

Tracheostomy in Infants in an Australian Tertiary Children's Hospital: Have the Indications and Outcomes Changed?

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This study was accepted as a poster presentation at the 72nd Australian Society of Otolaryngology Head Neck Surgery Annual Scientific Meeting in Adelaide, Australia 10th-12th June 2022

Abstract

Objectives: To determine if there are changes over time for indications and outcomes of tracheostomies in infants

Methods: Retrospective review of infant tracheostomies at a tertiary children's hospital across two time periods (Epoch 1: 1997–2008, Epoch 2: 2009–2020). Patient demographics, tracheostomy indications, comorbidities, length of stay, complications, decannulation and mortality were examined.

Results: 72 infants had a tracheostomy (40 vs. 32). Airway obstruction decreased (80% vs. 50%*) and long-term ventilation increased as the primary indication (17.5% vs. 40.6%*). Early complications decreased between the time periods (30% vs. 6.3%*). The median hospital length of stay was 97 days (IQR 53-205.5), total complication rate was 53%, decannulation rate was 61% and mortality rate was 17% (all non-tracheostomy related) across both time periods. There were no significant changes for these outcomes. *p<0.05.

Conclusion: Long-term ventilation has increased, and airway obstruction has decreased as the primary indication for infant tracheostomy over time.

Key Words: tracheostomy, infant, airway obstruction, paediatric, ventilation

Introduction

Tracheostomy insertion may be considered in infants who require bypass of an upper airway obstruction, prolonged ventilatory support or management of excess secretions¹. Compared to endotracheal intubation, a tracheostomy may allow for discharge from the intensive care setting, and over time, promote the ability to vocalise, mobilise, eat and drink. Depending on the indication and improvement of the underlying condition, a tracheostomy may be reversed through the process of decannulation^{2, 3}.

Despite clear improvements for the infant's quality of life and development, tracheostomy placement places an immense economic and care burden on families and the health system. Infants with tracheostomies experience long and costly hospitalisations^{4, 5}. Parents and carers must have proficient skills in tracheostomy care, vigilance to tracheostomy tube patency and the ability to manage emergencies including tube dislodgement and obstruction^{6, 7}. This has significant impact on psychological wellbeing of families^{8, 9}.

Advancements in medical practice have influenced the indications for paediatric tracheostomy particularly with regards to bypassing upper airway obstruction¹⁰. Prior to the introduction of vaccinations, steroids and antibiotics, a tracheostomy was primarily indicated for acute inflammatory conditions such as laryngotracheobronchitis and diphtheria^{6, 11-14}. Over the last two decades, neonatal resuscitation and delivery of airway pressure support with non-invasive ventilation (NIV) has become the preferred modality of respiratory support over intubation^{15, 16}. NIV mitigates the risk of iatrogenic airway injury from an endotracheal tube contributing to upper airway obstruction.

Published literature on the indications and outcomes of tracheostomy in infants is heterogeneous across different health systems, countries and timeframes¹⁷⁻²¹. This makes it difficult to ascertain trends reflecting local medical practices.

Approximately half of all paediatric tracheostomies are performed in infants under 1 year of age^{22, 23}. However, most tracheostomy studies generalise to the greater paediatric population and include older children. Due to their smaller anatomy and medically complex indications, tracheostomies in infants are a high-risk procedure, and are associated with increased incidences of complications and mortality rates compared to adults^{13, 19, 24, 25}. Despite this, there are no published Australian studies looking at the indications and outcomes of tracheostomy specifically in infants under 1 year of age.

The aim of this study is to identify the indications and associated outcomes of infant tracheostomies. We hypothesise that these have changed over time in Australia. Understanding these local trends will allow benchmarking against other international centres, enable better counselling of families, and increase quality of care for the vulnerable infant population.

Materials and methods

A retrospective review was conducted on all tracheostomy insertions between January 1997 and November 2020 at Sydney Children's Hospital Randwick in infants who were less than 1 year of age. Infants without a date of insertion, indication for tracheostomy or had tracheostomy insertion at a different hospital location were excluded in analyses. The primary outcome was indication for tracheostomy. Secondary outcomes included length of stay (LOS), complications, decannulation and mortality.

Patient data was collected using electronic medical records, or paper records if required. The data was securely stored on the University of New South Wales Research Electronic Data Capture (REDCap) server.

Tracheostomy indication was categorised into three groups: airway obstruction (AO), long-term ventilation (LTV), and pulmonary toilet (PT). If more than one indication was listed, the primary rationale behind tracheostomy insertion was recorded as the indication. Patients with neurological disorders were classified as either LTV or PT following individual review of their underlying condition and medical needs.

