# REMARKS ON THE UPPER CENTRAL SERIES OF A GROUP

### by D. H. McLAIN

### (Received, 10th November, 1955)

1. Introduction. Following, for example, Kuroš [8], we define the (transfinite) upper central series of a group G to be the series

$$1 = Z_0 \leqslant Z_1 \leqslant Z_2 \leqslant \ldots \leqslant Z_a \leqslant \ldots$$

such that  $Z_{\alpha+1}/Z_{\alpha}$  is the centre of  $G/Z_{\alpha}$ , and if  $\beta$  is a limit ordinal, then  $Z_{\beta} = \bigcup_{\alpha < \beta} Z_{\alpha}$ . If  $\alpha$  is the

least ordinal for which  $Z_{\alpha} = Z_{\alpha+1} = \dots$ , then we say that the upper central series has length  $\alpha$ , and that  $Z_{\alpha} = H$  is the hypercentre of G. As usual, we call G nilpotent if  $Z_n = G$  for some finite n.

By replacing the concept central element of G (i.e., one with only one conjugate in G) by the concept *FC*-element of G (i.e., one with only a finite number of conjugates in G), Haimo [5] has defined the upper *FC*-series of G to be the series

$$\mathbf{l} = F_0 \leqslant F_1 \leqslant F_2 \leqslant \ldots \leqslant F_a \leqslant \ldots$$

where  $F_{\alpha+1}/F_{\alpha}$  is the set of all FC-elements of  $G/F_{\alpha}$ , and if  $\beta$  is a limit ordinal, then  $F_{\beta} = \bigcup_{\alpha < \beta} F_{\alpha}$ , If  $F_{\alpha} = F_{\alpha+1} = \dots$ , then we will call  $F_{\alpha} = F$  the hyper-FC-subgroup of G.

It is clear that  $F_{\alpha} \ge Z_{\alpha}$  for all  $\alpha$ . In § 2, we investigate further the connection between the two series. The main result is

THEOREM 1. If the centre  $Z_1$  of G is torsion-free, then  $F_{\alpha} \cap H = Z_{\alpha}$  for all  $\alpha$ .

(A group is said to be torsion-free if it contains no elements of finite order, other than 1.)

Now it is well known that H is locally nilpotent, but that not every locally nilpotent group coincides with its hypercentre. This leads us to consider the upper central series and upper FC-series of locally nilpotent groups. We find that in a locally nilpotent group, the hypercentre and hyper-FC-subgroup coincide, and hence deduce

COROLLARY 1. If the centre of a locally nilpotent group G is torsion-free, then  $F_{\alpha} = Z_{\alpha}$  for all  $\alpha$ .

In § 3 we investigate the hypercentre H and the hyper-FC-subgroup F of G under certain finiteness conditions. These include : FG, the property of being finitely generated ; Max, the maximal condition for subgroups; Max-G, the maximal condition for subgroups which are normal in G; and the corresponding minimal conditions Min and Min-G. Baer [1] has shown that for H, the properties FG, Max and Max-G are equivalent; this is also true for F (Theorem 2). The corresponding result for the minimal conditions, that H satisfies Min-G only if H satisfies Min, does not hold, but the stronger condition that G satisfies Min-G is sufficient to imply that both H and F satisfy Min (Theorem 3). The results for the hyper-FC-subgroup are generalisations of some of the results on FC-nilpotent groups (groups for which  $F_n = G$  for some finite n in Duguid and McLain [4]. We may remark that examples of groups which coincide with their hyper-FC-subgroups are given by the infinite supersoluble groups defined by Baer [2]. Indeed, Theorems 1 and 2 of Baer's paper are both simple corollaries of our Theorem 2. Mal'cev [9] has proved the existence of groups with lower central series of arbitrary length. In §4 we do the same for the upper central series. Explicitly, for any ordinal  $\alpha$ , there exists a group G with upper central series of length  $\alpha$ , terminating in G.<sup>+</sup>

† Added in proof. This has also been proved by V. M. Gluškov, Mat. Sb., **31** (1952), 491-496, and by S. Moran (to appear). I am grateful to Dr. K. A. Hirsch for these references.

#### 2. The upper central and upper FC-series.

LEMMA 1. If x belongs to the hypercentre, but not to the centre of G, and  $x^n$  belongs to some term of the upper central series to which x does not belong, then there is an element g of G such that y = [x, g] and  $y^n$  also belong to different terms of the upper central series.

