

REPRESENTATIONS OF QUANTUM HEISENBERG ALGEBRAS

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ABSTRACT. A Lie algebra \mathcal{A} is called a *Heisenberg algebra* if its centre coincides with its derived algebra and is one-dimensional. When \mathcal{A} is infinite-dimensional, Kac, Kazhdan, Lepowsky, and Wilson have proved that \mathcal{A} -modules that satisfy certain conditions are direct sums of a canonical irreducible submodule. This is an algebraic analogue of the Stone-von Neumann theorem. In this paper, we extract quantum Heisenberg algebras, $\mathcal{U}_q(\mathcal{A})$, from the quantum affine algebras whose vertex representations were constructed by Frenkel and Jing. We introduce the canonical irreducible $\mathcal{U}_q(\mathcal{A})$ -module M_q and a class C_q of $\mathcal{U}_q(\mathcal{A})$ -modules that are shown to have the Stone-von Neumann property. The only restriction we place on the complex number q is that it is not a square root of 1. If q_1 and q_2 are not roots of unity, or are both primitive m -th roots of unity, we construct an explicit isomorphism between $\mathcal{U}_{q_1}(\mathcal{A})$ and $\mathcal{U}_{q_2}(\mathcal{A})$. If q_1 is a primitive m -th root of unity, m odd, q_2 a primitive $2m$ -th or a primitive $4m$ -th root of unity, we also construct an explicit isomorphism between $\mathcal{U}_{q_1}(\mathcal{A})$ and $\mathcal{U}_{q_2}(\mathcal{A})$.

Introduction. Kac-Moody algebras—or affine Lie algebras—have proved to be far-reaching in physics and mathematics. Their representations provide solutions to models having conformal invariance in statistical mechanics and particle physics. The *basic representation* of Kac-Moody algebras was constructed by Kac and Frenkel using the vertex operators of string theory. Such a representation is built up from a canonical representation of an infinite-dimensional Heisenberg algebra. An infinite-dimensional Heisenberg Lie algebra over the field of complex numbers, \mathbb{C} , has generators $\{X_n : n \in \mathbb{Z} - \{0\}\}$ and relations

$$(1) \quad [X_m, X_n] = m\delta_{m+n,0}\gamma,$$

where γ is central; see Section 2.2 of [4]. The relations in (1) are precisely the canonical commutation relations in quantum physics. The infinite-dimensional Heisenberg algebra can be realized as a subalgebra of the affine Kac-Moody algebra $A_1^{(1)}$.

Recently physicists and mathematicians have “quantized” certain Lie algebras, thus producing associative algebras called “ q -algebras”. The coefficients in the defining relations in these algebras often involve the classical q -integers $[m]_q$, defined in the Notations preceding Section 1. For instance one might consider instead of (1) the relations

$$[X_m, X_n] = [m]_q \delta_{m+n,0} \gamma$$

where again γ is central but $[X_m, X_n]$ denotes $X_m X_n - X_n X_m$ in the associative algebra. If q is a root of unity, $[m]_q$ can vanish for some integers, m .

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The problem of quantizing affine algebras was solved by Drinfeld in [1] and soon after that Frenkel and Jing constructed their vertex operator representations in [2]. Their approach is similar to that used in constructing the basic representation: they start with a canonical representation of a quantum Heisenberg algebra $\mathcal{U}_q(\mathcal{A})$ when q is not a root of unity.

We begin by extracting the quantum Heisenberg algebra from [2] in the simplest rank one case. The relation we use is slightly more complicated than the one above. It is

$$[X_m, X_n] = ([2m]_q/m)\delta_{m+n,0}[m]_\gamma.$$

The effect of the coefficient $([2m]_q)/m$, as opposed to $[m]_q$, is that the algebra becomes commutative when q is a fourth root of unity. The same coefficient also appears in the vertex operator construction of quantum affine algebras. Since we hope to develop quantum Heisenberg algebras in parallel to quantum affine algebras we use the coefficient $([2m]_q)/m$ instead of $[m]_q$.

In Section 1 we compute the centre of the quantum Heisenberg algebra, $\mathcal{U}_q(\mathcal{A})$. Consider the following families of Heisenberg algebras:

- (i) $\mathcal{U}_q(\mathcal{A})$, q is not a root of unity
- (ii) $\mathcal{U}_q(\mathcal{A})$, q is a fourth root of unity
- (iii) $\mathcal{U}_q(\mathcal{A})$, q is an n -th root of unity, $n \neq 4$.

