

## VALUATIONS OF NEAR POLYGONS

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**Abstract.** We introduce the notion of valuation of a dense near polygon. The valuations of a dense near polygon  $F$  describe the possible relations between a point of a dense near polygon  $\mathcal{S}$  and any geodetically closed sub near polygon of  $\mathcal{S}$  isomorphic to  $F$ . Several nice properties of valuations are given and several classes of these objects are defined. Valuations are an important tool for classifying dense near polygons.

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**1. Introduction.** A simple undirected connected graph  $\Gamma$  without loops is called a *near  $2d$ -gon* ([9]) if it has diameter  $d$  and if for every vertex  $x$  and every maximal clique  $M$ , there exists a unique vertex  $x'$  in  $M$  nearest to  $x$ . If  $\Gamma$  is a near polygon, then the point-line incidence structure  $\mathcal{S}$  with points the vertices of  $\Gamma$ , with lines the maximal cliques of  $\Gamma$  and with natural incidence is a partial linear space; that is, every two points of  $\mathcal{S}$  are incident with at most one line. The partial linear space  $\mathcal{S}$  is also called a near polygon. The graph  $\Gamma$  can easily be retrieved from  $\mathcal{S}$ : it is the point graph or collinearity graph of  $\mathcal{S}$ . In the sequel we shall always adopt the geometrical point of view and interpret distances  $d(\cdot, \cdot)$  in  $\mathcal{S}$  as if they were measured in  $\Gamma$ . From the geometrical point of view a near 0-gon is a point and a near 2-gon is a line.

If  $X_1$  and  $X_2$  are two sets of points, then  $d(X_1, X_2)$  denotes the minimal distance between a point of  $X_1$  and a point of  $X_2$ . If  $X_1 = \{x\}$ , then we also write  $d(x, X_2)$  instead of  $d(\{x\}, X_2)$ . For every  $i \in \mathbb{N}$ ,  $\Gamma_i(X_1)$  denotes the set of all points  $y$  for which  $d(y, X_1) = i$ . If  $X_1 = \{x\}$ , we also write  $\Gamma_i(x)$  instead of  $\Gamma_i(\{x\})$ .

A near  $2d$ -gon,  $d \geq 2$ , is called a *generalized  $2d$ -gon* ([11]) if  $|\Gamma_{i-1}(x) \cap \Gamma_1(y)| = 1$  for every  $i \in \{1, \dots, d-1\}$  and every two points  $x$  and  $y$  at distance  $i$  from each other. A generalized  $2d$ -gon is called *degenerate* if it does not contain ordinary  $2d$ -gons as subgeometries, or equivalently, if it contains a point which has distance at most  $d-1$  from any other point. The near quadrangles are precisely the generalized quadrangles (GQ's, [7]). A degenerate generalized quadrangle consists of a number of lines through a point.

A nonempty set  $X$  of points in  $\mathcal{S}$  is called a *subspace* if every line meeting  $X$  in at least two points is completely contained in  $X$ . A subspace  $X$  is called *geodetically closed* if every point on a shortest path between two points of  $X$  is also contained in  $X$ . Given a subspace  $X$ , we can define a subgeometry  $\mathcal{S}_X$  of  $\mathcal{S}$  by considering only those points and lines of  $\mathcal{S}$  that are completely contained in  $X$ . If  $X$  is geodetically closed, then  $\mathcal{S}_X$  clearly is a sub near polygon of  $\mathcal{S}$ . If  $\mathcal{S}_X$  is a nondegenerate generalized quadrangle, then  $X$  and often also  $\mathcal{S}_X$  will be called a *quad*. If  $X_1, \dots, X_k$  are nonempty sets of points, then  $\mathcal{C}(X_1, \dots, X_k)$  denotes the minimal geodetically closed sub near polygon through

$X_1 \cup \dots \cup X_k$ ; that is the intersection of all geodetically closed sub near polygons through  $X_1 \cup \dots \cup X_k$ . If  $x$  and  $y$  are two different points of  $\mathcal{S}$ , then  $\mathcal{C}(\{x, y\})$  is also denoted by  $\mathcal{C}(x, y)$ .

A near polygon is said to have *order*  $(s, t)$  if every line is incident with exactly  $s + 1$  points and if every point is incident with exactly  $t + 1$  lines. A near  $2d$ -gon,  $d \geq 2$ , is called *regular* if it has an order  $(s, t)$  and if there exist constants  $t_i, i \in \{0, \dots, d\}$ , such that for any two points  $x$  and  $y$  at distance  $i$  there are precisely  $t_i + 1$  neighbours of  $y$  at distance  $i - 1$  from  $x$ . Then  $t_0 = -1, t_1 = 0$  and  $t_d = t$ .

A near polygon is called *dense* if every line is incident with at least three points and if every two points at distance 2 have at least two common neighbours. Dense near polygons satisfy several nice properties; see [2] for an overview. We mention some properties that are needed later.

PROPOSITION 1.1. (i) (Lemma 19 of [2]). *Every point of a dense near polygon  $\mathcal{S}$  is incident with the same number of lines.*

(ii) (Theorem 4 of [2]). *If  $x$  and  $y$  are two points of a dense near polygon, then  $\mathcal{C}(x, y)$  is the unique geodetically closed sub near  $[2 \cdot d(x, y)]$ -gon through  $x$  and  $y$ . Hence, if  $x$  and  $y$  are two points at distance 2 in a dense near polygon, then these points are contained in a unique quad.*

(iii) ([2]) *Let  $\mathcal{S}$  be a dense near  $2d$ -gon,  $d \geq 1$ , let  $F$  be a geodetically closed sub near  $2i$ -gon,  $i \in \{0, \dots, d - 1\}$ , of  $\mathcal{S}$ .*

- *If  $L$  is a line which intersects  $F$  in a point, then  $\mathcal{C}(F, L)$  is a geodetically closed sub near  $2(i + 1)$ -gon.*

- *If  $x$  is a point at distance 1 from  $F$ , then  $x$  is collinear with a unique point  $x'$  of  $F$  and  $d(x, y) = 1 + d(x', y)$  for every point  $y$  of  $F$ .*

(iv) (Corollary, [2, p. 156]) *If  $x$  is a point of a dense near  $2d$ -gon, then the subgraph of  $\Gamma$  induced by  $\Gamma_d(x)$  is connected.*

Let  $\mathcal{S}_1 = (\mathcal{P}_1, \mathcal{L}_1, \mathcal{I}_1)$  and  $\mathcal{S}_2 = (\mathcal{P}_2, \mathcal{L}_2, \mathcal{I}_2)$  be two near polygons. A new near polygon  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathcal{I})$  can be derived from  $\mathcal{S}_1$  and  $\mathcal{S}_2$ :

- (1)  $\mathcal{P} = \mathcal{P}_1 \times \mathcal{P}_2$ ;
- (2)  $\mathcal{L} = (\mathcal{P}_1 \times \mathcal{L}_2) \cup (\mathcal{L}_1 \times \mathcal{P}_2)$ ;
- (3) the point  $(x, y)$  of  $\mathcal{S}_1 \times \mathcal{S}_2$  is incident with the line  $(z, L) \in \mathcal{P}_1 \times \mathcal{L}_2$  if and only if  $x = z$  and  $y \mathcal{I}_2 L$ , the point  $(x, y)$  of  $\mathcal{S}_1 \times \mathcal{S}_2$  is incident with the line  $(M, u) \in \mathcal{L}_1 \times \mathcal{P}_2$  if and only if  $x \mathcal{I}_1 M$  and  $y = u$ .

The near polygon  $\mathcal{S}$  is called the *direct product* of  $\mathcal{S}_1$  and  $\mathcal{S}_2$ , and is denoted by  $\mathcal{S}_1 \times \mathcal{S}_2$ . If  $\mathcal{S}_i, i \in \{1, 2\}$ , is a near  $2n_i$ -gon, then the direct product  $\mathcal{S} = \mathcal{S}_1 \times \mathcal{S}_2$  is a near  $2(n_1 + n_2)$ -gon. Since  $\mathcal{S}_1 \times \mathcal{S}_2 \cong \mathcal{S}_2 \times \mathcal{S}_1$  and  $(\mathcal{S}_1 \times \mathcal{S}_2) \times \mathcal{S}_3 \cong \mathcal{S}_1 \times (\mathcal{S}_2 \times \mathcal{S}_3)$ , also the direct product of  $k \geq 3$  near polygons  $\mathcal{S}_1, \dots, \mathcal{S}_k$  is well defined.