(Here, [x, g] denotes, as usual, the commutator  $x^{-1}g^{-1}xg$ .)

**Proof:** If  $x^n \neq 1$ , let  $\alpha$  be the least ordinal for which  $x^n \epsilon Z_{\alpha}$ . Then  $\alpha$  is not a limit ordinal, and so  $x^n \epsilon Z_{\alpha-1}$ . If  $x^n = 1$ , we let  $\alpha = 1$ .

In each case the hypothesis asserts that  $x \notin Z_{\alpha}$ . Hence there exists  $g \in G$  such that  $y = [x, g] \notin Z_{\alpha-1}$ . Now y belongs to some term in the upper central series (since x does), so there exists a least ordinal  $\beta$  such that  $y \in Z_{\beta}$ . Then  $\beta$  is not a limit ordinal, and  $y \notin Z_{\beta-1}$ . Now y belongs to  $Z_{\beta}$ , but not to  $Z_{\alpha-1}$ , so  $\beta > \alpha - 1$ . Therefore  $\beta \ge \alpha$ , and  $x^n \in Z_{\beta}$ . Thus both y = [x, g] and  $x^n$  belong to  $Z_{\beta}$ , and this is the centre of G modulo  $Z_{\beta-1}$ . Hence

$$y^n = [x, g]^n \equiv [x^n, g] \equiv 1 \mod Z_{\beta-1}.$$

So y does not belong to  $Z_{\beta-1}$ , but  $y^n$  does. This completes the proof of the lemma.

This lemma implies that  $Z_{\alpha+1}/Z_{\alpha}$  can contain an element of order *n* only if  $Z_1$  contains an element, not 1, of order dividing *n*. In particular,

LEMMA 2. If the centre  $Z_1$  of a group is torsion-free, then so is every factor group  $Z_{\alpha+1}/Z_{\alpha}$  of the upper central series.

**Proof**: If the lemma is false, let  $\alpha$  be the least ordinal such that  $Z_{\alpha+1}/Z_{\alpha}$  contains a periodic element  $xZ_{\alpha}$ , not the identity. Then  $x \in Z_{\alpha+1}$ ,  $x \notin Z_{\alpha}$  and  $x^n \in Z_{\alpha}$  for some integer *n*. Let y = [x, g] be chosen as in Lemma 1, so that, if  $\beta$  is the least ordinal for which  $y \in Z_{\beta}$ , then  $\beta$  is not a limit ordinal,  $y \notin Z_{\beta-1}$  but  $y^n \in Z_{\beta-1}$ . Therefore  $Z_{\beta}/Z_{\beta-1}$  contains a periodic element  $yZ_{\beta-1}$ . Clearly  $\alpha \ge \beta > \beta - 1$ , and this contradicts the definition of  $\alpha$ . Therefore the lemma is true.

Proof of Theorem 1: We use transfinite induction, and assume that  $F_{\beta} \cap H = Z_{\beta}$  for all ordinals  $\beta$  less than  $\alpha$ . (The assertion is trivial when  $\beta = 0$ , since  $F_0 = Z_0 = 1$ .)

If  $\alpha$  is a limit ordinal, then

$$Z_{\alpha} = \bigcup_{\beta < \alpha} Z_{\beta} = \bigcup_{\beta < \alpha} (F_{\beta} \cap H) = \bigcup_{\beta < \alpha} F_{\beta} \cap H = F_{\alpha} \cap H.$$

If  $\alpha = \beta + 1$  for some  $\beta$ , let x be an arbitrary element of  $F_{\alpha} \cap H$ , and suppose that  $x \notin Z_{\alpha}$ . Then there exists an element g of G such that  $[x, g] \notin Z_{\beta}$ . Now [x, g] belongs to H, since x does, so there exists an ordinal  $\gamma$  such that  $[x, g] \notin Z_{\gamma}$  and  $[x, g] \notin Z_{\gamma-1}$ . Clearly  $\gamma > \beta$ , so  $Z_{\gamma-1} \ge Z_{\beta}$ .

