

## A NOTE ON PROJECTIVE CAPACITY

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**Introduction.** In [1] we defined a capacity in  $\mathbf{C}^n$ . Recently Molzon, Shiffman and Sibony [8] have introduced a different capacity which is useful for certain Bezout estimates. The object of this note is to apply the methods of [1] to study the capacity of [8]. We shall obtain an equivalent definition of this capacity via Tchebycheff polynomials, along the lines of [1]. Half of this equivalence was independently obtained by Sibony [9].

To establish the full equivalence of these two approaches to capacity a notion of Jensen measures in a setting more general than uniform algebras is needed. We shall consider Jensen measures for multiplicative semigroups; these are sets of functions in which only the multiplicative structure is postulated. It will also be useful to generalize the notion of polynomial hull in  $\mathbf{C}^n$  to a hull with respect to a multiplicative semigroup of polynomials. We can then adapt the approach of [1] to these semigroups.

It is central to know when a set has zero capacity. Molzon, Shiffman and Sibony [8] showed for their capacity, that if  $\Sigma$  is an irreducible closed subvariety of projective space (hence algebraic by Chow's theorem), then a compact subset of  $\Sigma$  which is not locally pluripolar has positive capacity. As an application of the equivalent definition of capacity we shall generalize this by replacing  $\Sigma$  with a local subvariety which of course need not be algebraic. Finally we give a very short proof of the fact that locally pluripolar implies zero capacity (in the sense of [1]); the original proof was inordinately long.

**1. Jensen measures and  $\mathcal{S}$ -hulls.** We shall be using the following notations: For a function  $f$  on a set  $X$ ,

$$\|f\|_X = \sup\{|f(x)| : x \in X\},$$

$C(X)$  will denote the set of all continuous complex-valued functions on a space  $X$ ,  $C(\mathbf{R}, X)$  the real-valued functions. For  $z$  in  $\mathbf{C}^n$   $\|z\|$  will be the Euclidian norm; for  $z$  and  $w$  in  $\mathbf{C}^n$ ,  $z \cdot w = \sum_1^n z_k w_k$ .

Let  $\mathcal{S}$  be the set of all homogeneous polynomials in  $\mathbf{C}^n$  which split into linear factors. For  $n > 2$  this is a proper subclass of the set of all

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homogeneous polynomials. For a compact set  $X$  in  $\mathbf{C}^n$  we define the  $\mathcal{S}$ -hull of  $X$  by

$$\mathcal{S}\text{-hull}(X) = \{z \in \mathbf{C}^n : |p(z)| \leq \|p\|_X \text{ for all } p \in \mathcal{S}\}.$$

This is of course completely analogous to the polynomially convex hull where  $\mathcal{S}$  is replaced by the algebra of all polynomials. By convention we take  $\mathcal{S}$  to contain the constants. It is clear that (i) for  $X$  compact,  $\mathcal{S}$ -hull  $(X)$  is compact, (ii) for  $\alpha \in \mathbf{C}^n$ ,  $\alpha$  is in  $\mathcal{S}$ -hull  $(X)$  if and only if there is an  $M > 0$  such that  $|p(\alpha)| \leq M\|p\|_X$  for each  $p \in \mathcal{S}$  (by the usual argument of applying this to  $p^N$ ) and (iii)  $\hat{X} \subseteq \mathcal{S}\text{-hull}(X)$  where  $\hat{X}$  is the polynomially convex hull of  $X$ .

Let  $X$  be a compact Hausdorff space. We define  $\mathcal{G} \subseteq C(X)$  to be a *multiplicative semi-group* (MSG) of continuous functions on  $X$  if it satisfies (a)  $f, g \in \mathcal{G} \Rightarrow f \cdot g \in \mathcal{G}$  and (b)  $\mathcal{G}$  contains the constants. If  $\Gamma$  is a closed subset of  $X$  such that  $\|f\|_X = \|f\|_\Gamma$  for each  $f \in \mathcal{G}$ , then we say that  $\Gamma$  is a *boundary* for  $\mathcal{G}$ .