PROPOSITION 1.2. (Theorem 1 of [2]) *Suppose  $\mathcal{S}$  is a near polygon with the property that every two points at distance 2 have at least two common neighbours. If  $k \geq 2$  different line sizes occur in  $\mathcal{S}$ , then  $\mathcal{S}$  is isomorphic to a direct product of  $k$  near polygons, each of which has constant line size.*

COROLLARY 1.3. *If a dense near polygon  $\mathcal{S}$  has lines of size  $s + 1$ , then  $\mathcal{S}$  has a partition in isomorphic geodetically closed sub near polygons of order  $(s, t')$  for some  $t' \geq 0$ .*

**2. Valuations.**

**2.1. Motivation.** Let  $F_1$  and  $F_2$  denote two geodetically closed sub near polygons of a dense near polygon  $S$  and put  $d_i := \text{diam}(F_i)$ ,  $i \in \{1, 2\}$ . Depending on how the distances  $d(x_1, x_2)$  behave when  $x_1$  and  $x_2$  range over all elements of  $F_1$  and  $F_2$ , respectively, we shall be able to say that  $F_1$  has a ‘‘certain position’’ with respect to  $F_2$ . For instance, in the case  $(d_1, d_2) = (1, 1)$ , we can distinguish two possible line-line relations; see Proposition 2.1; in the case  $(d_1, d_2) = (0, 2)$ , we can distinguish two possible point-quad relations; see Proposition 2.2; in the case  $(d_1, d_2) = (1, 2)$  we can distinguish five possible line-quad relations; see Proposition 2.3.

**DEFINITIONS.** Let  $Q$  be a generalized quadrangle. An *ovoid* of  $Q$  is a set of points of  $Q$  meeting each line of  $Q$  in exactly one point. More generally, an ovoid of a partial linear space is a set of points meeting each line in a unique point. A *fan of ovoids* of  $Q$  is a set of ovoids of  $Q$  partitioning the point set of  $Q$ . A *rosette of ovoids* of  $Q$  is a set of ovoids of  $Q$  through a common point  $x$  which partitions the set of points at distance 2 from  $x$ .

**PROPOSITION 2.1.** (The line-line relations, Lemma 1 of [2]) *Let  $K$  and  $L$  denote two lines of a near polygon  $S$ . Then precisely one of the following cases occurs.*

(i) *There exist unique points  $k_0 \in K$  and  $l_0 \in L$  such that  $d(k, l) = d(k, k_0) + d(k_0, l_0) + d(l_0, l)$ , for all points  $k \in K$  and  $l \in L$ .*

(ii) *For every point  $k \in K$  there exists a unique point  $l \in L$  such that  $d(k, l) = d(K, L)$ . In this case  $K$  and  $L$  are called *parallel*.*

**PROPOSITION 2.2.** (The point-quad relations, Proposition 2.6 of [9]) *Let  $x$  be a point and  $Q$  a quad of a dense near polygon  $S$ . Then precisely one of the following cases occurs.*

(i)  *$Q$  contains a unique point  $\pi_Q(x)$  nearest to  $x$  and for every point  $y$  of  $Q$ ,  $d(x, y) = d(x, \pi_Q(x)) + d(\pi_Q(x), y)$ . In this case,  $x$  is called *classical with respect to  $Q$* .*

(ii) *The set of points in  $Q$  nearest to  $x$  forms an ovoid  $O_x$  of  $Q$ . In this case,  $x$  is called *ovoidal with respect to  $Q$* .*

For every quad  $Q$  of a dense near polygon and every  $i \in \mathbb{N}$ , let  $X_i(Q)$  denote the set of points  $x$  at distance  $i$  from  $Q$ ,  $X_{i,C}(Q)$  the set of points of  $X_i(Q)$  that are classical with respect to  $Q$  and  $X_{i,O}(Q)$  the set of points  $X_i(Q)$  that are ovoidal with respect to  $Q$ . If no confusion is possible, we also write  $X_i$ ,  $X_{i,C}$  and  $X_{i,O}$  instead of  $X_i(Q)$ ,  $X_{i,C}(Q)$  and  $X_{i,O}(Q)$ .

**PROPOSITION 2.3.** (The line-quad relations, Lemma (3)–(10) of [2]) *Let  $(L, Q)$  be a line-quad pair of a dense near polygon  $S$  and put  $i := d(L, Q)$ . Then one of the following cases occurs.*

(i)  *$L \subseteq X_{i,C}$ . In this case,  $\pi_Q(L) := \{\pi_Q(x) \mid x \in L\}$  is a line of  $Q$  parallel with  $L$ .*

(ii)  *$L \subseteq X_{i,O}$ . In this case, the ovoids  $O_x$ ,  $x \in L$ , define a fan of ovoids of  $Q$ .*

(iii)  *$L$  contains a unique point of  $X_{i,C}$  and the remaining points of  $L$  belong to  $X_{i+1,C}$ . In this case, all points  $\pi_Q(x)$ ,  $x \in L$ , are equal.*

(iv)  *$L$  contains a unique point  $u$  of  $X_{i,C}$  and the remaining points of  $L$  belong to  $X_{i+1,O}$ . In this case, the ovoids  $O_x$ ,  $x \in L \setminus \{u\}$ , define a rosette of ovoids through the point  $\pi_Q(u)$ .*

(v)  *$L$  contains a unique point of  $X_{i,O}$  and the remaining points of  $L$  belong to  $X_{i+1,O}$ . In this case, all ovoids  $O_x$ ,  $x \in L$ , are equal.*

The possible point-quad and line-quad relations were a very important tool in the classification of certain dense near polygons, see e.g. [1] and [4]. In this paper we shall study the possible relations between a point  $x$  and a geodetically closed sub near  $2\delta$ -gon  $F$ ,  $\delta \geq 3$ . The possible relations are described by the *valuations* of  $F$ . Also valuations are an important tool in the classification of near polygons. These objects will be used in [6] to classify all dense near octagons with three points per line.

**2.2. Definition and elementary properties.**

DEFINITION. Let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbb{I})$  be a dense near  $2n$ -gon. A function  $f$  from  $\mathcal{P}$  to  $\mathbb{N}$  is called a *valuation* if it satisfies the following properties (we call  $f(x)$  the *value* of  $x$ ):

- (V<sub>1</sub>) there exists at least one point with value 0;
- (V<sub>2</sub>) every line  $L$  of  $\mathcal{S}$  contains a unique point  $x_L$  with smallest value and  $f(x) = f(x_L) + 1$  for every point  $x$  of  $L$  different from  $x_L$ ;
- (V<sub>3</sub>) every point  $x$  of  $\mathcal{S}$  is contained in a geodetically closed sub near polygon  $F_x$  that satisfies the following properties:
  - $f(y) \leq f(x)$  for every point  $y$  of  $F_x$ ,
  - every point  $z$  of  $\mathcal{S}$  that is collinear with a point  $y$  of  $F_x$  and which satisfies  $f(z) = f(y) - 1$  also belongs to  $F_x$ .

PROPOSITION 2.4. *Let  $f$  be a valuation of a dense near  $2n$ -gon  $\mathcal{S}$ . Then the following statements hold:*

- (i) for every two points  $x$  and  $y$  of  $\mathcal{S}$ ,  $|f(x) - f(y)| \leq d(x, y)$ ;
- (ii) for every point  $x$  of  $\mathcal{S}$ ,  $f(x) \in \{0, \dots, n\}$ ;
- (iii) if  $x$  is a point with value 0 and if  $y$  is collinear with  $x$ , then  $f(y) = 1$ .

