

# PSEUDOVALUATIONS OF POLYNOMIALS

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A valuation of a ring  $K$  is a function

$$W: K \rightarrow A,$$

where  $A$  is an archimedean ordered field and  $W$  has the properties of the absolute valuation; see (2, chap. X). The theory was extended in 1936 by K. Mahler (1), who introduced the concept of pseudovaluations. Whereas for a valuation we must always have

$$W(ab) = W(a)W(b),$$

for a pseudovaluation it is sufficient that

$$W(ab) \leq W(a)W(b).$$

Two pseudovaluations are to be regarded as equivalent if they give the same topology. There arises the problem of determining all the independent pseudovaluations of any given ring.

This presents great difficulties in the case of the ring of polynomials in an indeterminate symbol,  $z$ , with complex coefficients. In this paper we consider only those pseudovaluations which coincide with the trivial valuation in the coefficient field. Each of these is shown to be equivalent to a sum of finitely many pseudovaluations of a simple type.

1. We begin by introducing a concept which will be prominent in our investigation.

A formal expression such as

$$\tilde{a} = p_1^{f_1} p_2^{f_2} \dots p_t^{f_t},$$

where  $p_1, p_2, \dots, p_t$  are distinct prime polynomials and  $f_1, f_2, \dots, f_t$  are each equal to a positive integer or to zero or infinity, will be known as a pseudopolynomial.

Every pseudopolynomial can be uniquely associated with a class of equivalent pseudovaluations. Denoting the representative pseudovaluation associated with the pseudopolynomial  $\tilde{a}$  by  $W(\rho|\tilde{a})$ , we may define:

(a)  $W(\rho|1) = U(\rho) = 0$ , the improper valuation.

(b)  $W(\rho|0) = W_0(\rho) = \begin{cases} 0 & \text{for } \rho = 0, \\ 1 & \text{for } \rho \neq 0, \end{cases}$  the trivial valuation.

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(c) If  $p$  is a prime polynomial and  $f$  is a positive integer,

$$W(\rho|p^f) = \begin{cases} 0 & \text{for } \rho \equiv 0 \pmod{p^f}, \\ 1 & \text{for } \rho \not\equiv 0 \pmod{p^f}, \end{cases}$$

the residue class valuation.

By  $W(\rho|p^\infty)$  we denote the  $p$ -adic valuation,

$$W(\rho|p^\infty) = \begin{cases} 0 & \text{for } \rho = 0, \\ e^{-f} & \text{for } \rho \neq 0, p^f || \rho. \end{cases}$$

(d) Finally, if  $\tilde{a} = p_1^{f_1} p_2^{f_2} \dots p_t^{f_t}$ , we define:

$$W(\rho|\tilde{a}) = \sum_{\tau=1}^t e(f_\tau) W(\rho|p_\tau^{f_\tau}),$$

where

$$e(f) = \begin{cases} 0 & \text{for } f = 0, \\ 1 & \text{for } f \neq 0. \end{cases}$$

It is clear that  $W(\rho|\tilde{a})$  is now uniquely defined, and it is easily seen that different pseudovaluations of types (a), (b), and (c) are not equivalent to each other.

**2.** The infinitely many pseudovaluations associated with the same finite prime polynomial,  $p$ , satisfy the relations

$$W(\rho|p) \subset W(\rho|p^2) \subset W(\rho|p^3) \subset \dots \subset W(\rho|p^\infty),$$

so that the sum of finitely many of these  $W(\rho|p^f)$  is equivalent to the summand with the highest value of  $f$ .