If  $\mathcal{A}$  is a uniform algebra on  $X$  with Shilov boundary  $\Gamma$  then  $\mathcal{A}$  itself and  $\mathcal{G} = \{e^f : f \in \mathcal{A}\}$  are MSG's on  $X$  with boundary  $\Gamma$ . Our principal interest is in the MSG  $\mathcal{S}X$ , the restriction of  $\mathcal{S}$  to  $X$ , where  $X$  is a compact set in  $\mathbf{C}^n$ . If  $K$  is a compact set in  $\mathbf{C}^n$  and  $X$  is  $\mathcal{S}$ -hull  $(K)$  then  $\mathcal{S}X$  is an MSG on  $X$  with boundary  $K \subseteq X$ . This example does not arise from a uniform algebra.

Our main interest in MSG's comes from the fact that they possess certain Jensen measures. Bishop showed in [3] that homomorphisms of uniform algebras can be represented by Jensen measures and in [1] this was extended to other functionals on uniform algebras. It turns out that Bishop's argument is true in even greater generality; namely, for MSG's. We shall later apply this fact to the MSG  $\mathcal{S}X$  with  $X = \mathcal{S}\text{-hull}(K)$ .

For the reader's convenience we shall indicate the proof of the existence of Jensen measures for MSG's; it is merely an adaptation of Bishop's original argument for uniform algebras. A similar extension of Bishop's idea appears in [4].

**THEOREM 1.1.** *Let  $\mathcal{S}$  be an MSG on a compact Hausdorff space  $X$ ,  $\Gamma \subseteq X$  a boundary and  $\mu$  a probability measure on  $X$ . Then there exists a probability measure  $\nu$  on  $\Gamma$  such that*

$$\int \log |f| d\mu \leq \int \log |f| d\nu$$

for all  $f \in \mathcal{S}$ .

*Remark.* We shall say that  $\nu$  is a *Jensen measure* for  $\mu$ .

*Proof.* Let

$$N = \{u \in C(\mathbf{R}, \Gamma) : u < 0 \text{ on } \Gamma\}$$

and set

$$K = \left\{ h \in C(\mathbf{R}, \Gamma) : \exists f \in \mathcal{S} \text{ such that} \right. \\ \left. \text{(a) } \int_x \log |f| d\mu \geq 0 \text{ and (b) } rh > \log |f| \text{ on } \Gamma \text{ for some } r > 0 \right\}.$$

One checks that  $K$  is convex and, using the fact that  $\Gamma$  is a boundary for  $\mathcal{S}$ , that  $K \cap N = \emptyset$ . By the Hahn–Banach separation theorem, there exists a linear functional  $L \in C(\mathbf{R}, \Gamma)^*$  with  $\|L\| = 1$  such that

$$\sup\{L(h) : h \in N\} = 0 = \inf\{L(h) : h \in K\}.$$

It follows that  $L$  can be represented by a probability measure  $\nu$  on  $\Gamma$ .

Suppose  $f \in \mathcal{S}$  with  $\int \log |f| d\mu = 0$ . Then if  $h > \log |f|$  on  $\Gamma$ , we have  $h \in K$  and so

$$\int h d\nu = L(h) \geq 0.$$

Hence

$$\int \log |f| d\nu \geq 0.$$

Now in general if  $f \in \mathcal{S}$ , let  $c = \int \log |f| d\mu$  and apply this  $e^{-cf}$  to get the theorem.

**COROLLARY.** *Let  $X$  be compact in  $\mathbf{C}^n$ . Then for  $\alpha \in \mathbf{C}^n$ ,  $\alpha \in \mathcal{S}\text{-hull}(X)$  if and only if there exists a probability measure  $\nu$  on  $X$  such that*

$$\log |\beta \cdot \alpha| \leq \int \log |\beta \cdot \zeta| d\nu(\zeta) \text{ for all } \beta \neq 0 \text{ in } \mathbf{C}^n.$$

**2. Capacity.** We shall consider several capacities for subsets of  $\mathbf{P}^{n-1}$ . Using the natural projection

$$\Pi : \mathbf{C}^n \setminus \{0\} \rightarrow \mathbf{P}^{n-1},$$

we shall identify subsets of  $\mathbf{P}^{n-1}$  with circled subsets of the unit sphere  $\partial B$  in  $\mathbf{C}^n$ ; namely,  $E \subseteq \mathbf{P}^{n-1}$  will be identified with  $\Pi^{-1}(E) \cap \partial B$ .