*Proof.* (i) This follows from property (V<sub>2</sub>).  
 (ii) This follows from (i) and property (V<sub>1</sub>).  
 (iii) If  $y$  were equal to 0, then the line  $xy$  cannot contain a unique point with smallest value. □

PROPOSITION 2.5. *Let  $f$  be a valuation of a dense near polygon  $\mathcal{S}$ . Then through every point  $x$  of  $\mathcal{S}$ , there exists exactly one geodetically closed sub near polygon  $F_x$  satisfying property (V<sub>3</sub>).*

*Proof.* By [2], a geodetically closed sub near polygon  $F$  through  $x$  is completely determined by the set of lines through  $x$  contained in  $F$ . Now, by properties (V<sub>2</sub>) and (V<sub>3</sub>), a line through  $x$  belongs to  $F_x$  if and only if it contains a point with value  $f(x) - 1$ . This proves that there exists exactly one geodetically closed sub near polygon  $F_x$  satisfying property (V<sub>3</sub>). □

The following proposition says that the valuations of a dense near polygon  $F$  describe the possible relations between a point of a near polygon  $\mathcal{S}$  and any geodetically closed sub near polygon of  $\mathcal{S}$  isomorphic to  $F$ . The valuations of  $F$  give information on how  $F$  can be embedded in a larger dense near polygon. That is the reason why these objects are important for classifying near polygons.

PROPOSITION 2.6. *Let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbb{I})$  be a dense near  $2n$ -gon and let  $F = (\mathcal{P}', \mathcal{L}', \mathbb{I}')$  be a geodetically closed sub near  $2\delta$ -gon of  $\mathcal{S}$ . For every point  $x$  of  $\mathcal{S}$  and for every point  $y$  of  $F$ , we define  $f_x(y) := d(x, y) - d(x, \mathcal{P}')$ . Then  $f_x : \mathcal{P}' \rightarrow \mathbb{N}$  is a valuation of  $F$ , for every point  $x$  of  $\mathcal{S}$ .*

*Proof.* Let  $y$  be a point of  $F$  such that  $d(x, y) = d(x, \mathcal{P}')$ . Then  $f_x(y) = 0$ . Because every line of  $F$  contains a unique point nearest to  $x$ , also  $(V_2)$  is satisfied. For every  $y \in F$ , we define  $F_y := \mathcal{C}(x, y) \cap F$ . If  $z \in F_y$ , then  $f_x(z) = d(x, z) - d(x, \mathcal{P}') \leq d(x, y) - d(x, \mathcal{P}') = f_x(y)$ . If  $u$  is a point of  $F_y$  and if  $u'$  is a neighbour of  $u$  in  $F$  with value  $f_x(u) - 1$ , then  $d(x, u') = d(x, u) - 1$ , implying that  $u' \in \mathcal{C}(x, u) \cap F \subseteq \mathcal{C}(x, y) \cap F = F_y$ . This shows that also  $(V_3)$  is satisfied.  $\square$

We shall now generalize Proposition 2.6, but first we need the following lemma.

LEMMA 2.7. *Let  $\mathcal{S}$  be a dense near polygon and let  $F$  be a sub near polygon of  $\mathcal{S}$  satisfying the following conditions:*

- $F$  is a subspace of  $\mathcal{S}$ ,
- $d_F(x, y) = d_S(x, y)$ , for all points  $x$  and  $y$  of  $F$ .

*Then, for every geodetically closed subspace  $G$  of  $\mathcal{S}$ , either  $G \cap F = \emptyset$  or  $G \cap F$  is a geodetically closed sub near polygon of  $F$ .*

*Proof.* Suppose that  $G \cap F \neq \emptyset$ . As intersection of two subspaces,  $G \cap F$  is again a subspace. Let  $a, b \in G \cap F$  and let  $c$  be a point of  $F$  collinear with  $b$  such that  $d_F(a, c) = d_F(a, b) - 1$ . Then  $d_S(a, c) = d_S(a, b) - 1$  and so  $c \in \mathcal{C}(a, b) \subseteq G$ . Hence,  $c \in G \cap F$ . This proves that  $G \cap F$  is geodetically closed.  $\square$

PROPOSITION 2.8. *Let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  be a dense near  $2n$ -gon and let  $F = (\mathcal{P}', \mathcal{L}', \mathbf{I}')$  be a sub near  $2\delta$ -gon of  $\mathcal{S}$  that has the following properties:*

- $F$  is a dense near polygon,
- $F$  is a subspace of  $\mathcal{S}$ ,
- if  $x$  and  $y$  are two points of  $F$ , then  $d_F(x, y) = d_S(x, y)$ .

*For every point  $x$  of  $\mathcal{S}$  and every point  $y$  of  $F$ , we define  $f_x(y) := d_S(x, y) - d_S(x, \mathcal{P}')$ . Then  $f_x : \mathcal{P}' \rightarrow \mathbb{N}$  is a valuation of  $F$ , for every point  $x$  of  $\mathcal{S}$ .*

*Proof.* By Lemma 2.7,  $\mathcal{C}(x, y) \cap F$  is a geodetically closed subspace of  $F$  for every point  $x$  of  $\mathcal{S}$  and every point  $y$  of  $F$ . The proof is now completely similar to the proof of Proposition 2.6.  $\square$

Valuations of dense near 0-gons and dense near 2-gons are trivial objects. There is a unique point with value 0 and all other points in the case of near 2-gons have value 1. In the following paragraph we shall show that there are two possible types of valuations in dense generalized quadrangles, corresponding with the two possible point-quad relations given in Proposition 2.2.

### 2.3. Classical and ovoidal valuations.

PROPOSITION 2.9. *Let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  be a dense near  $2n$ -gon.*

- (i) *If  $y$  is a point of  $\mathcal{S}$ , then  $f_y : \mathcal{P} \rightarrow \mathbb{N}; x \mapsto d(x, y)$  is a valuation of  $\mathcal{S}$ .*
- (ii) *If  $\mathcal{O}$  is an ovoid of  $\mathcal{S}$ , then  $f_{\mathcal{O}} : \mathcal{P} \rightarrow \mathbb{N}; x \mapsto d(x, \mathcal{O})$  is a valuation of  $\mathcal{S}$ .*

*Proof.* In both cases,  $(V_1)$  and  $(V_2)$  are satisfied. In case (i), we put  $F_x := \mathcal{C}(x, y)$ . In case (ii), we put  $F_x := \{x\}$  if  $x \in \mathcal{O}$  and  $F_x := \mathcal{S}$  otherwise. For these choices of  $F_x$ , also  $(V_3)$  holds.  $\square$

DEFINITION. A valuation of  $\mathcal{S}$  is *classical* if it is obtained as in (i) of Proposition 2.9; it is *ovoidal* if it is obtained as in (ii). Classical and ovoidal valuations can be characterized as follows.

PROPOSITION 2.10. *Let  $f$  be a valuation of a dense near  $2n$ -gon  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathcal{I})$  with  $n \geq 1$ . Then*

- (i)  $\max\{f(u)|u \in \mathcal{P}\} \leq n$  with equality if and only if  $f$  is classical;
- (ii)  $\max\{f(u)|u \in \mathcal{P}\} \geq 1$  with equality if and only if  $f$  is ovoidal.

*Proof.* Obviously, the inequalities above hold. If  $f$  is a classical valuation, then obviously  $\max\{f(u)|u \in \mathcal{P}\} = n$ . If  $f$  is ovoidal, then  $\max\{f(u)|u \in \mathcal{P}\} = 1$ .

(i) Suppose that  $\max\{f(u)|u \in \mathcal{P}\} = n$ . Let  $x$  be a point of  $\mathcal{S}$  with value 0 and let  $y$  be a point with value  $n$ . By Proposition 2.4,  $d(x, y) = n$ . Let  $y'$  be an arbitrary point of  $\Gamma_n(x) \cap \Gamma_1(y)$  and let  $y''$  denote the unique point of the line  $yy'$  at distance  $n - 1$  from  $x$ . By Proposition 2.4, it follows that  $f(y'') = f(y'') - f(x) \leq n - 1$  and that  $f(y'') = f(y) + f(y'') - f(y) \geq n - 1$ . Hence,  $f(y'') = n - 1$  and by property  $(V_2)$ , it then follows that  $f(y') = n$ , so that every point of  $\Gamma_n(x) \cap \Gamma_1(y)$  has value  $n$ . By the connectedness of  $\Gamma_n(x)$ , see Proposition 1.1 (iv), it then follows that every point of  $\Gamma_n(x)$  has value  $n$ . Now, let  $z$  be an arbitrary point of  $\mathcal{S}$ . Then, by [2], there exists a path of length  $n - d(x, z)$  between  $z$  and a point  $z'$  of  $\Gamma_n(x)$ . From  $d(x, z) \geq |f(z) - f(x)| = f(z)$  and  $n - f(z) = |f(z') - f(z)| \leq d(z, z') = n - d(x, z)$ , it follows that  $f(z) = d(x, z)$ . This proves that  $f$  is classical.