Further, the following two statements present no difficulty:

(a) If the pseudopolynomial  $\tilde{a}$  is the least common multiple of the finitely many pseudopolynomials  $\tilde{a}_1, \tilde{a}_2, \dots, \tilde{a}_q$  (i.e., if  $\tilde{a}_l | \tilde{a}$  ( $l = 1, \dots, q$ ) and, if  $\tilde{a}_l | \tilde{b}$  ( $l = 1, \dots, q$ ), then  $\tilde{a} | \tilde{b}$ ) then

$$\sum_{i=1}^q W(\rho|\tilde{a}_i) \sim W(\rho|\tilde{a}).$$

(b) If  $\tilde{a} | \tilde{b}$ , then

$$W(\rho|\tilde{a}) \subset W(\rho|\tilde{b}).$$

We make the following two definitions:

*Definition 1.* If the pseudovaluations  $W_1(\rho), W_2(\rho), \dots, W_r(\rho)$  are independent, then their sum,

$$W_2(\rho) = \sum_{i=1}^r W_i(\rho),$$

is called their direct sum.

*Definition 2.* If the pseudopolynomials  $\tilde{a}_1, \tilde{a}_2, \dots, \tilde{a}_q$  are mutually prime in pairs, then their least common multiple is known as their direct product.

It is then true that:

(c) If  $\tilde{a}$  is the direct product of  $\tilde{a}_1, \tilde{a}_2, \dots, \tilde{a}_q$ , then the pseudovaluation  $W(\rho|\tilde{a})$  is equivalent to the direct sum of the pseudovaluations

$$W(\rho|\tilde{a}_1), W(\rho|\tilde{a}_2), \dots, W(\rho|\tilde{a}_q).$$

This property in fact follows quite easily from the following theorem:

**THEOREM 1.** *Let  $p_1^{f_1}, p_2^{f_2}, \dots, p_t^{f_t}$  be powers of finitely many different primes of  $P$ , with exponents equal to a positive integer, or to zero or infinity. Then the pseudovaluations*

$$W(\rho|p_1^{f_1}), W(\rho|p_2^{f_2}), \dots, W(\rho|p_t^{f_t})$$

are independent of each other.

*Proof.* The theorem states that, given  $t$  polynomials,  $\gamma_1, \gamma_2, \dots, \gamma_t$ , we can find an infinite sequence of polynomials,  $\alpha_1, \alpha_2, \alpha_3, \dots$ , such that

$$\lim_{n \rightarrow \infty} W((\gamma_r - \alpha_n)|p_r^{f_r}) = 0 \quad (r = 1, 2, \dots, t).$$

Now, since  $p_1, p_2, \dots, p_t$  are distinct prime polynomials, we know that for any integer,  $f$ , the set of congruences

$$\alpha \equiv \gamma_r(p_r^f) \quad (r = 1, 2, \dots, t)$$

has the solution

$$\alpha \equiv \gamma^{(f)} \pmod{c}$$

for some polynomial  $\gamma^{(f)}$ , where  $c = p_1^f p_2^f p_3^f \dots p_t^f$ .

We put  $\alpha_n = \gamma^{(n)}$  for all  $n$ . So we have  $\alpha_n \equiv \gamma_r(p_r^n)$  for all  $n$ , and the infinite sequence of  $\alpha_n$ 's thus defined clearly satisfies the conditions of the theorem.

**3.** Having studied the special type of pseudovaluation

$$W(\rho|\tilde{a}),$$

we now consider the general pseudovaluation

$$W(\rho)$$

and we seek to find a pseudovaluation of the above special type equivalent to it. (It will be remembered that we stipulate that  $W(a) = W_0(a)$ , when  $a$  is a complex number.)

For brevity we write

$$U(\rho) \text{ for } W(\rho|1),$$

$$W_0(\rho) \text{ for } W(\rho|0),$$

$$|\rho|_p \text{ for } W(\rho|p^\infty),$$

and  $W_{p^f}(\rho) \text{ for } W(\rho|p^f) \quad (f = 1, 2, 3, \dots).$

We shall exclude the case for which  $W(\rho)$  is equivalent to the improper valuation  $U(\rho)$ , i.e., is identically zero. We shall also exclude the uninteresting case

$$W(\rho) \begin{cases} = 0 & \text{for } \rho = 0, \\ \geq 1 & \text{for } \rho \neq 0, \end{cases}$$

for then  $W(\rho)$  is equivalent to  $W_0(\rho)$ .