First recall the definition of projective capacity [1] for compact sets  $K$  in  $\mathbf{P}^{n-1}$ ; we view  $K$  as a compact circled set in  $\partial B \subseteq \mathbf{C}^n$ . We say that a homogeneous polynomial  $f$  of degree  $k$  in  $\mathbf{C}^n$  is *normalized* if

$$\int \log |f| d\sigma = k \int \log |z_n| d\sigma$$

where  $\sigma$  is unit surface volume on  $\partial B$ . Denote by  $\mathcal{P}_k$  the set of all normalized homogeneous polynomials of degree  $k$  in  $\mathbf{C}^n$ . Let

$$m_k = m_k(K) = \inf\{\|f\|_K : f \in \mathcal{P}_k\}.$$

Then the projective capacity of  $K$  is defined by  $\text{cap}(K) = \lim m_k^{1/k}$ .

Next we recall the definition of the capacity  $\mathcal{C}(K)$ , for  $K$  compact in  $\mathbf{P}^{n-1}$ , introduced by Molzon, Shiffman and Sibony [6]. For  $\mu \in \mathfrak{M}(K)$  (= the set of probability measures on  $K$ ), let

$$u_\mu(z) = \int \log(\|z\|/|z \cdot w|) d\mu(w) \text{ for } z \in \mathbf{C}^n;$$

here  $K$  is a compact circled subset of  $\partial B$ . Then

$$\mathcal{C}(K) = \sup_{\mu \in \mathfrak{M}(K)} \sup_{z \in \partial B} \frac{1}{u_\mu(z)}.$$

For  $n > 2$ ,  $\mathcal{C}(K)$  and  $\text{cap}(K)$  are inequivalent capacities.

We now define a third capacity  $\mathcal{S}$ -cap( $K$ ) by using the elements of  $\mathcal{S}$  in the definition of cap in place of the  $\mathcal{P}_k$ . Namely let  $\mathcal{S}_k = \mathcal{P}_k \cap \mathcal{S}$  and set

$$\mathcal{S}m_k(K) = \inf \{ \|f\|_K : f \in \mathcal{S}_k \}.$$

Define  $\mathcal{S}$ -cap( $K$ ) =  $\lim (\mathcal{S}m_k(K))^{1/k}$ . Then  $\mathcal{S}$ -cap is equivalent to  $\mathcal{C}$  in the following sense.

**THEOREM 2.1.** For  $K \subseteq \mathbf{P}^{n-1}$ ,

$$A \cdot \mathcal{S}\text{-cap}(K) \leq \exp\left(\frac{-1}{\mathcal{C}(K)}\right) \leq \mathcal{S}\text{-cap}(K)$$

where  $A = \exp(\int \log |z_1| d\sigma)$ .

*Remark.* The definition of  $\mathcal{S}$ -cap was independently arrived at by Sibony [9] who obtained the second inequality of the theorem and also the relation  $\text{cap } K \leq \mathcal{S}\text{-cap}(K)$  (which follows from  $\mathcal{S}_k \subseteq \mathcal{P}_k$ ). He uses the fact, for  $f \in \mathcal{P}_k$ , that  $f \in \mathcal{S}_k$  if and only if  $f = \prod_{j=1}^k \alpha_j \cdot z$  where  $\|\alpha_j\| = 1$ .

For the proof of Theorem 2.1 we shall need the following.

**THEOREM 2.2.** Let  $K$  be a compact circled subset of  $\partial B$  in  $\mathbf{C}^n$ . Then  $\mathcal{S}\text{-cap}(K) > 0$  if and only if  $\mathcal{S}\text{-hull}(K)$  contains a neighborhood of the origin. In particular,

$$\mathcal{S}\text{-hull}(K) \supseteq \{z : \|z\| \leq \mathcal{S}\text{-cap}(K)\}.$$

*Remarks.* This is directly analogous to the results of [1] where  $\mathcal{S}$ -cap and  $\mathcal{S}$ -hull are replaced by cap and polynomial hull respectively. Combining the last two theorems, we can assert that the following are equivalent for  $K \subseteq \mathbf{P}^{n-1}$  compact: (i)  $\mathcal{C}(K) > 0$ , (ii)  $\mathcal{S}\text{-cap}(K) > 0$  and (iii)  $\mathcal{S}\text{-hull}(K)$  contains a neighborhood of the origin.