(ii) Suppose now that  $\max\{f(x)|x \in \mathcal{P}\} = 1$ . By property  $(V_2)$ , every line of  $\mathcal{S}$  contains a unique point with value 0. Hence the points with value 0 determine an ovoid of  $\mathcal{S}$  and  $f$  is ovoidal. □

COROLLARY 2.11. *Every valuation of a dense generalized quadrangle is either classical or ovoidal.*

Any valuation of a dense near polygon  $\mathcal{S}$  induces a valuation in every geodetically closed sub near polygon of  $\mathcal{S}$ .

PROPOSITION 2.12. *Let  $\mathcal{S}$  be a dense near polygon and let  $F = (\mathcal{P}', \mathcal{L}', \mathcal{I}')$  be a sub near polygon of  $\mathcal{S}$  that has the following properties:*

- $F$  is a dense near polygon,
- $F$  is a subspace of  $\mathcal{S}$ ,
- if  $x$  and  $y$  are two points of  $F$  in  $\mathcal{S}$ , then  $d_F(x, y) = d_{\mathcal{S}}(x, y)$ .

*Let  $f$  denote a valuation of  $\mathcal{S}$  and put  $m := \min\{f(x)|x \in \mathcal{P}'\}$ . Then the map  $f_F : \mathcal{P}' \rightarrow \mathbb{N}; x \mapsto f(x) - m$  is a valuation of  $F$ .*

*Proof.* For every point  $x$  of  $\mathcal{S}$ , let  $F_x$  denote the unique geodetically closed sub near polygon of  $\mathcal{S}$  for which  $(V_3)$  holds with respect to the valuation  $f$ . By Lemma 2.7,  $F_x \cap F$  is a geodetically closed sub near polygon of  $F$  for every point  $x$  of  $F$ . Clearly,  $f_F$  satisfies properties  $(V_1)$  and  $(V_2)$ . The map  $f_F$  also satisfies  $(V_3)$  if for every point  $x$  of  $F$  one takes  $F'_x := F_x \cap F$  as a geodetically closed sub near polygon through  $x$ . □

DEFINITION. We call  $f_F$  an *induced valuation*.

PROPOSITION 2.13. *Let  $f$  be a valuation of a dense near polygon  $\mathcal{S}$ .*

- (i) *If every induced quad valuation is classical, then the valuation  $f$  itself is classical.*
- (ii) *If every induced quad valuation is ovoidal, then the valuation  $f$  itself is ovoidal.*

*Proof.* (i) Suppose that  $f$  is a nonclassical valuation of  $\mathcal{S}$ . Let  $x$  denote an arbitrary point with value 0 and let  $i$  be the smallest nonnegative integer for which there exists a point  $y$  satisfying  $i = d(x, y) \neq f(y)$ . Obviously,  $i \geq 2$ . Choose points  $y' \in \Gamma_1(y) \cap \Gamma_{i-1}(x)$  and  $y'' \in \Gamma_1(y') \cap \Gamma_{i-2}(x)$ . Then  $f(y'') = i - 2$ ,  $f(y') = i - 1$  and  $f(y) \in \{i - 1, i - 2\}$ . Every point of  $Q$  collinear with  $y''$  has distance  $i - 1$  from  $x$

and hence has value  $i - 1$ . Since the valuation induced in  $\mathcal{C}(y, y'')$  is classical,  $y''$  is the unique point of  $\mathcal{C}(y, y'')$  with smallest value and  $f(y) = f(y'') + d(y'', y) = i - 2 + 2 = i$ , a contradiction.

(ii) Suppose that  $f$  is a nonovoidal valuation of  $\mathcal{S}$ . Let  $x$  denote an arbitrary point with value 0 and let  $i$  be the smallest nonnegative integer for which there exists a point  $y$  satisfying  $i = d(x, y)$  and  $f(y) \geq 2$ . Obviously,  $i \geq 2$ . Choose points  $y' \in \Gamma_1(y) \cap \Gamma_{i-1}(x)$  and  $y'' \in \Gamma_1(y') \cap \Gamma_{i-2}(x)$ . Clearly every point of the line through  $y'$  and  $y''$  has value 0 or 1. But then the valuation induced in the quad  $\mathcal{C}(y, y'')$  cannot be ovoidal, a contradiction.  $\square$

**PROPOSITION 2.14.** *Let  $f$  be a valuation of a dense near polygon  $\mathcal{S}$ , let  $O_f$  denote the set of points of  $\mathcal{S}$  with value 0 and let  $x$  be a point of  $\mathcal{S}$ . If  $d(x, O_f) \leq 2$ , then  $f(x) = d(x, O_f)$ .*

*Proof.* Obviously, this holds if  $d(x, O_f) \leq 1$ . Now, suppose that  $d(x, O_f) = 2$  and let  $x'$  denote a point of  $O_f$  at distance 2 from  $x$ . If the valuation induced in the quad  $\mathcal{C}(x, x')$  is ovoidal, then  $x$  would be collinear with a point of  $O_f \cap \mathcal{C}(x, x')$ , a contradiction. Hence, the valuation induced in  $\mathcal{C}(x, x')$  is classical and  $f(x) = f(x') + d(x, x') = 2$ .  $\square$

**2.4. The partial linear space  $G_f$ .** For a valuation  $f$  of  $\mathcal{S}$ , put  $O_f = \{x \in \mathcal{S} \mid f(x) = 0\}$ . If  $x, y \in O_f$ , then by (iii) of Proposition 2.4,  $d(x, y) \geq 2$ . A quad  $Q$  of  $\mathcal{S}$  is called *special* if it contains at least two points of  $O_f$ . Let  $G_f$  be the partial linear space with points the points of  $O_f$ , with lines the special quads of  $\mathcal{S}$  and with natural incidence. If  $x$  and  $y$  are two collinear points of  $G_f$ , then the line of  $G_f$  through  $x$  and  $y$  corresponds with an ovoid in the special quad of  $\mathcal{S}$  through  $x$  and  $y$ . As a corollary, every line of  $G_f$  contains at least 3 points.

**2.5. A property of valuations.** Let  $\mathcal{S}$  be a dense near  $2n$ -gon and let  $f$  be a valuation of  $\mathcal{S}$ . For every  $i \in \mathbb{N}$ , we define  $m_i$  as the number of points of  $\mathcal{S}$  with value  $i$ . Obviously,  $m_i = 0$  if  $i \geq n + 1$ .

**PROPOSITION 2.15.** *If  $\mathcal{S}$  contains lines of size  $s + 1$ , then  $\sum_{i=0}^{\infty} \frac{m_i}{(-s)^i} = 0$ .*

*Proof.* (a) Suppose first that  $\mathcal{S}$  has order  $(s, t)$ . For every line  $L$  of  $\mathcal{S}$ ,  $\sum_{x \in L} \frac{1}{(-s)^{f(x)}} = \frac{1}{(-s)^{(sL)}} + s \frac{1}{(-s)^{(sL)+1}} = 0$ . Hence,

$$\begin{aligned} 0 &= \sum_{L \in \mathcal{L}} \sum_{x \in L} \frac{1}{(-s)^{f(x)}} \\ &= \sum_{x \in \mathcal{P}} \sum_{L \perp x} \frac{1}{(-s)^{f(x)}} \\ &= (t + 1) \sum_{x \in \mathcal{P}} \frac{1}{(-s)^{f(x)}} \\ &= (t + 1) \sum_{i=0}^{\infty} \frac{m_i}{(-s)^i}. \end{aligned}$$

This shows that the proposition holds if  $\mathcal{S}$  has an order.

(b) Suppose next that not every line of  $\mathcal{S}$  is incident with the same number of points. Then, by Corollary 1.3,  $\mathcal{S}$  has a partition in isomorphic geodetically closed sub near polygons of order  $(s, t')$  for some  $t' \geq 0$ . By (a), the proposition holds for each valuation induced in one of the sub near polygons of the partition. If we add all equations obtained, after multiplying with a suitable power of  $-s$ , then the required equation is obtained.  $\square$

**COROLLARY 2.16.** *Let  $f$  be a valuation of a dense near polygon  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$ . If  $k$  different line sizes  $s_1 + 1, \dots, s_k + 1$  occur in  $\mathcal{S}$ , then  $\max\{f(x) \mid x \in \mathcal{P}\} \geq k$ .*

*Proof.* Put  $M := \max\{f(x) \mid x \in \mathcal{P}\}$ . By Proposition 2.15, the polynomial  $p(s) := \sum_{i=0}^M m_i(-s)^{M-i} = 0$  has at least  $k$  different roots. Hence,  $k \leq \deg(f(s)) = M$ .  $\square$

**3. Some classes of valuations.** In Section 2.3, classical and ovoidal valuations were discussed. We shall now define several other types of valuations.