Hence there exists an element  $\alpha \neq 0$  such that  $W(\alpha) < 1$ . We note that  $\alpha$  is not a constant, for otherwise  $W(\alpha) = 1$ , by hypothesis.

**4. THEOREM 2.** *To every pseudovaluation of the ring  $P$  (for which  $W(\text{constant}) = W_0(\text{constant})$ ) there corresponds a positive constant,  $c_1$ , such that, for every element  $\rho \in P$ ,*

$$W(\rho) \leq c_1.$$

*Proof.* We have the polynomial  $\alpha \neq$  a constant, such that

$$W(\alpha) < 1.$$

Let  $\xi$  be any element of  $P$ ; then it can be written as a sum

$$\xi = \sum_0^f a_k \alpha^k$$

where the  $a_k$ 's are polynomials such that

$$\text{degree}(a_k) < \text{degree}(\alpha) \quad (k = 0, 1, \dots, f).$$

Therefore

$$W(a_k) \leq \sum_{i=0}^d W(z)^i \leq (d+1)\max(1, W(z)^d),$$

which depends only on  $d$ , the degree of  $\alpha$ , and on  $W$ . Hence  $W(a_k)$  is bounded, say  $W(a_k) \leq c'_1$  ( $k = 0, 1, \dots, f$ ). Thus

$$\begin{aligned} W(\xi) &\leq \sum_0^f W(a_k)W(\alpha^k) \\ &\leq c'_1 \sum_0^\infty W(\alpha)^k = \frac{c'_1}{1 - W(\alpha)}, \quad \text{a constant.} \end{aligned}$$

**5. Definition 3.** An element,  $\gamma \neq 0$ , of  $P$  is called a  $W$ -element if there exists a second element,  $\delta \neq 0$ , of  $P$  such that

$$\lim_{j \rightarrow \infty} W(\gamma^j \delta) = 0.$$

There do in fact exist  $W$ -elements, for  $\alpha$  has the property

$$\lim_{j \rightarrow \infty} W(\alpha^j \cdot 1) = 0.$$

**THEOREM 3.** *If  $\gamma$  is a  $W$ -element and  $\gamma|\gamma^*$ ,  $\gamma^* \neq 0$ , then  $\gamma^*$  is also a  $W$ -element.*

*Proof.* Let  $\gamma^* = \gamma\beta$ . We have a  $\delta \neq 0$  such that  $\lim_{j \rightarrow \infty} W(\gamma^j\delta) = 0$ . Hence

$$\begin{aligned} \lim_{j \rightarrow \infty} W(\gamma^{*j}\delta) &\leq \lim_{j \rightarrow \infty} W(\beta^j)W(\gamma^j\delta) \\ &\leq c_1 \lim_{j \rightarrow \infty} W(\gamma^j\delta) = 0. \end{aligned}$$

**THEOREM 4.** *If  $\gamma_1$  and  $\gamma_2$  are  $W$ -elements, then so is  $\gamma_1 - \gamma_2$ .*

*Proof.* We have  $\delta_1 \neq 0$  such that  $\lim_{j \rightarrow \infty} W(\gamma_1^j\delta_1) = 0$ , and  $\delta_2 \neq 0$  such that  $\lim_{j \rightarrow \infty} W(\gamma_2^j\delta_2) = 0$ . Now,

$$\begin{aligned} W((\gamma_1 - \gamma_2)^j\delta_1\delta_2) &\leq W(\gamma_1^j\delta_1\delta_2) + W(\gamma_2^j\delta_1\delta_2) \\ &\leq W(\delta_2)W(\gamma_1^j\delta_1) + W(\delta_1)W(\gamma_2^j\delta_2), \end{aligned}$$

whence  $\lim_{j \rightarrow \infty} W((\gamma_1 - \gamma_2)^j\delta_1\delta_2) = 0$ , i.e.  $\gamma_1 - \gamma_2$  is also a  $W$ -element.