We use the result on centres to show that if $j \neq k$ no algebra in family (j) is isomorphic to an algebra in family (k). We also show that the algebras in family (i) (respectively family (ii)) are isomorphic. We show that the algebras in family (iii) are isomorphic after a localization.

In Section 2 we turn to the representation theory of quantum Heisenberg algebras and establish a Stone-von Neumann theorem for these algebras. In the Lie algebra case there are two proofs available for generalization. The first one is in [6] and is in the context of affine algebras; the second is in [3] and [7]. We observed that the latter proof can be adapted to quantum Heisenberg algebras.

When q is not a root of unity the canonical $\mathcal{U}_q(\mathcal{A})$ -module is no longer irreducible. It has a maximal proper submodule. In order to formulate a Stone-von Neumann theorem in this case we build an induced module isomorphic to the irreducible quotient.

NOTATION. $\mathbb{C}, \mathbb{C}^\times$ stand respectively for complex numbers and nonzero complex numbers.

$\mathbb{Z} :=$ the set of integers. Let $q \in \mathbb{C}^\times, q^2 \neq 1$. For some domain of the natural logarithm define $t := 2 \ln q$ and $[m]_q := (q^m - q^{-m})/t$.

Also if q is a primitive k -th root of unity put

$$\tilde{k} := \begin{cases} k, & \text{if } k \text{ is odd,} \\ k/2 & \text{if } k = 2l, l \text{ odd,} \\ k/4 & \text{if } 4 \mid k. \end{cases}$$

We now have the conditions: $[2m]_q = 0 \leftrightarrow q^{4m} = 1 \leftrightarrow k \mid 4m \leftrightarrow m \in \tilde{k}\mathbb{Z} - \{0\}$.

1. **Quantum Heisenberg algebras** $\mathcal{U}_q(\mathcal{A})$. Let $\mathcal{X} = \{X_k : k \in \mathbb{Z} - \{0\}\}$ be a set of linearly independent symbols. The quantum Heisenberg algebra, $\mathcal{U}_q(\mathcal{A})$, is the associative algebra with generators

$$(2) \quad \mathcal{X} \cup \{\gamma, \gamma^{-1}\}$$

where γ is a central symbol whose multiplicative inverse is γ^{-1} . The elements in \mathcal{X} are related by

$$(3) \quad [X_m, X_n] = (1/mt^2)(q^{2m} - q^{-2m})\delta_{m+n,0}(\gamma^m - \gamma^{-m}) = ([2m]_q/m)\delta_{m+n,0}[m]_\gamma$$

where $\delta_{m+n,0}$ is Kronecker delta and $[m]_\gamma = (\gamma^m - \gamma^{-m})/t$ as in [5]. Equation (3) is the quantum analogue of (1). In all the representations that we will consider $\gamma^{\pm 1}$ will act as multiplication by $q^{\pm 1}$, in which case (3) becomes $[X_m, X_n] = ([2m]_q[m]_q)\delta_{m+n,0}$. As q tends to 1 the right hand side of this equation approaches $2m$. Therefore the universal enveloping algebra of the Lie algebra \mathcal{A} is the “limit” of $\mathcal{U}_q(\mathcal{A})$ as q tends to 1.

If q is a fourth root of unity then $[2m]_q = 0$ for all nonzero integers m . Hence $\mathcal{U}_q(\mathcal{A})$ is a commutative algebra. Except in Corollary 1.3(a) and Corollary 1.3(c) we shall assume in the sequel that q is not a fourth root of unity.

We now establish some properties of $\mathcal{U}_q(\mathcal{A})$. We recall that all algebra maps fix elements of \mathbb{C} . All our algebra maps will also fix powers of γ .

PROPOSITION 1.1. $\mathcal{U}_{q_1}(\mathcal{A}) \cong \mathcal{U}_{q_2}(\mathcal{A})$ by explicit formulae in the following cases:

- (a) neither q_1 nor q_2 is a root of unity;
- (b) both q_1 and q_2 are primitive m -th roots of unity.
- (c) q_1 is a primitive m -th root of unity while q_2 is a primitive $(2m)$ -th or a primitive $(4m)$ -th root of unity, where m is an odd positive integer.