**3.1. Hybrid valuations.** Let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  be a dense near  $2n$ -gon,  $n \geq 2$ , let  $\delta \in \{2, \dots, n\}$  and let  $x$  be a point of  $\mathcal{S}$ . Let  $\mathcal{A}_{x,\delta}$  be the incidence structure with points the points of  $\mathcal{S}$  at distance at least  $\delta$  from  $x$ , with lines the lines of  $\mathcal{S}$  at distance at least  $\delta - 1$  from  $x$  and with natural incidence. By Proposition 1.1 (iv),  $\mathcal{A}_{x,\delta}$  is connected. Suppose now that  $\mathcal{A}_{x,\delta}$  has an ovoid  $O$ . Then the following function  $f_{x,O} : \mathcal{P} \rightarrow \mathbb{N}$  can be defined: if  $y$  is a point of  $\mathcal{S}$  at distance at most  $\delta - 1$  from  $x$ , then we define  $f_{x,O}(y) := d(x, y)$ ; if  $y$  is a point of  $\mathcal{S}$  at distance at least  $\delta$  from  $x$ , then we define  $f_{x,O}(y) = \delta - 2$  if  $y \in O$  and  $f_{x,O}(y) = \delta - 1$  otherwise.

**PROPOSITION 3.1.** *The map  $f_{x,O}$  is a valuation of  $\mathcal{S}$ .*

*Proof.* Since  $f(x) = 0$ , property  $(V_1)$  holds. Now, let  $L$  be an arbitrary line of  $\mathcal{S}$ . If  $d(x, L) \leq \delta - 2$ , then the unique point on  $L$  nearest to  $x$  is also the unique point on  $L$  with smallest value. If  $d(x, L) \geq \delta - 1$ , then the unique point of  $O$  on  $L$  is the unique point of  $L$  with smallest value. This proves property  $(V_2)$ . Now, property  $(P3)$  also holds if we make the following choices for  $F_y, y \in \mathcal{P}$ :  $F_y := \mathcal{C}(x, y)$  if  $d(x, y) \leq \delta - 2$ ,  $F_y := \{y\}$  if  $y \in O$  and  $F_y := \mathcal{S}$  otherwise.  $\square$

**DEFINITION.** A valuation that is obtained as above is called a *hybrid valuation of type  $\delta$* . A hybrid valuation of type 2 is just an ovoidal valuation. A hybrid valuation of type  $n$  is also called a *semi-classical valuation*. Although not included in the definition, we could regard the classical valuations as hybrid valuations of type  $n + 1$ .

**PROPOSITION 3.2.** *If  $f$  is a valuation of a dense near  $2n$ -gon and if  $x$  is a point of  $\mathcal{S}$  such that  $f(y) = d(x, y)$  for every point  $y$  at distance at most  $n - 1$  from  $x$ , then  $f$  is either classical or semi-classical.*

*Proof.* Suppose that  $f$  is not classical and consider a point  $z \in \Gamma_n(x)$ . Every point of  $\Gamma_1(z) \cap \Gamma_{n-1}(x)$  has value  $n - 1$ . Hence by property  $(V_2)$  and Proposition 2.10,  $f(z) \in \{n - 2, n - 1\}$ . By property  $(V_2)$ , it now follows that the points of  $\Gamma_n(x)$  with value  $n - 2$  form an ovoid in  $\mathcal{A}_{x,n}$ . This proves that  $f$  is semi-classical.  $\square$

**PROPOSITION 3.3.** *Let  $\mathcal{S}$  be a dense near  $2n$ -gon,  $n \geq 2$ , of order  $(2, t)$  and let  $x$  be a point of  $\mathcal{S}$ . Then there exists a semi-classical valuation  $f$  with  $f(x) = 0$  if and only if  $\Gamma_n(x)$  is bipartite. In this case, there are precisely two semi-classical ovoids with  $f(x) = 0$ .*



*Proof.* Every line of  $\mathcal{A}_{x,n}$  contains two points. Hence,  $\mathcal{A}_{x,n}$  has ovoids if and only if the graph induced by  $\Gamma_n(x)$  is bipartite.  $\square$

**3.2. Product valuations.**

PROPOSITION 3.4. *Let  $\mathcal{S}_1 = (\mathcal{P}_1, \mathcal{L}_1, I_1)$  and  $\mathcal{S}_2 = (\mathcal{P}_2, \mathcal{L}_2, I_2)$  be two dense near polygons. If  $f_i, i \in \{1, 2\}$ , is a valuation of  $\mathcal{S}_i$ , then the map  $f : \mathcal{P}_1 \times \mathcal{P}_2 \mapsto \mathbb{N}, (x_1, x_2) \mapsto f_1(x_1) + f_2(x_2)$  is a valuation of  $\mathcal{S}_1 \times \mathcal{S}_2$ .*

*Proof.* If  $x_i, i \in \{1, 2\}$ , is a point of  $\mathcal{S}_i$  for which  $f_i(x_i) = 0$ , then  $f[(x_1, x_2)] = 0$ . This proves property  $(V_1)$ . If  $L$  is a line of  $\mathcal{S}_1 \times \mathcal{S}_2$ , then without loss of generality, we may suppose that  $L$  is of the form  $K \times \{y\}$ , with  $K$  a line of  $\mathcal{S}_1$  and  $y$  a point of  $\mathcal{S}_2$ . Now,  $f[(k, y)] = f_1(k) + f_2(y)$  for every point  $k$  of  $K$ . Property  $(V_2)$  now immediately follows: the unique point of  $L$  with smallest  $f$ -value is the point  $(x_K, y)$ , where  $x_K$  denotes the unique point of  $K$  with smallest  $f_1$ -value. It remains to check property  $(V_3)$ . For every point  $x_i, i \in \{1, 2\}$ , of  $\mathcal{S}_i$ , let  $F_{x_i}, i \in \{1, 2\}$ , denote the sub near polygon of  $\mathcal{S}_i$  satisfying  $(V_3)$ . For every point  $(x_1, x_2)$  of  $\mathcal{S}_1 \times \mathcal{S}_2$ , we define  $F_{(x_1, x_2)} := \{(a_1, a_2) \mid a_1 \in F_{x_1} \text{ and } a_2 \in F_{x_2}\}$ . If  $(a_1, a_2)$  is a point of  $F_{(x_1, x_2)}$ , then  $f[(a_1, a_2)] = f_1(a_1) + f_2(a_2) \leq f_1(x_1) + f_2(x_2) = f[(x_1, x_2)]$ . If  $(a_1, a_2)$  is a point of  $F_{(x_1, x_2)}$  and if  $(b_1, b_2)$  is a point of  $\mathcal{S}_1 \times \mathcal{S}_2$  collinear with  $(a_1, a_2)$  and satisfying  $f[(b_1, b_2)] = f[(a_1, a_2)] - 1$ , then without loss of generality, we may suppose that  $a_2 = b_2$  and  $a_1 \sim b_1$  (in  $\mathcal{S}_1$ ). Then  $f_1(b_1) = f[(b_1, b_2)] - f_2(b_2) = f[(a_1, a_2)] - 1 - f_2(a_2) = f_1(a_1) - 1$ . Since  $a_1 \in F_{x_1}$ , the point  $b_1$  also belongs to  $F_{x_1}$ . Hence, the point  $(b_1, b_2)$  belongs to  $F_{(x_1, x_2)}$ . This proves property  $(V_3)$ .  $\square$

DEFINITION. A valuation that is obtained as in Proposition 3.4 is called a *product valuation*.

**3.3. Extended valuations.**

DEFINITION. A geodetically closed sub near polygon  $F$  of a dense near polygon  $\mathcal{S}$  is called *classical* if, for every point  $x$  of  $\mathcal{S}$ , there exists a (necessarily unique) point  $\pi_F(x)$  in  $F$  such that  $d(x, y) = d(x, \pi_F(x)) + d(\pi_F(x), y)$ , for every point  $y$  of  $F$ .