Now x has only a finite number of conjugates mod  $F_{\beta}$ , so among the elements  $g^{-n}xg^n$ , (n=0, 1, 2, ...), two must be conjugate mod  $F_{\beta}$ . Therefore  $x \equiv g^{-n}xg^n \mod F_{\beta}$ , for some n > 0. Hence  $[x, g^n] = x^{-1}g^{-n}xg^n \epsilon F_{\beta}$ . But  $x \epsilon H$ , so  $[x, g^n] \epsilon H$ . Therefore

$$[x, g^n] \in F_\beta \cap H = Z_\beta \leqslant Z_{\gamma-1}.$$

But [x, g] lies in the centre of  $G \mod Z_{y-1}$ . Hence

$$[x, g]^n \equiv [x, g^n] \equiv 1 \mod Z_{\gamma-1}.$$

Thus we find that [x, g] belongs to  $Z_{\gamma}$  but not to  $Z_{\gamma-1}$ , and  $[x, g]^n$  belongs to  $Z_{\gamma-1}$ . This contradicts the result of Lemma 2, that  $Z_{\gamma}/Z_{\gamma-1}$  is torsion-free.

Therefore  $x \in Z_{\alpha}$ , and so  $Z_{\alpha} \ge F_{\alpha} \cap H$ . But in any group,  $Z_{\alpha} \le F_{\alpha} \cap H$ , so  $Z_{\alpha} = F_{\alpha} \cap H$ , and the proof of Theorem 1 is complete.

**LEMMA 3.** If G is a locally nilpotent group, and x is an FC-element of G, then x belongs to  $Z_n$  for some finite n.

**Proof**: Let  $x = x_1, x_2, ..., x_m$  be the conjugates of x in G. Any inner automorphism of G

permutes the  $\{x_i\}$ , so there is a finite number (at most m!) of elements  $g_1, \ldots, g_r$  of G such that any element g of G permutes the  $\{x_i\}$  in the same way as one of the  $g_j$ .

Let  $K = \operatorname{Gp}\{x, g_1, \dots, g_r\}$ . K is finitely generated, and hence nilpotent :  $Z_n(K) = K$  for some n.

If  $X = \operatorname{Gp}_G\{x\} = \operatorname{Gp}\{x_1, \ldots, x_m\}$  is the least normal subgroup of G containing x, then we will prove by induction that  $Z_k \ge X \cap Z_k(K)$ ,  $(k = 1, 2, \ldots)$ . This is true if k = 0, so we assume that

$$Z_{k-1} \geqslant X \cap Z_{k-1}(K)$$

and that  $y = x_u^a x_v^b \dots x_w^c$  is an arbitrary element of  $X \cap Z_k(K)$ . Let  $g \in G$ , and let  $g_j$  permute the  $\{X_i\}$  in the same way as g. Since  $y \in Z_k(K)$ , it commutes with  $g_j \mod Z_{k-1}(K)$ . Hence

$$[y,g] = [y,g_j] \in Z_{k-1}(K)$$

Also,  $[y, g] \in X$ , so [y, g] belongs to  $X \cap Z_{k-1}(K)$ , and hence to  $Z_{k-1}$ . As this is true for all  $g \in G$ , y belongs to  $Z_k$ . Hence

 $Z_k \ge X \cap Z_k(K)$  (k = 1, 2, 3, ...).

In particular,  $x \in X \cap Z_n(K) | \leq Z_n$ , and the lemma is proved.

LEMMA 4. If G is locally nilpotent, then  $Z_{\alpha} \leq F_{\alpha} \leq Z_{\omega\alpha}$  for all  $\alpha$ . (Here  $\omega$  denotes the first limit ordinal.)

This follows from Lemma 3 by transfinite induction. We omit the details.

Corollary 1, stated in the introduction, follows immediately, since if G is locally nilpotent, then  $F_{\alpha} \leq H$  by Lemma 4, so  $F_{\alpha} = F_{\alpha} \cap H$ , and if the centre of G is torsion-free, then Theorem 1 states that  $F_{\alpha} \cap H = Z_{\alpha}$ .

## 3. The finiteness conditions.

**THEOREM 2.** The following properties of the hyper-FC-subgroup F of G are equivalent :

- (a) F satisfies Max-G,
- (b) F satisfies Max,
- (c) F is FG,
- (d) F is a finite extension of an FG nilpotent group.

*Proof*: If F satisfies Max-G, let K be a normal subgroup of G, maximal among those which are contained in F and which satisfy Max, and suppose that  $K \neq F$ . Now F/K contains an element  $x_1K \neq K$  which is FC in G/K; let its conjugates be  $x_2K, x_3K, ..., x_rK$ . Then

$$L = \operatorname{Gp}\{x_1, \ldots, x_r, K\}$$

is a normal subgroup of G, and  $F \ge L > K$ . Now L/K is an FG, FC-group, and so satisfies Max (for example, [4]). Since Max is a poly property (see P. Hall [7]), L satisfies Max, and this contradicts the definition of K. Thus (a) implies (b), so (a) and (b) are equivalent.