PROOF. (a) The assignment $\gamma^n \mapsto \gamma^n, n \in \mathbb{Z}; X_{-n} \mapsto X_{-n}; X_n \mapsto \frac{t_2^2(q_1^{2n} - q_1^{-2n})}{t_1^2(q_2^{2n} - q_2^{-2n})} X_n, n > 0$, extends to an isomorphism from $\mathcal{U}_{q_1}(\mathcal{A})$ onto $\mathcal{U}_{q_2}(\mathcal{A})$

(b) If q_1 and q_2 are primitive m -th roots of unity (same m) then the resulting algebras are isomorphic via $X_k \mapsto X_k$ for all nonzero integers $k \in \mathbb{Z} - \{0\}$. All other assignments are as in (a).

(c) The images of $\gamma^n, n \in \mathbb{Z}$ and $X_{-n}, n > 0$ are the same as in (a) and (b), while $X_{nm} \mapsto X_{nm}, n \in \mathbb{Z} - \{0\}$. Since $m, 2m$ and $4m$ divide $4nm$, (3) is preserved by this assignment. Suppose m does not divide $k, k > 0$; we have to decide where to map X_k . First we observe that both $(q_1^{2k} - q_1^{-2k})$ and $(q_2^{2k} - q_2^{-2k})$ are nonzero. If the former were zero then $q_1^{4k} = 1$. Since q_1 is a primitive m -th root of unity, this implies that m divides $4k$. Since m is odd, this contradicts “ m does not divide k ”. If $(q_2^{2k} - q_2^{-2k}) = 0$, then $2m \mid 4k$ and $4m \mid 4k$. Hence $m \mid k$, which has already been ruled out. So $X_k \mapsto c_k X_k, k > 0$ and m does not divide k , where $c_k = \frac{t_2^2(q_1^{2k} - q_1^{-2k})}{t_1^2(q_2^{2k} - q_2^{-2k})}$, yields a well-defined map. We now extend these formulae to an isomorphism from $\mathcal{U}_{q_1}(\mathcal{A})$ onto $\mathcal{U}_{q_2}(\mathcal{A})$. ■

REMARK. If m in Proposition 1.1(c) is even the map in our proof may take 0 to a nonzero element. We shall deal with the general case in Proposition 1.4, where unfortunately the isomorphism is not a \mathbb{C} -algebra isomorphism.

PROPOSITION 1.2. (a) *The group of units, U , of $\mathcal{U}_q(\mathcal{A})$ is $\{\alpha\gamma^n : \alpha \in \mathbb{C} - \{0\}, n \in \mathbb{Z}\}$.*

(b) *If q is not a root of unity, then the centre of $\mathcal{U}_q(\mathcal{A})$ is the subalgebra, \mathcal{Z} , generated by $\{\gamma, \gamma^{-1}\}$.*

(c) *If q is a primitive l -th root of unity, then the centre of $\mathcal{U}_q(\mathcal{A})$ is the subalgebra \mathcal{Z} generated by $\{\gamma, \gamma^{-1}\} \cup \{X_k : k \in \tilde{\mathbb{Z}} - \{0\}\}$.*

PROOF. (a) The displayed set is an abelian group and is contained in U because γ and γ^{-1} are central elements of the \mathbb{C} -algebra $\mathcal{U}_q(\mathcal{A})$. Let $L = \mathbb{C}[\gamma, \gamma^{-1}]$ be the ring of Laurent polynomials. Suppose $g \in \mathcal{U}_q(\mathcal{A}) - L$. Say X_n occurs in a component of g for some $n \in \mathbb{Z} - \{0\}$. By applying (3) we may put g in the form $g = X_n^k g_1 + g_2$ where $g_1 \neq 0$, and if X_n^l occurs with nonzero coefficient in g_2 then $j < k$. If $f \in \mathcal{U}_q(\mathcal{A})$ we treat it in the same way with respect to X_n , i.e.; $f = X_n^l f_1 + f_2$ where $f_1 \neq 0$ and if X_n^j occurs with nonzero coefficient in f_2 then $j < l$. So, if f has no X_n -term, l is 0. No application of (3) to gf can eliminate the component containing X_n^{k+l} . Therefore $gf \neq 1$. Hence g is not in U . So we may assume that $g \in \mathbb{C}[\gamma, \gamma^{-1}]$. In that case if g is a unit it must be in the displayed set.

(b) Since γ and γ^{-1} are central, the centre of $\mathcal{U}_q(\mathcal{A})$ contains \mathcal{Z} .