LEMMA 3.5. *If  $x_1$  and  $x_2$  are collinear points of  $\mathcal{S}$  such that  $d(x_1, F) = d(x_2, F) - 1$ , then  $\pi_F(x_1) = \pi_F(x_2)$ .*

*Proof.* The point  $\pi_F(x_1)$  has distance at most  $d(x_1, \pi_F(x_1)) + d(x_1, x_2) = d(x_1, F) + 1 = d(x_2, F)$  from  $x_2$  and hence coincides with  $\pi_F(x_2)$ .  $\square$

LEMMA 3.6. *Let  $\mathcal{S}$  be a dense near polygon, let  $K$  be a line of  $\mathcal{S}$  and let  $F$  denote a geodetically closed sub near polygon of  $\mathcal{S}$  that is classical in  $\mathcal{S}$ . Then one of the following holds.*

- *Every point of  $K$  has the same distance from  $F$ . In this case we define  $\pi_F(K) := \{\pi_F(x) \mid x \in K\}$ . Then  $\pi_F(K)$  is a line of  $F$  parallel with  $K$ .*
- *There exists a unique point on  $K$  nearest to  $F$ . In this case all points  $\pi_F(x), x \in K$ , are equal.*

*Proof.* Suppose that all points  $\pi_F(x), x \in K$ , are equal, to  $u$  say. Then there exists a unique point on  $K$  nearest to  $F$ ; namely the unique point of  $K$  nearest to  $u$ . Suppose therefore that there exist points  $x_1, x_2 \in K$  such that  $\pi_F(x_1) \neq \pi_F(x_2)$ . By Lemma 3.5,

$d(x_1, F) = d(x_2, F)$ . Put  $i := d(x_1, F)$ . Since

$$\begin{aligned} d(\pi_F(x_1), \pi_F(x_2)) &= d(x_1, \pi_F(x_2)) - d(x_1, \pi_F(x_1)) \\ &\leq d(x_1, x_2) + d(x_2, \pi_F(x_2)) - d(x_1, \pi_F(x_1)) \\ &= 1, \end{aligned}$$

$\pi_F(x_1)$  and  $\pi_F(x_2)$  are contained in a line  $K'$ . If  $u$  is a point of  $K$  different from  $x_1$  and  $x_2$ , then  $u$  has distance at most  $i + 1$  from the points  $\pi_F(x_1)$  and  $\pi_F(x_2)$  of  $K'$ . Hence there exists a point  $u'$  on  $K'$  at distance at most  $i$  from  $u$ . By Lemma 3.5, it follows that  $d(u, F) = i$  and  $\pi_F(u) = u'$ . This proves that  $\pi_F(K) \subseteq K'$  and that every point of  $K$  has the same distance  $i$  from  $F$ . Suppose now that there exists a point  $u'$  in  $K' \setminus \pi_F(K)$ . Then  $u'$  has distance at most  $i + 1$  from at least two points of  $K$  and hence distance at most  $i$  from a point  $u$  of  $K$ , showing that  $u' = \pi_F(u)$ , a contradiction.  $\square$

**PROPOSITION 3.7.** *Let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  be a dense near  $2n$ -gon, let  $F = (\mathcal{P}', \mathcal{L}', \mathbf{I}')$  be a classical geodetically closed sub near polygon of  $\mathcal{S}$  and let  $f'$  denote a valuation of  $F$ . Then the map  $f : \mathcal{P} \mapsto \mathbb{N}, x \rightarrow f(x) := d(x, \pi_F(x)) + f'(\pi_F(x))$  is a valuation of  $\mathcal{S}$ . If  $f'$  is a classical valuation, then also  $f$  is classical.*

*Proof.* Obviously, property  $(V_1)$  is satisfied. By Lemma 3.6, it easily follows that also property  $(V_2)$  is satisfied. For every point  $x$  of  $\mathcal{S}$ , we define  $F_x := \mathcal{C}(x, G_x)$ , where  $G_x$  denotes the unique geodetically closed sub near polygon of  $F$  through  $\pi_F(x)$  satisfying property  $(V_3)$  with respect to the valuation  $f'$  of  $F$ . Then  $F_x$  has the following properties.

- $F_x \cap F = G_x$ . Obviously,  $G_x \subseteq F_x \cap F$ . If  $y$  is a point of  $G_x$  at distance  $\text{diam}(G_x)$  from  $\pi_F(x)$  then, since  $\pi_F(x)$  is contained in a shortest path between  $x$  and  $y$ ,  $G_x = \mathcal{C}(\pi_F(x), y)$  is contained in  $\mathcal{C}(x, y)$ . Hence,  $F_x$  is equal to  $\mathcal{C}(x, y)$  and has diameter  $d(x, y) = d(x, \pi_F(x)) + \text{diam}(G_x)$ . Suppose that there exists a point  $z$  in  $F_x \cap F$  not contained in  $G_x$ . Then  $\mathcal{C}(z, G_x)$  has diameter at least  $\text{diam}(G_x) + 1$ . As before we have that  $\mathcal{C}(x, \mathcal{C}(z, G_x))$  has diameter

$$\begin{aligned} d(x, \pi_F(x)) + \text{diam}(\mathcal{C}(G_x, z)) &\geq d(x, \pi_F(x)) + \text{diam}(G_x) + 1 \\ &= \text{diam}(F_x) + 1, \end{aligned}$$

a contradiction, since  $F_x = \mathcal{C}(x, \mathcal{C}(z, G_x))$ . As a consequence,  $F_x \cap F = G_x$ .

- For every  $y \in F_x, \pi_F(y) \in G_x$ . Clearly every shortest path between  $y$  and a point  $z \in G_x$  is contained in  $F_x$ . Since the point  $\pi_F(y)$  is contained in a shortest path between  $y$  and  $z$ , the point  $\pi_F(y)$  belongs to  $F_x \cap F = G_x$ .

- For every point  $y$  of  $F_x, d(y, \pi_F(y)) \leq d(x, \pi_F(x))$ . As before,  $\mathcal{C}(y, G_x)$  has diameter  $d(y, \pi_F(y)) + \text{diam}(G_x)$ . Since  $\mathcal{C}(y, G_x) \subseteq \mathcal{C}(x, G_x)$ , it follows that  $d(y, \pi_F(y)) + \text{diam}(G_x) \leq d(x, \pi_F(x)) + \text{diam}(G_x)$ , from which the statement follows.

Let  $u$  be a point of  $F_x$ . Since  $\pi_F(u) \in G_x, f'(\pi_F(u)) \leq f'(\pi_F(x))$ . Hence,  $f(u) = d(u, \pi_F(u)) + f'(\pi_F(u)) \leq d(x, \pi_F(x)) + f'(\pi_F(x)) = f(x)$ . Let  $v$  be a neighbour of  $u$  with value  $f(u) - 1$ . In order to prove property  $(V_3)$ , we distinguish two possibilities.

- $d(v, \pi_F(v)) \neq d(u, \pi_F(u))$ . Then  $\pi_F(u) = \pi_F(v)$  by Lemma 3.5. In this case,  $d(v, \pi_F(v)) = d(u, \pi_F(u)) - 1$ . Hence,  $v$  is on a shortest path between  $u$  and  $\pi_F(u) = \pi_F(v)$ . Since  $u, \pi_F(u) \in F_x$ , also  $v$  belongs to  $F_x$ .

- $d(v, \pi_F(v)) = d(u, \pi_F(u))$ . In this case,  $f'(\pi_F(v)) = f'(\pi_F(u)) - 1$ . By Lemma 3.6,  $d(\pi_F(u), \pi_F(v)) = 1$ . From  $\pi_F(u) \in G_x$ , it then follows that also  $\pi_F(v) \in G_x$ . Now,  $v$  lies on a shortest path between  $\pi_F(v)$  and  $u$ . Since  $\pi_F(v) \in F_x$  and  $u \in F_x, v$  also belongs to  $F_x$ .

If  $f'$  is classical valuation of  $F$ , then

$$f(x) = d(x, \pi_F(x)) + f'(\pi_F(x)) = d(x, \pi_F(x)) + d(\pi_F(x), x^*) = d(x, x^*),$$

where  $x^*$  denotes the unique point of  $F$  for which  $f'(x^*) = 0$ . Hence  $f$  is classical if  $f'$  is classical. □

DEFINITION. The valuation  $f$  is called an *extension* of  $f'$ .