Length of stay (LOS) was classified into 4 groups: total hospital LOS, pre-tracheostomy hospital stay (number of days from admission until the tracheostomy was inserted), total intensive care unit (ICU) LOS and post-tracheostomy ICU stay.

Complications were defined as any deviation from the normal postoperative course that occurred with a tracheostomy in situ. As such, complications involving the expected adaptation to the insertion of a foreign object (e.g. granulation tissue) were excluded. Complications were classified into early (<7 days from tracheostomy

insertion), medium (between 7 days up to 3 months) and long-term (>3 months until decannulation).

Decannulation was defined as the purposeful or accidental removal of the tracheostomy tube that did not require re-insertion. Mortality outcomes including number of deaths, cause and age of death were also collected if available.

Statistical analysis

Data analysis was conducted using IBM SPSS Statistics Version 26.0 (Armonk, NY). Descriptive statistics and frequency tables were used to characterise patients, their indications, and outcomes. Continuous variables were expressed using median (interquartile range [IQR]) and categorical variables were described as a number (percentage [%]) unless otherwise stated. Normality of distribution was determined using the Shapiro-Wilk Test.

To compare the changing indications and outcomes over the last two decades, patients were further classified according to the date of tracheostomy insertion: epoch 1 (1997–2008) and epoch 2 (2009–2020). The measure of association between categorical and continuous variables was determined using Fisher's exact test and Mann-Whitney U test or Kruskal-Wallis Test respectively. Binary logistic regression was undertaken to adjust for the effects of tracheostomy indication on mortality rates. A two-tailed p-value <0.05 was considered significant.

This study was approved by the Sydney Children's Hospital Network Human Research Ethics Committee (2020/ETH03107). A waiver of consent was obtained due to the retrospective nature of the study.

Results and analysis

Demographics

There were 77 infant tracheostomies performed in the 24-year period. After excluding five patients with missing or incomplete medical records, 72 patients were included in analysis. Of these tracheostomies, 40 (55.6%) and 32 (44.4%) patients were from epoch 1 and epoch 2 respectively.

Table I illustrates the demographics, clinical characteristics and comorbidities of patients undergoing a tracheostomy. Across both time periods, the median age at time of tracheostomy was 77.5 (28.5–196.3) days. The number of neonates aged less than 28 days in epoch 1 was significantly higher compared with epoch 2 (15 vs. 3, $p=0.013$). Sixty-seven (93.1%) patients had comorbidities, with developmental (54.2%) and gastrointestinal (45.8%) systems the most affected.

Indications

The most common indication for tracheostomy insertion was AO (48/72, 66.7%) (Table II). Overall, there was a significant decline in the number of tracheostomies performed for AO between epoch 1 and epoch 2 (32 vs. 16, $p=0.027$). Craniofacial malformation was the most common principal diagnosis (20.8%), followed by vocal cord paralysis (11.1%). Craniofacial malformations decreased during this time-period (12 vs. 3, $p=0.042$).

LTV was the second most common indication for tracheostomy (20/72, 27.8%).

There was a significant increase in LTV as the primary indication for tracheostomy insertion in epoch 2 (7 vs. 13, $p=0.034$). Specifically, the number of patients with

chronic lung disease increased significantly between the two epochs (1 vs. 6, $p=0.040$). There was no statistically significant difference in the PT indication group between epoch 1 and 2 (1 vs. 3, $p=0.310$).

Length of stay

Across both time periods, the total hospital LOS ranged from 5 to 822 days, as demonstrated in Table III. The median hospital LOS was 97 (53–205.5) days and patients spent a median time of 14 (1–34.8) days in hospital before a tracheostomy was inserted. The median total ICU LOS was 32.5 (16.3–105.8) days for this admission and the median time spent in ICU post-tracheostomy insertion was 22 (12–69) days.

There were no major differences in the comparison of all LOS subgroups between the two time periods. Patients requiring LTV had significantly higher total LOS ($p<0.0001$), pre-tracheostomy hospital LOS ($p=0.023$), ICU LOS ($p<0.0001$), post-tracheostomy ICU LOS ($p<0.0001$) than the AO group.

Complications

Complications were divided into early, medium and late-term (Table IV). Fourteen (19.4%) patients had early-term complications while 12 (16.7%) and 21 (29.2%) had medium and late-term complications respectively. 7 infants had multiple complications. The overall complication rate was 52.8%. The most common early, medium and late term complications were lung collapse (9.7%), tube obstruction (6.9%) and suprastomal collapse (15.3%) respectively.