Now (b) implies (c) in any group, and (d) implies (b), since every FG nilpotent group satisfies Max, and, as we noted above, Max is a poly property.

To prove that (c) implies (d), we use a result in [4] that every finitely generated FC-nilpotent group is a finite extension of a finitely generated nilpotent group. Hence we have only to prove that a FG group with upper FC-series

$$1 = F_0 < F_1 < \ldots < F_\alpha = G$$

actually has  $F_n = G$  for some finite *n*. Let  $S = \{g_1, ..., g_{2m}\}$  be the set of generators of *G* and their inverses, and let *x* be one of the  $g_i \in S$ . We define a finite set  $X_r \leq G$  inductively. Let  $X_1$ 

contain only x. If we have defined  $X_r$ , let  $\alpha_r$  be the least ordinal such that  $X_r \leq F_{\alpha_r}$ . If  $F_{\alpha_r} \neq 1$ , then  $\alpha_r$  is not a limit ordinal (since  $X_r$  is finite). Hence  $X_r$  is FC in G mod  $F_{\alpha_r-1}$ . Therefore there is a finite set  $X_r^* \geq X_r$  such that, for any  $y_i \in X_r^*$  and  $g_j \in S$ , there is an element  $y_k \in X_r^*$  for which  $y_{ij} = y_k^{-1} g_j^{-1} y_i g_j \in F_{\alpha_r-1}$ . If we define  $X_{r+1}$  to be the set of all these  $y_{ij}$ , then  $X_{r+1}$  is finite.

Now  $\alpha_1, \alpha_2, ..., \alpha_r, ...$  is a set of ordinals with the property that if  $\alpha_r \neq 0$  then  $\alpha_r > \alpha_{r+1}$ . Hence  $\alpha_n = 0$  for some *n*. Thus  $X_n = X_n^* = 1 = F_0$ .

Assume now that  $X_{n-r}^* \leq F_r$ . If  $X_{n-r-1}^* = \{y_1, \ldots, y_s\}$ , then for any  $y_i \in X_{n-r-1}^*$ ,  $g_i \in S$ , there exists a  $y_k \in X_{n-r-1}^*$  such that

$$y_k^{-1}g_j^{-1}y_ig_j \in X_{n-r} \leqslant X_{n-r}^* \leqslant F_r$$

Hence  $g_i^{-1}y_ig_j \equiv y_k \mod F_r$ , for some k. Since any element of G is expressible as a product of elements of S, this shows that  $X_{n-r-1}^*$  is a complete set of conjugates mod  $F_r$ , and hence that any  $y_i \in X_{n-r-1}^*$  is FC mod  $F_r$ . Therefore  $X_{n-r-1}^* \leqslant F_{r+1}$ . By induction, this is true for all r. In particular,

$$x = X_1 \leqslant X_1^* \leqslant F_{n-1}.$$

Thus each element  $g_i$  of S belongs to  $F_r$  for some finite r, and therefore  $G = F_r$ .

This completes the proof of the theorem.

The corresponding result for the minimal conditions, that if F (or even H) satisfies Min-G then it satisfies Min, is not true, as the following example shows.

*Example.* Let H be the direct product of the cyclic groups of order a prime p,

$$H = \{z_1\} \times \{z_2\} \times \dots$$

Let  $G_i = \text{Gp}\{H, g_i\}$  (i = 2, 3, ...), where  $g_i$  commutes with all of the  $z_j$  except  $z_i$ , and

$$g_i^{-1}z_ig_i = z_{i-1}z_i$$

Now let G be the free product of the groups  $G_i$ , amalgamating the subgroup H.

It is clear that the rth term of the upper central series of G is given by

$$Z_r = \{z_1\} \times \{z_2\} \times \ldots \times \{z_r\},$$

and that H is the hypercentre. (It is also the hyper-FC-subgroup of G.) Now the only subgroups of H which are normal in G are the  $Z_r$ , since if a normal subgroup N of G contains  $x = z_1^{r_1} z_2^{r_2} \dots z_s^{r_s}$ ,  $r_s \neq 0$ , then it contains  $[x, g_s] = z_{s-1}^{r_s}$ , and consequently  $\{z_{s-1}\}, \{z_{s-2}\}, \dots, \{z_1\}$ , and therefore also  $\{z_s^{r_s}\} = \{z_s\}$ , i.e.,  $N \ge Z_s$ . Since the  $Z_r$  form a well ordered chain, H satisfies Min-G. But H, being an infinite direct product, does not satisfy Min.