**3.4. Diagonal valuations.**

PROPOSITION 3.8. *Let  $F$  be a dense near polygon and let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  be the direct product  $F \times F$ . Define  $X := \{(x, x) \mid x \in F\}$ . Then the function  $f : \mathcal{P} \rightarrow \mathbb{N}; p \mapsto d(p, X)$  is a valuation of  $\mathcal{S}$ .*

*Proof.* For every point  $(u, v)$  of  $\mathcal{S}$ ,  $f[(u, v)] = d(u, v)$ . Hence, every point of  $\mathcal{S}$  has value at most  $\text{diam}(F)$ . Obviously, there exists a point with value 0. Let  $L$  denote a line of  $\mathcal{S}$ . Without loss of generality, we may suppose that  $L = \{u\} \times M$ . If  $u'$  denotes the unique point of  $M$  nearest to  $u$ , then  $(u, u')$  is the unique point of  $L$  with smallest value. Now, for every point  $(u, v)$  of  $\mathcal{S}$ , we put  $F_{(u,v)} = \mathcal{C}(u, v) \times \mathcal{C}(u, v)$ . If  $(u_1, v_1) \in F_{(u,v)}$ , then  $f[(u_1, v_1)] = d(u_1, v_1) \leq d(u, v) = f[(u, v)]$ . Let  $(u_1, v_1) \in F_{(u,v)}$  and let  $(u_2, v_2)$  be a point of  $\mathcal{S}$  collinear with  $(u_1, v_1)$  such that  $f[(u_2, v_2)] = f[(u_1, v_1)] - 1$ . Without loss of generality, we may suppose that  $u_1 = u_2$ . Then  $v_2 \sim v_1$  and  $d(u_1, v_2) = d(u_1, v_1) - 1$ , so that,  $v_2 \in \mathcal{C}(u_1, v_1) \subseteq \mathcal{C}(u, v)$ . As a consequence,  $(u_2, v_2) \in \mathcal{C}(u, v) \times \mathcal{C}(u, v) = F_{(u,v)}$ . This proves that  $f$  is a valuation of  $\mathcal{S}$ . □

DEFINITION. A valuation that is obtained as in Proposition 3.8 is called a *diagonal valuation*.

REMARK. With every set  $Y$  of points in  $F \times F$ , we can associate a matrix  $M_Y$  whose rows and columns are indexed by the points of  $F$ . If  $(u, v) \in Y$ , then the  $(u, v)$ -th entry of  $M_Y$  is equal to 1; otherwise it is equal to 0. The matrix  $M_X$  corresponding with the above-mentioned set  $X$  gives rise to a matrix with all ones on the diagonal. This explains the name we have given to these valuations.

**3.5. Distance- $j$ -ovoidal valuations.** We generalize the notion of distance- $j$ -ovoids in generalized  $2n$ -gons ([11]) to arbitrary near polygons.

DEFINITION. Let  $\mathcal{S}$  be a near  $2n$ -gon,  $n \geq 2$ . A distance- $j$ -ovoid,  $j \in \{2, \dots, n\}$ , of  $\mathcal{S}$  is a set  $X$  of points satisfying

- (1)  $d(x, y) \geq j$  for all points  $x, y \in X$ ;
- (2) for every point  $a$  of  $\mathcal{S}$ , there exists a point  $x \in X$  such that  $d(a, x) \leq \frac{j}{2}$ ;
- (3) for every line  $L$  of  $\mathcal{S}$ , there exists a point  $x \in X$  such that  $d(L, x) \leq \frac{j-1}{2}$ .

A distance-2-ovoid is just an ovoid. From (1), (2) and (3), we immediately have the following statements.

- If  $j$  is odd, then for every point  $a$  of  $\mathcal{S}$ , there exists a unique point  $x \in X$  such that  $d(a, x) \leq \frac{j-1}{2}$ .
- If  $j$  is even, then for every line  $L$  of  $\mathcal{S}$ , there exists a unique point  $x \in X$  such that  $d(L, x) \leq \frac{j-2}{2}$ .

PROPOSITION 3.9. *If  $X$  is a distance- $j$ -ovoid of a dense near  $2n$ -gon  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  with  $2 \leq j \leq n$  and  $j$  even, then the map  $f : \mathcal{P} \rightarrow \mathbb{N}, x \mapsto d(x, X)$  is a valuation of  $\mathcal{S}$ .*

*Proof.* Since  $f(x) = 0$  for every point  $x \in X$ , property  $(V_1)$  holds.

Let  $L$  be a line of  $\mathcal{S}$ . Then there exists a unique point  $x^* \in X$  such that  $d(x^*, L) \leq \frac{j-2}{2} = \frac{j}{2} - 1$ . Hence,  $d(a, x^*) \leq \frac{j}{2}$  for every point  $a$  of  $L$ . By property (1), we then have that  $d(a, X) = d(a, x^*)$  for every point  $a$  of  $L$ . It is now easily seen that property  $(V_2)$  holds: the point  $x_L$  is the unique point of  $L$  nearest to  $x^*$ .

Let  $x$  denote an arbitrary point of  $\mathcal{S}$ . If  $d(x, X) = \frac{j}{2}$ , then we define  $F_x := \mathcal{S}$ . If  $d(x, X) < \frac{j}{2}$ , then by property (1), there exists a unique point  $x' \in X$  at distance  $d(x, X)$  from  $x$  and we define  $F_x := \mathcal{C}(x, x')$ . Clearly, property  $(V_3)$  holds for any point  $x$  for which  $d(x, X) = \frac{j}{2}$ . Suppose therefore that  $d(x, X) < \frac{j}{2}$  and let  $x'$  denote the unique point of  $X$  at distance  $d(x, X)$  from  $x$ . Then for every point  $y$  of  $F_x$ ,  $d(y, x') \leq d(x, x') < \frac{j}{2}$ , so that  $f(y) = d(y, X) = d(y, x') \leq f(x)$ . Now, let  $y$  be a point of  $F_x$  and let  $z$  be a point of  $\mathcal{S}$  collinear with  $y$  such that  $f(z) = f(y) - 1$ . Then there exists a point  $x'' \in X$  such that  $d(z, x'') = d(y, x') - 1$ . Since  $y$  has distance at most  $d(y, x')$  from  $x''$ ,  $x'$  coincides with  $x''$ . Hence,  $d(z, x') = d(y, x') - 1$  and  $z \in F_x$ . This proves that also  $(V_3)$  holds. □

DEFINITION. A valuation  $f$  that is obtained as in Proposition 3.9 is called a *distance- $j$ -ovoidal valuation*. A distance-2-ovoidal valuation is the same as an ovoidal valuation.

**3.6. SDPS-valuations.** A near polygon is called *classical* if it satisfies the following properties:

- every two points at distance 2 are contained in a unique quad,
- every point-quad relation is classical.

Every near 0-gon, near 2-gon and nondegenerate generalized quadrangle is classical. Every direct product of classical near polygons is again classical. By [3], the classical near polygons of diameter at least 2 are precisely the dual polar spaces of rank at least 2. With every polar space  $P$  of rank  $n \geq 2$  there is associated a dual polar space  $P^D$  which is a near  $2n$ -gon. The points and lines of  $P^D$  are the maximal and next-to-maximal totally isotropic subspaces of  $P$ . By the classification of polar spaces ([10]), every finite dense dual polar space of rank  $n \geq 2$  that is not a product near polygon is isomorphic to one of the examples given in the following table.

polar space	dual polar space	quads	$(s, t_2)$
$Q(2n, q)$	$Q^D(2n, q)$	$W(q)$	$(q, q)$
$Q^-(2n + 1, q)$	$[Q^-(2n + 1, q)]^D$	$H(3, q^2)$	$(q^2, q)$
$H(2n - 1, q^2)$	$H^D(2n - 1, q^2)$	$Q(5, q)$	$(q, q^2)$
$H(2n, q^2)$	$H^D(2n, q^2)$	$H^D(4, q^2)$	$(q^3, q^2)$
$W(2n - 1, q)$	$W^D(2n - 1, q)$	$Q(4, q)$	$(q, q)$

Every near  $2n$ -gon in this table is a regular near polygon with parameters  $s, t$  and  $t_i$  ( $0 \leq i \leq n$ ), where  $t_i = \frac{t_2 - t_2}{t_2 - 1}$  and  $t = t_n$ . In the table, we have made use of the following well-known isomorphisms:  $Q^D(4, q) \cong W(q)$  and  $[Q^-(5, q)]^D = Q^D(5, q) \cong H(3, q^2)$ . See, for example, [7].