**6.** Denote by  $\mathfrak{M}$  the set consisting of the null element, 0, and all  $W$ -elements of  $P$ .

(a) *If  $\gamma \in \mathfrak{M}$  and  $\gamma|\gamma^*$ , then  $\gamma^* \in \mathfrak{M}$ .*

*Proof.* If either  $\gamma = 0$  or  $\gamma^* = 0$ , the result is clear. Otherwise,  $\gamma$ , and hence also  $\gamma^*$ , is a  $W$ -element. Hence  $\gamma^* \in \mathfrak{M}$ .

(b) *If  $\gamma_1 \in \mathfrak{M}$  and  $\gamma_2 \in \mathfrak{M}$ , then  $(\gamma_1 - \gamma_2) \in \mathfrak{M}$ .*

*Proof.* If  $\gamma_1 = \gamma_2$ , the result is clear; so also if  $\gamma_1 = 0$  or  $\gamma_2 = 0$ . Otherwise,  $\gamma_1$  and  $\gamma_2$  are both  $W$ -elements and so, therefore, is  $\gamma_1 - \gamma_2$ ,  $\neq 0$ . Hence  $\gamma_1 - \gamma_2 \in \mathfrak{M}$ .

From these two results, it follows that  $\mathfrak{M}$  is an ideal of  $P$ , and it is not the null-ideal, since  $W$ -elements do exist. Further, since  $P$  is a principal ideal ring, we have  $\mathfrak{M} = (\gamma_0)$  for some polynomial  $\gamma_0$ .

*Definition 4.* This polynomial,  $\gamma_0$ , defined as above, we call the principal character of  $W(\rho)$ .

From this definition, we have the following theorem:

**THEOREM 5.** *A polynomial,  $\gamma$ , is a  $W$ -element if and only if  $\gamma \neq 0$  and  $\gamma_0|\gamma$ .*

The proof is self-evident.

**THEOREM 6.** *The principal character,  $\gamma_0$ , of  $W(\rho)$  is square-free, i.e., it is not divisible by the square of any polynomial.*

*Proof.* We shall show that if there exists a  $W$ -element,  $\gamma$ , such that  $p^2|\gamma$ , where  $p$  is any prime polynomial of  $P$ , then there must also exist a  $W$ -element,  $\gamma^*$ , such that  $p|\gamma^*$  but  $p^2 \nmid \gamma^*$ .

Let  $\gamma = p^gq$ , where  $g \geq 2$  and  $(q, p) = 1$ . Put  $\gamma^* = pq$ ; then  $\gamma^{*g} = \gamma \cdot q^{g-1}$ . We have  $\delta \neq 0$  such that  $\lim_{j \rightarrow \infty} W(\gamma^j\delta) = 0$ . Now

$$\gamma^{*j}\delta = \gamma^{[j/\sigma]}\delta \cdot \gamma^{*(j-[j/\sigma]\sigma)}q^{(g-1)[j/\sigma]}.$$

Hence  $W(\gamma^*j\delta) \leq W(\gamma^{[j/g]}\delta)W(\gamma^{*(j-[j/g]g)})W(q^{(g-1)[j/g]})$ . But  $W(\gamma^{*(j-[j/g]g)})$  and  $W(q^{(g-1)[j/g]})$  are both  $\leq c_1$ , by Theorem 2, and  $W(\gamma^{[j/g]}\delta) \rightarrow 0$  as  $j \rightarrow \infty$ . Hence  $\lim_{j \rightarrow \infty} (\gamma^*j\delta) = 0$ , i.e.,  $\gamma^*$  is a  $W$ -element.

7. *Definition 5.* A polynomial,  $\delta \neq 0$ , is called a  $w$ -element if

$$\lim_{j \rightarrow \infty} W(\gamma^j\delta) = 0$$

for every  $W$ -element  $\gamma$ .

It follows easily from the definition that if  $\delta$  is a  $w$ -element and  $\delta|\delta^*$ , then  $\delta^*$  is also a  $w$ -element.

Similarly, if  $\delta_1$  and  $\delta_2$  are two different  $w$ -elements, then  $\delta_1 - \delta_2$  is also a  $w$ -element.