There was a significant decline in early-term complications across the two epochs (12 v 2, $p=0.016$), particularly in early-term tube obstruction (6 vs. 0, $p=0.033$). There were no significant findings with total, medium and late-term complications.

Decannulation

The decannulation status of 71 patients were available (Table V). 43 (60.6%) patients were decannulated across both time periods, among which 26 (66.7%) were from the first epoch and 17 (53.1%) from the second epoch. There was no significant change in decannulation rates between the two time periods ($p=0.330$). While not significant, the number of patients living with a tracheostomy tended to be higher in epoch 2 in comparison with epoch 1 (6 vs. 11, $p=0.093$).

The median age of decannulation was 29 (15–43) months and the median time until decannulation was 24 (11–39) months. There was no significant change in the age at the time of decannulation ($p=0.639$) and time until decannulation ($p=0.549$) between epoch 1 and 2.

Post-decannulation, 29.2% ($n=21$) of patients had a tracheocutaneous fistula requiring intervention. Among these, 11 (27.5%) were from epoch 1, and 10 (31.3%) were from epoch 2. One (1.4%) patient suffered from a pneumothorax following decannulation. Complications that occurred post-operatively did not significantly differ over time.

Mortality

A total of 12 (16.7%) patients died during this study period (Table VI). Among these patients, 11 died with a tracheostomy in situ. The remaining one patient died 8.5

years following decannulation due to an undetermined cause. Nine deaths were due to disease progression or complications and there were no deaths directly related to the tracheostomy. The causes of death for three patients were unable to be identified. Controlling for indication, there was no significant difference in mortality rates between the two epochs (20% vs. 12.5%, $p=0.326$, OR [95%CI] = 1.97[0.51–7.61]). There was also no significant difference in the median age of death between the two epochs (21 vs. 16.5 months, $p=0.683$).

Discussion

To our knowledge, this study is the first to characterise the changing profile of indications leading to tracheostomy and describe outcomes of tracheostomy practice in an Australian infant population.

Our study found a significant decrease in neonates who needed a tracheostomy inserted. The decline may be attributed to the advancements in neonatal resuscitation strategies with a shift in first-line airway management towards NIV and limiting use of invasive measures, such as a tracheostomy^{15, 16}. As a result, a tracheostomy is considered following failure of NIV to support the neonate's ventilation requirements, meaning that these infants are receiving a tracheostomy later in their treatment course.

Most patients (93.1%) had other pre-existing comorbidities, with the most common being developmental delays (54.2%) followed by GIT-related conditions (45.8%). This was comparable to a study of 165 tracheostomised infants, where the most common comorbidities were also developmental (64.2%) and GIT-related (46.3%)²⁶.

DeMauro et al. found that infants with a tracheostomy have a higher incidence of all in-hospital morbidities than those without²⁷. These high comorbidities reflect the medically complex nature of infants requiring tracheostomy from a young age across multiple centres around the world.

Our findings showed AO as the most common indication for tracheostomy insertion, however this has notably decreased in the more recent epoch. Conversely, there was an upward trend in LTV as the primary indication. This shift is likely owing to changes in medical practice. Most importantly, the evolution of neonatal resuscitation techniques has led to the greater survival of premature infants requiring long-term airway management due to chronic lung disease^{28, 29}. For these infants, a tracheostomy is only indicated when non-invasive ventilation is insufficient, or an extended duration of mechanical ventilation is required. Successful use of NIV reduces the need for intubation and its associated risks^{15, 16} with a subsequent drop in acquired subglottic stenosis requiring bypass with a tracheostomy. Furthermore, in our centre, the use of nasopharyngeal airways (NPA) was adopted from 2009 (correlating with epoch 2) as a less invasive alternative to tracheostomy for upper AO. A NPA involves the placement of a modified endotracheal tube into the nasal passage and has been successfully used to avoid tracheostomy placement in children with upper AO, particularly craniofacial malformations³⁰.

Our upward trend in LTV is concordant with more recent infant studies that have reported pulmonary disorders as the most common indication leading to tracheostomy insertion^{21, 31-33}. However, there is heterogeneity in the published literature regarding indications for tracheostomy in infants^{19, 20, 34-37}. This may be

attributed to differences across institutions and countries, namely the availability of medical care, ventilator access, local infrastructure and socioeconomic disparities.

The changing profile for tracheostomy indications has been reflected in paediatric papers comparing indications within the same institution over time³⁸⁻⁴¹. Gergin et al. reported a substantial increase in cardiopulmonary disease leading to tracheostomy across three decades¹⁴. Our downward trend in upper AO corroborates with Sachdev et al., who analysed indications across an 18-year timeframe⁴². However, the inclusion of children greater than 1 year of age in these studies reduces the validity of comparison to the infant population.