**LEMMA 2.3.** For  $K$  compact and circled in  $\partial B$  and  $f \in \mathcal{S}_k$ ,

$$(\mathcal{S}\text{-cap } K)^k \leq (\mathcal{S}m_k(K)) \leq \|f\|_K.$$

*Proof.* As in [1], one shows that

$$\mathcal{S}\text{-cap}(K) \equiv \lim(\mathcal{S}m_k(K))^{1/k} = \inf(\mathcal{S}m_k(K))^{1/k}.$$

*Proof of Theorem 2.2.* First suppose that  $\mathcal{S}\text{-cap}(K) = \rho > 0$ . Observe that for  $z \in \mathbf{C}^n$ ,  $z \in \mathcal{S}\text{-hull}(K)$  if and only if  $|f(z)| \leq \|f\|_K$  for all  $f \in \mathcal{S}_k$  for all  $k$ . Now if  $f \in \mathcal{S}_k$ , Lemma 2.3 gives

$$\|f\|_{\partial B} \leq 1 \leq \|f\|_K / \rho^k.$$

Hence

$$\|f\|_{\rho B} = \rho^k \|f\|_B \leq \|f\|_K;$$

i.e.,  $\rho B \subseteq \mathcal{S}\text{-hull}(K)$ .

Conversely if  $\rho B \subseteq \mathcal{S}\text{-hull}(K)$  for  $\rho > 0$ , we get for any  $f \in \mathcal{S}_k$ ,

$$\rho^k \|f\|_B = \|f\|_{\rho B} \leq \|f\|_K.$$

Hence

$$\text{cap}(\mathbf{P}^{n-1}) \leq \|f\|_B^{1/k} \leq \frac{1}{\rho} \|f\|_K^{1/k}.$$

This implies that  $\mathcal{S}\text{-cap}(K) \geq \rho \cdot \text{cap}(\mathbf{P}^{n-1}) > 0$ .

*Proof of Theorem 2.1.* We shall first derive the second inequality. Let  $\mu \in \mathfrak{M}(K)$  with  $u_\mu(z) \leq q < \infty$  for all  $z \neq 0$ . Thus for  $\|\alpha\| = 1$ ,

$$\int \log |\alpha \cdot w| d\mu(w) = -u_\mu(\alpha) \geq -q.$$

For  $p \in \mathcal{S}_k$ , since  $p(w) = \Pi(\alpha_j \cdot w)$  with  $\|\alpha_j\| = 1$ , we get

$$\log \|p\|_K \geq \int \log |p| d\mu = \sum_{j=1}^k \int \log |\alpha_j \cdot w| d\mu(w) \geq -kq.$$

Hence  $\|p\|_K^{1/k} \geq e^{-q}$  and  $\mathcal{S}\text{-cap}(K) \geq e^{-q}$ . As  $1/q$  can be chosen arbitrarily close to  $\mathcal{C}(K)$ , the second inequality follows.

Let  $\rho = \mathcal{S}\text{-cap}(K)$ , we may assume that  $\rho$  is strictly positive. Then by Theorem 2.2,  $\mathcal{S}\text{-hull}(K) \supseteq \bar{B}_\rho$ . Now apply Theorem 1.1 on Jensen measure for the MSG  $\mathcal{S}(X)$ , where  $X$  is  $\mathcal{S}\text{-hull}(K)$ , with boundary  $K$ . For the measure  $\mu$  take unit surface measure on  $\partial B_\rho \subseteq X$ ; namely

$$\int_{\partial B_\rho} g d\mu = \int_{\partial B} g(\rho z) d\sigma(z).$$

Let  $\nu$  be the associated Jensen measure on  $K \subseteq \partial B$ .

Now take  $z$  with  $\|z\| = 1$ . Viewing  $P(z) \equiv z \cdot w$  as an element of  $\mathcal{S}_1$  we have

$$\int \log |P| d\mu \leq \int \log |P| d\nu.$$

This yields

$$\log \rho + \int_B \log |z \cdot w| d\sigma(w) \leq \int \log |z \cdot w| d\nu.$$

The integral on the left is equal to  $\log A \equiv \int \log |w_1| d\sigma(w)$ . We get

$$\int \log \frac{\|z\|}{|z \cdot w|} d\nu(w) \leq -\log(A \cdot \rho).$$

This implies  $\mathcal{C}(K) \geq -1/\log(A \cdot \rho) > 0$  which gives the first inequality of (2.1).

