_1 + H$. Then

$$-\{a_1, \dots, a_1+m_1f, a_2, \dots, a_2+m_2f\} = \{a_3, \dots, a_3+m_3f\}$$

which is impossible, because the right hand side is in a.p. with difference f while the left hand side is not in a.p. with difference f . Hence $S \cap H \neq \emptyset$. But then $|UH_i| = 0$ and $s = 2$,

$m_1 + m_2 + m_3 = 2p + r - 3$. In this case, $S + S$ will contain 5 distinct full cosets of H which is impossible.

The proof of Lemma 4 is now complete.

THEOREM 2. *Let $G = \mathbb{Z}_n$ where n is any positive integer such that every prime divisor of $n = 3k + 1$ is bad. If S is a maximal sum-free set in G , then S can be mapped, under an automorphism of G , to one of the following:*

- (i) $\{k+1, k+2, \dots, 2k\}$;
- (ii) $\{k, k+1, \dots, 2k-1\}$;
- (iii) $\{k, k+2, k+3, \dots, 2k-1, 2k+1\}$.

Proof. By Lemmas 2 and 3, it remains to show that if $-S = S$, $\overline{S} = S + S$, then S can be mapped to $\{k, k+2, k+3, \dots, 2k-1, 2k+1\}$ under an automorphism of G . The method used here is a modification of a method due to Rhenntulla and Street [5].

If $|(S+g) \cap S| = 1$ for some $g \in G$ such that $(g, n) = 1$, then by the same method as the proof of Theorem 2 in [5], we can show that under an automorphism of G , S can be mapped onto $\{k, k+2, k+3, \dots, 2k-1, 2k+1\}$.

We are now left with the case where S satisfies the conditions of Lemma 1 and $|(S+g) \cap S| \neq 1$ for any g in G satisfying $(g, n) = 1$. If $|(S+g) \cap S|$ is maximal for some g satisfying $(g, n) = 1$, then by taking an automorphism of G if necessary, assume that $|(S+1) \cap S|$ is maximal. We write

$$(1) \quad S = \{a_1, \dots, a_1+m_1, a_2, \dots, a_2+m_2, \dots, a_h, \dots, a_h+m_h\},$$

where $1 < a_1 \leq a_1+m_1 < a_2-1 < a_2+m_2 < \dots < a_h-1 < a_h+m_h < n$, and a_i, \dots, a_i+m_i denotes a string of (m_i+1) consecutive elements of S .

We have

$$(2) \quad |(S+1) \cap S| = k - h \geq |(S+g) \cap S| \quad \text{for every } g \neq 0 \text{ in } G .$$

Hence h is minimal in (1).

Let $X = \{a_1, a_2, \dots, a_h\}$. Then

$$Y = \{a_1+m_1+1, \dots, a_h+m_h+1\} = 1 - X ,$$

since $-S = S$.

For each $i = 1, \dots, h$, $a_i - 1 \notin S$. Since $\bar{S} = S + S$ and $|(S+g) \cap S| \geq 2$ for any $g (\neq 0) \in \bar{S}$ (by assumption and Lemma 4), therefore there exist $s_1, s_2 (\neq s_1)$ in S such that $a_i - 1 = s_2 - s_1$ and $g = -s_1 - s_2 \neq 0$. We have now $s_1 + g, s_2 + g \in S$ and $k - h \geq |(S+g) \cap S| \geq 2$, therefore, by Lemma 1, we have $|(S+a_i-1) \cap S| \geq h - 1$. But for any $s_1, s_2 \in S$, $s_1 + a_i - 1 = s_2$ implies that $s_1 \in X$, $s_2 \in -X$ and $s_1 + a_i \in Y$. Hence

$$(3) \quad h \geq |(X+a_i) \cap Y| \geq h - 1 \quad \text{for all } i = 1, \dots, h .$$

Suppose that $h \geq 3$.

If for each $j = 1, \dots, h$, $X + a_j = Y = 1 - X$, then $X + [X-X] = X$, $h = |X| = |[X-X]| = p$, which divides n , and

$$(4) \quad 2 \sum_{i=1}^h a_i + ha_j \equiv h \pmod{n} \quad \text{for each } j = 1, \dots, h .$$

Thus

$$(5) \quad h(a_i - a_j) \equiv 0 \pmod{n} \quad \text{for every } i, j = 1, \dots, h .$$

If n is a prime, we already get a contradiction here. Otherwise, $X = a + H$ where $H = [q]$, $pq = n$. We then have

$$(6) \quad a_1 = a , a_2 = a+q , \dots , a_p = a + (p-1)q .$$

Substituting (6) into (4) for $j = 1$, we get $(3a-1)p \equiv 0 \pmod{n}$ from which it follows that $a = 2s + 1$ ($q = 3s+1$) and

$$S = \{2s+1, \dots, 2s+1+m_1, \dots, 2s+1+(p-1)q+m_p\} .$$

But $2s + 1 + 2s + 1 + (p-1)q + m_p > n$ which contradicts the fact that $a_1 + a_p + m_p = n$. Hence, for at least one $t \in \{1, \dots, h\}$, $|(X+a_t) \cap Y| = h - 1$.