**THEOREM 3.** If G satisfies Min-G (the minimal condition for normal subgroups), then H and F both satisfy Min.

**Proof**: Since F contains H, it is sufficient to show that F satisfies Min.

We use the lemma proved in McLain [10], that if G satisfies Min-G and K is the (unique) minimal normal subgroup of finite index in G, then  $Z_1(K)$  satisfies Min, and  $Z_2(K) = Z_1(K)$ .

Suppose that  $F \cap K$  is not contained in  $Z_1(K)$ . Let  $\alpha$  be the least ordinal for which there exists an  $x \in F_{\alpha} \cap K$  such that  $x \notin Z_1(K)$ . Then  $\alpha$  is not a limit ordinal, and x has only a finite number, say r, of conjugates mod  $F_{\alpha-1}$ . Clearly, x has only r conjugates mod  $F_{\alpha-1} \cap K$ , and hence has at most r conjugates mod  $Z_1(K)$ . Therefore the centraliser of x in  $G \mod Z_1(K)$  has index at most r in G, and so contains K. (Any subgroup of finite index in G contains K.)

Thus x belongs to the second centre  $Z_2(K)$  of K and so to  $Z_1(K)$ . This contradiction proves that

$$F \cap K \leqslant Z_1(K).$$

Hence  $F \cap K$  satisfies Min. But  $F/(F \cap K) \cong KF/K$  which is a subgroup of the finite group G/K. Hence  $F/(F \cap K)$  is finite and since Min is also a poly property, F satisfies Min.

COROLLARY 2. If G satisfies Min-G, then the upper central series has length less than  $\omega 2$ , and the upper FC-series has length at most 2.

*Proof*: P. Hall's strict inclusion theorem for the finite upper central series of a group [6] may be easily extended to the transfinite case to read : If N is a normal subgroup of G, and  $N \cap Z_{\alpha} = N \cap Z_{\alpha+1}$ , then  $N \cap Z_{\beta} = N \cap Z_{\beta+1}$  for all  $\beta > \alpha$ . (Detailed proof is not given. We may remark that the corresponding result for the FC-series is also true, but will not be required.)

Since  $Z_1(K)$  is the direct product of a finite number of groups of type  $(p^{\infty})$  with a finite group, any element x of  $H \cap K \leq F \cap K \leq Z_1(K)$  is contained in a finite characteristic subgroup X of  $Z_1(K)$ . X is normal in G, so we can apply the strict inclusion theorem. Thus, if X is not contained in  $Z_r$ , then  $X \cap Z_r > X \cap Z_{r-1} > \ldots > X \cap Z_0 = 1$ , so, since X is finite,  $X \leq Z_r$  for some finite r. Hence  $H \cap K = H \cap Z_1(K) \leq Z_{\omega}$ , and so  $H/Z_{\omega}$  is finite. Therefore  $H = Z_{\omega+n}$  for some finite n.

The centraliser of  $Z_1(K)$  contains K, and so has finite index n in G. Hence any element of  $Z_1(K)$  has at most n conjugates in G, and so  $Z_1(K) \leqslant F_1$ . Thus we can sharpen the equation  $F \cap K \leqslant Z_1(K)$  to the equation  $F_1 \cap K = Z_1(K)$ . Therefore  $F/F_1$  is finite, and so  $F = F_2$ .

### 4. A group with transfinite upper central series.

Construction: Let  $\Lambda = \{\lambda, \mu, \nu, ...\}$  be a partially ordered set (henceforth a "poset"), and let L be the set of all pairs  $(\mu, \nu)$  for which  $\mu < \nu$ . Denote by  $G_L$  the set of all elements of the form  $g = 1 + \Sigma a_{\mu\nu} e_{\mu\nu}$ , where each  $(\mu, \nu) \epsilon L$ , the  $a_{\mu\nu}$  belong to a field K and only a finite number are different from zero.  $G_L$  becomes a multiplicative group if we define multiplication by

$$e_{\kappa\lambda}e_{\mu\nu} = \begin{cases} e_{\kappa\nu} \text{ if } \lambda = \mu, \\ 0 \text{ otherwise.} \end{cases}$$

