

## **K-GROUPS OF RINGS AND THE HOMOLOGY OF THEIR ELEMENTARY MATRIX GROUPS**

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### **Abstract**

Low dimensional algebraic  $K$ -groups of a commutative ring are described in terms of the homology of its elementary matrix group. This approach is prompted by recent successful computations of low-dimensional  $K$ -groups using group homology methods, and it builds on the identity  $K_2(R) = H_2(ER)$ .

The proofs use Hochschild-Serre spectral sequences supplied with a multiplicative structure derived from direct sum of matrices in the elementary matrix group  $ER = \varinjlim E_n R$ .

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### **0. Introduction**

Group homology techniques have recently proved fruitful in complete calculations of the algebraic  $K$ -groups of commutative rings in dimensions less than five. The link comes from the well-known equalities

$$K_2(R) = H_2(ER), \quad K_3(R) = H_3(StR),$$

where  $K_*(R)$  is  $\pi_*(BGLR^+)$ ,  $ER$  is the elementary matrix subgroup of  $GLR$  and  $StR$  is the Steinberg group [5]. In practice low dimensional (co-)homology of  $E_n R$  or of the full general linear group is first computed (e.g. [3]). This note studies the relationship between  $K_i(R)$  and  $H_i(ER)$  for  $i = 3, 4$  and  $5$ , with a straightforward application of Hochschild-Serre spectral sequences.

Our main results are as follows.

**THEOREM 1.** *Modulo 2-primary torsion,*

(i)  $K_3(R) = H_3(ER)$ ;

(ii) *there is an exact sequence*

$$(1) \quad K_4(R) \xrightarrow{H} H_4(ER) \rightarrow P^2(K_2(R));$$

(iii) *if  $(K_2(R))_p$  is finitely generated for each odd prime  $p$ , there is an exact sequence*

$$(2) \quad K_5(R) \xrightarrow{H} H_5(ER) \rightarrow K_3(R) \otimes K_2(R) \oplus \Lambda^2(K_2(R))_T.$$

Here,  $H: K_i(R) \cong \pi_i(BER^+) \rightarrow H_i(BER^+) \cong H_i(ER)$  is, up to isomorphism, the Hurewicz map. If  $A$  is abelian and  $A_i$  is the subgroup of  $A \otimes A$  generated by  $\{a \otimes b + (-1)^i b \otimes a: a, b \in A\}$ ,  $P^2(A) = A/A_1$  and  $\Lambda^2(A) = A/A_0$ . If  $p$  is a prime,  $A_p$  denotes the  $p$ -component of  $A$ , and  $A_T$  is the odd torsion subgroup of  $A$ .

When 2-primary torsion is included, we have the following estimates.

**THEOREM 2.** (i) *There is an exact sequence*

$$(3) \quad K_2(R)/2K_2(R) \xrightarrow{\iota} K_3(R) \xrightarrow{H} H_3(ER).$$

(ii) *There are exact sequences*

$$(4) \quad \begin{array}{l} K_3(R)/2K_3(R) \rightarrow \text{Ker}(H: K_4(R) \rightarrow H_4(ER)) \rightarrow K \quad \text{and} \\ K_2(R)/2K_2(R) \rightarrow H_4(ER)/H(K_4(R)) \rightarrow P^2(K_2(R)) \end{array}$$

where  $K$  is some quotient of  $\text{Ker}(2: K_2(R) \rightarrow K_2(R))$ .

**EXAMPLE.**  $K_2(\mathbf{Z}) = \mathbf{Z}/2$  and  $K_3(\mathbf{Z}) = \mathbf{Z}/48$  [6]. Since the special linear group  $SL_n \mathbf{Z}$  coincides with  $E_n \mathbf{Z}$ , by sequence (3)  $H_3(SL \mathbf{Z})$  is either  $\mathbf{Z}/24$  or  $\mathbf{Z}/48$ . Soulé derives the dual to the following commutative diagram ([7], [1] page 188):

$$\begin{array}{ccc} \mathbf{Z}/12 \oplus \mathbf{Z}/48 = H_3(St_3 \mathbf{Z}) & \xrightarrow{0 \oplus 2} & H_3(St \mathbf{Z}) \cong K_3(\mathbf{Z}) = \mathbf{Z}/48 \\ \downarrow & & \downarrow \\ \mathbf{Z}/12 \oplus \mathbf{Z}/12 = H_3(SL_3 \mathbf{Z}) & \rightarrow & H_3(SL \mathbf{Z}) \end{array}$$

Hence  $H_3(SL \mathbf{Z})$  must be  $\mathbf{Z}/24$ , so that in (3),  $\iota$  is an injection.