The capacity  $\mathcal{C}$  in some sense measures the size of a set of hyperplanes while cap measures complex lines. The next result reflects this fact. For  $K \subseteq \mathbf{P}^{n-1}$  define

$$\begin{aligned} K^* &= \{z \in \mathbf{P}^{n-1} : z \cdot w = 0 \text{ for some } w \in K\} \\ &= \cup \{H^w : w \in K\} \end{aligned}$$

where

$$H^w = \{z \in \mathbf{P}^{n-1} : z \cdot w = 0\}.$$

**PROPOSITION 2.4.** *If  $\mathcal{C}(K) > 0$  then  $\text{cap}(K^*) > 0$ .*

*Remark.* The converse is false: take  $K$  to be a hyperplane. Then  $K^* = \mathbf{P}^{n-1}$  but  $\mathcal{C}(K) = 0$ .

*Proof.* We view  $K$  as a subset of the unit sphere in  $\mathbf{C}^n$ . There exists a probability measure  $\mu$  on  $K$  such that

$$\varphi(z) \equiv \int \log |z \cdot w| d\mu(w) \geq -M > -\infty \text{ for } \|z\| = 1.$$

Arguing by contradiction, suppose that  $\text{cap}(K^*) = 0$ , so that  $K^*$  is locally pluripolar in  $\mathbf{P}^{n-1}$ . It follows that  $\Pi^{-1}(K^*)$  is locally pluripolar in  $\mathbf{C}^n$  where  $\Pi: \mathbf{C}^n \setminus \{0\} \rightarrow \mathbf{P}^{n-1}$  is the natural projection. Set  $L = \{0\} \cup \Pi^{-1}(K^*)$ .

Now for  $z \in \mathbf{C}^n \setminus L$  and  $w \in K (\subseteq \partial B)$  we have  $z \cdot w \neq 0$ . It follows that  $\varphi$  is a pluriharmonic function on  $\mathbf{C}^n \setminus L$ . Since  $\varphi$  is locally bounded above and below (except at the origin) and  $L$  is locally pluripolar, it follows that  $\varphi$  extends to be pluriharmonic on all of  $\mathbf{C}^n$ , the origin included since  $n \geq 2$ . But  $\varphi(\lambda z) = \log |\lambda| + \varphi(z)$  implies  $\varphi(z) \rightarrow -\infty$  as  $z \rightarrow 0$ . This is a contradiction.

**COROLLARY.**  $\text{cap}(K) > 0 \Rightarrow \mathcal{C}(K^*) > 0$ .

*Proof.*  $\text{cap}(K) > 0 \Rightarrow \mathcal{C}(K) > 0 \Rightarrow \text{cap}(K^*) > 0 \Rightarrow \mathcal{C}(K^*) > 0$ .

**3. An application.** The following is a version of the classical Hartogs lemma (see [6], p. 21).

LEMMA 3.1. *Let  $\Omega$  be a complex manifold and  $L$  a compact subset of  $\Omega$  with non-empty interior  $L^0$ . Let  $\{\varphi_n\}_{1^\infty}$  be plurisubharmonic on  $\Omega$ , uniformly bounded on compact subsets, with  $\limsup \varphi_n \equiv -\infty$  on  $L$ . Then  $\{\varphi_n\}$  converges uniformly to  $-\infty$  on each compact subset of  $\Omega$ .*

*Proof.* Let  $\varphi = \limsup \varphi_n$  and let  $\varphi^*$  be its upper semicontinuous regularization, which is known to be plurisubharmonic on  $\Omega$ . Then  $\varphi \equiv -\infty$  on  $L^0$  implies  $\varphi^* \equiv -\infty$  on  $L^0$  and so  $\varphi^* \equiv -\infty$  on  $\Omega$ . Hence  $\varphi \equiv -\infty$  on  $\Omega$  and the conclusion now follows from the classical Hartogs lemma in  $\mathbb{C}^n$ .

LEMMA 3.2. *Let  $\Omega$  be a complex manifold,  $L$  a compact subset of  $\Omega$  and  $K$  a compact subset of  $\Omega$  which is not pluripolar. Then there exists an  $\alpha$ ,  $0 < \alpha < 1$ , such that*

$$\|f\|_L \leq \|f\|_{K^\alpha} \|f\|_{\Omega}^{1-\alpha}$$

for all holomorphic functions  $f$  on  $\Omega$ .