We call a subset S of L a normal partition if, for every  $(\mu, \nu)\epsilon S$ , S also contains each  $(\kappa, \lambda)$  with  $\kappa \leq \mu < \nu \leq \lambda$ . For such an S, let  $G_S$  denote the set of all elements of  $G_L$  such that  $a_{\mu\nu} \neq 0$  only if  $(\mu, \nu)\epsilon S$ . It is easy to see that  $G_S$  is a subgroup of  $G_L$ , and is generated by the set of all  $1 + ae_{\mu\nu}$ ,  $a\epsilon K$ ,  $(\mu,\nu)\epsilon S$ . (The details are omitted.) In particular,

$$G_L = \operatorname{Gp}\{1 + ae_{\mu\nu}, a \in \mathbb{K}, (\mu, \nu) \in L\}.$$

and belongs to  $G_S$  in each case. Hence  $G_S$  is a normal subgroup of  $G_L$ .

The group  $G_L$  is a generalisation of the case when  $\Lambda$  is a chain of *n* elements.  $G_L$  is then the well known group of all  $n \times n$  unitriangular matrices over  $\mathcal{K}$ , and the normal partition subgroups are the normal partition subgroups as defined by Weir [11].

LEMMA 5. The centre of  $G_L$  modulo the normal partition group  $G_S$  is the normal partition group  $G_T$ , where T is the set of all  $(\lambda, \mu) \in L$  such that  $\kappa < \lambda$  implies  $(\kappa, \mu) \in S$  and  $\mu < \nu$  implies  $(\lambda, \nu) \in S$ .

If  $(\mu,\nu) \in S$ ,  $(\kappa,\lambda) \in L$ , then

**Proof**: By equation (1), the generators of  $G_L$  and  $G_T$  commute mod  $G_S$ , so  $G_T$  is contained in the centre of  $G_L$  mod  $G_S$ .

Let  $g = 1 + \Sigma a_{\lambda\mu} e_{\lambda\mu}$  be in the centre of  $G_L \mod G_S$ , and let  $(\xi, \zeta) \in L$ . Then the coefficient of  $e_{\xi\mu}$  in  $h = [g, 1 - e_{\xi\zeta}]$  is  $a_{\zeta\mu}$ . Since  $h \in G_S$ , this implies that if  $a_{\lambda\mu} \neq 0$  and  $\kappa < \lambda$ , then  $(\kappa, \mu) \in S$ . Similarly, if  $a_{\lambda\mu} \neq 0$  and  $\nu > \mu$ , then  $(\lambda, \nu) \in S$ . Hence  $g \in G_T$ , and the proof of the lemma is complete.

Now the union of a tower of normal partition subgroups is itself a normal partition subgroup, so all the terms of the upper central series of  $G_L$  are normal partition groups. If  $Z_{\alpha}(G_L) = G_T$ , then we donote T by  $S^{\alpha}$ .

THEOREM 4. For any transfinite ordinal  $\alpha$ , there exists a poset  $\Lambda = \Lambda_{\alpha}$  such that  $Z_{\alpha}(G_L) = G_L$ , but  $Z_{\beta}(G_L) \neq G_L$  if  $\beta < \alpha$ .

**Proof**: If  $\alpha$  is finite, we can take  $\Lambda_{\alpha}$  a chain of  $\alpha + 1$  elements.  $G_L$  is then nilpotent of class  $\alpha$ . We use transfinite induction, and assume that, for all  $\beta < \alpha$ , there is a poset  $\Lambda_{\beta}$  such that

(a)  $S_{\beta}^{\beta} = L_{\beta}$ , but  $S_{\beta}^{\gamma} \neq L_{\beta}$  if  $\gamma < \beta$ ,

(b)  $\Lambda_8$  satisfies the ascending and descending chain conditions,

(c) if  $\beta$  is a limit ordinal, then  $\Lambda_{\beta}$  is the cardinal sum of all the  $\Lambda_{\gamma}$ ,  $\gamma < \beta$  (see Birkhoff [3], p. 7, for the definition of the cardinal sum of posets), and

(d) if  $\beta = \gamma + 1$ , then there exists a unique minimal element  $\xi$  of  $\Lambda_{\beta}$ , and another element  $\lambda \in \Lambda_{\beta}$  for which  $(\xi, \lambda) \notin S_{\beta}^{\gamma}$ .