Our median total LOS was 97 days, and the total ICU LOS was 32.5 days. These findings are comparable to Dursun et al., who observed a median LOS of 95 (11–327) days and ICU median LOS of 30 (1–115) days based on 30 infants (47% <1 year of age)⁴³. However, the literature findings are diverse. A prospective multicentre North American study published significantly longer median hospital LOS of 226 (168–304) days while a Singaporean study of 105 paediatric patients (61% <1 year) conversely recorded a median LOS of 75 (39–138) days⁴⁴. This significant variation in LOS may reflect different complex patient populations and incongruent paradigms of care between healthcare systems across different countries.

Despite changes in practice, there was no change in total hospital LOS over the two decades studied. Our study found LTV had significantly higher total LOS due to the higher degree of medical complexity in these patients compared to other indications. These findings are similar to a Canadian population of infants requiring respiratory support which documented considerably higher LOS with a median of 403 (77–1082) days and median ICU stay of 172 (0–659) days³⁶.

The overall complication rate was 55.6% which was consistent with other infant tracheostomy studies, ranging from 18 to 81%^{27, 32, 44, 45}. The large variation may be attributed to differing interpretations of complications. Like our study, many authors consider granulation tissue as a natural sequela of the surgical procedure as it is often asymptomatic^{46, 47}. However, some studies have classified all granulation tissue as a complication^{32, 37} while others only included it when intervention for airway compromise was required^{44, 48}. The latter studies stated a higher rate of complications, demonstrating how different complications classifications contribute to variations.

The most feared early complications include emergency situations such as occlusion of the tracheostomy tube, accidental decannulation and lung collapse due to the high morbidity⁴². Our study reported a notable decrease in early term complications, particularly tube obstruction, which may correspond to improvements in intensive care management and small changes in surgical technique such as the use of maturation sutures.

In our cohort, decannulation rates have remained stable with an overall rate of 60.6%. This lies on the higher end of reported rates in literature, which varies from 17% to 69.3% in infants^{17, 20, 21, 33, 36, 43, 44}. The variability can be attributed to inconsistencies in follow-up periods, with our findings correlating with other studies that did not adjust their time periods^{17, 20, 33, 44}. Lower rates of decannulation were often observed in studies with defined follow-up periods^{36, 43}.

As decannulation readiness is usually assessed based on the resolution or improvement of the tracheostomy indication, decannulation rates may be largely associated with the medical complexity of the individual patient⁴⁴ rather than

changes over time. Other possible confounding factors hindering decannulation success include feeding dysfunction, presence of comorbidities, caregiver readiness, resource availabilities and the timing of the procedure^{49, 50}.

Our time to decannulation (mean \pm standard deviation = 28.4 \pm 20.8 months) was comparable with findings by Salley et al. (2.66 \pm 2.07 years)³³ and Akangire et al. (33.88 \pm 19.3 months)³⁵. Institution-specific decannulation protocols add another contributing variable to the timing of decannulation.

The mortality rate in our study was 16.6% across both time periods. This lies within the published range of infant tracheostomy studies, which cite a mortality rate between 3.6% to 44%^{18, 21, 31, 37, 44}. It is also comparable to paediatric tracheostomy mortality rates, which vary from 12% to 19%^{48, 51-53}. The higher mortality rate in the infant group is a testament to the greater risk and complexity associated with infant tracheostomy in comparison to the overall paediatric population.

There were no tracheostomy-related deaths in our dataset, while the reported tracheostomy-related mortality rates in literature vary from 0% to 14%^{18, 32, 37, 54}.

There was no significant change observed in the mortality rate between the two time periods. Nine patients died from disease-related progression or complications, commonly from cardiac arrest (due to pulmonary hypertensive crisis or of unknown aetiology). This cause of death was consistent with other centres³⁵.

Due to its retrospective design, study outcomes were restricted to the data documented in the pre-existing medical records. Complications and deaths that occurred in other centres or in the community were not available unless patients

were managed in hospital or self-reported these incidents in clinic visits, resulting in potential underreporting.

The study was limited by its small sample size obtained from a single paediatric tertiary institution. Tracheostomy indications could only be considered as a covariate for binary outcomes (i.e. mortality), but not other outcomes. This ultimately reduces the statistical power and generalisability of the results. However, our sample size was comparable with infant numbers in other single-centre tracheostomy studies^{17, 38, 40, 44, 47}, merely reflecting the incidence of the procedure around the globe.