Let $f \in \mathcal{U}_q(\mathcal{A}) - \mathcal{Z}$. We shall show that f is not in the centre of the algebra. Since γ and γ^{-1} are central we may assume that neither of them is the last symbol in any term of f . Since f is not a constant, at least one of the terms in f ends in X_n , n some nonzero integer. By applying (3) successively we may assume that X_n occurs as the last symbol in every term of f in which it occurs. Let $f = f_1 X_n + f_2$ where $f_1 \neq 0$ and f_2 has no term involving X_n .

It suffices to show that $[f_1 X_n, X_{-n}] \neq 0$ since $[f_2, X_{-n}] = 0$, and so $[f, X_n] = [f_1 X_n, X_{-n}] \neq 0$. We may, by collecting terms with the same power of X_n , write $f_1 X_n = h_1 X_n^{s_1} + h_2 X_n^{s_2} + \dots + h_t X_n^{s_t}, s_1 > s_2 > \dots > s_t$, where $h_1 \neq 0$ and none of the h_i 's has an X_n -term. (t could be 1.) For $1 \leq k \leq t$ we will agree that $h_k X_n^{s_k}$ has "X_n-degree" equal to s_k . Since q is not a root of unity, it follows from (3) that $[h_k X_n^{s_k}, X_{-n}]$ is nonzero and has X_n -degree $(s_k - 1)$. Thus $[f_1 X_n, X_{-n}]$ has a nonzero term of X_n -degree $(s_1 - 1)$ and by maximality of s_1 , no term can cancel it. Thus $[f_1 X_n, X_{-n}] \neq 0$ as required.

(c) If $k \in \tilde{\mathbb{Z}}$ then $q^{4k} = 1$. So, from (3), we get that X_k is in \mathcal{Z} . So the displayed set is in \mathcal{Z} . We now proceed as in the second paragraph of (b) with the same notation. Let $f \in \mathcal{U}_q(\mathcal{A}) - \mathcal{Z}$. So one of the terms in f ends in X_n where n is not in $\tilde{\mathbb{Z}}$. Since q is a primitive l -th root of unity, this implies that $q^{2n} - q^{-2n} \neq 0$. We continue just as in (b) to deduce that f is not in the centre of the algebra. ■

As a consequence of Proposition 1.2 we get

COROLLARY 1.3. (a) *If q is a primitive fourth root of unity, then $\mathcal{U}_q(\mathcal{A})$ is a commutative algebra.*

(b) *If q_1 is not a root of unity and q_2 is a root of unity, then $\mathcal{U}_{q_1}(\mathcal{A})$, and $\mathcal{U}_{q_2}(\mathcal{A})$ are nonisomorphic algebras.*

(c) If q_1 is a fourth root of unity and q_2 is not a primitive fourth root of unity, then $\mathcal{U}_{q_1}(\mathcal{A})$, and $\mathcal{U}_{q_2}(\mathcal{A})$ are nonisomorphic algebras.

PROOF. (a) follows from Proposition 1.2(c) and Equation (3).

(b) The two algebras have nonisomorphic centres.

(c) If q_2 is not a primitive fourth root of unity, then X_1 is not in the centre of the algebra by Proposition 1.2(c). So (c) follows from Corollary 1.3(a). ■

We now continue from where we left off in Proposition 1.1. Since $S := \mathbb{C}[\gamma] - \{0\}$, the set of nonzero complex polynomials in γ , is a central multiplicative subset of $\mathcal{U}_q(\mathcal{A})$, we may localize the algebra at S , i.e.; we invert elements of S , and then regard the resulting algebra as a $\mathbb{C}(\gamma)$ -algebra, where $\mathbb{C}(\gamma)$ is the field of rational functions. We still denote this localization of $\mathcal{U}_q(\mathcal{A})$ at S by $\mathcal{U}_q(\mathcal{A})$. We then get

PROPOSITION 1.4. *If q_1 is a primitive m -th root of unity and q_2 is a primitive n -th root of unity then $\mathcal{U}_{q_1}(\mathcal{A})$, and $\mathcal{U}_{q_2}(\mathcal{A})$ are isomorphic $\mathbb{C}(\gamma)$ -algebras.*

PROOF. Let

$$S_i = \{k \in \mathbb{Z} - \{0\} : q_i^{4k} = 1\}, \quad i = 1, 2.$$

We observe that for a fixed $i, k \in S_i \leftrightarrow -k \in S_i$. Since S_1 (respectively S_2) is the set of integral multiples of \tilde{m} (respectively \tilde{n}) it follows that S_i and its complement, C_i , in $\mathbb{Z} - \{0\}$ are countably infinite.