*Remark.* This generalizes the Three Regions Lemma of Bishop [3] where  $L$  and  $K$  are taken as the closures of open sets. Although we shall not need the converse, the validity of such inequalities characterizes non-locally pluripolar sets  $K$  in  $\Omega$ . An alternate proof could be based on the work of Gamelin–Sibony [5]. Or one can consider the class  $\mathcal{F}$  of negative psh functions on  $\Omega$  which are  $\leq -1$  on  $K$ . One shows that the uppersemicontinuous regularization of  $\sup \mathcal{F}$  is again in  $\mathcal{F}$  and hence bounded from zero on  $L$  and then one applies the fact that an appropriate multiple of  $\log |f|$  lies in  $\mathcal{F}$ .

*Proof.* By enlarging  $L$  we may suppose that  $L^0$  is non-empty. We argue by contradiction and suppose that no such  $\alpha$  exists. Then for  $n = 1, 2, \dots$  there exist  $f_n$  holomorphic on  $\Omega$  such that

- (i)  $\|f_n\|_{\Omega} = 1$ , and
- (ii)  $\|f_n\|_L > \|f_n\|_{K^{1/n}}$ .

Choose  $c_n > 0$  so that

$$\max_L c_n \log |f_n| = -1,$$

and set  $\varphi_n = c_n \log |f_n|$ . Then  $\varphi_n$  is plurisubharmonic on  $\Omega$ ,  $\varphi_n < 0$ , and

$$(iii) \max_L \varphi_n = -1.$$

Put  $\varphi = \limsup \varphi_n$  on  $\Omega$ . By (ii) and (iii),  $\varphi_n < -n$  on  $K$  and so  $\varphi \equiv -\infty$  on  $K$ . We claim that  $\varphi$  is not  $\equiv -\infty$  on  $L$ . Otherwise, by the Hartogs Lemma 3.1,  $\varphi_n$  would converge uniformly to  $-\infty$  on  $L$ , contradicting (iii). Hence we can choose  $z_0 \in L$  and  $q \neq -\infty$  and

$n_j \geq j$  for  $j = 1, 2, \dots$  such that  $\varphi_{n_j}(z_0) > q$  for each  $j$ . Now set

$$\psi = \sum_1^\infty \frac{1}{j^2} \varphi_{n_j};$$

$\psi$  is plurisubharmonic (as a decreasing sequence of psh functions). We have  $\psi(z_0) \neq -\infty$  and  $\psi \equiv -\infty$  on  $K$ , contradicting the assumption that  $K$  is not pluripolar. This proves the lemma.

**THEOREM 3.3.** *Let  $\Sigma$  be an irreducible local subvariety of  $\mathbf{P}^{n-1}$  which is not contained in a hyperplane and let  $E$  be a compact subset of  $\Sigma$  which is not a locally pluripolar subset of  $\Sigma$ . Then  $\mathcal{C}(E) > 0$ .*

*Remarks.* Molzon, Shiffman and Sibony proved this in the case when  $\Sigma$  is a global (closed) subvariety of  $\mathbf{P}^{n-1}$  (hence algebraic by Chow's theorem). Theorem 3.3 also contains another of their results; namely, if  $\gamma$  is a non-degenerate real analytic arc imbedded in  $\mathbf{P}^{n-1}$ , then  $\mathcal{C}(\gamma) > 0$ . In fact,  $\gamma$  is then a non-locally polar set in the holomorphic curve  $\Sigma$  obtained by extending the imbedding map for  $\gamma$  from the real axis to a domain in the complex plane.

We shall apply the following fact which will be proved below.

**LEMMA 3.4.** *With  $\Sigma$  as in Theorem 3.3, let  $F \subseteq \Sigma$  be a closed ball in some local coordinates. Then  $\mathcal{C}(F) > 0$ .*

*Proof of Theorem 3.3.* We may assume that  $\Sigma$  is a local submanifold of  $\mathbf{P}^{n-1}$  (since  $E$  could not be contained in the singular set of  $\Sigma$ ). With  $\Pi: \mathbf{C}^n \setminus 0 \rightarrow \mathbf{P}^{n-1}$  the natural projection, let  $\Omega$  be the complex manifold

$$\Pi^{-1}(\Sigma) \cap \{z \in \mathbf{C}^n : 1/2 < \|z\| < 2\}$$

and let

$$K = \Pi^{-1}(E) \cap \partial B \subseteq \Omega.$$

It is straightforward to deduce that  $K$  is not locally pluripolar in  $\Omega$  from the fact that  $E$  is not locally pluripolar in  $\Sigma$  (cf. [8], Lemma 2.5).