Hence the set of all  $w$ -elements, together with zero, forms an ideal, which we will call  $\mathfrak{N}$ . We have  $\mathfrak{N} = (\delta_0)$  for some polynomial  $\delta_0$ .

*Definition 6.* The polynomial  $\delta_0$  is called the subsidiary character of the pseudovaluation  $W(\rho)$ .

The following result holds:

(a) *The subsidiary character,  $\delta_0$ , is different from zero.*

*Proof.* Every  $W$ -element can be written as  $\gamma = \beta\gamma_0$ , where  $\gamma_0$  is the principal character; there exists a polynomial,  $\delta_0^*$ , such that

$$\lim_{j \rightarrow \infty} W(\gamma_0^j\delta_0^*) = 0.$$

Hence, since  $W(\gamma^j\delta_0^*) \leq W(\beta^j)W(\gamma_0^j\delta_0^*) \leq c_1 W(\gamma_0^j\delta_0^*)$ , by Theorem 2, we have  $\lim_{j \rightarrow \infty} W(\gamma^j\delta_0^*) = 0$ . Therefore  $\delta_0^*$  is a  $w$ -element and so  $\delta_0|\delta_0^*$ , and  $\delta_0^* \neq 0$ , whence  $\delta_0 \neq 0$ .

Furthermore:

(b) *The two characters,  $\gamma_0$  and  $\delta_0$ , of  $W(\rho)$  are relatively prime.*

*Proof.* We know from the above that there is at least one  $w$ -element,  $\delta_0^*$ .

Let  $\delta = q\delta^*$ , where  $(\delta^*, \gamma_0) = 1$  and  $q|\gamma_0^g$ , for some natural integer,  $g$ .

Let  $\gamma$  be any  $W$ -element. Then, from Theorem 5,  $\gamma_0|\gamma$ ; hence  $\gamma_0^g|\gamma^g$ . Therefore  $q|\gamma^g$ , and so  $\gamma^g = q\epsilon$ , for some polynomial  $\epsilon$ .

We have thus

$$\begin{aligned} 0 &\leq \lim_{j \rightarrow \infty} W(\gamma^j\delta^*) = \lim_{j \rightarrow \infty} W(\gamma^j \cdot \gamma^g\delta^*) \\ &= \lim_{j \rightarrow \infty} W(\gamma^j \cdot q\epsilon\delta^*) = \lim_{j \rightarrow \infty} W(\gamma^j\delta_0^* \cdot \epsilon) \\ &\leq W(\epsilon)\lim_{j \rightarrow \infty} W(\gamma^j\delta_0^*) = 0, \end{aligned}$$

since  $\gamma$  is a  $W$ -element and  $\delta_0^*$  a  $w$ -element. Hence  $\delta^*$  is a  $w$ -element, and so  $\delta_0|\delta^*$ . But  $(\delta^*, \gamma_0) = 1$ . Hence, a fortiori,  $(\delta_0, \gamma_0) = 1$ .

8. Now let

$$\Omega^*(\rho) = \begin{cases} U(\rho) & \text{for } \gamma_0 = 1, \\ \sum_{p|\gamma_0} |\rho|_p & \text{for } \gamma_0 \neq 1, \end{cases}$$

and

$$\Omega^{**}(\rho) = \begin{cases} U(\rho) & \text{for } \delta_0 = 1, \\ \sum_{p^j \parallel \delta_0} W_{p^j}(\rho) & \text{for } \delta_0 \neq 1. \end{cases}$$

We proved in the last section that  $\gamma_0$  and  $\delta_0$  are relatively prime; hence  $\tilde{\alpha} = \gamma_0^\infty \delta_0 \neq 0$  is a direct product.

Thus

$$W(\rho|\tilde{\alpha}) \sim \Omega^*(\rho) + \Omega^{**}(\rho)$$

is a direct sum. We shall now attempt to show that  $W(\rho)$  and  $W(\rho|\tilde{\alpha})$  are equivalent.

