

## EMBEDDINGS INTO EFFICIENT GROUPS

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A finite presentation  $F/N$  of a group  $G$  is called efficient if  $d_F(N) = d(H_2(G)) + d(F) - r(H_1(G))$ . A finitely presented group is called efficient if it admits an efficient presentation. We show that a finitely presented group embeds into an efficient group.

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### 1. Background

If  $A$  is a  $G$ -group, then  $d_G(A)$  denotes the minimal number of  $G$ -group generators of  $A$ . For example the normal subgroup  $N$  of a group  $F$  is an  $F$ -group via conjugation and  $d_F(N)$  is the minimal number of elements that generate  $N$  as a normal subgroup. If  $G$  acts trivially on  $A$  we omit the subscript and simply write  $d(A)$  for the minimal number of generators for the group  $A$ .

Given a finite presentation  $\mathcal{P} = \langle X|R \rangle$  of a group  $G$ , let  $F$  be the free group on  $X$  and  $N = N(R)$  be the normal closure of  $R$  in  $F$ . Then  $F/N = G$ . We also refer to  $F/N$  as a presentation for  $G$ . Now

$$(*) \quad N/[F, N] = H_2(G) \oplus Z^{d(F)-r(H_1(G))},$$

where  $r(H_1(G))$  is the torsion free rank of the finitely generated abelian group  $H_1(G)$ . To see this, consider the exact 5-term sequence

$$H_2(F) \rightarrow H_2(G) \rightarrow N/[F, N] \rightarrow F/[F, F] \rightarrow G/[G, G] \rightarrow 0$$

associated with the extension  $N \rightarrow F \rightarrow G$  (see Brown [9, page 47]). Since  $F$  is free,  $H_2(F) = 0$  and the result follows. In particular we have

$$d(N/[F, N]) = d(H_2(G)) + d(F) - r(H_1(G)).$$

For more details and additional references see Beyl, Tappe [5, page 18]. The presentation  $\mathcal{P} = \langle X|R \rangle$  is called *efficient* if

$$|R| = d_F(N) = d(N/[F, N]).$$

The group  $G$  is called efficient if it admits an efficient presentation. Examples of efficient groups are finitely generated abelian groups (Epstein [13]), fundamental groups of closed 3-manifolds [13] and also finite groups with balanced presentations. Such finite groups have trivial Schur-multiplier. Whether finite groups with trivial Schur-multiplier are efficient (i.e., admit balanced presentations in this case) was answered negatively by Swan [26]. He gave examples of non-efficient metabelian groups with trivial  $H_2$ . Finite metacyclic groups are efficient. This was shown by Wamsley [27] and Beyl [4]. Infinite metacyclic groups however need not be efficient, a result due to Baik and Pride [2] (see also Baik [1]). The first examples of torsion-free non-efficient groups were found by Lustig [21]. For more references on the subject of efficiency see Baik, Pride [3], Beyl, Rosenberger [6], Campbell, Robertson, Williams [10, 11], Johnson, Robertson [18], Kenne [20] and Robertson, Thomas, Wotherspoon [24].

Suppose  $\langle X|R \rangle$  is a finite presentation for a group  $H$ . Assume that  $u$  and  $w$  are words in  $X^{\pm 1}$  and let  $G$  be the quotient of  $H$  presented by  $\langle X|R, w \rangle$ . Suppose the following conditions are satisfied:

1.  $[u, w]$  represents the trivial element of  $H$ ;
2.  $u$  represents an element of infinite order of  $G$ ;
3. The presentation  $\langle X|R, w \rangle$  is efficient.

The group  $G$  can be used to embed a given group into an efficient group by an iterated amalgamated product. Before we state our main result we introduce more notation. Let  $S(K, G, l)$  be the fundamental group of a graph of groups supported by a graph with vertices  $v, v_1, \dots, v_l$  and oriented edges  $e_1, \dots, e_l$ , where  $e_i$  starts at  $v$  and ends at  $v_i$ . The group at  $v$  is  $K$ , all other vertex groups are  $G$  (as above) and the edge groups are infinite cyclic. Edge maps are given by choosing elements of infinite order in  $K$  and the other vertex groups.