On the other hand, when  $k \geq 2$ ,  $K_2(\mathbf{Z}/2^k) = \mathbf{Z}/2$  [2], yet  $H_3(SL \mathbf{Z}/2^k) \cong K_3(\mathbf{Z}/2^k)$  ([1] page 74 implies the dual to this).

Section 1 sets out the main elements of the proof. Section 2 contains subsidiary arguments.

### 1. Proofs of the theorems

Much of the content of the theorems is in the following proposition, a more general form of which is originally due to J. Whitehead.  $H_*(N, 2)$  denotes the homology of the Eilenberg-Mac Lane space,  $K(N, 2)$ , of type  $(N, 2)$ .

PROPOSITION 1. *There are exact sequences*

$$(5) \quad K_4(R) \xrightarrow{H} H_4(ER) \rightarrow H_4(K_2(R), 2) \rightarrow K_3(R) \xrightarrow{H} H_3(ER),$$

$$(6) \quad K_5(R) \xrightarrow{H} H_5(StR) \rightarrow K_3(R)/2K_3(R) \rightarrow K_4(R) \xrightarrow{H} H_4(StR).$$

PROOF. Sequence (5) is (14.4) in [9] applied to the simply connected space  $BER^+$ , with the identification  $H_i(BER^+) = H_i(ER)$  (or see Table (10)); (6) is a special case of a well-known exact sequence associated to a 2-connected space ([9] page 81).  $H_*(BStR^+)$  is identified with  $H_*(StR)$  and  $\pi_i(BStR^+)$  with  $K_i(R)$ ,  $i \geq 3$ .

Proposition 1 is extended to Theorems 1 and 2 by studying Hochschild-Serre spectral sequences associated to the central extension  $K_2(R) \xrightarrow{\phi} StR \rightarrow ER$ , or more precisely, to the related fibrations

$$(7) \quad BK_2(R) \rightarrow BStR^+ \xrightarrow{\phi^+} BER^+$$

and

$$(8) \quad BStR^+ \xrightarrow{\phi^+} BER^+ \xrightarrow{\psi} K(K_2(R), 2).$$

Henceforth, abbreviate  $K_2(R)$  as  $N$ , and for any group  $G$  identify  $H_*(BG^+)$  with  $H_*(G)$ , and  $\phi_*^+$  with  $\phi_*$ .

Low dimensional  $E_{**}^2$  terms in the spectral sequence

$$(9) \quad H_*(K(N, 2); H_*(StR)) \Rightarrow H_*(ER)$$

are depicted below. The computations of  $H_i(N, 2)$  are in [4] Sections 21 and 22. ((5) can be read from this table, after appropriate identifications and use of the Hurewicz epimorphism  $K_4(R) \rightarrow H_4(StR)$ .)

$\Gamma(N) = H_4(N, 2)$  is Whitehead's gamma group. The product  $\Delta: N \otimes N = H_2(N, 2) \otimes H_2(N, 2) \rightarrow \Gamma(N)$  has cokernel  $N/2N$  ([4] Section 18). Its image is  $P^2(N)$  ([9] Sections 5 and 6 covers the finitely generated case; for the general case use the fact that the functors  $\Gamma$ ,  $P^2$  and  $\otimes \mathbb{Z}/2$  all commute with direct limits).  $H_5(N, 2)$  is a torsion group; if  $(N = H_2(N, 2))_p$  is finitely generated for any odd prime  $p$ , then the isomorphism  $\text{Tor}(N, N)_p \cong N_p \otimes N_p$  induces an isomorphism  $(H_5(N, 2))_p \cong \Lambda^2(N_p)$  ([4] 22.1).

	5	$H_5$				
	4	$H_4$	0			
	3	$H_3$	0	$N \otimes H_3$		
$H_*(StR)$	2	0	0	0	0	
	1	0	0	0	0	
	0	$Z$	0	$N$	0	$\Gamma(N)$
		0	1	2	3	4
						$H_*(N, 2)$

The remainder of this section draws on Table (10), Proposition 1, and the following three propositions (the proofs of which constitute Section 2) to derive the theorems.

**PROPOSITION 2.**  $H_4(N, 2)/\psi_*H_4(ER)$  is a quotient of  $N/2N$ .

**PROPOSITION 3.**  $H_5(ER)$  has a summand  $H_3(ER) \otimes H_2(ER)$  such that  $H_5(ER)/\phi_*H_5(StR) \cong (H_3(ER) \otimes H_2(ER)) \oplus \psi_*H_5(ER)$ .

**PROPOSITION 4.**  $H_5(N, 2)/\psi_*H_5(ER)$  is a quotient of  $(\varinjlim_q H_{3+q}(n, q) \cong \text{Ker}(N \xrightarrow{2} N))$ , the third integral homology group of the Eilenberg-Mac Lane spectrum  $K(N)$ .