9. Let  $\alpha_1, \alpha_2, \alpha_3, \dots$  be an infinite sequence of elements of  $P$  such that  $\lim_{j \rightarrow \infty} W(\alpha_j) = 0$ . We wish to show that then both (a)  $\lim_{j \rightarrow \infty} \Omega^*(\alpha_j) = 0$  and (b)  $\lim_{j \rightarrow \infty} \Omega^{**}(\alpha_j) = 0$ .

Put  $\theta_j = (\alpha_j, \gamma_0^j \delta_0)$ , and so  $\theta_j = \phi_j \alpha_j + \psi_j \gamma_0^j \delta_0$  for some two elements,  $\phi_j$  and  $\psi_j$ , of  $P$ . By Theorem 2 we have  $W(\phi_j) \leq c_1$  and  $W(\psi_j) \leq c_1$ . Hence  $W(\theta_j) \leq c_1\{W(\alpha_j) + W(\gamma_0^j \delta_0)\}$ . But  $W(\alpha_j)$  and  $W(\gamma_0^j \delta_0)$  both tend to zero as  $j \rightarrow \infty$ ; hence

$$\lim_{j \rightarrow \infty} W(\theta_j) = 0.$$

Thus the new sequence,  $\theta_1, \theta_2, \theta_3, \dots$ , has also the limit zero with respect to  $W$ .

We have

$$\theta_j = (\alpha_j, \gamma_0^j \delta_0) = (\alpha_j, \gamma_0^j) (\alpha_j, \delta_0) \quad (j = 1, 2, 3, \dots)$$

and so the two statements: (a)  $\lim_{j \rightarrow \infty} \Omega^*(\alpha_j) = 0$  and (b)  $\lim_{j \rightarrow \infty} \Omega^{**}(\alpha_j) = 0$  are equivalent to the following:

(a') All elements  $\theta_j$  with sufficiently large index  $j$ , are divisible by an arbitrarily large power of  $\gamma_0$ ;

(b') All elements  $\theta_j$  with sufficiently large index  $j$ , are divisible by  $\delta_0$ .

We shall prove these two statements by indirect means.

10. First suppose (a') to be false, and therefore that there exists an infinite subsequence,  $\theta_{j_1}, \theta_{j_2}, \theta_{j_3}, \dots$ , such that  $\gamma_0^{\nu} \parallel \theta_{j_\nu}$  ( $\nu = 1, 2, 3, \dots$ ). This is only possible if  $\gamma_0 \neq 1$ , and so  $\gamma_0 = p_1 p_2 \dots p_f$ , where  $p_1, p_2, \dots, p_f$  are finitely many different prime elements.

Because of our assumption, we can write

$$\theta_{j_\nu} = (\alpha_{j_\nu}, \delta_0) p_1^{e_{1\nu}} p_2^{e_{2\nu}} \dots p_f^{e_{f\nu}} \quad (\nu = 1, 2, 3, \dots),$$

where the exponents,  $e_{1\nu}, e_{2\nu}, \dots, e_{f\nu}$ , are non-negative rational integers, which are not all unbounded as  $\nu \rightarrow \infty$ .

We may assume, without loss of generality, that the exponents  $e_{1\nu}, e_{2\nu}, \dots, e_{g\nu}$  all tend to infinity with  $\nu$  and that the other exponents,  $e_{g+1,\nu}, e_{g+2,\nu}, \dots, e_{f\nu}$ , are therefore bounded for all  $\nu$ . Here we have  $g \leq f - 1$  (and possibly  $g = 0$ ).

Hence the expression

$$(\alpha_{j_\nu}, \delta_0) p_{g+1}^{e_{g+1,\nu}} p_{g+2}^{e_{g+2,\nu}} \dots p_f^{e_{f\nu}}$$

can take at most finitely many values.

Since  $\theta_{j_\nu}$  is an infinite subsequence, we may, by taking a further subsequence, assume that

$$(\alpha_{j_\nu}, \delta_0) p_{g+1}^{e_{g+1,\nu}} p_{g+2}^{e_{g+2,\nu}} \dots p_f^{e_{f\nu}} = t$$

where  $t$  is a fixed element of  $P$ .