**Theorem.** *Suppose that  $K$  is a finitely presented group that admits a generating set consisting of elements of infinite order. Suppose furthermore that, in case both  $H_2(K)$  and  $H_2(G)$  have torsion, the first torsion-numbers of these abelian groups are not relatively prime. Then there exists an integer  $l$  such that  $S(K, G, l)$  is efficient.*

Note that the condition on the torsion numbers ensures that  $d(H_2(K) \oplus H_2(G)) = d(H_2(K)) + d(H_2(G))$ .

There is considerable flexibility in choosing  $G$ . For example we can take  $H = \langle a, b | a^n = b^n \rangle$ ,  $u = ab$  and  $w = a^n$ . In that case we get  $G = \langle a, b | a^n = b^n, a^n \rangle = Z_n * Z_n$ , the free product of two cyclic groups of order  $n$ . Or we could take  $H = \langle a, b, c | [a, b], [a, c] \rangle$ ,  $u = a$  and  $w = [b, c]$ . Here we obtain  $G = \langle a, b, c | [a, b], [a, c], [b, c] \rangle = Z \oplus Z \oplus Z$ . Note that in both cases  $H_2(G)$  is torsion-free. Before we prove the Theorem we point out some consequences.

**Corollary 1.** *Let  $K$  be a finitely presented group and let  $d(K) = k$ . Let  $F_k$  be the free*

group of rank  $k$ . Then there exists an integer  $l$  such that  $S(K * F_k, Z_n * Z_n, l)$  is efficient. In particular a finitely presented group can be embedded into a finitely presented efficient group.

**Proof.** Let  $y_1, \dots, y_k$  be a set of generators for  $K$  and let  $a_1, \dots, a_k$  be a basis for  $F_k$ . Then  $y_1 a_1, \dots, y_k a_k, a_1, \dots, a_k$  is a generating set of  $K * F_k$  consisting of elements of infinite order. Now apply the Theorem.  $\square$

We remark that the author showed in [15] that a finite group can be embedded into a finite efficient group. In fact, if  $K$  is finite, then  $K \times \prod_{i=1}^l Z_p$  is efficient for  $l$  big enough and  $p$  a prime.

**Corollary 2.** *Let  $K$  be a finitely presented group of finite cohomological dimension  $k$ . If  $k \neq 2$ , then  $K$  can be embedded into an efficient group of cohomological dimension  $k$ . If  $k = 2$ , then  $K$  can be embedded into an efficient group of virtual cohomological dimension 2.*

**Proof.** If  $k = 1$  then, by Stallings' Theorem [25],  $K$  is free and thus itself efficient. So suppose  $k \geq 2$ . Since  $K$  is torsion-free, it admits a generating set consisting of elements of infinite order. We can apply the Theorem to see that  $\tilde{G} = S(K, G, l)$  is efficient for big enough  $l$  and an appropriately chosen group  $G$ . If  $k = 2$  take  $G = Z_n * Z_n$ . The virtual cohomological dimension of both  $Z$  and  $Z_n * Z_n$  is one and hence  $vcd(\tilde{G}) = vcd(K) = 2$  (see Bieri [7, page 83]). If  $k \geq 3$  take  $G = Z \oplus Z \oplus Z$ . Since  $cd(G) = 3$  it follows that  $cd(\tilde{G}) = cd(K) = k$ .  $\square$

Whether a group of cohomological dimension 2 can be embedded into an efficient group of cohomological dimension 2 is related to the question whether a group of cohomological dimension 2 has geometric dimension 2. A discussion of these matters can be found in Section 4 of this paper.

## 2. The main lemma

The proof of the main theorem in this article is based on an idea of Wolfgang Metzler. He realized (see [23]) that wedging on standard 2-complexes of  $Z_2 \times Z_4$  to a given 2-complex allows one to bypass the commutator question, a serious obstruction encountered when attempting to generalize results from higher dimensions into dimension 2. Hog-Angeloni and Metzler have successfully applied this trick to various situations (see Metzler [23] and also Metzler, Hog-Angeloni [16]). We will present a generalized  $Z_2 \times Z_4$  trick, which is tailored to our situation. Suppose  $\mathcal{P}_H = \langle X | R \rangle$  is a finite presentation for the group  $H$  and  $u$  and  $w$  are words in  $X^{\pm 1}$  so that the commutator  $[u, w]$  represents the trivial element of  $H$ . Let  $G$  be the quotient of  $H$  represented by  $\mathcal{P}_G = \langle X | R, w \rangle$ . Let  $n$  be the order of the element of  $G$  represented by  $u$  (the order can be infinite). Next assume that  $K$  is another group admitting a finite