Now $S_i = S_i^+ \cup S_i^-$, where \pm stand for the positive and negative elements of S_i respectively. We have already noted that

$$(4) \quad S_i^- = -S_i^+.$$

Using an analogous notation for C_i we get from (4) that

$$C_i^- = -C_i^+.$$

Let $s^+ : S_1^+ \rightarrow S_2^+$ be a bijective map. We note that $-s^+$ gives a bijection between S_1^- and S_2^- . In this way we get a bijection, s , between S_1 and S_2 . We obtain a bijection, c , between C_1 and C_2 using c^+ and $-c^+$, where c^+ is a bijection between C_1^+ and C_2^+ .

As usual we are going to define the isomorphism $\phi : \mathcal{U}_{q_1}(\mathcal{A}) \rightarrow \mathcal{U}_{q_2}(\mathcal{A})$ by specifying the images of the symbols in (2). In this case, $\gamma^n \mapsto \gamma^n, n \in \mathbb{Z}; X_k \mapsto X_{s(k)}$, if $k \in S_1$. The definition of S_i then tells us that for n, m in S_1 (3) is satisfied. Suppose $k \in C_1$. Then we define $X_k \mapsto X_{c(k)}$, if $k < 0$. (So, $X_{c(k)} = X_{-c(-k)}$.)

If $k > 0$, we want to map X_k to $\alpha_k X_{c(k)}$, where α_k is chosen in such a way that (3) is satisfied. To that end let

$$a_k := c(k)t_2^2(q_1^{2k} - q_1^{-2k})(\gamma^k - \gamma^{-k})$$

$$b_k := kt_1^2(q_2^{2c(k)} - q_2^{-2c(k)})(\gamma^{c(k)} - \gamma^{-c(k)}).$$

Since k and $c(k)$ are in C_1, C_2 respectively, $a_k b_k \neq 0$.

Put $\alpha_k := a_k / b_k$.

This guarantees that (3) is satisfied. ■

REMARK. We do not know if all the algebras in family (iii) of the introduction are isomorphic.

2. **The canonical module M_q and the category C_q .** The algebra $\mathcal{U}_q(\mathcal{A})$ is \mathbb{Z} -graded in such a way that the degree of the monomial $\prod_{i=1}^n X_{k_i}$ is $\sum_{i=1}^n k_i$. We assign elements in the subalgebra generated by γ and γ^{-1} a degree of zero. Every $\mathcal{U}_q(\mathcal{A})$ -module that we consider is \mathbb{Z} -graded with a grading that is compatible with the grading on $\mathcal{U}_q(\mathcal{A})$.

We now define the ‘positive’ and ‘negative’ components of the algebra, $\mathcal{U}_q(\mathcal{A})$. To that end, for q not a root of unity let

$$(5) \quad \mathcal{A}_q^- = \{X_k : k < 0\}$$

while $\mathcal{B}_q = \mathcal{U}_q(\mathcal{A})^+$ is the subalgebra of $\mathcal{U}_q(\mathcal{A})$ generated by

$$(6) \quad \{X_k : k > 0\} \cup \{\gamma, \gamma^{-1}\}.$$

If q is a primitive n -th root of unity, let

$$(7) \quad \mathcal{A}_q^- = \{X_k : k < 0, k \notin \tilde{n}\mathbb{Z}\}$$

while $\mathcal{B}_q = \mathcal{U}_q(\mathcal{A})^+$ is the subalgebra of $\mathcal{U}_q(\mathcal{A})$ generated by

$$(8) \quad \{X_k : k > 0\} \cup \{X_k : k < 0, k \in \tilde{n}\mathbb{Z}\} \cup \{\gamma, \gamma^{-1}\}.$$

Denote by $\mathcal{U}_q(\mathcal{A})^-$ the subalgebras of $\mathcal{U}_q(\mathcal{A})$, generated by the respective complements of (6) and (8) in (2); i.e.; (5) and (7). Both $\mathcal{U}(\mathcal{A})_q^-$ and $\mathcal{U}(\mathcal{A})_q^+$ are commutative algebras by (3). Therefore,

$$(9) \quad \mathcal{U}_q(\mathcal{A})^- \cong S(\mathcal{A}_q^-)$$

as \mathbb{C} -vector spaces, where S denotes the symmetric algebra; which in this case is the commutative algebra of polynomial rings in the variables in \mathcal{A}_q^- .