If there is only one $t \in \{1, \dots, h\}$ such that $|(X+a_t) \cap Y| = h - 1$, then there are at least two distinct $i, j \in \{1, \dots, h\}$ such that $X + a_i = Y = X + a_j$ and thus $X + a_i - a_j = X$ from which it follows that X is the union of cosets of a nontrivial subgroup of G . (If n is a prime, we get a contradiction here.) Thus $|(X+a_t) \cap Y| \neq h - 1$ which contradicts the hypothesis.

Hence there are at least two $t_1, t_2 \in \{1, \dots, h\}$ such that $|(X+a_{t_1}) \cap Y| = h - 1 = |(X+a_{t_2}) \cap Y|$. Then

$$(7) \quad \{a_1, \dots, a_{t_1-1}, a_{t_1+1}, \dots, a_h\} + a_{t_2} = 1 - \{a_1, \dots, a_{t_2-1}, a_{t_2+1}, \dots, a_h\}, \quad t = t_1, t_2,$$

from which it follows that

$$(8) \quad 2 \sum_{i=1}^h a_i + (h-3)a_t \equiv h - 1 \pmod{n}, \quad t = t_1, t_2,$$

and thus

$$(9) \quad (h-3)(a_{t_1} - a_{t_2}) \equiv 0 \pmod{n}.$$

Suppose there are also at least two $r_1, r_2 \in \{1, \dots, h\}$ such that $|(X+a_{r_i}) \cap Y| = h$. Divide $\{1, \dots, h\}$ into the union of two disjoint subsets $R = \{r_1, \dots, r_u\}$, $u \geq 2$, $T = \{t_1, \dots, t_v\}$, $v \geq 2$ such that $|(X+a_{r_i}) \cap Y| = h$ and $|(X+a_{t_i}) \cap Y| = h - 1$. Then

$$(10) \quad h(a_{r'} - a_{r''}) \equiv 0 \pmod{n} \text{ for every } r, r' \in R,$$

$$(11) \quad (h-3)(a_t - a_{t'}) \equiv 0 \pmod{n} \text{ for every } t, t' \in T.$$

Let

$$(12) \quad a_{t_1} + a_{r_1} \equiv 1 - a_{p_1} \pmod{n},$$

$$(13) \quad a_{t_2} + a_{r_1} \equiv 1 - a_{p_2} \pmod{n}.$$

Then $a_{t_1} - a_{t_2} \equiv a_{p_2} - a_{p_1} \pmod{n}$ from which it follows that at least one of p_1, p_2 is in T . Suppose that $p_1 = t \in T$. Let

$$(14) \quad a_{t_1} + a_{r_2} \equiv 1 - a_{p_3} \pmod{n}.$$

Then from (12) and (14), we have $a_{r_1} - a_{r_2} \equiv a_{p_3} - a_t \pmod{n}$ and thus $p_3 = r \in R$. Then $h(a_r - a_t) \equiv 0 \pmod{n}$, and thus from (10), we have

$$(15) \quad h(a_r - a_t) \equiv 0 \pmod{n} \text{ for every } r \in R.$$

Let

$$(16) \quad a_{t_2} + a_{r_2} \equiv 1 - a_{p_4} \pmod{n}.$$

Then from (14) and (16), we have $a_{t_1} - a_{t_2} \equiv a_{p_4} - a_r \pmod{n}$ from which it follows that $p_4 = t' \in T$. Hence $(h-3)(a_{t'} - a_r) \equiv 0 \pmod{n}$.

Then from (11), we have

$$(17) \quad (h-3)(a_t - a_r) \equiv 0 \pmod{n} \text{ for every } t \in T.$$

But (15) and (17) cannot occur at the same time. Hence for at most one $j \in \{1, \dots, h\}$, $|\{X+a_j\} \cap Y| = h$. But then (9) is true for every $t_1, t_2 \in \{1, 2, \dots, j-1, j+1, \dots, h\}$. We have either

(i) $h - 3 = vp > 0$, $p|n$, $(v, n) = 1$ and

$$X' = \{a_1, \dots, a_{j-1}, a_{j+1}, \dots, a_h\} = a + A'$$

where $A' \subseteq H = [q]$, $pq = n$, which is impossible because $h - 1 = vp + 2 > |G/H|$; or

(ii) $h = 3$ and thus

$$S = \{a, \dots, a+c-1, k+c+1, \dots, 2k-c, 3k+2-a-c, \dots, 3k+1-a\}$$

where $a \leq k$ and $c < k/2$.