presentation  $\mathcal{P}_K = \langle Y|S, [f, t] \rangle$ , where  $t$  is a consequence of  $S, [f, t]$  in  $\mathcal{P}_K$ , that is  $t \in N(S, [f, t])$ , and  $f$  represents an element of order  $n$  in  $K$ . We can form the free product with amalgamation  $\tilde{G} = K *_n G$  with presentation  $\mathcal{P} = \langle X, Y|R, w, S, [f, t], u = f \rangle$ . The key observation here is that the normal closure of the relations in  $\mathcal{P}$  is generated by  $|R| + 1 + |S| + 1$  elements, which is one less than expected. Indeed  $\{R, S, w = t, u = f\}$  is a generating set for that normal closure. Just observe that

$$[f, t] = [u, w] = 1$$

modulo the relations  $f = u, t = w$  and  $R$ . Since we assumed that  $t = 1$  modulo  $S$  and  $[f, t]$ , this shows that  $w = 1$  modulo  $R, S, f = u$  and  $t = w$ . If we iterate the above process we obtain the following.

**Lemma.** *Suppose  $K$  is a group admitting a presentation  $\mathcal{P}_K = \langle Y|S, [f_i, t_i] \rangle, 1 \leq i \leq l$ , where each  $t_i$  is contained in  $N(S, [f_1, t_1], \dots, [f_i, t_i])$ , and each  $f_i$  represents an element of infinite order. Let*

$$\mathcal{P} = \langle X_i, Y|S, [f_i, t_i], R_i, w_i, u_i = f_i \rangle,$$

$1 \leq i \leq l, \langle X_i|R_i, w_i \rangle$  presenting  $G, u_i$  representing an element of infinite order in  $G$ , be the standard presentation for the amalgamated product  $S(K, G, l)$ . Then the normal closure of the relations in  $\mathcal{P}$  is generated by  $|S| + (|R| + 2)l$  elements.

A free product version of the above Proposition with  $Z_2 \times Z_4$  factors is implicit in [23], dealing with commutators of relators, that is with elements of  $[N, N]$  rather than  $[F, N]$ .

### 3. Proof of the theorem

Let  $F/N$  be a finite presentation for the group  $K$ , where  $F$  is a free group with basis  $Y$  and each element  $y$  of  $Y$  represents an element of infinite order in  $K$ . Let  $m = d(N/[F, N])$ . We can find elements  $s_1, \dots, s_m$  of  $N$  so that  $s_1[F, N], \dots, s_m[F, N]$  generates  $N/[F, N]$ . Since  $N$  is the normal closure of finitely many elements, we can find elements  $f_i \in F, t_i \in N, 1 \leq i \leq l$ , so that  $N = N(s_1, \dots, s_m, [f_i, t_i])$ . Thus

$$\mathcal{P}_K = \langle Y|s_1, \dots, s_m, [f_i, t_i] \rangle,$$

$1 \leq i \leq l$ , presents  $K$ . Note that because  $\{[y^{\pm 1}, r] | y \in Y, r \in N\}$  generates  $[F, N]$  we may assume that each  $f_i$  is equal to some  $y^{\pm 1}$ , in particular that each  $f_i$  has infinite order in  $K$ . Let  $\mathcal{P}_G = \langle X|R, w \rangle$  be an efficient presentation of a group  $G$  as in the previous section. Then we have a word  $u$  in  $X^{\pm 1}$  representing an element of infinite order in  $G$  and  $[u, w] = 1$  modulo  $R$ . Let

