

SEMI-HOMOMORPHISMS OF RINGS

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In this note, following Kaplanasky's study of semi-automorphisms of rings and Herstein's study of semi-homomorphisms of groups, we present a general study of semi-homomorphisms of rings.

0. Introduction

Perhaps the notion of a semi-homomorphism was conceived as a common generalization of both the notion of a homomorphism and an anti-homomorphism. The study was begun, for semi-automorphisms only, by Anchochea [1] and Kaplanasky [4]. Anchochea, studied semi-automorphisms of quaternion algebras and division algebras and proved that if A is a simple algebra of characteristic $\neq 2$, then a semi-automorphism of A is either an automorphism or an anti-automorphism. This was extended by Kaplanasky [4] to simple algebras over any field. Later on Hua [3] proved that a semi-automorphism of any skewfield is either an automorphism or an anti-automorphism. Herstein [2] proceeded later on to study semi-homomorphisms of groups. Here, following Herstein and Kaplanasky, we present the study of semi-homomorphisms of rings in general.

1. Main Results

DEFINITION 1. Let R be a ring and S a subset of R ; We call S a *semi-subring*, if for all $x, y \in S$

Received 18 August 1986. The author is grateful to ICTP, Trieste for a two weeks grant in the summer of 1985 where the idea of this paper was conceived and to Monash University for a visiting grant in 1986, when this paper was completed.

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$$(i) \quad x + y + x \in S .$$

$$(ii) \quad xyx \in S .$$

Every subring is a semi-subring but the converse need not be true.

DEFINITION 2. A mapping $\phi : R \rightarrow S$ between two rings is called a *semi-homomorphism*, if for $a, b \in R$

$$(i) \quad \phi(a + b + a) = \phi(a) + \phi(b) + \phi(a) \quad \text{and}$$

$$(ii) \quad \phi(aba) = \phi(a) \phi(b) \phi(a)$$

hold. Clearly any homomorphism or antihomomorphism is a semi-homomorphism but the converse need not be true; for example the constant function from Z_2 to Z_2 having value 1, is a semi-endomorphism of Z_2 but not an endomorphism.

The set $K = \{x \mid \phi(x) = 0\}$ if it exists is called the kernel of the semi-homomorphism ϕ . One notices that K and $\phi(K)$ are then semi-subrings of R and S respectively.

PROPOSITION 3. If $\phi : R \rightarrow R'$ is a semi-homomorphism of rings, then for $a \in R$

$$\phi(-a) = -\phi(a) .$$

Proof. $\phi(a) = \phi[a + (-a) + a] = \phi(a) + \phi(-a) + \phi(a)$ from which our result follows.

LEMMA 4. A semi-homomorphism $\phi : R \rightarrow R'$ of rings will be a homomorphism of the underlying additive groups if the characteristic of the codomain $R' \neq 2$,

Proof. For $a, b \in R$

$$\begin{aligned} \phi(a + b) &= \phi[(a + b) + [-(a + b)] + a + b] \\ &= \phi[a + b + [-(a + b)] + b + a] . \\ &= \phi(a) + \phi[b + [-(a + b)] + b] + \phi(a) . \\ &= \phi(a) + \phi(b) + \phi[-(a + b)] + \phi(b) + \phi(a) . \\ &= 2\phi(a) + 2\phi(b) - \phi(a + b) \quad \text{by proposition 1.3} \end{aligned}$$

That is $2[\phi(a + b) - \phi(a) - \phi(b)] = 0$.

Thus $\phi(a + b) = \phi(a) + \phi(b)$ since $\text{char } R' \neq 2$.

A consequence of Lemma 4 is the corollary:

COROLLARY 5. For a semi-homomorphism $\phi : R \rightarrow R'$ with $\text{char } R' \neq 2$, we have $\phi(-na) = -n \phi(a)$ for any integer n .

PROPOSITION 6. If $\phi: R \rightarrow R'$ is a semi-homomorphism of rings with identities $1, 1'$ respectively and if $1' \in \phi(R)$, then $[\phi(1)]^2 = 1'$. If further R' is a nontrivial ring without zero divisors, then $\phi(1) = 1'$ or $-1'$ (which are distinct when $\text{char } R' \neq 2$).

Proof. If $1' = \phi(r)$ for $r \in R$ then $\phi(r) = \phi(1) \phi(r) \phi(1)$ so $\phi(r) = [\phi(1)]^2$. Hence $[\phi(1)]^2 = 1'$.

Now $[\phi(1)]^2 - 1' = 0 \Rightarrow [\phi(1) + 1'] [\phi(1) - 1'] = 0$ from which the second part follows.

PROPOSITION 7. If $\phi: F \rightarrow F'$ is a semi-homomorphism of fields, then the kernel of ϕ is either zero or a regular semi-subring, provided $\text{char } F' \neq 2$.