Let $f = f(\mathbf{X}) \in \mathcal{U}_q(\mathcal{A})$. Since the right hand side of (3) is central, successive applications of (3) to $X_m X_n$ and the linearity of \otimes allow us to write f as a sum of terms of the form $f_- f_+$, where $f_+ \in \mathcal{B}_q$ and $f_- \in \mathcal{U}_q(\mathcal{A})^-$. Therefore we have the following Poincaré-Birkhoff-Witt decomposition:

$$(10) \quad \mathcal{U}_q(\mathcal{A}) = \mathcal{U}_q(\mathcal{A})^- \mathcal{U}_q(\mathcal{A})^+.$$

Let $\mathbb{C}v_+$ be the one-dimensional vector space spanned by the symbol v_+ . We make $\mathbb{C}v_+$ a \mathcal{B}_q -module by setting

$$(11) \quad X_k v_+ = 0, \quad k > 0, \quad \gamma v_+ = qv_+, \quad \gamma^{-1} v_+ = q^{-1} v_+.$$

If q is a primitive n -th root of unity we require in addition to (11) that

$$(12) \quad X_k v_+ = 0, \quad k < 0, \quad k \in \tilde{n}\mathbb{Z}$$

Here comes our canonical irreducible module, M_q .

Let $M_q = \mathcal{U}_q(\mathcal{A}) \otimes_{\mathcal{B}_q} \mathbb{C}v_+$. We get from (10), (11), and (12) the following isomorphism of \mathbb{C} -spaces.

$$(13) \quad M = M_q \cong S(\mathcal{A}_q^-).$$

If one transfers the $\mathcal{U}_q(\mathcal{A})$ -module structure of M_q to $S(\mathcal{A}_q^-)$, one sees from (3), (11), and (12) that X_k acts on M_q as multiplication by X_k for $k < 0$, (if q is a primitive n -th root of unity and $k < 0$ and $k \in \tilde{n}\mathbb{Z}$ then X_k is not in M_q under the identification in (13) and X_k acts on M_q as multiplication by 0.) When $k > 0$, X_k acts on M_q as the unique derivation, δ_{X_k} ,

$$(14) \quad \delta_{X_k}(X_m) = \left(([2m]_q [m]_q) / m \right) \delta_{m+k,0}$$

where $\delta_{m+k,0}$ is Kronecker delta. The elements γ, γ^{-1} act as multiplications by q and q^{-1} respectively on M_q .

In the proof of Theorem 2.4, the expression $([2m]_q [m]_q) / m$ is denoted by $q_{(m)}$. Thus for $l > 0$, X_l acts as $q_{(l)} \frac{\partial}{\partial X_{-l}}$.

The proof of Proposition 2.1 is along the lines of that of Lemma 2.1 of [4].

PROPOSITION 2.1. *M_q is an irreducible $\mathcal{U}_q(\mathcal{A})$ -module.*

PROOF. By (13) we may consider M_q as a polynomial ring. Let f be any nonzero element of M_q . We have to show that the cyclic submodule $\mathcal{U}_q f$ is M_q . If $X_{-l}, l > 0$, is a term in f , then $[X_l, X_{-l}] \neq 0$, by (5), (7), (13), and (3). Therefore by differentiating f using appropriate choices of k in (14) we get that $\mathcal{U}_q f$ contains a nonzero constant. Using left multiplication by $X_{-k}, k > 0$, we get that $\mathcal{U}_q f = M_q$ as required. ■

REMARK 2.2. If we had used (5) instead of (7) when q is a primitive n -th root of unity the resulting $M = M_q$ —the polynomial ring in the variables in \mathcal{A}_q^- would not be an irreducible \mathcal{U}_q -module. Moreover, M_q is not completely reducible and it has a maximal submodule R that is not completely reducible.