So we have

$$\theta_{j_\nu} = t p_1^{e_{1\nu}} p_2^{e_{2\nu}} \dots p_g^{e_{g\nu}}$$

where the exponents,  $e_{1\nu}, e_{2\nu}, \dots, e_{g\nu}$ , are non-negative rational integers which tend to infinity with  $\nu$ .

Hence, for all sufficiently large  $\nu$ ,  $\theta_{j_\nu}$  is divisible by an arbitrarily large power of  $\gamma_0^* = p_1 p_2 \dots p_g$ .

Further,  $\lim_{\nu \rightarrow \infty} W(\theta_{j_\nu}) = 0$ .

Denote by  $\gamma \neq 0$  an element of  $P$  such that

$$\gamma_0^* | \gamma, \quad (\gamma, p_{g+1} p_{g+2} \dots p_f) = 1.$$

Let  $j_{\nu(i)}$ , for all sufficiently large natural integers  $i$ , be the greatest  $j_\nu$  for which  $\theta_{j_\nu} | \gamma^i t$ . Since  $(\gamma_0^*)^i | \gamma^i t$ , it is clear that  $j_{\nu(i)} \rightarrow \infty$  with  $i$ . But, from the definition of  $j_{\nu(i)}$ , we have  $\gamma^i t = \lambda_i \theta_{j_{\nu(i)}}$  for some element  $\lambda_i$ ; and, from Theorem 2,

$$W(\lambda_i) \leq c_1.$$

Hence

$$W(\gamma^i t) \leq c_1 W(\theta_{j_{\nu(i)}}),$$

and so

$$0 \leq \lim_{i \rightarrow \infty} W(\gamma^i t) \leq c_1 \lim_{i \rightarrow \infty} W(\theta_{j_{\nu(i)}}) = 0.$$

Therefore  $\gamma^i$  must be a  $W$ -element. But this contradicts Theorem 5, for, by its construction,  $\gamma$  is not divisible by  $\gamma_0$ .

**11.** From now on, therefore, we may assume that (a'), and hence (a), is true.

Now let us suppose that (b') is false; there exists, therefore, an infinite subsequence,  $\theta_{j_1}, \theta_{j_2}, \theta_{j_3}, \dots$ , of the sequence  $\theta_j$ , all elements of which are not divisible by  $\delta_0$ .

Since  $\delta_0$  possesses only finitely many divisors, we may assume, by replacing  $\theta_{j_\nu}$  by an infinite subsequence of itself, that  $(\theta_{j_\nu}, \delta_0) = (\alpha_{j_\nu}, \delta_0) = \delta_0^*$  for all  $\nu$ , where  $\delta_0^*$  is a proper divisor of  $\delta_0$  (i.e. if  $\delta_0 = \delta_0^* \delta_0^{**}$ , then  $\delta_0^{**} \neq 1$ ). Hence we have

$$\theta_{j_\nu} = \delta_0^* (\alpha_{j_\nu}, \gamma_0^{j_\nu}) \quad (\nu = 1, 2, 3, \dots).$$



It is evident that, for all sufficiently large  $\nu$ ,  $\theta_{j_\nu}$  is divisible by an arbitrarily large power of  $\gamma_0$ .

For every sufficiently large natural integer,  $i$ , let  $j_{\nu(i)}$  be the greatest  $j_\nu$  for which  $\theta_{j_\nu} | \gamma^i \delta$ , where  $\gamma$  is an arbitrary  $W$ -element and  $\delta$  is a polynomial such that  $\delta_0^* | \delta$  and  $(\delta, \delta_0^{**}) = 1$ .