Proof. One notices that if the $\text{char } F' = 2$, the kernel may fail to exist. For the definition of regularity, we refer to [3]. Thus if $K \neq 0$, let $a \neq 0 \in K$, then $a^{-1} = a^{-1} a a^{-1} \in K$. Thus $a = a a^{-1} a$ for $a^{-1} \in K$.

PROPOSITION 8. For fields F, F' and a semi-homomorphism $\phi: F \rightarrow F'$, if $a \neq 0 \in F$, does not belong to the kernel of ϕ , then

$$\phi(a^{-1}) = [\phi(a)]^{-1}.$$

Proof. $\phi(a) = \phi(a a^{-1} a) = \phi(a) \phi(a^{-1}) \phi(a)$

Therefore $\phi(a^{-1}) = [\phi(a)]^{-1}$.

PROPOSITION 9. If $\phi: F \rightarrow F'$ is not a null semi-homomorphism of fields, then

$$\phi(1) \in \text{Centre of } \phi(F).$$

Proof. Since ϕ is not null $1 \notin \text{Ker } \phi$, if $\text{Ker } \phi$ exists. Therefore as $\phi(1) = [\phi(1)]^{-1}$ by proposition 8; that is $[\phi(1)]^2 = 1'$ so

$$\phi(r) = \phi(1) \phi(r) \phi(1),$$

and $\phi(1) \phi(r) = \phi(r) \phi(1)$.

Now we ask when is a semi-homomorphism a homomorphism?

We present a sufficient condition in a special situation.

THEOREM 10. *A semi-monomorphism $\phi : R \rightarrow R'$ of rings will be a monomorphism, if*

- (i) *char $R' \neq 2$,*
- (ii) *$\phi(R)$ is a skew subfield of R' and*
- (iii) *$\phi(2y + yz) - 2\phi(y) = \phi(yz) [\phi(y)]^{-1}$.*

Proof. (i) By Lemma 4, (i) guarantees ϕ to be a homomorphism for the underlying additive groups. If either of $y, z = 0$, then $\phi(y)$ and $\phi(z) = 0$, or $\phi(z) = 0$ and $\phi(yz) = 0$, so in either case $\phi(yz) = \phi(y)(z)$.

When both $y, z \neq 0$, then $\phi(y)$ and $\phi(z)$ both $\neq 0$ so

$$\phi(yzy) = \phi(y)\phi(z)\phi(y)$$

implies
$$\phi(y)\phi(z) = [\phi(yzy)] [\phi(y)]^{-1}.$$

Also
$$\phi(2y + yz) = \phi(y + yz + y) = \phi(y) + \phi(yz) + \phi(y)$$

that is
$$\phi(2y + yz) - 2\phi(y) = \phi(yz).$$

Hence by (iii),
$$\phi(yz) = \phi(y)\phi(z).$$

One notices that (iii) in Theorem 10 can be equivalently replaced by

$$(iii)' \quad \phi(zy) = [\phi(y)]^{-1} \phi(yzy).$$

The open problem here is to find the necessary and sufficient condition for a semi-homomorphism to be a homomorphism.

THEOREM 11. *For commutative ring R and R' with identities, if $\phi : R \rightarrow R'$ is an identity-preserving semi-homomorphism, and char $R' \neq 2$, then ϕ is a homomorphism or an antihomomorphism.*

Proof. We know by Lemma 4, ϕ is an additive homomorphism.

Now
$$\phi[(x + y).1(x + y)] = \phi(x + y).1'.\phi(x + y)$$

that is
$$\phi[x.x + x.y + y.x + y.y] = \phi(x)\phi(x) + \phi(x)\phi(y) + \phi(y)\phi(x) + \phi(y.y).$$

Then using $\phi(x.1.x) = \phi(x).1'.\phi(x)$ and since ϕ is additive we have,

$$\phi(xy) + \phi(yx) = \phi(x)\phi(y) + \phi(y)\phi(x)$$

that is
$$2\phi(xy) = 2\phi(x)\phi(y)$$

that is
$$\phi(xy) = \phi(x)\phi(y).$$

A slight weakening of Hua's theorem now reads as:

THEOREM 12. *If $\phi : K \rightarrow K'$ is an identity preserving semi-monomorphism of skew fields, then ϕ is either a monomorphism or an*

anti-monomorphism, provided $\text{char } K' \neq 2$.

Proof. Applying Lemma 4, one proceeds to prove this result exactly as in Hua [3].

Remarks. (A) The set of semi-endomorphisms of an abelian group A form a ring, the *ring of semi-endomorphisms* of the group A which contains the ring of endomorphism as a subring, under the usual pointwise addition and composition of functions. Thus any ring $(R, +, \cdot)$ can be embedded into the ring of semi-endomorphism of $(R, +)$.

(B) The concept of a semi-homomorphism becomes more significant when both the additive and the multiplicative structures are not commutative, for example, near-ring [4]. This case is left for future study.

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