PROOF. The complement of (7) in (5), i.e.; $\{X_k : k < 0, k \in \tilde{n}\mathbb{Z}\}$ generates a maximal submodule, R , of M_q : Suppose $g \in M_q$ but not in R . We want to show that the submodule of M_q generated by $R + g$ is M_q . Every summand of g with a term in $\{X_k : k < 0, k \in \tilde{n}\mathbb{Z}\}$ is in R . By subtracting such summands from g , we may assume that if $X_{-l}, l > 0$, is a term in g then $[X_l, X_{-l}] \neq 0$ because $q_{(l)} \neq 0$. Then just as in the proof of Proposition 2.1, we get that the submodule of M_q generated by $R + g$ is M_q .

Suppose M_q is completely reducible. Then the submodule R would also be completely reducible. In particular it would be a direct sum of cyclic submodules that are irreducible. However, if $f \in R, f \neq 0$, then it has a term $X_{-l}, l > 0, l \in \tilde{n}\mathbb{Z} - \{0\}$. By noting that $\mathcal{U}_q f \neq \mathcal{U}_q X_{-l} f$, we see that no nonzero cyclic submodule of R is irreducible. ■

A consequence of Remark 2.2 and Proposition 2.3 below is that M/R is the unique (up to isomorphism) irreducible building block for a Stone-Von Neumann theorem. We constructed this irreducible module directly from the start by including the additional

central elements of $\mathcal{U}_q(\mathcal{A})$ in the “ q -Borel” subalgebra \mathcal{B}_q because of the convenience of working with polynomial rings.

Let us return to M_q as given in (13). We make M_q a \mathbb{Z} -graded $\mathcal{U}_q(\mathcal{A})$ -module with trivial positive component by setting the degree of nonzero constants to be 0, and the degree of a nonzero monomial $\prod_{i=1}^n X_{k_i}$ is $\sum_{i=1}^n k_i$.

We now introduce a category of $\mathcal{U}_q(\mathcal{A})$ -modules, denoted by C_q , and defined as follows. A \mathbb{Z} -graded $\mathcal{U}_q(\mathcal{A})$ -module $V = \bigoplus_{n \in \mathbb{Z}} V_n$ belongs to C_q if

- (i) γ, γ^{-1} act as multiplication by q and q^{-1} respectively
- (ii) There exists $N \in \mathbb{Z}$ such that $V_n = 0$ for every $n > N$.

If q is a primitive n -th root of unity we require, in addition to (i) and (ii),

- (iii) $X_k v = 0$ for every $v \in V$ and every $k < 0, k \in \bar{n}\mathbb{Z}$.

DEFINITION. A nonzero element, v , in a graded $\mathcal{U}_q(\mathcal{A})$ -module V , is called a *vacuum vector* if $X_k v = 0$ for every positive integer k . The *vacuum space*, Ω_V , of V is the subspace of V consisting of its vacuum vectors and 0.

We observe that if $N \in C_q$ then Ω_N is \mathbb{Z} -graded and contains a nonzero vacuum vector. From (13) we get that Ω_{M_q} is a one-dimensional vector space spanned by the constant polynomial 1.

PROPOSITION 2.3. *Every nonzero module N in C_q contains a submodule isomorphic to M_q . In particular M_q is the unique (up to isomorphism) irreducible module in C_q .*

PROOF. Let v be a vacuum vector in N . The map from M_q to N that takes x to xv , $x \in S(\mathcal{A}_q^-)$ is readily checked to be a $\mathcal{U}_q(\mathcal{A})$ -module map. Since M_q is irreducible, the map is a module-isomorphism between M_q and $\mathcal{U}_q(\mathcal{A})v$. The latter module is N when N is irreducible. ■

Let V be a module in C_q . As a vector space $\Omega_V = \bigoplus C w_\nu, \nu$ ranging over some indexing set J . Let $\text{Ind } \Omega_V = \mathcal{U}_q \otimes_{\mathcal{B}_q} (\bigoplus C w_\nu)$. This is a \mathcal{U}_q -module in C_q . From the properties of \otimes , we get that $\Omega_{\text{Ind } \Omega_V} = \Omega_{\text{Ind}(\bigoplus C w_\nu)}$. By Proposition 2.3, the latter module is isomorphic to $\Omega_{\bigoplus_j M_q} = \bigoplus_j \Omega_{M_q} = \bigoplus_j C \cdot 1 = \Omega_V$. The equalities are actually natural identifications. Summarising, we have

$$(15) \quad \Omega_{\text{Ind } \Omega_V} = \Omega_V.$$

In the proof of the next theorem we shall use (15) and the notation preceding (15). The proof relies only on the fact that M_q is a polynomial ring and so (13) permits a uniform proof for both q a root of unity and q not a root of unity.