Case 1. If  $\alpha$  is a limit ordinal, we take  $\Lambda = \Lambda_{\alpha}$  as the cardinal sum of the  $\Lambda_{\beta}$ ,  $\beta < \alpha$ .  $G_L$  is the direct product of the  $G_{L_{\beta}}$ , and the induction hypotheses are satisfied.

We now require two lemmas.

LEMMA 6. If  $\Lambda_1$  and  $\Lambda_2$  are two posets  $(\lambda_1, \mu_1) \in L_1$ ,  $(\lambda_2, \mu_2) \in L_2$  and there is a one-one, orderpreserving mapping between the sections of the  $\Lambda_i$  less than  $\lambda_i$  and between the sections greater than  $\mu_i$ , then  $(\lambda_1, \mu_1) \in S_1^{\alpha}$  if and only if  $(\lambda_2, \mu_2) \in S_2^{\alpha}$ .

This follows immediately from Lemma 5.

LEMMA 7. If  $\Lambda_1$  satisfies (a), ..., (d), and  $\Lambda_2$  is formed by the addition of one element  $\xi$  less than every element of  $\Lambda_1$ , then  $(\mu, \nu) \in S_1^{\alpha}$  implies  $(\mu, \nu) \in S_2^{\alpha+1}$ .

*Proof*, by transfinite induction : The lemma is true when  $\alpha = 1$ , so assume that it holds for all  $\beta < \alpha$  and that  $(\mu, \nu) \in S_1^{\alpha}$ .

If  $\alpha$  is a limit ordinal, then  $(\mu, \nu) \in S_1^{\beta}$  for some  $\beta < \alpha$ , and so  $(\mu, \nu) \in S_2^{\beta+1} \leq S_2^{\alpha+1}$ .

If  $\alpha = \beta + 1$ , suppose that  $(\mu, \nu) \notin S_2^{\alpha+1}$ . Then, by Lemma 5, there is either a  $(\nu, \rho) \in L_2$  with  $(\mu, \rho) \notin S_2^{\alpha}$ , or else a  $(\lambda, \mu) \in L_2$  with  $(\lambda, \nu) \notin S_2^{\alpha}$ . In the first case, and in the second case if  $\lambda \neq \xi$ , the induction hypothesis asserts that  $(\mu, \rho)$  or  $(\lambda, \nu) \notin S^{\beta}$ . Hence, by Lemma 5,  $(\mu, \nu) \notin S_1^{\beta+1}$ , which is a contradiction. In the second case if  $\lambda = \xi$ , we may assume that  $\mu$  is a minimal element of  $\Lambda_1$  (otherwise the previous argument holds). Applying Lemma 6,  $(\xi, \nu) \notin S_{\beta}^{\alpha}$  implies that  $(\mu, \nu) \notin S_1^{\alpha}$ . This is a contradiction, and Lemma 7 is proved.

Case 2. If  $\alpha = \beta + 1$ , where  $\beta$  is a limit ordinal, we form  $\Lambda = \Lambda_{\alpha}$  by adding two elements  $\xi < \zeta$  less than  $\Lambda_{\beta}$ .

Suppose first that  $(\xi, \zeta) \in S^{\beta}$ . Then  $(\xi, \zeta) \in S^{\gamma}$  for some  $\gamma < \beta$ , and so  $(\xi, \mu) \in S^{\gamma}$  for all  $\mu \in \Lambda_{\beta}$ . For any  $\mu \in \Lambda_{\gamma+1}$ , let  $\lambda$  be the minimal element of  $\Lambda_{\gamma+1}$ . We can apply Lemma 6 to  $(\lambda, \mu)$  in  $\Lambda_{\gamma+1}$  and  $(\xi, \mu)$  in  $\Lambda$ , to find that  $(\lambda, \mu) \in S^{\gamma}_{\gamma+1}$ . This contradicts property (d) of  $\Lambda_{\gamma+1}$ . Hence  $(\xi, \zeta) \notin S^{\beta}$ .