$$\mathcal{P} = \langle X_i, Y|S, [f_i, t_i], R_i, w_i, f_i = u_i \rangle,$$

$1 \leq i \leq l$ , be the standard presentation for the amalgamated product  $S(K, G, l)$  as in the Lemma. Let  $\tilde{F}$  be the free group on the generators in  $\mathcal{P}$  and let  $\tilde{N}$  be the normal closure of the relations in  $\mathcal{P}$ . Furthermore let  $F_i$  be the free group on  $X_i$  and let  $N_i$  be the normal closure of  $R_i$  and  $w_i$  in  $F(X_i)$ . So  $F_i/N_i$  presents the vertex group  $G$  at  $v_i$  in the above amalgamated product. We know from the Lemma that  $d_{\tilde{F}}(\tilde{N}) \leq m + (|R| + 2)l$ . We claim that  $d(\tilde{N}/[\tilde{F}, \tilde{N}]) = m + (|R| + 2)l$  and thus that  $\tilde{F}/\tilde{N}$  is efficient. Before we show this, let us make some general remarks. Suppose  $F_i/N_i$  is a finite presentation for  $G_i, i = 1, 2$ , and that  $C$  is a finitely generated subgroup of both  $G_1$  and  $G_2$ . Let  $F/N$  be a presentation for the amalgamated product  $G = G_1 *_C G_2$ , obtained from the presentations  $F_i/N_i$  and a fixed finite generating set for  $C$ . Then we have an exact sequence (see Hannerbauer [14])

$$0 \rightarrow (ZG \otimes_{G_1} N_1/[N_1, N_1]) \oplus (ZG \otimes_{G_2} N_2/[N_2, N_2]) \rightarrow N/[N, N] \rightarrow ZG \otimes_C IC \rightarrow 0.$$

If we apply  $Z \otimes_G$  - we obtain the exact sequence

$$H_2(C) \rightarrow N_1/[F_1, N_1] \oplus N_2/[F_2, N_2] \rightarrow N/[F, N] \rightarrow H_1(C) \rightarrow 0.$$

In case  $C$  is infinite cyclic,  $H_2(C) = 0$  and  $H_1(C) = Z$  and we obtain

$$N/[F, N] = N_1/[F_1, N_1] \oplus N_2/[F_2, N_2] \oplus Z.$$

If we apply this result to our presentation  $\tilde{F}/\tilde{N}$  of  $S(K, G, l)$  we get

$$\tilde{N}/[\tilde{F}, \tilde{N}] = N/[F, N] \oplus \bigoplus_{i=1}^l N_i/[F_i, N_i] \oplus Z^l.$$

This follows from the above discussion and induction on  $l$  since

$$S(K, G, l) = S(K, G, l - 1) *_C G,$$

with  $C$  infinite cyclic. Since  $F_i/N_i = \langle X_i | R_i, w_i \rangle$  is an efficient presentation for  $G$ , we have  $d(N_i/[F_i, N_i]) = |R| + 1$ . Since the first torsion-numbers of  $H_2(G)$  and  $H_2(K)$  are not relatively prime (in case both  $H_2(K)$  and  $H_2(G)$  contain torsion), we have  $d(H_2(K) \oplus H_2(G)) = d(H_2(K)) + d(H_2(G))$ . Since  $N/[F, N]$  is the direct sum of  $H_2(K)$  and a free abelian group and each  $N_i/[F_i, N_i]$  is the direct sum of  $H_2(G)$  and a free abelian group (see equation (\*) on the first page), we have

$$d(\tilde{N}/[\tilde{F}, \tilde{N}]) = d(N/[F, N]) + \sum_{i=1}^l d(N_i/[F_i, N_i]) + l.$$

Hence  $d(\tilde{N}/[\tilde{F}, \tilde{N}]) = m + (|R| + 2)l$  as claimed. □

#### 4. Groups of dimension 2

A group  $G$  has cohomological dimension 2 if the trivial  $G$ -module  $Z$  admits a projective resolution of length 2. The geometric dimension of  $G$  is 2 if there exists a 2-dimensional  $K(G, 1)$ -complex. A group  $G$  is of type  $FL$  if  $Z$  admits a resolution of finite length consisting of finitely generated free  $ZG$ -modules. A presentation  $\mathcal{P}$  is aspherical if the associated 2-complex  $K(\mathcal{P})$  modelled on  $\mathcal{P}$  is aspherical (that is, it has trivial second homotopy group). Note that in that case  $K(\mathcal{P})$  is a  $K(G, 1)$ -complex and thus  $G$  and all its subgroups have geometric dimension 2. These definitions can be found in [9].

Efficient groups of cohomological dimension 2 are of interest in connection with the longstanding open question whether cohomological dimension 2 implies geometric dimension 2. The next proposition shows that subgroups of an efficient group of cohomological dimension 2 that is  $FL$  have geometric dimension 2. This result is due to Gutierrez and Ratcliffe [17] (see also Bogley [8, page 329]). In [17] it is stated for subcomplexes of aspherical complexes. Such complexes give rise to presentations which are not only efficient but satisfy the Cockcroft property (see [12, page 149]). For the convenience of the reader we have also included a proof.