Conclusion

This study demonstrated a significant increase in LTV and decrease in AO as the primary indications leading to tracheostomy in an Australian infant population over time. Based on the findings of this study, knowledge of indications and outcomes will facilitate a more informed approach to clinical decision making as well as improve guidance and counselling of families on what to expect following a tracheostomy. This study ultimately provides an opportunity for improvement of patient care and a reduction of morbidity and mortality among a higher risk population.

Summary Sheet

- Advancements in neonatal resuscitation have changed tracheostomy indications
- Infant tracheostomy patients are a vulnerable yet under-reported population group
- Published literature on indications and outcomes of infant tracheostomy is heterogeneous across different health systems and countries
- There is an increasing population of infants requiring tracheostomy due to long-term ventilation over time. Complications, decannulation and mortality rates have remained stable in infants requiring a tracheostomy
- Australian tracheostomy outcomes in infants are comparable to other international centres

Funding

This research received no specific grant from any funding agency, commercial or not-for-profit sectors.

Declaration of Competing Interest

None.

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Tables

TABLE I – Patient Demographics and Comorbidities

	Epoch 1	Epoch 2	Total	OR (95% CI)	<i>p</i> value
Number of patients (n)	40 (55.6)	32 (44.4)	72 (100.0)	N/A*	N/A*
Age at time of tracheostomy (d)	74 (17.5 – 193.5)	94.5 (38.5 – 203)	77.5 (28.5 – 196.3)	N/A*	0.133
Neonates**	15 (35.7)	3 (9.4)	18 (24.3)	5.37 (1.40 – 20.63)	0.013
Male sex	26 (63.4)	19 (59.4)	45 (61.6)	0.84 (0.33 – 2.18)	0.810
Aboriginal/Torres Strait Islander	2 (5.0)	2 (6.3)	4 (5.6)	0.79 (0.11 – 5.94)	1.000
Birth weight (g)	2700 (2135 – 3485)	2250 (1160 – 2960)	2520 (1850 – 3274)	N/A*	0.092
Gestational age (w)	37 (32 – 39)	36 (33.8 – 39)	37 (33.5 – 39)	N/A*	0.766
Comorbidities	35 (87.5)	32 (100)	67 (93.1)	0.88 (0.78 – 0.98)	0.061
<i>Developmental</i>	20 (50.0)	19 (59.4)	39 (54.2)	1.46 (0.57 – 3.74)	0.481

<i>Gastrointestinal</i>	19 (47.5)	14 (43.8)	33 (45.8)	0.86 (0.34 – 2.19)	0.814
<i>Neurological</i>	14 (35.0)	15 (46.9)	29 (40.3)	1.64 (0.63 – 4.24)	0.342
<i>Musculoskeletal</i>	15 (37.5)	12 (37.5)	27 (37.5)	1.00 (0.38 – 2.61)	1.000
<i>Respiratory</i>	14 (35.0)	12 (37.5)	26 (36.1)	1.11 (0.42 – 2.93)	1.000
<i>Cardiac</i>	14 (35.0)	12 (37.5)	26 (36.1)	1.11 (0.42 – 2.93)	1.000
<i>Otolaryngology</i>	12 (32.5)	8 (25.0)	21 (29.2)	0.69 (0.25 – 1.96)	0.604
<i>Ocular</i>	9 (22.5)	11 (34.3)	20 (27.8)	1.80 (0.64 – 5.11)	0.299
<i>Renal</i>	4 (10)	4 (12.5)	8 (11.1)	1.29 (0.30 – 5.60)	1.000
<i>Other</i>	7 (17.5)	11 (34.3)	18 (25.0)	2.47 (0.83 – 7.38)	0.111

Abbreviations: CI = confidence interval; d = days; g = grams; IQR = interquartile range; n = number of patients; N/A = not available; OR = odds ratio; w = weeks

** Analysis not applicable or unable to be obtained due to cell numbers*

*** Neonates were defined as patients who had a tracheostomy inserted at ≤ 28 days*

For incomplete data, proportions were calculated as percentages/means of the available data, rather the entire cohort