THEOREM 2.4. *Every $\mathcal{U}_q(\mathcal{A})$ -module V in C_q is a direct sum of copies of M_q .*

PROOF [3, THEOREM 1.7.3]. The prescription $u \otimes v \mapsto uv$ defines a homomorphism f from $\mathcal{U}_q \otimes_{\mathcal{B}_q} \Omega_V$ to V . Now, Ω_V embeds in $\text{Ind } \Omega_V$ via $1 \otimes v$. Therefore, f is injective on Ω_V . Since every graded submodule of $\text{Ind } \Omega_V$ is also in C_q , the kernel of f , if nontrivial, contains a vacuum vector, x . By (15), $x \in \Omega_V$. So the kernel of f is 0.

If $V/\text{Im } f \neq 0$, then it too contains a vacuum vector w (say). So if v is a representative of w in V we have that v is not in $\text{Im } f, X_i v \in \text{Im } f$ for every positive integer i ; there exists

an integer i_0 such that $X_i v = 0$ for all $i > i_0$. The last statement follows from the fact that for i large enough $X_i v$ is in $V_{N+1} = 0$. Since f is injective, $\Omega_V \subseteq \text{Im} f \subseteq V$. If we can find $t \in \text{Im} f$ such that $X_i(t - v) = 0$ for all $i > 0$, then $t - v$ would be a vacuum vector in $V - \Omega_V$, a contradiction.

Since f is injective we may assume that

$$(16) \quad \text{Im} f = \bigoplus_J (\mathcal{U}_q \otimes_{\mathcal{B}_q} \mathbb{C}w_\nu).$$

So $\text{Im} f$ satisfies the conclusion of the theorem. For each positive integer i and $\nu \in J$ let $s_{i\nu}$ be the component of $X_i v$ in $\text{Ind } \mathbb{C}w_\nu$ with respect to the decomposition (16).

By (3), $[X_i, X_j] = 0$ for all positive integers i, j . Therefore, $X_i X_j v = X_j X_i v$. So for all $\nu \in J$, we have

$$(17) \quad X_i s_{j\nu} = X_j s_{i\nu}.$$

and $s_{i\nu} = 0$ for all $i > i_0$. Since $X_1 v, \dots, X_{i_0} v$ have nonzero component only in a finite subset of J and $X_i v = 0$ for $i > i_0$ we conclude that there is a finite subset J_0 such that $s_{i\nu} = 0$ unless $\nu \in J_0$ and $i \leq i_0$. We need only find $t_\nu \in \text{Ind } \mathbb{C}w_\nu$ with $X_i t_\nu = s_{i\nu}$ for $\nu \in J_0$. Then $t = \sum_{\nu \in J_0} t_\nu$ will have the property that $X_i(t - v) = 0$ for every positive integer i .

Fix $\nu \in J_0$ and, as in (13), consider $\text{Ind } \mathbb{C}w_\nu$ as a polynomial ring. Recall from (14) that X_i acts as $q^{(i)} \frac{\partial}{\partial X_{-i}}$. So (17) becomes

$$(18) \quad q^{(i)} \frac{\partial}{\partial X_{-i}} s_{j\nu} = q^{(j)} \frac{\partial}{\partial X_{-j}} s_{i\nu}.$$

Since $s_{i\nu} = 0$ for $i > i_0$ we see that (18) is an equation in the polynomial ring, S , in only finitely many variables X_{-i} , $0 < i \leq i_0$. In order to invoke the result on conservative vector fields we make the change of variable: X_i to $\frac{X_i}{q^{(i)}}$ for all $i > 0$. This is permissible since $q^{(i)} \neq 0$. So there exists $t_\nu \in S$ such that

$$X_i t_\nu = s_{i\nu}$$

for $0 < i \leq i_0$, and hence for all positive integers i . ■

CONCLUDING REMARKS. The fact that the Stone-Von Neumann theorem generalizes nicely to quantum Heisenberg algebras leads us to wonder whether other interesting results in Lie algebra theory also generalize. As an example we point that although there have been successful attempts at constructing quantum Virasoro algebras, we are not aware of any that admit oscillator representations, *i.e.*; representations that arise from Segal or Sugawara operators involving quantum Heisenberg algebras.

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