Choose a compact set  $F \subseteq \Sigma$  which is a ball in local coordinates and let  $L = \Pi^{-1}(F) \cap \partial B \subseteq \Omega$ . By Lemma 3.4,  $\mathcal{C}(L) > 0$ . By Lemma 3.2, there exists  $\alpha, 0 < \alpha < 1$ , such that

$$(*) \quad \|f\|_L \leq \|f\|_K^\alpha \|f\|_\Omega^{1-\alpha}$$

for all  $f$  holomorphic on  $\Omega$ .

Arguing by contradiction we suppose that  $\mathcal{C}(K) = 0$ . Then, by Theorem 2.1,  $\mathcal{S}\text{-cap}(K) = 0$  and so there exists a sequence  $\{f_k\}$  with  $f_k \in \mathcal{S}_k$  such that  $\|f_k\|_K^{1/k} \rightarrow 0$ . Since  $\|z\| < 2$  for  $z \in \Omega$  we have

$$\|f_k\|_\Omega \leq 2^k \|f_k\|_B \leq 2^k.$$

Thus, taking a  $k$ th root in (\*) gives

$$\|f_k\|_L^{1/k} \leq (\|f_k\|_K^{1/k})^\alpha 2^{1-\alpha}.$$

This implies that  $\|f_k\|_L^{1/k} \rightarrow 0$ ; i.e.,  $\mathcal{L}\text{-cap}(L) = 0$ . Hence, by Theorem 2.1,  $\mathcal{C}(L) = 0$ , a contradiction.

*Proof of Lemma 3.4.* This can be deduced from the work of Molzon, Shiffman and Sibony [8] but we shall give a direct proof based on the following elementary lemma.

LEMMA 3.5. *Let  $\varphi_1, \varphi_2, \dots, \varphi_n$  be holomorphic functions on the closed unit ball  $B$  in  $\mathbf{C}^s$ . Suppose that  $\{\varphi_k\}_1^n$  are linearly independent over  $\mathbf{C}$ . Then there exists a real constant  $C$  such that*

$$\int_B \log \left| \sum_{k=1}^n z_k \varphi_k(\zeta) \right| d\lambda(\zeta) \geq \log \|z\| - C$$

where  $d\lambda$  is unit volume on  $B \subseteq \mathbf{C}^s$ .

*Proof.* Without loss of generality we may assume that  $\sum \|\varphi_k\|_{B^2} \leq 1$  and hence that

$$|\sum z_k \varphi_k(\zeta)| \leq \|z\| \text{ for } \zeta \in B.$$

Then there exists  $A > 1$  such that

$$(*) \quad \int_B \log \left( \frac{\left| \sum_{k=1}^n z_k \varphi_k(\zeta) \right|}{\|z\|} \right) d\lambda(\zeta) \geq A \cdot \sup_{\|\zeta\| \leq 1/2} \log \left( \frac{\left| \sum_{k=1}^n z_k \varphi_k(\zeta) \right|}{\|z\|} \right)$$

for  $z \neq 0$ . In fact Jensen’s inequality is the case with  $\zeta = 0$  in the quotient on the right hand side, with the sup deleted and with  $A = 1$ ; (\*) follows from this by applying automorphisms of the ball which move the origin, using the negativity of the integrand. We get

$$(**) \quad \int_B \log \left| \sum z_k \varphi_k(\zeta) \right| d\lambda \geq A \log \|\zeta\| - (A - 1) \log \|z\|$$

where

$$\|\zeta\| \equiv \sup \{ |\sum z_k \varphi_k(\zeta)| : \|\zeta\| \leq \frac{1}{2} \}.$$

The assumption of linear independence implies that  $\|\zeta\| \neq 0$  for  $z \neq 0$ . It follows easily that  $\|\cdot\|$  is a norm for  $\mathbf{C}^n$  and since these are all equivalent, we have  $D > 0$  such that  $\|\zeta\| \geq D\|z\|$ . Thus the right hand side of (\*\*) dominates

$$\log \|z\| + A \log D.$$

This gives Lemma 3.5.