Data is expressed as median (IQR) or number (%) unless otherwise specified

Table II – Indications for Tracheostomy

Primary Indication and Diagnosis	Epoch 1 (n = 40)	Epoch 2 (n = 32)	Total (n = 72)	OR (95% CI)	p value
Airway obstruction	32 (80.0)	16 (50.0)	48 (66.7)	0.31 (0.12 – 0.84)	0.027
<i>Craniofacial malformations^a</i>	12 (30.0)	3 (9.4)	15 (20.8)	0.24 (0.06 – 0.95)	0.042
<i>Vocal cord paralysis</i>	3 (7.5)	5 (15.6)	8 (11.1)	2.28 (0.50 – 10.39)	0.453
<i>Subglottic stenosis</i>	6 (15.0)	1 (3.1)	7 (9.7)	0.18 (0.02 – 1.61)	0.123
<i>Syndrome resulting in airway obstruction^b</i>	3 (7.5)	3 (9.4)	6 (8.3)	1.28 (0.24 – 6.80)	1.000
<i>Laryngomalacia</i>	5 (12.5)	0 (0.0)	5 (6.9)	N/A*	0.061
<i>Tracheomalacia</i>	4 (10.0)	1 (3.1)	5 (6.9)	0.29 (0.03 – 2.74)	0.373
<i>Neoplasm resulting in airway obstruction</i>	2 (5.0)	2 (6.3)	4 (5.6)	1.27 (0.17 – 9.53)	1.000
<i>Cystic hygroma</i>	2 (5.0)	1 (3.1)	3 (4.2)	0.61 (0.05 – 7.08)	1.000
<i>Obstructive sleep apnoea</i>	0 (0.0)	1 (3.1)	1 (1.4)	N/A*	0.444
Long-term ventilation	7 (17.5)	13 (40.6)	20 (27.8)	3.42 (1.17 – 10.03)	0.034
<i>Chronic lung disease</i>	1 (2.5)	6 (18.8)	7 (9.7)	9.00 (1.02 – 79.17)	0.040

<i>Chronic diaphragmatic hernia</i>	1 (2.5)	1 (3.1)	2 (2.8)	1.26 (0.08 – 20.93)	1.000
<i>Infections</i>	3 (7.5)	0 (0.0)	3 (4.2)	N/A*	0.249
<i>Neoplasm requiring LTV</i>	0 (0.0)	1 (3.1)	1 (1.4)	N/A*	0.444
<i>Chromosomal abnormality</i>	0 (0.0)	2 (6.3)	2 (2.8)	N/A*	0.194
<i>Trauma</i>	1 (2.5)	0 (0.0)	1 (1.4)	N/A*	1.000
<i>Syndrome requiring LTV</i>	0 (0.0)	1 (3.1)	1 (1.4)	N/A*	0.444
<i>Congenital hypoventilation syndrome</i>	0 (0.0)	1 (3.1)	1 (1.4)	N/A*	0.444
<i>Bulbar dysfunction requiring LTV</i>	0 (0.0)	1 (3.1)	1 (1.4)	N/A*	0.444
<i>Cardiac conditions</i>	1 (2.5)	0 (0.0)	1 (1.4)	N/A*	1.000
<i>Pulmonary toilet</i>	1 (2.5)	3 (9.4)	4 (5.6)	4.24 (0.42 – 42.84)	0.310
<i>Neurological conditions</i>	1 (2.5)	3 (9.4)	4 (5.6)	4.24 (0.42 – 42.84)	0.310

Abbreviations: CI = confidence interval; LTV = long-term ventilation; n = number of patients; N/A = not available; OR = odds ratio

^a *Patients with syndromes involving craniofacial malformations were classified under ‘craniofacial malformations’*

^b *Patients with syndromes not involving craniofacial malformations were classified as ‘syndrome resulting in airway obstruction’ if airway obstruction was indicated*

** Analysis not applicable or unable to be obtained due to cell numbers*

Patients classified under the same primary diagnosis (e.g. neoplasm) may have different indications for tracheostomy.

For patients with multiple diagnosis, the principal diagnosis was recorded for the purposes of the study.

Data expressed as number (%)

Table III – Length of stay

	Epoch 1 (n = 40)	Epoch 2 (n = 32)	Total (n = 72)	Total Range	p value
Total hospital LOS (d) ^a	97 (49.8 – 212)	97 (57.8 – 201)	97 (53 – 205.5)	5 – 822	0.700
AO	67 (44.5 – 110.5)	59.5 (43.5 – 76.8)	63.5 (44.5 – 100.8)	5 – 357	0.341
LTV	247 (221 – 441)	208 (151.5 – 295)	232.5 (172.5 – 308.5)	64 – 822	0.115
PT	123 (123 – 123)	107 (65 –)*	115 (75.5 – 161.3)	65 – 174	1.000
Pre-tracheostomy hospital LOS (d) ^b	10 (1 – 30.75)	21.5 (1.3 – 39)	14 (1 – 34.8)	0 – 201	0.360
AO	5.5 (1 – 17.8)	10 (0.25 – 42.3)	8.5 (1 – 18.8)	0 – 159	0.516
LTV	33 (19 – 97)	23 (4.5 – 46.5)	28.5 (9.75 – 51.8)	0 – 201	0.351
PT	72 (72 – 72)	27 (25 –)*	28.5 (25.5 – 61.5)	25 – 72	0.500
Total ICU LOS (d) ^c	26.5 (13 – 84.5)	36.5 (21.3 – 120.5)	32.5 (16.3 – 105.8)	6 – 605	0.172
AO	18.5 (12 – 49.75)	22 (17 – 33.8)	20 (13 – 39.5)	5 – 310	0.562
LTV	206 (92 – 256)	131 (74 – 233)	144 (94.5 – 245.5)	16 – 605	0.536