For  $i \geq 3$  there is a commutative diagram

$$\begin{array}{ccccc}
 \pi_i(BStR^+) & \xrightarrow{\sim} & \pi_i(BER^+) & \cong & K_i(R) \\
 \downarrow H & & \downarrow H & & \\
 H_i(StR) \cong H_i(BStR^+) & \xrightarrow{\phi_*} & H_i(BER^+) & \cong & H_i(ER).
 \end{array}$$

When  $i = 3$ , the left vertical map is the Hurewicz isomorphism. When  $i = 4$ , (6) implies that it is an isomorphism off 2-primary torsion. Hence in these cases  $\phi_*$  can be identified with the Hurewicz map  $K_i(R) \rightarrow H_i(ER)$ .

From Table (10) read the exact sequence

$$H_4(N, 2) / \psi_* H_4(ER) \twoheadrightarrow H_3(StR) \xrightarrow{\phi_*} H_3(ER).$$

Identify  $\text{Ker } \phi_*$  using Proposition 2, and  $\phi_*$ , as above, to get part (i) of Theorems 1 and 2.

Table (10) and Proposition 3 together yield the exact sequence:

$$(12) \quad H_5(StR) \xrightarrow{\phi_*} H_5(ER) / H_2(ER) \otimes H_3(ER) \xrightarrow{\psi_*} H_5(N, 2) \rightarrow H_4(StR) \\ \rightarrow H_4(ER) \rightarrow \text{Im } \psi_*.$$

Off 2-primary torsion,  $\psi_* H_4(ER) = H_4(N, 2) \cong P^2(N)$ , and  $\psi_* H_5(ER) = H_5(N, 2)$  (Propositions 2 and 4). Sequence (1) is the right portion of (12) after  $\phi_*$  is identified with the Hurewicz homomorphism. Observe from (6) that  $H: K_5(R) \rightarrow H_5(StR)$  is a surjection off 2-primary torsion. Therefore (2) is the left portion of (12) in the case that  $H_5(N, 2)$  is an exterior algebra. This completes the proof of Theorem 1.

The first sequence in Theorem 2 (ii) is the join of the epimorphism  $K_3(R) \otimes \mathbb{Z}/2 \rightarrow \text{Ker}(H: K_4(R) \rightarrow H_4(StR))$  of sequence (6), and the epimorphism  $\text{Ker}(K_2(R) \xrightarrow{2} K_2(R)) \rightarrow \text{Ker}(\phi_*: H_4(StR) \rightarrow H_4(ER))$  of Proposition 4. Since  $H: K_4(R) \rightarrow H_4(StR)$  is onto,  $\phi_* H_4(StR) \cong H(K_4(R))$ ; use (12) to conclude that  $H_4(ER) / H(K_4(R)) \cong \text{Im } \psi_*$ . The second of the sequences (4) is thus equivalent to Proposition 2.

### 2. Proofs of Propositions 2, 3 and 4

Wagoner [8] defines a direct sum to be a group for which  $[G, G]$  is perfect, and which has an operation  $\oplus: G \times G \rightarrow G$  such that for any finite sets  $\{g_1, \dots, g_n\} \subset G$  and  $\{h_1, \dots, h_n\} \subset [G, G]$ , and for any  $g_0 \in G$ , there exist  $\bar{g}, g \in G$  and  $h \in [G, G]$  with  $g(1 \oplus g_i)g^{-1} = \bar{g}(g_i \oplus 1)\bar{g}^{-1} = g_i$  and  $g_0 h_i g_0^{-1} = h h_i h^{-1}$ ,  $1 \leq i \leq n$ .  $StR$  and  $ER$  are examples of direct sum groups under the “interleaving” operation defined on the generators of  $StR$  to be  $x_{ij} \oplus x_{mn} = x_{2i, 2j} x_{2m+1, 2n+1}$ . He claims that if  $f: G \rightarrow H$  is a homomorphism of direct sum groups which respects the sum, then  $f_*: BG^+ \rightarrow BH^+$  is an  $H$ -map between  $H$ -spaces with operation induced by the direct sum. Thus the fibrations (7) and (8) can be considered as fibrations of  $H$ -spaces. The associated spectral sequences with coefficients in a ring are therefore bigraded differential algebras. Finally, Loday ([5] 1.4.1) shows that the inclusion  $K_2(R) \rightarrow StR$  is a homomorphism of direct

sum groups. That is, the direct sum operation on the kernel of  $\phi: StR \rightarrow ER$  coincides with the abelian group sum. So the ring structure on  $H_*(N, i)$  induced by the direct sum is the usual one for Eilenberg-Mac Lane spaces.

With multiplicative structure, the spectral sequences are easily manipulated to prove the Propositions 2, 3 and 4. Note that each of these holds for 2-primary torsion also.