**Proposition.** *Let  $\mathcal{P}$  be a finite presentation of a group  $G$  of cohomological dimension 2 that is of type  $FL$ . Then  $\mathcal{P}$  is efficient if and only if  $\mathcal{P}$  is aspherical.*

**Proof.** Let  $\mathcal{P} = \langle x_1, \dots, x_n | r_1, \dots, r_m \rangle$  be an efficient presentation for  $G$ . Let  $F$  be the free group on the generators in  $\mathcal{P}$  and let  $N$  be the normal closure of the relations of  $\mathcal{P}$  in  $F$ . Cohomological dimension 2 together with  $FL$  implies that the relation module  $N/[N, N]$  of this presentation is finitely generated stably free. This is a consequence of Schanuel's Lemma (see [9, page 192]). So suppose  $N/[N, N] \oplus ZG^k = ZG^l$ . Replacing  $\mathcal{P}$  with  $\langle x_1, \dots, x_n, y_1, \dots, y_k | r_1, \dots, r_m, y_1, \dots, y_k \rangle$ , we obtain an efficient presentation with free relation module of rank  $l$ . In particular  $l = m + k$ . Since the 2-complex associated with the modified presentation is simply homotopic to the two complex associated with  $\mathcal{P}$ , asphericity of the new presentation implies asphericity of  $\mathcal{P}$ . This discussion shows that we may assume that the relation module of  $\mathcal{P}$  is free of rank  $m$ . Let us look at the partial resolution (see Lyndon, Schupp [22, page 100])

$$\pi_2(K(\mathcal{P})) \rightarrow ZG^m \xrightarrow{\partial_2} ZG^n \xrightarrow{\partial_1} ZG \rightarrow Z \rightarrow 0$$

associated with  $\mathcal{P}$  (it arises from the cellular chain complex of the universal covering of  $K(\mathcal{P})$ ). The image of the boundary map  $\partial_2$  is the relation module which is free of rank  $m$ . Thus it follows from Kaplansky's Theorem (see [19], and also [8, page 328]) that  $\partial_2$  is an isomorphism and that  $\pi_2(K(\mathcal{P}))$  is trivial. Thus  $\mathcal{P}$  is an aspherical presentation for  $G$ . This proves one direction. That asphericity of a presentation implies efficiency is immediate from the partial resolution associated with  $\mathcal{P}$ .  $\square$

The property *FL* was needed to ensure that every finite presentation of  $G$  has stably free relation module. It should be noted that there are no examples known of finitely presented groups of cohomological dimension 2 that are not *FL*. We know from Corollary 2 of Section 1 that a finitely presented group  $K$  of cohomological dimension 2 can be embedded into an efficient group  $S(K, G = Z_n * Z_n, l)$ , which is of virtual cohomological dimension 2. If we could replace  $Z_n * Z_n$  by a group  $G$  of cohomological dimension 2 for which our method works, we could eliminate “virtual”. If in addition  $S(K, G, l)$  is *FL*, then  $K$  is actually of geometric dimension 2 by the above Proposition. But we believe that such a group  $G$  is difficult to find. For our techniques to work we would have to find an efficient presentation  $\mathcal{P} = \langle X | R, w \rangle$  of a group  $G$  of cohomological dimension 2 and a word  $u$  representing an element of infinite order such that  $[u, w] = 1$  modulo  $R$ . Thus we would have an identity of relations  $uwu^{-1}w^{-1} \prod_{i=1}^k f_i r_i^{\epsilon_i} f_i^{-1} = 1$ ,  $f_i$  words in  $X^{\pm 1}$ ,  $\epsilon_i \in \{\pm 1\}$ ,  $r_i \in R$ , which yields a non-trivial spherical element over  $\mathcal{P}$  since  $u$  is not trivial. So  $\mathcal{P}$  is an efficient non-aspherical presentation of a group  $G$  of cohomological dimension 2. In view of the above Proposition,  $G$  could not be *FL*!

Of course the group  $S(K, Z_n * Z_n, l)$  contains a torsion-free subgroup of finite index of cohomological dimension 2. We conclude by remarking that a subgroup of finite index of an efficient group need not be efficient. It was shown in [15] that a finite group can be embedded into a finite efficient group. Since there are non-efficient finite groups (Swan’s examples for instance), finite index does not preserve efficiency.

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