In [5], valuations of dual polar spaces are examined in detail. For completeness, a class of valuations that arises in [5] is given here.

DEFINITION. Let  $\mathcal{A} = (P, L, I)$  be one of the following classical near  $4n$ -gons:

- (a) a point ( $n = 0$ );

- (b) a dense generalized quadrangle ( $n = 1$ );
- (c)  $W^D(4n - 1, q)$  with  $n \geq 2$ ;
- (d)  $[Q^-(4n + 1, q)]^D$  with  $n \geq 2$ .

A subset  $X$  of  $P$  is called an *SDPS-set* of  $\mathcal{A}$  if it satisfies the following properties.

- (1) No two points of  $X$  are collinear in  $\mathcal{A}$ .
- (2) If  $x, y \in X$  such that  $d(x, y) = 2$ , then  $X \cap \mathcal{C}(x, y)$  is an ovoid of the quad  $\mathcal{C}(x, y)$ .
- (3) The point-line incidence structure  $\mathcal{A}$  with points the elements of  $X$ , with lines the quads of  $\mathcal{A}$  containing at least two points of  $X$  and with natural incidence is isomorphic to one of the following near  $2n$ -gons:

- case (a): a point;
- case (b): a line of size at least 2;
- case (c):  $W^D(2n - 1, q^2)$ ;
- case (d):  $H^D(2n, q^2)$ .

- (4) For all  $x, y \in X$ ,  $d(x, y) = 2 \cdot \delta(x, y)$ , where  $\delta(x, y)$  denotes the distance between  $x$  and  $y$  in the geometry  $\mathcal{A}$ .

REMARK. The terminology SDPS-set refers to the fact that there is a sub dual polar space associated with each such set. An SDPS-set of the near 0-gon consists of the unique point of the near 0-gon. An SDPS-set of a generalized quadrangle is just an ovoid of that generalized quadrangle. For the cases (c) and (d), examples of SDPS-sets are known. See [5].

PROPOSITION 3.10. ([5]) *If  $X$  is an SDPS-set of the near  $4n$ -gon  $\mathcal{A} = (\mathcal{P}, \mathcal{L}, \mathcal{I})$ , then the map  $f : \mathcal{P} \mapsto d(x, X)$  is a valuation of  $\mathcal{A}$ .*

DEFINITION. Any valuation  $f$  which can be obtained in the above-mentioned way is called an *SDPS valuation*.

**4. Valuations of dense near hexagons.** Let  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathcal{I})$  be a dense near hexagon and let  $f$  be a valuation of  $\mathcal{S}$ . There are three possibilities.

- $\max\{f(x)|x \in \mathcal{P}\} = 3$ . In this case  $f$  is a classical valuation.
- $\max\{f(x)|x \in \mathcal{P}\} = 1$ . In this case  $f$  is an ovoidal valuation.
- $\max\{f(x)|x \in \mathcal{P}\} = 2$ .

PROPOSITION 4.1. *If  $|O_f| = 1$ , then  $f$  is a classical or a semi-classical valuation.*

*Proof.* This follows directly from Propositions 2.14 and 3.2. □

PROPOSITION 4.2. *Suppose that  $|O_f| \geq 2$  and  $f$  is not ovoidal. Then every two points of  $O_f$  lie at distance 2 from each other. As a consequence,  $G_f$  is a linear space.*

*Proof.* Let  $x$  and  $y$  denote two distinct points of  $O_f$ . Then  $d(x, y) \in \{2, 3\}$ . Suppose that  $d(x, y) = 3$  and consider a shortest path  $x, x_1, x_2, y$  from  $x$  to  $y$ . By property  $(V_2)$ , the points  $x_1$  and  $x_2$  have value 1, and there exists a point  $p$  on  $x_1x_2$  with value 0. Let  $F_{x_1}$  denote the sub near polygon through  $x_1$  satisfying property  $(V_3)$ . Now  $x$  and  $p$  are points with value 0 collinear with  $x_1$  and so  $x, p \in F_{x_1}$ . Since  $x_1$  and  $p$  belong to  $F_{x_1}$ , the point  $x_2$  also belongs to  $F_{x_1}$ . As  $y$  is a point with value 0 collinear with  $x_2$ , we also have  $y \in F_{x_1}$ . Hence,  $x, y \in F_{x_1}$  and  $\mathcal{C}(x, y) \subseteq F_{x_1}$ . Since  $d(x, y) = 3$ ,  $\mathcal{S} = \mathcal{C}(x, y) = F_{x_1}$ ,

a contradiction, since every point of  $F_{x_1}$  has value at most 1 and  $\mathcal{S}$  contains points with value 2.  $\square$

**PROPOSITION 4.3.** *If not every line of a dense near hexagon  $\mathcal{S}$  is incident with the same number of points, then  $f$  is classical or an extended valuation arising from an ovoidal valuation in a quad of  $\mathcal{S}$ .*

*Proof.* Suppose that  $\mathcal{S}$  has  $k \geq 2$  different line sizes  $s_1 + 1, \dots, s_k + 1$ . By Corollary 2.16,  $f$  is not ovoidal and  $k \leq 3$ . If  $k = 3$ , then by Proposition 1.2,  $\mathcal{S}$  is the direct product of three lines of different sizes. Any quad of  $\mathcal{S}$  is then a nonsymmetrical grid and hence does not contain ovoids. Hence, every induced quad valuation is classical. By Proposition 2.13, it then follows that the valuation  $f$  itself is classical, and so we may suppose that  $k = 2$ . By Proposition 1.2, it follows that  $\mathcal{S}$  is the direct product of a line  $L$  and a generalized quadrangle  $\mathcal{Q}$ . Without loss of generality, we may suppose that  $L$  has size  $s_1 + 1$  and that  $\mathcal{Q}$  has order  $(s_2, t_2)$  for a certain  $t_2 \in \mathbb{N} \setminus \{0\}$ . Since  $f$  is not ovoidal,  $\mathcal{S}$  contains points with value 2. If  $f$  contains points with value 3, then  $f$  is classical by Proposition 2.10. Hence, we may suppose that there are only points with value 0, 1 or 2. There are  $(t_2 + 1)(s_2 t_2 + 1)$  quads in  $\mathcal{S}$  isomorphic to a  $(s_1 + 1) \times (s_2 + 1)$ -grid. The induced valuation in each such quad cannot be ovoidal and hence is classical. As a consequence, each such quad contains a unique point of  $O_f$ . Since any point of  $\mathcal{S}$  is contained in precisely  $t_2 + 1$   $(s_1 + 1) \times (s_2 + 1)$ -grids,  $|O_f| = \frac{(t_2 + 1)(s_2 t_2 + 1)}{t_2 + 1} = s_2 t_2 + 1 \geq 2$ . We can now apply Proposition 4.2 and we find that any two points of  $O_f$  lie at distance 2 from each other. Since  $f$  is not classical, there exists a quad  $R$  such that the valuation induced in  $R$  is ovoidal. See Proposition 2.13. Obviously, the quad  $R$  is isomorphic with  $\mathcal{Q}$ . For any point  $x$  of  $\mathcal{S}$  outside  $\mathcal{Q}$ , there always exists a point of the ovoid  $O_f \cap R$  at distance 3 from  $x$  by Proposition 1.1 (iii). Hence,  $f(x) \neq 0$  and  $O_f \subset R$ . By Proposition 1.1 (iii) and Proposition 2.14, it now follows that  $f(x) = d(x, O_f) = d(x, \pi_F(x)) + d(\pi_F(x), O_f)$  for every point  $x$  of  $\mathcal{S}$ , so that  $f$  is the extension of an ovoidal valuation in  $R$ .  $\square$

If all lines of  $\mathcal{S}$  are incident with  $s + 1$  points, then by Proposition 2.15,  $m_0 - \frac{m_1}{s} + \frac{m_2}{s^2} = 0$ , where  $m_i, i \in \{0, 1, 2\}$ , denotes the total number of points with value  $i$ .

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