<i>PT</i>	41 (41 – 41)	32 (25 –)*	36.5 (26.8 – 47)	25 – 49	1.000
Post-tracheostomy ICU LOS (d) ^d	16.5 (12 – 58.3)	26 (13.5 – 104)	22 (12 – 69)	5 – 572	0.257
<i>AO</i>	12.5 (10.3 – 37)	16 (12.3 – 22.8)	13 (11.3 – 29.8)	5 – 275	0.669
<i>LTV</i>	161 (73 – 247)	118 (48.5 – 183)	121.5 (65 – 193)	8 – 572	0.393
<i>PT</i>	28 (28 – 28)	29 (22 –)*	28.5 (23.5 – 29.8)	22 – 300	1.000

Abbreviations: AO = airway obstruction; d = days; ICU = intensive care unit; IQR = interquartile range; LOS = length of stay; LTV = long-term ventilation; n = number of patients; PT = pulmonary toilet

^a *Total hospital LOS: the total number of days in hospital during the admission at which the tracheostomy was inserted*

^b *Pre-tracheostomy hospital LOS: the number of days from the date of hospital admission until the date at which the tracheostomy was inserted*

^c *Total ICU LOS: the number of days in the ICU during the admission at which the tracheostomy was inserted*

^d *Post-tracheostomy ICU LOS: the number of days spent in the ICU from the date of tracheostomy insertion until ICU discharge*

* *Analysis not applicable or unable to be obtained due to cell numbers*

Data expressed as median (IQR)

Table IV – Complications

	Epoch 1 (n = 40)	Epoch 2 (n = 32)	Total (n = 72)	OR (95% CI)	p value
Total	23 (57.5)	15 (46.9)	38 (52.8)	0.65 (0.26 – 1.66)	0.477
Early-term ^a	12 (30.0)	2 (6.3)	14 (19.4)	6.43 (1.32 – 31.31)	0.016
<i>Lung collapse</i>	5 (12.5)	2 (6.3)	7 (9.7)	0.47 (0.08 – 2.58)	0.451
<i>Tube obstruction</i>	6 (15)	0 (0.0)	6 (8.3)	N/A*	0.033
<i>Bleeding</i>	3 (7.5)	0 (0.0)	3 (4.2)	N/A*	0.074
<i>Infection</i>	2 (5.0)	0 (0.0)	2 (2.8)	N/A*	0.499
<i>Accidental decannulation</i>	1 (2.5)	0 (0.0)	1 (1.4)	N/A*	0.421
<i>Pleural effusion</i>	1 (2.5)	0 (0.0)	1 (1.4)	N/A*	1.000
Medium-term ^b	7 (17.5)	5 (15.6)	12 (16.7)	1.15 (0.33 – 4.02)	1.000
<i>Tube obstruction</i>	4 (10.0)	1 (3.1)	5 (6.9)	0.29 (0.03 – 2.74)	0.373
<i>Infections</i>	1 (2.5)	2 (6.3)	3 (4.2)	2.60 (0.23 – 30.05)	0.581
<i>Bleeding</i>	1 (2.5)	1 (3.1)	2 (2.8)	1.26 (0.08 – 20.93)	1.000
<i>Lung collapse</i>	1 (2.5)	1 (3.1)	2 (2.8)	1.26 (0.08 – 20.93)	1.000

<i>Accidental decannulation</i>	1 (2.5)	0 (0.0)	1 (1.4)	N/A*	1.000
Late-term ^c	12 (30.0)	9 (28.1)	21 (29.2)	1.10 (0.39 – 3.05)	1.000
<i>Suprastomal collapse</i>	8 (20.0)	3 (9.4)	11 (15.3)	0.41 (0.10 – 1.71)	0.325
<i>Accidental decannulation</i>	2 (5.0)	3 (9.4)	5 (6.9)	1.97 (0.31 – 12.54)	0.650
<i>Tube obstruction</i>	4 (10.0)	0 (0.0)	4 (5.6)	N/A*	0.124
<i>Bleeding</i>	1 (2.5)	2 (6.3)	3 (4.2)	2.60 (0.23 – 30.05)	0.581
<i>Infection</i>	0 (0.0)	3 (9.4)	3 (4.2)	N/A*	0.083
<i>Stoma contraction</i>	0 (0.0)	1 (3.1)	1 (1.4)	N/A*	0.444