Now Lemma 3.4 follows easily. Let  $\mu$  be the probability measure on  $F$  which is induced from the unit volume measure  $\lambda$  on the ball  $B$  in  $\mathbf{C}^s$  by the local coordinates on  $\Sigma$ . With  $\zeta_1, \zeta_2, \dots, \zeta_n$  the homogeneous global projective coordinates on  $\mathbf{P}^{n-1}$  (we may assume that  $\zeta_n \neq 0$  on  $F$ ) let  $\psi_k, 1 \leq k \leq n$ , be the holomorphic function on  $B$  which correspond to  $\zeta_k/\zeta_n$  on  $F$ . From the fact that  $\Sigma$  does not lie in a hyperplane in  $\mathbf{P}^{n-1}$  it follows that  $\{\varphi_k\}_1^n$  are linearly independent in  $B$ . Now Lemma 3.5 transplanted back to  $F$  yields

$$\int \log(|z \cdot \zeta|/\|\zeta\|) d\mu(\zeta) \geq \log \|z\| - C$$

for some  $C$ ; i.e.,  $\mathcal{C}(F) > 0$ .

**4. Zero capacity and locally pluripolar sets.** We shall end with short proofs of Theorems 6.4 and 6.7 of [1]. The original proof of the latter involved a complicated application of a proposition of Josefson [7]. It is much simpler to apply the basic theorem of Josefson that locally polar in  $\mathbf{C}^n$  implies globally polar; a nice proof of this, based on their theory of the Monge–Ampere operator, has recently been given by Bedford and Taylor [2].

**THEOREM 4.1.** *Let  $K \subseteq \mathbf{P}^{n-1}$  be a compact locally pluripolar set. Then  $\text{cap}(K) = 0$ .*

*Proof.* Let  $\Pi: \mathbf{C}^n \setminus \{0\} \rightarrow \mathbf{P}^{n-1}$  be the natural projection. The fact that  $K$  is locally pluripolar in  $\mathbf{P}^{n-1}$  implies that  $\Pi^{-1}(K)$  is locally pluripolar in  $\mathbf{C}^n$  and hence globally polar in  $\mathbf{C}^n$ . Say  $\Pi^{-1}(K) \subseteq \{\varphi = -\infty\}$  with  $\varphi$  psh on  $\mathbf{C}^n, \varphi \not\equiv -\infty$ . Let  $E = \Pi^{-1}(K) \cap \partial B$ . We want to show that  $\text{cap}(E) = 0$ . It suffices by [1] to show that  $\hat{E}$  does not contain a neighborhood of the origin. Suppose otherwise, that  $\hat{E} \supseteq B_\delta$ . Then, as the polynomial hull agrees with the psh hull (see [6], p. 91), we have

$$\sup_{B_\delta} \varphi \leq \sup_E \varphi = -\infty.$$

Therefore  $\varphi = -\infty$  on  $B_\delta$  and hence on  $\mathbf{C}^n$ , a contradiction.

**THEOREM 4.2.** *Let  $L$  be a compact non-locally pluripolar subset of  $\mathbf{P}^{n-1}$  or, more generally, let  $K$  be a non-locally pluripolar subset of an irreducible subvariety  $\Sigma$  of  $\mathbf{P}^{n-1}$ . (In the first case take  $\Sigma$  to be  $\mathbf{P}^{n-1}$ .) Then  $\hat{K}$  contains a neighborhood of 0 in  $\Pi^{-1}(\Sigma) \cup \{0\} \subseteq \mathbf{C}^n$ .*

*Remark.* The general case was obtained in [8] as a consequence of the case  $\Sigma = \mathbf{P}^{n-1}$  which is Theorem 6.4 of [1]. The following proof shows that it is a direct consequence of Lemma 3.2 and the method of [1].

*Proof.* We view  $K$  as a circled subset of  $\partial B$  which (as noted in the proof of Theorem 3.3) is non-locally polar in

$$\Omega \equiv (\Pi^{-1}(\Sigma) \cup \{0\}) \cap B_2.$$

Take  $L = \Omega \cap B_1$  in Lemma 3.2 to get

$$\|f\|_{B_1 \cap \Omega} \leq \|f\|_K^\alpha \|f\|_\Omega^{1-\alpha}$$

for  $f$  holomorphic on  $\Omega$ . Apply this to a homogeneous polynomial  $f$  of degree  $k$  to get

$$\|f\|_{B_1 \cap \Omega} \leq \|f\|_K^\alpha (2^k \|f\|_{B_1 \cap \Omega})^{1-\alpha}.$$

Hence

$$(*) \quad \|f\|_{B_1 \cap \Omega} \leq \frac{1}{\rho^k} \|f\|_K$$

for  $\rho = 1/2^{(1/\alpha-1)}$ . Now, by the argument of [1] Section 4, (\*) implies  $\hat{K} \supseteq B_\rho \cap \Omega$ , as claimed.

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