**PROOF OF PROPOSITION 2.** First consider the spectral sequence (9), low dimensional  $E_{**}^2$  terms of which are depicted in (10). Because there is an epimorphism  $\psi_*: H_2(ER) \rightarrow H_2(N, 2)$ , compatible with the products,  $\psi_*|H_4(ER)$  maps onto  $\text{Im}(H_2(N, 2) \otimes H_2(N, 2))$  in  $H_4(N, 2)$ . This product is, up to isomorphism, the product map  $\Delta: N \otimes N \rightarrow \Gamma(N)$  which has cokernel  $N/2N$ . Hence  $H_4(N, 2)/\psi_*H_4(ER)$  is a quotient of  $N/2N$ .  $\square$

**PROOF OF PROPOSITION 3.** Consider the spectral sequence  $H_*(ER; H_*(N)) \Rightarrow H_*(StR)$ . The K nneth formula yields a split injection  $N \otimes H_3(ER) \rightarrow H_3(ER; N)$ . The composite  $H_2(ER) \otimes H_2(ER) \rightarrow H_5(ER) \xrightarrow{d_{5,0}^2} H_3(ER; N)$  has image  $N \otimes H_3(ER)$  and therefore may be used to split  $H_2(ER) \otimes H_3(ER)$  from  $H_5(ER)$  or indeed from  $\text{coker}(\phi_*: H_5(StR) \rightarrow H_5(ER))$ .

Return now to the spectral sequence (9). From Table (10), there is an exact sequence  $H_4(N, 2) \xrightarrow{d_{4,0}^4} H_3(StR) \rightarrow H_3(ER)$ , and hence an exact sequence.

$$H_2(N, 2) \otimes H_4(N, 2) \xrightarrow{1 \otimes d^4} H_2(N, 2) \otimes H_3(StR) \rightarrow H_2(N, 2) \otimes H_3(ER).$$

Because of the multiplicative structure,  $d_{6,0}^4|H_2(N, 2) \otimes H_4(N, 2)$  is  $1 \otimes d_{4,0}^4$ , so that  $E_{2,3}^\infty$  is a quotient of  $H_2(N, 2) \otimes H_3(ER)$ . However, the product  $X = H_2(ER) \otimes H_3(ER)$  is represented in  $E_{2,0}^\infty \otimes E_{0,3}^\infty = E_{2,3}^\infty$  or terms of lower filtration degree. These are  $E_{1,4}^\infty = 0$ , and  $E_{0,5}^\infty = \phi_*H_5(StR)$ . By the previous paragraph  $X \cap \text{Im } \phi_* = 0$ . Thus  $X$  is represented in  $E_{2,3}^\infty$ , and  $E_{2,3}^\infty \cong N \otimes H_3(ER)$ . An inspection of (10) then shows that  $H_5(ER)/(\phi_*H_5(StR) \oplus E_{2,3}^\infty) = E_{5,0}^\infty \cong \text{Im } \psi_*$ .

**PROOF OF PROPOSITION 4.** In the commutative diagram below the maps  $\chi$  are the well-known natural isomorphisms (e.g. [4], Section 12), and the maps  $\rho$  are induced by the homology product. The fact that  $H_3(N, 2) = 0$  has been used to simplify the bottom row. The left vertical isomorphism is obvious.

(13)

$$\begin{array}{ccc} \text{Tor}(H_2(ER), H_2(ER)) & \xrightarrow{\chi} \frac{H_5(ER \oplus ER)}{\sum_{i=0,1} H_{2+i}(ER) \otimes H_{3-i}(ER)} & \xrightarrow{\rho} \frac{H_5(ER)}{\sum_{0,1} H_{2+i}(ER) \otimes H_{3-i}(ER)} \\ \cong \downarrow \psi_* & \downarrow \psi_* & \downarrow \psi_* \\ \text{Tor}(H_2(N, 2), H_2(N, 2)) & \xrightarrow{\chi} H_5(K(N, 2) \times K(N, 2)) & \xrightarrow{\rho} H_5(N, 2) \end{array}$$

By [4] 22.1 and 22.2,  $H_5(N, 2)/\text{Im } \rho$  is isomorphic to  $\text{Coker}(\Delta: {}_2N \otimes {}_2N \rightarrow \Gamma({}_2N)) \cong {}_2N$ , where  ${}_2N = \text{Ker}(N \xrightarrow{2} N)$ . (Moreover, [4] 22.1 and 28.1 imply that this is the surjective image of  $H_5(N, 2)$  in  $\varinjlim_q H_{3+q}(N, q)$ .) From the diagram (13), we see that  $H_5(N, 2)/\psi_* H_5(ER)$  must be a quotient of  ${}_2N$ .

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