Abbreviations: CI = confidence interval; n = number of patients; N/A = not available; OR = odds ratio

^a Early-term: < 7 days from date of tracheostomy insertion; ^b Medium-term: 7 days to 3 months from date of tracheostomy insertion; ^c Long-term: 3 months to date of decannulation

* Analysis not applicable or unable to be obtained due to cell numbers

Table V – Decannulation

	Epoch 1 (n = 39)	Epoch 2 (n = 32)	Total (n = 71)	OR (95% CI)	p value
Decannulated	26 (66.7)	17 (53.1)	43 (60.6)	0.57 (0.22 – 1.48)	0.330
Living with tracheostomy	6 (15.4)	11 (34.4)	17 (23.9)	2.88 (0.93 – 8.97)	0.093
Died with tracheostomy	7 (17.9)	4 (36.4)	11 (15.5)	0.65 (0.17 – 2.47)	0.742
Age of decannulation (m) ^a	28 (16 – 47.25)	29 (11 – 37.5)	29 (15 – 43)	N/A*	0.639
Time until decannulation (m) ^b	24 (14.5 – 47)	24 (5.5 – 33.5)	24 (11 – 39)	N/A*	0.549
Complications post-decannulation	11 (27.5)	10 (31.3)	21 (29.2)	1.20 (0.43 – 3.32)	0.728
<i>Transcutaneous fistula</i>	11 (27.5)	10 (31.3)	21 (29.2)	1.20 (0.43 – 3.32)	0.797
<i>Pneumothorax</i>	0 (0.0)	1 (3.1)	1 (1.4)	N/A*	0.444

Abbreviations: CI = confidence interval; m = months; n = number of patients; N/A = not available; OR = odds ratio,

^a Age of decannulation refers to the age of the patient at the time of decannulation

^b Time until decannulation refers to the length of time between when the tracheostomy was inserted and when it was removed

* Analysis not applicable or unable to be obtained due to cell numbers

One patient had both a pneumothorax and transcutaneous fistula post-decannulation

Data is expressed as median (IQR) or number (%) unless otherwise specified

Table VI – Mortality

	Tracheostomy Indication	Comorbidities	Age at Death (m)	Cause of Death
Epoch 1				
<i>Patient 1</i>	AO	Syndrome, developmental, neurological, musculoskeletal, ocular	119	N/A
<i>Patient 2</i>	AO	Syndrome, developmental	60	N/A
<i>Patient 3</i>	AO	Undiagnosed syndrome	0	Syndrome progression
<i>Patient 4</i>	LTV	Respiratory, cardiac	9	Sepsis
<i>Patient 5</i>	AO	Respiratory, cardiac, musculoskeletal, GIT	8	Cardiac arrest of no clear cause
<i>Patient 6</i>	LTV	Respiratory, cardiac, ENT	33	Chest infection
<i>Patient 7</i>	PT	Neurological, ocular, GIT	69	N/A
<i>Patient 8</i>	LTV	Cardiac, GIT, ENT	8	Cardiac arrest due to pulmonary hypertensive crisis
Epoch 2				

<i>Patient 9</i>	LTV	Syndrome, developmental, cardiac, neurological, ocular, ENT	16	Cardiac arrest of no clear cause
<i>Patient 10</i>	LTV	Undiagnosed syndrome, respiratory, renal	8	Respiratory failure
<i>Patient 11</i>	LTV	Respiratory, developmental, neurological, GIT, ENT	17	Mechanical ventilation withdrawn
<i>Patient 12</i>	LTV	Syndrome, respiratory, developmental, cardiac, neurological, musculoskeletal, ocular	17	Palliative care

Abbreviations: AO = airway obstruction; LTV = long-term ventilation; m = months; N/A = not available; PT = pulmonary toilet

Summary Sheet

- Advancements in neonatal resuscitation have changed tracheostomy indications
- Infant tracheostomy patients are a vulnerable yet under-reported population group
- Published literature on indications and outcomes of infant tracheostomy is heterogeneous across different health systems and countries
- There is an increasing population of infants requiring tracheostomy due to long-term ventilation over time. Complications, decannulation and mortality rates have remained stable in infants requiring a tracheostomy
- Australian tracheostomy outcomes in infants are comparable to other international centres