Since  $\gamma_0^i | \gamma^i \delta$ , it is clear that  $j_{\nu(i)} \rightarrow \infty$  as  $i \rightarrow \infty$ . But, from the definition of  $j_{\nu(i)}$ , we have

$$\gamma^i \delta = \mu_i \theta_{j_{\nu(i)}}$$

for some polynomial  $\mu_i$ ; and, from Theorem 2,  $W(\mu_i) \leq c_1$ . Hence

$$W(\gamma^i \delta) \leq c_1 W(\theta_{j_{\nu(i)}})$$

and, since

$$\lim_{i \rightarrow \infty} W(\theta_{j_{\nu(i)}}) = 0,$$

we have

$$\lim_{i \rightarrow \infty} W(\gamma^i \delta) = 0.$$

Therefore  $\delta$  is a  $w$ -element, and this cannot be, since  $\delta_0$  does not divide  $\delta$ .

12. We have now also proved (b') and so (b).

Clearly the limit equations (a) and (b) can be more briefly expressed in the form

$$\Omega^* \subset W \quad \text{and} \quad \Omega^{**} \subset W.$$

So we have

$$\Omega^* + \Omega^{**} \subset W.$$

To conclude this paper, we shall show that

$$W \subset \Omega^* + \Omega^{**}$$

and therefore

$$W \sim \Omega^* + \Omega^{**}.$$

To this end, let  $\alpha_1, \alpha_2, \alpha_3, \dots$  be an infinite sequence of elements of  $P$  such that both

$$\lim_{j \rightarrow \infty} \Omega^*(\alpha_j) = 0$$

and

$$\lim_{j \rightarrow \infty} \Omega^{**}(\alpha_j) = 0.$$

It will be proved that it is then true that

$$\lim_{j \rightarrow \infty} W(\alpha_j) = 0.$$

Clearly we may assume without loss of generality that every member of the series  $\alpha_j$  is different from zero.

We know that, for all sufficiently large natural integers,  $j$ ,  $\alpha_j$  is divisible by an arbitrarily large power of  $\gamma_0$  and by  $\delta_0$ .

Let  $i(j)$  be the greatest natural integer for which

$$\gamma_0^{i(j)} | \alpha_j.$$

It is clear that  $i(j) \rightarrow \infty$  as  $j \rightarrow \infty$ .

Put  $\alpha_j = \gamma_0^{i(j)} \rho_j$  where  $\rho_j$  is an element of  $P$  different from zero.

Since  $\gamma_0$  is prime to  $\delta_0$ , it is evident that, for sufficiently large  $j$ ,  $\rho_j$  is divisible by  $\delta_0$ . So, for large values of  $j$ , we may put  $\rho_j = \delta_0 \sigma_j$ , where, by Theorem 2,  $W(\sigma_j) \leq c_1$ . We have therefore

$$0 \leq \lim_{j \rightarrow \infty} W(\alpha_j) \leq c_1 \lim_{j \rightarrow \infty} (\gamma_0^{i(j)} \delta_0) = 0.$$

So, the proof of the equivalence

$$W \sim \Omega^* + \Omega^{**}$$

is now completed. By §8, this can be put in the form

$$W(\rho) \sim W(\rho | \bar{a})$$

where  $\bar{a}$  denotes the pseudopolynomial  $\gamma_0^\infty \delta_0$ , which is uniquely determined by the pseudovaluation  $W(\rho)$ .

In the proof we have assumed that  $W(\rho)$  is equivalent neither to the trivial valuation nor to the improper valuation. But, in these two cases, we have, in the notation of the first section,  $W(\rho) \sim W(\rho | 0)$  and  $W(\rho) \sim W(\rho | 1)$  respectively, so that we have a result of the same form.

To conclude our discussion, we can therefore formulate the following theorem:

*To every pseudovaluation,  $W(\rho)$ , of  $P$  (which is equivalent to the trivial valuation over the constant field) there corresponds a pseudopolynomial,  $\bar{a}$ , such that*

$$W(\rho) \sim W(\rho | \bar{a})$$

where  $W(\rho | \bar{a})$  denotes the special pseudovaluation corresponding to  $\bar{a}$ .

With the exception of the two special cases,  $\bar{a} = 0$  and  $\bar{a} = 1$ , we have

$$\bar{a} = \gamma_0^\infty \delta_0,$$

where  $\gamma_0$  is the principal character and  $\delta_0$  the subsidiary character of  $W(\rho)$ .

#### REFERENCES

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