For any  $(\lambda, \mu) \epsilon L_{\beta}$ ,  $(\lambda, \mu) \epsilon S_{\beta}^{\gamma}$  for some  $\gamma < \beta$ ; so, applying Lemma 7 twice,

 $(\lambda, \mu) \epsilon S^{\gamma+2} \leq S^{\beta}.$ 

Also  $(\xi, \mu)$  and  $(\zeta, \mu)$  belong to  $S^{\gamma+2}$ , as this is a normal partition. If  $\lambda$  is a minimal element of  $\Lambda_{\beta}$ , then  $\lambda \epsilon \Lambda_{\gamma}$  for some  $\gamma < \beta$ , and the above argument shows that, for any  $\mu > \lambda$ ,  $(\xi, \mu) \epsilon S^{\gamma+2}$ . Then, by Lemma 5,  $(\xi, \lambda) \epsilon S^{\gamma+3}$  and  $(\zeta, \lambda) \epsilon S^{\gamma+4}$ .

Thus  $(\xi, \zeta)$  is the only element of L not in  $S^{\beta}$ , so, by Lemma 5,  $S^{\beta+1} = L$ , and  $\Lambda$  satisfies (a), ..., (d).

Case 3. If  $\alpha = \beta + 2$  for some  $\beta$ , we form  $\Lambda = \Lambda_{\alpha}$  by adding one element  $\xi$  less than  $\Lambda_{\beta+1}$ . Let  $\lambda$  be the minimal element of  $\Lambda_{\beta+1}$ , and  $(\lambda, \mu) \notin S^{\beta}_{\beta+1}$ . By Lemma 6,  $(\xi, \mu) \notin S^{\beta}$ . Therefore (Lemma 5)  $(\xi, \lambda) \notin S^{\beta+1}$ . Now for any  $\mu \epsilon \Lambda_{\beta+1}, \mu \neq \lambda, (\lambda, \mu) \epsilon S^{\beta+1}_{\beta+1}$ . So, by Lemma 6,  $(\xi, \mu) \epsilon S^{\beta+1}_{\beta+1}$ . Also, if  $\rho < \sigma < \tau$  all belong to  $\Lambda_{\beta+1}$ , then  $(\rho, \tau) \epsilon S^{\beta}_{\beta+1}$  (otherwise, by Lemma 5,  $(\rho, \sigma)$  would not belong to  $S^{\beta+1}_{\beta+1}$ ), and so, by Lemma 7,  $(\rho, \tau) \epsilon S^{\beta+1}_{\beta}$ .

Thus  $S^{\beta+1}$  contains every element of L except  $(\xi, \lambda)$ , and possibly some  $(\rho, \tau) \epsilon L_{\beta+1}$  for which there exists no element  $\sigma \epsilon \Lambda_{\beta+1}$  such that  $\rho < \sigma < \tau$ . By Lemma 5,  $S^{\beta+2}$  must be the whole of L. Hence  $\Lambda$  satisfies conditions (a), ..., (d).

This completes the proof of Theorem 4.

*Remark.* If k has prime characteristic p, then  $G_L$  is a locally finite p-group. If K has zero characteristic, then  $G_L$  is torsion-free. In the latter case, by Theorem 1, the upper FC-series of  $G_L$  coincides with the upper central series, and so has length  $\alpha$ .

Added in proof. Another group with upper FC-series of arbitrary length has recently been constructed by A. M. Duguid.

#### REFERENCES

1. R. Baer, Das Hyperzentrum einer Gruppe III, Math. Z., 59 (1953-54), 296-338.

2. R. Baer, Supersoluble groups, Proc. Amer. Math. Soc., 6 (1955), 16-32.

3. G. Birkhoff, Lattice theory (Amer. Math. Soc., 1948).

4. A. M. Duguid and D. H. McLain, FC-soluble and FC-nilpotent groups, Proc. Camb. Phil. Soc., 52 (1956), 391-398.

5. F. Haimo, On the FC-chain of a group, Canad. Jour. Math., 5 (1953), 498-511.

6. P. Hall, A contribution to the theory of groups of prime power order, Proc. Lond. Math. Soc., 36 (1933), 29-95.

7. P. Hall, Finiteness conditions for soluble groups, Proc. Lond. Math. Soc., (3) 4 (1954), 419-436.

8. A. G. Kuroš, Theory of groups, (Chelsea, 1955).

9. A. I. Mal'cev, Generalised nilpotent algebras and their associated groups, *Mat. Sbornik* N.S., 25 (1949), 347-366. (in Russian).

10. D. H. McLain, On locally nilpotent groups, Proc. Camb. Phil. Soc., 52 (1956), 5-11.

11. A. J. Weir, The Sylow *p*-subgroups of the general linear group over finite fields of characteristic *p*, *Proc. Amer. Math. Soc.*, 6 (1955), 454-464.

THE UNIVERSITY GLASGOW