Then from (8) we get

$$0 \equiv 3 - 1 - 2\{a+k+c+1-(a+c-1)\} \pmod{n},$$

that is $1 \equiv k + 2 \pmod{n}$ which is impossible.

Thus $h \leq 2$. But $h \neq 1$, because S is not in a.p. If $h = 2$, then $S = \{\pm k/2, \pm(1+k/2), \dots, \pm(k-1)\}$ which maps, under an automorphism of G , to $\{k, k+2, k+3, \dots, 2k-1, 2k+1\}$.

Finally, suppose that $|(S+g) \cap S| \geq 2$ for every $g \neq 0$ in G with $(g, n) = 1$ and that $|(S+g) \cap S|$ is maximal for some g in G with $(g, n) > 1$. By taking an automorphism of G , if necessary, suppose that $g|n$. Then we can write

$$S = \cup H_i \cup \{a_1, a_1+g, \dots, a_1+m_1g, \dots, a_h, a_h+g, \dots, a_h+m_hg\}$$

where each H_i is a coset of $H = [g]$,

$$S'' = \{a_1, a_1+g, \dots, a_1+m_1g, \dots, a_h, a_h+g, \dots, a_h+m_hg\}$$

does not contain a whole coset of H , $a_i + (m_i+1)g \not\equiv a_j \pmod{n}$ for any $i, j = 1, \dots, h$, $1 \leq a_1 < a_2 < \dots < a_h < n$, and

$$|(S+g) \cap S| = k - h \geq |(S+g') \cap S| \text{ for every } g' \neq 0 \text{ in } G.$$

Let $X = \{a_1, a_2, \dots, a_h\}$. Then

$$Y = \{a_1+(m_1+1)g, \dots, a_h+(m_h+1)g\} = g - X,$$

since $-S'' = S''$. By a similar method we can show that (3) holds good.

Suppose that $h \geq 3$. If for each $j = 1, \dots, h$, $X + a_j = Y = g - X$,

then $h = |X| = |[X-X]| = p$, and this divides n , and (6) also holds good. We have then $a \equiv (2s+1)g \pmod{q}$. Now if $|\cup H_i| \neq 0$, then

$H \cap S = \emptyset$. We note that the number of elements of a_i in X that

belong to a particular coset H_i of H and the number of a_j in X

that belong to $-H_i$ are the same, therefore since p is odd, there is at

least one $a_i \in X$ such that $a_i \in H$ which contradicts the fact that

$H \cap S = \emptyset$. Hence in this case, $|\cup H_i| = 0$ and $H \cap S \neq \emptyset$. Now if

$(g, q) = d > 1$, then $d|a$ and thus d divides each element in S which is impossible. Hence $(g, p) = g$ and $q \leq n/g$. It is then clear that each $m_i < q - 1$. Otherwise for some i with $1 \leq i \leq p$,

$a + (g+i-1)q \in S$ will be one of the elements of S that belong to $\{a+(i-1)q+g, \dots, a+(i-1)q+m_i g\}$ or $a + (g+i-1)q = a + (i-1)q + (m_i+1)g$, which is not true. From this, it can be shown that each of the cosets K_i of $K = [q]$ which is contained in S is of the form $a + ig + K$, $i < q - 1$. Since $3a \equiv g \pmod{q}$, we have $3a - g = 2q$ if $g < q$ and $g - 3a = xq$ if $g > q$ where $x \equiv 1 \pmod{3}$. Now since $a + K \subseteq S$, therefore $-a + K \subseteq S$. We have $-a + K = a + (g+2q)/3 - g + K$ if $g < q$ and $-a + K = a + (g-xq)/3 - g + K$ if $g > q$. But neither $(g+2q)/3$ nor $(g-xq)/3$ is of the form ig , $1 \leq i \leq q-1$, for otherwise g will divide q .

By a similar method and the proof of Lemma 4, we can show that all the other possibilities cannot occur. Hence $h \leq 2$. If $h = 1, 2$, then using the proof of Lemma 4 again, we can show that these cases cannot occur also. Hence the possibility that $|(S+g) \cap S|$ is maximal for some g with $(g, n) > 1$ is excluded.

This is the end of the proof of Theorem 2.

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